PHILOSOPHICAL TRANSACTIONS B

royalsocietypublishing.org/journal/rstb

Preface



Cite this article: Caruana F, Palagi E, de Waal FBM. 2022 Cracking the laugh code: laughter through the lens of biology, psychology and neuroscience. *Phil. Trans. R. Soc. B* **377**: 20220159. https://doi.org/10.1098/rstb.2022.0159

Received: 20 June 2022 Accepted: 20 June 2022

One contribution of 17 to a theme issue 'Cracking the laugh code: laughter through the lens of biology, psychology and neuroscience'.

Subject Areas:

behaviour, cognition, evolution, neuroscience

Author for correspondence:

Fausto Caruana e-mail: fausto.caruana@in.cnr.it

Cracking the laugh code: laughter through the lens of biology, psychology and neuroscience

Fausto Caruana¹, Elisabetta Palagi² and Frans B. M. de Waal³

¹Institute of Neuroscience, National Research Council of Italy (CNR), Via Volturno 39/E, 43125 Parma, Italy ²Unit of Ethology, Department of Biology, University of Pisa, via A. Volta 6, 56126, Pisa, Italy ³Emory University, Atlanta, GA 30322, USA

(D) FC, 0000-0002-4631-7543; EP, 0000-0002-2038-4596; FBMdW, 0000-0003-2021-6653

1. Introduction

Laughter affects conversational schemes, supports speech production, establishes social bonds and is connected to playfulness. Despite the pervasiveness of this behaviour, research on laughter has long been underdeveloped, probably because it does not sound like a serious topic. Also, its social and expressive nature represents a major technical difficulty for both laboratory and naturalistic studies. The quest to uncover the processes underlying the production and perception of laughter is still in its early days, but a renewed interest in this behaviour has boosted the emergence of new ecological studies in a set of fields encompassing a broad spectrum of disciplines.

This Theme Issue aims to tackle the biological, psychological, neural and cultural underpinnings of laughter in humans and other animals from a naturalistic and evolutionary perspective. A new naturalistic account of laughter has been boosted by the work of the psychologist Robert Provine (1943–2019) and the neuroscientist Jaak Panksepp (1943–2017), to whom this issue is dedicated. According to this view, laughter must be studied considering the behavioural intentions it conveys and the response it elicits in the recipient. Notably, such an approach shifts the focus of attention from the cognitive underpinnings of humour processing to the adaptive socio-emotional nature of laughter, which signals not only reward and amusement but also affiliation and benign intentions.

The naturalistic study of human laughter is also supported by the wide acceptance that other species show a homologue of laughter. Playful laugh expressions of the great apes and other primates not only morphologically resemble human laughter but they share important social functions and neural substrates. However, similar play vocalizations have probably evolved multiple times in evolution, as in the case of play vocalizations in rats.

This Theme Issue synthesizes existing knowledge on laughter in humans and other animals through the lens of an evolutionary approach. The great variety of contributions proposed in this issue includes new insights from ethology, anthropology, social psychology and cognitive and affective neuroscience, thus providing an overarching perspective of the laughter phenomenon.

The issue consists of two main sections:

- (i) A first section is theoretical in nature. An opinion piece provides a unifying framework across the different disciplines also suggesting further ideas to expand the knowledge through a comparative approach (Palagi *et al.* [1]). Then four reviews frame laughter studies in the fields of anthropology (Dunbar [2]), ethology (Davila-Ross & Palagi [3]), psychology (Scott *et al.* [4]) and cross-cultural studies (Bryant & Bainbridge [5]).
- (ii) A second section is entirely dedicated to new empirical data, with studies tackling the issue of laughter in the fields of behavioural studies (Burke

 \odot 2022 The Authors. Published by the Royal Society under the terms of the Creative Commons Attribution License http://creativecommons.org/licenses/by/4.0/, which permits unrestricted use, provided the original author and source are credited.

royalsocietypublishing.org/journal/rstb Phil. Trans. R. Soc. B 377: 20220159

2

et al. [6], Proelss *et al.* [7]), social psychology (Hess [8], Wood [9]), consciousness studies (Prochazkova *et al.* [10]), neuroimaging (Wattendorff *et al.* [11], Belyk *et al.* [12]), and computational (Celeghin *et al.* [13]), clinical (Sessa *et al.* [14]) and system neuroscience (Zauli [15], Sun [16]).

Our hope is that the present Theme Issue will contribute not only to conciliate the different visions of laughter emerging by the different disciplinary origins of thinkers, but also to provide new data supporting this new unifying naturalistic vision.

Data accessibility. This article has no additional data.

Authors' contributions. F.C.: writing—original draft, writing—review and editing; E.P.: writing—original draft, writing—review and editing; F.B.M.d.W.: writing—original draft, writing—review and editing.

All authors gave final approval for publication and agreed to be held accountable for the work performed therein.

Conflict of interest declaration. This theme issue was put together by the Guest Editor team under supervision from the journal's Editorial staff, following the Royal Society's ethical codes and best-practice guidelines. The Guest Editor team invited contributions and handled the review process. Individual Guest Editors were not involved in assessing papers where they had a personal, professional or financial conflict of interest with the authors or the research described. Independent reviewers assessed all papers. Invitation to contribute did not guarantee inclusion.

Funding. We received no funding for this study.

Editors' biographies



Fausto Caruana is a neuroscientist at the Institute of Neuroscience of the National Research Council of Italy (CNR) in Parma, specializing in social, cognitive and affective neuroscience. He has authored more than 60 papers on the neural and psychological mechanisms underlying emotions, empathy, mirror neurons and motor cognition. His research is conducted using a multidisciplinary approach, mainly centred on intracranial recordings and electrical stimulations.



Elisabetta Palagi is Associate Prof. at the University of Pisa (Italy). She holds a PhD in Evolutionary Biology. Her studies focus on comparative ethology of social carnivores (meerkats, lions, spotted hyenas), horses, sea lions and several primate taxa, including strepsirrhines, monkeys, great apes and humans since 1992. In 2020 she was awarded with the Animal Behaviour Society prize for her activity in the field for 10+ years and distinguished contributions.



Frans B. M. de Waal is a Dutch/American biologist, ethologist and primatologist known for his work on the behaviour and social intelligence of primates, especially chimpanzees and bonobos. De Waal is C. H. Candler Prof. Emeritus at Emory University and Distinguished Prof. Emeritus at Utrecht University. He is a member of the (US) National Academy of Sciences .

References

- Palagi E, Caruana F, de Waal FBM. 2022 The naturalistic approach to laughter in humans and other animals: towards a unified theory. *Phil. Trans. R. Soc. B* 377, 20210175. (doi:10.1098/rstb.2021. 0175)
- Dunbar RIM. 2022 Laughter and its role in the evolution of human social bonding. *Phil. Trans. R. Soc. B* 377, 20210176. (doi:10.1098/rstb.2021.0176)
- Davila-Ross M, Palagi E. 2022 Laughter, play faces and mimicry in animals: evolution and social functions. *Phil. Trans. R. Soc. B* 377, 20210177. (doi:10.1098/rstb.2021.0177)
- Scott SK, Cai CQ, Billing A. 2022 Robert Provine: the critical human importance of laughter, connections and contagion. *Phil. Trans. R. Soc. B* 377, 20210178. (doi:10.1098/rstb.2021.0178)
- Bryant GA, Bainbridge CM. 2022 Laughter and culture. *Phil. Trans. R. Soc. B* 377, 20210179. (doi:10.1098/rstb.2021.0179)
- Burke CJ, Pellis SM, Achterberg EJM. 2022 Who's laughing? Play, tickling and ultrasonic vocalizations in rats. *Phil. Trans. R. Soc. B* **377**, 20210184. (doi:10.1098/rstb.2021.0184)
- Proelss S, Ishiyama S, Maier E, Schultze-Kraft M, Brecht M. 2022 The human tickle response and mechanisms of self-tickle suppression. *Phil.*

Trans. R. Soc. B **377**, 20210185. (doi:10.1098/rstb. 2021.0185)

- Mauersberger H, Kastendieck T, Hetmann A, Schöll A, Hess U. 2022 The different shades of laughter: when do we laugh and when do we mimic other's laughter? *Phil. Trans. R. Soc. B* 377, 20210188. (doi:10.1098/rstb.2021.0188)
- Wood A, Templeton E, Morrel J, Schubert F, Wheatley T. 2022 Tendency to laugh is a stable trait: findings from a round-robin conversation study. *Phil. Trans. R. Soc. B* **377**, 20210187. (doi:10. 1098/rstb.2021.0187)
- Prochazkova E, Venneker D, de Zwart R, Tamietto M, Kret ME. 2022 Conscious awareness is necessary to assess trust and mimic facial expressions, while pupils impact trust unconsciously. *Phil. Trans. R. Soc. B* **377**, 20210183. (doi:10.1098/rstb. 2021.0183)
- Westermann B *et al.* 2022 When laughter arrests speech: fMRI-based evidence. *Phil. Trans. R. Soc. B* 377, 20210182. (doi:10.1098/rstb.2021.0182)
- Belyk M, McGettigan C. 2022 Real-time magnetic resonance imaging reveals distinct vocal tract configurations during spontaneous and volitional laughter. *Phil. Trans. R. Soc. B* **377**, 20210511. (doi:10.1098/rstb.2021.0511)

- Méndez CA, Celeghin A, Diano M, Orsenigo D, Ocak B, Tamietto M. 2022 A deep neural network model of the primate superior colliculus for emotion recognition. *Phil. Trans. R. Soc. B* 377, 20210512. (doi:10.1098/rstb. 2021.0512)
- Sessa P, Schiano Lomoriello A, Duma GM, Mento G, De Stefani E, Ferrari PF. 2022 Degenerate pathway for processing smile and other emotional expressions in congenital facial palsy: an hdEEG investigation. *Phil. Trans. R. Soc. B* **377**, 20210190. (doi:10.1098/rstb.2021.0190)
- Zauli FM, Del Vecchio M, Russo S, Mariani V, Pelliccia V, d'Orio P, Sartori I, Avanzini P, Caruana F. 2022 The web of laughter: frontal and limbic projections of the anterior cingulate cortex revealed by cortico-cortical evoked potential from sites eliciting laughter. *Phil. Trans. R. Soc. B* **377**, 20210180. (doi:10.1098/rstb.2021.0180)
- Sun L, Lukkarinen L, Putkinen V, Karlsson HK, Hirvonen J, Tiihonen J, Lauerma H, Scott S, Nummenmaa L. 2022 Mu-opioid receptor system modulates responses to vocal bonding and distress signals in humans. *Phil. Trans. R. Soc. B* **377**, 20210181. (doi:10.1098/rstb. 2021.0181)

3