# **Original Article**

## **Orthodontic Treatment Need in Higher Primary Schoolchildren of Central Bengaluru, India**

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**Aims and Objective:** The aim of this study was to evaluate the orthodontic treatment need in 11–14-year-old schoolchildren of Central Bengaluru, India, using the index of orthodontic treatment need (IOTN) and to analyze the treatment needs between males and females and correlation between the esthetic to aesthetic (AC) and dental health component (DHC) of IOTN.

**Materials and Methods:** The sample comprised 500 schoolchildren (187 females and 313 males) who had not undergone orthodontic treatment. No radiographs, study casts, were used; IOTN was calculated from clinical examination and photographs. The data were analyzed using SPSS 20 IBM version.

**Results:** The results for DHC were as follows: 12% students in no need, 52.5% students in little need, 20.5% students in moderate or borderline, 11.5% students in severe need, and 3.5% students were in the category of very severe need for treatment. On evaluating AC components, 91.6% were in the category of no or little need, 2% students in moderate need, and 6.4% in great need category. Mild positive correlation (r = 0.153) between DHC and AC of IOTN was observed. The difference between the IOTN values of boys and girls was not statistically significant.

**Conclusions:** More than 50% of the population in our study showed little/no need category which undergoes undiagnosed and may not seek dental treatment at right time to prevent the future complications. This study provides baseline data on the need and demand for orthodontic treatment among the sample which is important for planning public orthodontic and dental services.

**Keywords:** Aesthetic component, dental health component, index of orthodontic

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#### **INTRODUCTION**

Malocclusion is a common dental health problem and can affect psychosocial well-being in long term<sup>[1]</sup> that describes a spectrum of deviation from the normal or ideal to very severe anomalies.<sup>[2]</sup> In recent years, many researchers were carried out to measure the severity and prevalence of malocclusion and orthodontic treatment need worldwide. In particular, role of the genetic factors as an etiology has been reduced, considering that many malocclusions develop postnatally as a result of nonnutritive or nutritive sucking habits at early stages of life and traumas.<sup>[3]</sup> Orthodontic treatment is necessary to improve dental health – reduce the risk of tooth decay and gum disease, function, and appearance.

treatment need

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In recent years, orthodontic treatment is gaining popularity, as a consequence of patients' expectations as regard to oral impact on the quality of life and treatment opportunities. Especially, children and adolescents are more sensitive to a variety of impacts, such as appearance, that may affect their current quality of life and psychological development and ultimately result in influencing their social skills and education.

Many occlusal indices have been widely used to achieve a more uniform evaluation of orthodontic treatment

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need. Two recently developed orthodontic indices that are being used to determine orthodontic treatment need, priority, and evaluation of treatment success are index of orthodontic treatment need (IOTN) and peer assessment rating. The development of the IOTN by Brook and Shaw (1989), Shaw *et al.* (1991) has gained wide acceptance in Europe and the rest of the world as a method of objectively assessing treatment need. It is a useful, standardized tool for those interested in research into Dental Public Health and the epidemiology of malocclusion. As orthodontic treatment needs to be justified on either dental health or esthetic needs, the index has two components:

- The dental health component (DHC)
- The aesthetic component(AC).

This index ranks malocclusion in terms of significance of various occlusal traits for the person's dental health and perceived esthetic impairment. It will help in recognizing those pupils who would be most likely to benefit from orthodontic treatment. To achieve a high standard for orthodontic treatment and reduce the waiting times, it is important to determine the patient who is in great need of treatment and give a high priority to these patients.<sup>[4]</sup>

IOTN was used in our study to determine the need of orthodontic treatment in 11–14-year-old schoolchildren in Chamrajpet, Bengaluru, Karnataka, India, as it provides reliable and practical results.

## **MATERIALS AND METHODS**

The study sample includes 500 children (313 males and 187 females) in the age group of 11–14 years randomly selected from five different schools in Chamrajpet, Bengaluru. The individuals had no history of orthodontic treatment. A minimum sample size consisting of 499 individuals was calculated with a margin of error of 5% and a 99% confidence level. A round study sample was set at 500 individuals. An ethical clearance (A1/Y/2017/07) was obtained from the head of the schools for conducting the present study. Oral examination was conducted by single examiner after obtaining the consent from the parents. The survey was carried out over 4 weeks.

An average of approximately 15 min per participant was taken to examine both the DHC and AC components of the IOTN, following the World Health Organization (1997)<sup>[5]</sup> guidelines. Within each category, the different malocclusions are included (overjet, overbite, crossbite, open bite, displacement, etc.,) according to their severity.

The DHC [Table 1] of the IOTN has five categories ranging from 1 (no need for treatment) to 5 (great need). The most severe occlusal trait is identified for

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any particular patient and the patient is then categorized according to this most severe trait. Patients in Grade 1 would include those with minor tooth displacements where there is little need for treatment. Those in Grade 5 would include patients with crossbite, hypodontia, cleft lip, and palate where there is severe need for definitive treatment.

The DHC uses a simple ruler and an acronym – MOCDO (missing teeth, overjets, crossbites, displacement of contact points, overbites) – to guide the observer to the single worst feature of the malocclusion which may be applied clinically.<sup>[2]</sup>

The AC [Figure 1]<sup>[6]</sup> of the IOTN includes a 10-point scale illustrated by a series of photographs representing various range of esthetics, Grade 1 representing most aesthetic and Grade 10 least aesthetic arrangement of the dentition.<sup>[6]</sup> A rating is allocated for overall dental aesthetics rather than specific similarities to the photographs.

- Grade 1-4 little or no treatment required
- Grade 5–7 moderate or borderline treatment required
- Grade 8–10 treatment required.

The evaluation of a plain plaster model or black and white photographs has the advantage that the estimate is not influenced by the oral hygiene, the condition or color of the gingiva.<sup>[6]</sup> When the AC was being recorded, the dental attractiveness of the anterior teeth was graded by an examiner after the individuals had closed their teeth in central occlusion and retracted their lips.



Figure 1: Index of orthodontic treatment need: Aesthetic component

Code	Occlused traits	1	2	2	4	5
	Overiet	I Includes minor contacts	<u>2</u> 3 5-6 mm	3 5-6 mm	4 6-9 mm	Omm and
a	Overjet	Point displacements <1 mm	Competent lips	Incompetent	0-9 11111	above
1	D : /		0.1	lips		
b	Reverse overjet		0-1 mm	1-3.5 mm	3.5 mm + Masticatory	
			No	1.0		
с	Crossbite anterior/posterior		<1 mm	1-2 mm	2  mm + Discrepancy	
			Discrepancy	Discrepancy	$RCP \leftarrow \rightarrow ICP$	
			$RCP \leftarrow \rightarrow ICP$	$\begin{array}{l} \text{RCP} \longleftrightarrow \\ \text{ICP} \end{array}$		
d	Displaced contact points		1-2 mm	2-4 mm	4+ mm=severe	
e	Open bite anterior/posterior		1-2 mm	2-4 mm	4+ mm=severe	
f	Overbite		Up to 3.5 mm	Complete on	Complete with trauma	
			No gingival contact	gingiva or palate		
				No trauma		
g	Pre- or post-normal occlusion	Grade 2 only (if no other and	omalies present and in	clude up to 1/2	2 unit discrepancy)	
h	Hypodontia Grade 5			Grade 4		
		Extensive hypodontia with r implications (>1 tooth missi	estorative ng in any quadrant)	Less extensive hypodontia Requiring prerestorative orthodontics or orthodontic space closure to obviate necessity for prosthesis		
		Requiring prerestorative orth	nodontics			
i	Impeded eruption of teeth	Grade 5 due to crowding, dis	splacement, the preser	nce of supernu	merary teeth, retained de	ciduous
i	Posterior lingual crossbite	Grade 4 with no functional of	occlusal contacts in on	e or both bucc	al segments	
k	Reverse overiet (see b)	Grade 4		Grade 5	ui seginente	
	5 ( )	1-3 mm		3.5 mm+Recorded masticatory or speech		peech
		Recorded masticatory or spe	ech difficulties	difficulties		
р	Cleft lip/palate craniofacial	Grade 5 only	cen unifeuties			
	anomalies	~				
S	Submerged deciduous teeth	Grade 5 only				
t	Partially erupted, tipped, and impacted against adjacent teeth	Grade 4 only				
Х	Presence of supernumerary teeth	Grade 4 only				

RCP=Retruded contact position, ICP=Intercuspal position

The data were analyzed statistically using software SPSS 20 (IBM, Armonk, NY, USA). The significance of the dependency on sex of DHC and AC grades and percentage of population for each grade of DHC and AC was calculated using Chi-square test. The Spearman correlation test used to correlate the DHC and AC grades in the study sample. A significance level of 5% was considered relevant.

## RESULTS

Most prominent point in the study population was that none of the students had undergone orthodontic treatment. The study population consisted of 313 (62.6%) boys and 187 (37.4%) girls.

The DHC results in the schoolchildren were found to be distributed as follows: 60 (12%) students in no need, 263 (52.5%) students in little need, 102 (20.5%) students in moderate or borderline, 58 (11.5%) students in severe need, and 17 (3.5%) students were in the category of very severe need for treatment.

After evaluating AC components, the results showed that 458 (91.6%) students were placed in the category of no need or little need, 10 (2%) students in moderate need, and 81 (6.4%) in great need category.

Correlation of DHC and AC in the study population was evaluated using Chi-square test and Spearmen correlation test. Both DHC and EC of IOTN in all grades were found to be in mild positive correlation (r = 0.153)

Chi-square and Spearman correlation test)								
DHC grade	AC grade (%)		Total	Chi-square test, P	Spearman correlation value, P			
	1	2	3					
1	58 (96.7)	2 (3.3)	0	60 (100)	53.964, <0.001	<i>r</i> =0.153, mild positive correlation,		
						P=0.001, significant		
2	244 (92.8)	3 (1.1)	16 (6.1)	263 (100)				
3	95 (93.1)	0	7 (6.9)	102 (100)				
4	51 (87.9)	1 (1.7)	6 (10.3)	58 (100)				
5	10 (58.8)	4 (23.5)	3 (17.6)	17 (100)				

 Table 2: Correlation of Dental Health Component grade and aesthetic component grade in the study sample (using Chi-square and Spearman correlation test)

DHC=Dental Health Component, AC=Aesthetic component

and statistically significant (P = 0.001) in the study population [Table 2].

When the sex distribution of the DHC and AC components of the IOTN among schoolchildren was considered, the difference between the IOTN values of boys and girls was not statistically significant [Tables 3 and 4].

#### **DISCUSSION**

The physical appearance of an individual may be the single variable feature that has the greatest impact on self-esteem, behavioral patterns, and personal interactions.<sup>[7]</sup> Malocclusion is undoubtedly a public health concern in any country. It is the second most common dental disorder in children and young adults next to dental caries.<sup>[8]</sup> Many occlusal indices have been proposed to categorize the malocclusion according to severity and need of treatment.

A large number of adolescents and young adults should receive orthodontic treatment because of the associated health risks, esthetics, functional, and psychosocial problems with malocclusion, but unfortunately, not many of them have access to such services.<sup>[9,10]</sup> This is probably because of certain reasons, for example, lack of specialist– orthodontists and lack of resources – high cost and lack of materials and equipment. Furthermore, the orthodontic concern is given a low priority in oral health-care system.

IOTN is an orthodontic index is a numerical scale that is derived by scoring specific features of a malocclusion to objectively assess some parameters such as how far a malocclusion varies from an ideal occlusion.<sup>[11]</sup> Taking the prevalence of malocclusion as 71% among primary schoolchildren of Bengaluru,<sup>[12]</sup> assessment of orthodontic treatment need using IOTN, in schoolchildren of Central Bengaluru was carried out.

Other hypothesis of this study was to find the correlation between DHC and AC components of IOTN among higher primary schoolchildren of Central Bengaluru. There exists mild positive correlation because DHC limits in midline discrepancy, soft-tissue abnormalities, and

Table 3: Distribution of Dental Health Componentgrades according to gender								
DHC	Ge	nder	Total	$\chi^2$	Р			
	Male	Female						
Grade 1	41	19	60	2.37	0.668			
Grade 2	165	98	263					
Grade 3	65	37	102					
Grade 4	32	26	58					
Grade 5	10	7	17					

DHC=Dental Health Component

AC does not include Class III and Class II malocclusion photographs.

In our study, the DHC scores were found as 12% individuals in no need, 52.5% individuals in little need, 20.5% individuals in moderate or borderline, 11.5% individuals in severe need, and 3.5% individuals were in the category of very severe need for treatment. Our study reported that more than 50% of individuals in the little need category, whereas Hedayati *et al.*<sup>[13]</sup> (48.1%) and Nakas *et al.*<sup>[14]</sup> (43%) reported that approximately half of the individuals were in the category of little need to treatment. Grade 3 was in accordance with Nguyen *et al.*<sup>[15]</sup> study (21%) and Gudipaneni *et al.*<sup>[16]</sup> (29.6%). Uçüncü and Ertugay<sup>[4]</sup> found that 24% moderate need (Grade 3) and 2.8% very severe need (Grade 5) which is similar to our study.

With regard to the distribution of AC -IOTN, 91.6% of the individuals showed no or little need (Grade 1–4). About 2% and 6.4% of the individuals showed moderate need (Grades 5–7) and definitive need (Grades 8–10), respectively. The values for Grade 1–4 were satisfactorily in line with those values of Uçüncü and Ertugay study<sup>[4]</sup> (90.4%), Nakas *et al.*<sup>[14]</sup> (92%), and Nguyen *et al.*<sup>[15]</sup> (78%). Among this no or little need category [Table 4], 21% Grade 1, 24.5% Grade 2, 41% Grade 3, and 5% Grade 4 were included. Grade 1 and 2 values were in accordance with Uçüncü and Ertugay<sup>[4]</sup> and Hedayati *et al.*,<sup>[13]</sup> whereas Grade 3 values are in accordance with Hedayati *et al.*<sup>[13]</sup> and Grade 4 value is not relating with any of the studies. About 6.4% of study population showed great need for treatment. This

Table 4: Distribution	on of	aesthetic	component	according

to genuer								
Gender		Total	<b>Treatment need</b>	$\chi^2$	Р			
Male	Female		category					
64	41	105	No/little need	5.685	0.771			
77	46	123	458 (91.6%)					
134	71	205	Moderate need					
16	9	25	10 (2%)					
3	2	5	Great need					
1	1	2	32 (6.4%)					
2	1	3						
14	13	27						
2	1	3						
0	2	2						
	Ge           Male           64           77           134           16           3           1           2           14           2           0	Gender           Male         Female           64         41           77         46           134         71           16         9           3         2           1         1           2         1           14         133           2         1           0         2	Gender         Total           Male         Female         Total           64         41         105           77         46         123           134         71         205           16         9         25           3         2         5           1         1         2           2         1         3           14         13         27           2         1         3           0         2         2	Gender         Total         Treatment need category           64         41         105         No/little need           77         46         123         458 (91.6%)           134         71         205         Moderate need           16         9         25         10 (2%)           3         2         5         Great need           1         1         2         32 (6.4%)           2         1         3         14           13         27         3         14           0         2         2         1	Gender         Total         Treatment need category $\chi^2$ Male         Female         Treatment need category $\chi^2$ 64         41         105         No/little need         5.685           77         46         123         458 (91.6%)         5.685           134         71         205         Moderate need         1           16         9         25         10 (2%)         3         2         5         Great need           1         1         2         32 (6.4%)         1			

AC= Aesthetic component

finding was more close to finding of Nguyen *et al.*<sup>[15]</sup> and Uçüncü and Ertugay<sup>[4]</sup> studies.

When the AC grades were evaluated, Grade 3 (41%) and Grade 8 (5.5%) were considered to be highest values among no/little need and great need category, respectively. In both the grades, canines were unesthetic on AC scale. It could be concluded that the ectopic canines which is unesthetic point were the driving factors for the patients to apply for the treatment. This study reported that there is no significant association between DHC and AC of IOTN and gender.

On comparing distribution of rating for IOTN in school population to several studies like Brook and  $Shaw^{[17]}$  and Kumar *et al.*<sup>[11]</sup> studies showed higher prevalence and high percentage of samples required need for orthodontic treatment than this study.

Previous studies showed that there is variation in the occlusion during mixed dentition period and treatment need diminishes with the age.<sup>[18]</sup> Further, research directions based on this study can be done at specific ages and individual perceptions of AC components can be compared with the examiner's AC examinations.

### Conclusions

More than half of the study population was in the category of little need which is undiagnosed most of the times. Both the pedodontists and orthodontists should focus on this category to intercept malocclusion. Although the AC is assessed independently of the DHC, results showed that most of the children with poor dental esthetics were also considered to be in little need of treatment on dental health grounds. In comparison of the need to treatment according to DHC, it was concluded that both boys and girls equally need orthodontic treatment.

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#### **CONFLICTS OF INTEREST**

There are no conflicts of interest.

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