


## RESEARCH ARTICLE

# Monocyte biomarkers define sargramostim treatment outcomes for Parkinson's disease

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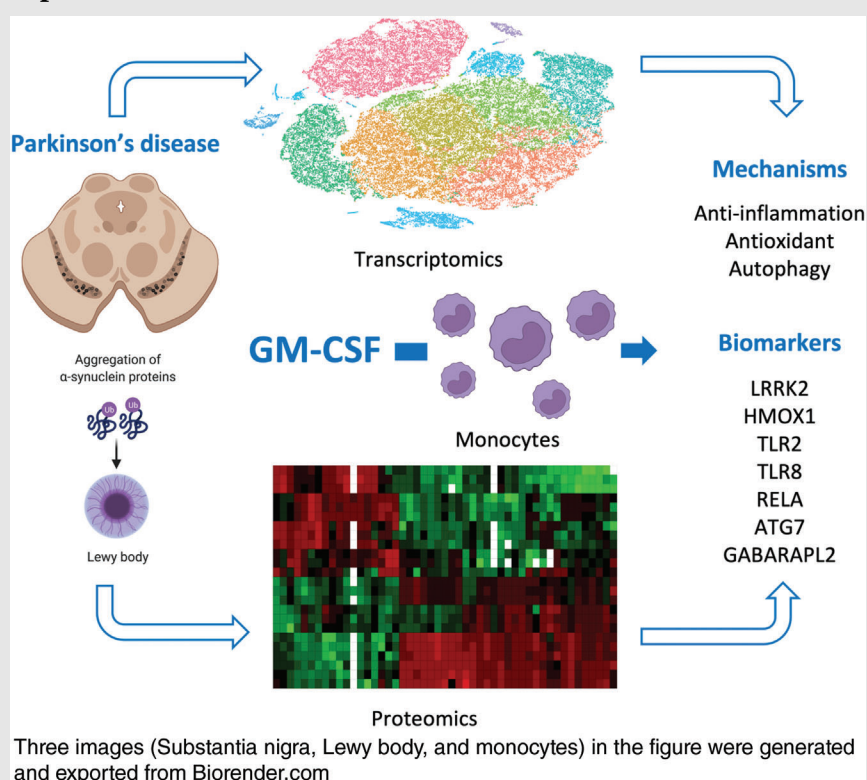
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## Graphical Abstract



Graphical highlights: Clinical and pathobiological diversity of Parkinson's disease (PD) limits therapeutic disease monitoring. Transformation of innate immunity can affect PD progression. Granulocyte-macrophage colony-stimulating factor (GM-CSF, sargramostim, Leukine<sup>®</sup>) transformation of monocyte-macrophage immunity can halt PD motor signs. Transcriptomic and proteomic monocyte biomarkers from sargramostim-treated PD patients may guide effective therapy.

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## Abstract

**Background:** Dysregulation of innate and adaptive immunity heralds both the development and progression of Parkinson's disease (PD). Deficits in innate immunity in PD are defined by impairments in monocyte activation, function, and pro-inflammatory secretory factors. Each influences disease pathobiology.

**Methods and Results:** To define monocyte biomarkers associated with immune transformative therapy for PD, changes in gene and protein expression were evaluated before and during treatment with recombinant human granulocyte-macrophage colony-stimulating factor (GM-CSF, sargramostim, Leukine<sup>®</sup>). Monocytes were recovered after leukapheresis and isolation by centrifugal elutriation, before and 2 and 6 months after initiation of treatment. Transcriptome and proteome biomarkers were scored against clinical motor functions. Pathway enrichments from single cell-RNA sequencing and proteomic analyses from sargramostim-treated PD patients demonstrate a neuroprotective signature, including, but not limited to, antioxidant, anti-inflammatory, and autophagy genes and proteins (LRRK2, HMOX1, TLR2, TLR8, RELA, ATG7, and GABARAPL2).

**Conclusions:** This monocyte profile provides an "early" and unique biomarker strategy to track clinical immune-based interventions, but requiring validation in larger case studies.

## KEYWORDS

Parkinson's disease, GM-CSF, monocytes, scRNA-seq, proteomics, biomarkers

## 1 | BACKGROUND

Parkinson's disease (PD) is a progressive neurodegenerative disorder characterized by the gradual loss of dopaminergic neurons from the substantia nigra pars compacta

(SNpc). The dominant presence of  $\alpha$ -synuclein ( $\alpha$ -syn) aggregates in intracellular inclusions within the central nervous system (CNS) and in peripheral tissues is a principal disease driver, while providing a signature for systemic multi-organ disease.<sup>1,2</sup> Linkages between PD and innate

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(monocyte and microglia)<sup>3</sup> and adaptive (T cell) immunity, and inflammation are well-established.<sup>4</sup> The PD pathogenesis involves impaired protein clearance, mitochondrial dysfunction, and inflammation.<sup>5</sup> All affect dopaminergic neuronal function with secondary effects on noradrenergic, glutamatergic, serotonergic, and adenosine neuronal vitality.<sup>6</sup> Disease is highlighted by release of  $\alpha$ -syn aggregates into systemic circulation and consequent monocyte activation and brain entry,<sup>7</sup> and deficits of neuroimmune homeostasis.<sup>8</sup> Based on these findings, efforts to restore brain homeostasis are attractive targets for PD-modifying therapies.

In PD, regulatory T cells (Treg) are decreased in number and display impaired suppression of T cell proliferation.<sup>9</sup> One PD therapeutic strategy focuses on immune transformation. Notably, balanced transformation of M1 microglia/macrophages/monocytes to M2/M0 phenotypes and effector T cells (Teff) to Treg, and interactions between the two lineages are of putative clinical benefit for PD as well as for Alzheimer's disease (AD), traumatic brain injury (TBI), stroke, and amyotrophic lateral sclerosis (ALS).<sup>10,11</sup> Restoration of Treg numbers and function by immune modulatory agents leads to control of neuroinflammation and neuroprotection.<sup>12</sup> This was demonstrated for granulocyte-macrophage colony-stimulating factor (GM-CSF, sargramostim, Leukine®),<sup>13–16</sup> vasoactive intestinal peptide (VIP),<sup>17</sup> and by *ex vivo* immune transformation.<sup>18</sup> Moreover, pre-clinical and clinical studies of immune modulatory therapies have shown benefits for PD, AD, ALS, and stroke as well as multiple sclerosis (MS) and rheumatoid arthritis (RA),<sup>13–16,19–21</sup> and raises the possibility that neuroprotective therapies can supplement symptomatic disease control.

To evaluate innate responses in immune modulatory therapy in PD, we evaluated the transcriptional and translational changes of monocytes during sargramostim treatment of PD subjects.<sup>14</sup> The studies seek a cogent explanation for linkages between innate immune activation, inflammation, and adaptive Teff to Treg transformation for disease. We posit that uncovering such monocyte-linked neuroprotective mechanisms provides predictive and/or monitoring biomarkers for therapeutic responses and possibly disease progression.<sup>22</sup> With these goals in mind, blood monocytes were isolated from 5 PD patients by leukapheresis and centrifugal elutriation, before and after initiation of sargramostim therapy. The recovered cells were subjected to a battery of transcriptomic and proteomic analyses yielding therapeutic signatures of dysregulated anti-inflammatory, antioxidant, and autophagy genes and proteins. We conclude that the nature of monocyte functions in PD are both associated with disease pathobiology and can be harnessed to affect disease progression and clinical therapeutic responses.

## 2 | METHODS AND MATERIALS

### 2.1 | Study design and population

The study was an unblinded, open-label, single-centre phase 1 clinical trial performed at the University of Nebraska Medical Center (UNMC), Omaha, NE, USA.<sup>14</sup> All patients were recruited from the Omaha metropolitan area and treated for 12 months between January, 2019 and July, 2020. The study was designed to test safety, tolerability, and biomarker discovery of sargramostim regimen of 3  $\mu$ g/kg/day (5 days on and 2 days off).<sup>14</sup> Eligibility criteria were 35 to 85 years of age with PD signs and symptoms that included asymmetric bradykinesia, resting tremor, and/or muscle rigidity persisting for longer than 3 years with less than stage 4 on the Hoehn and Yahr disease scale, indicating an intermediate stage of the disease.<sup>14</sup> Exclusion criteria included inability to undergo leukapheresis, poor venous access, use of a wheelchair, walker, and/or cane, corticobasal degeneration, multiple system atrophy, unilateral Parkinsonism of >3 years, prior head injury, stroke, brain surgery including deep brain stimulation, a family history of >1 blood relative with PD, mental illness, cognitive impairment, autoimmune, systemic inflammatory or hematologic diseases, past treatment with sargramostim, current treatment with neuroleptics or lithium, past immunosuppressive treatments, and known allergies to colony-stimulating factors (CSFs) or yeast-derived products.<sup>14</sup> PD patients underwent three pre-treatment monthly interval appointments to determine baseline immune, hematologic, and metabolic profiles.<sup>14</sup> On the third visit, subjects self-administered sargramostim at 3  $\mu$ g/kg/day (5 days on and 2 days off) subcutaneously for 12 months; returning for clinical assessments every 4 weeks. The primary neurologist performed all Unified Parkinson's Disease Rating Scale (UPDRS) assessments during each appointment.<sup>14</sup> Before treatment initiation and at 2 and 6 months after initiation, subjects underwent leukapheresis. The procedure was completed to enrich peripheral blood mononuclear cells in order to obtain sufficient numbers of monocytes for proteomic analysis and scRNA-seq test analyses.

### 2.2 | Monocyte isolation

Blood samples were collected from the subjects before starting the treatment as well as after 2 and 6 months of treatment. Whole blood was collected into tubes containing ethylenediaminetetraacetic acid (EDTA) and monocytes were isolated by centrifugal elutriation following established protocol in our laboratories (Materials and Methods in the Supplementary information file). Isolated

monocytes were stored in freezing medium [foetal bovine serum (FBS) + 10% dimethyl sulfoxide (DMSO)] and kept in liquid nitrogen until processing for proteomic and transcriptomic analyses. The monocyte samples were processed for different assays within 6 months after storage in liquid nitrogen. After thawing the samples, recovery was 90%–95% of the number of cryopreserved cells ( $10 \times 10^6$  cells/vial) and microscopic examination showed normal cellular morphology. The phenotype of monocytes was confirmed by measures of monocyte cell surface markers CD14 and CD16 by scRNA-seq.

### 2.3 | Quantitative proteomics by label-free quantification

Frozen monocyte samples were thawed on ice, centrifuged to remove the freezing medium, washed with phosphate buffered saline (PBS), then lysed on ice with 250  $\mu$ l of 2% w/v sodium dodecyl sulphate (SDS) (Fisher Scientific, BP166-100) in 100 mM Tris-HCl (Millipore Sigma, 10812846001) and 100 mM dithiothreitol (Millipore Sigma, 10197777001), pH 7.6, supplemented with a protease and phosphatase inhibitor cocktail (Millipore Sigma, PPC1010). Lysate was collected in 1.7 ml microcentrifuge tubes and vortexed briefly to disperse the cells. One microliter of Benzonase Nuclease (Millipore Sigma, E1014) was added to each sample to breakdown DNA, then samples were vortexed on maximum speed for >12 min. Samples were boiled at 95°C for 5 min to denature proteins. Protein concentration was determined using Pierce 660 Protein Assay kit (Thermo Fisher Scientific, 22662) with ionic detergent compatibility reagent (Thermo Fisher Scientific, 22660) following the manufacturer's instructions. Samples were processed as previously described<sup>23</sup> by filter-aided sample preparation ((FASP, Pall Life Sciences, OD010C34)) to digest 50  $\mu$ g per sample. Following overnight digestion, samples were cleaned using the Oasis MCX column (Waters, 186000252) and C18 Zip-Tips (Sigma-Aldrich, ZTC18M960). Cleaned peptides were quantitated using NanoDrop2000 at A205. Following resuspension in 0.1% formic acid (FA), 2  $\mu$ g of sample was used for label-free quantification (LFQ) as previously described.<sup>24</sup> Briefly, 2  $\mu$ g of each sample was loaded onto trap column Acclaim PepMap 100 75  $\mu$ m  $\times$  2 cm C18 LC Columns (Thermo Fisher Scientific) at a flow rate of 4  $\mu$ l min<sup>-1</sup> then separated with a Thermo RSLC Ultimate 3000 (Thermo Fisher Scientific) on a Thermo Easy-Spray PepMap RSLC C18 75  $\mu$ m  $\times$  50 cm C-18 2  $\mu$ m column (Thermo Fisher Scientific) with a step gradient of 4%–25% solvent B (0.1% FA in 80% acetonitrile) from 10–100 min and 25%–45% solvent B for 100–130 min at 300 nl min<sup>-1</sup> and 50°C with a 155 min total run time. Eluted peptides were analysed by a Thermo

Orbitrap Fusion Lumos Tribrid (Thermo Fisher Scientific) mass spectrometer in a data-dependent acquisition mode. A survey full scan MS (from m/z 350–1800) was acquired in the Orbitrap with a resolution of 120 000. The automatic gain control (AGC) target for precursor ion scan (MS1) was set as  $4 \times 10^5$  and ion filling time set at 100 ms. The most intense ions with charge state 2–6 were isolated in 3 s cycles and fragmented using higher energy collisional dissociation fragmentation with 35% normalized collision energy and detected at a mass resolution of 30 000 at 200 m/z. The AGC target for MS/MS was set at  $5 \times 10^4$  and ion filling time set 60 ms dynamic exclusion was set for 30 s with a 10 ppm mass window. Protein identification was performed by searching MS/MS data against the SwissProt *Homo sapiens* protein database downloaded in March 2021 using the in-house PEAKS X + DB search engine. The search was set up for full tryptic peptides with a maximum of two missed cleavage sites. Acetylation of protein N-terminus and oxidized methionine were included as variable modifications and carbamidomethylation of cysteine was set as fixed modification. The precursor mass tolerance threshold was set 10 ppm and maximum fragment mass error was 0.02 Da. The significance threshold of the ion score was calculated based on a false discovery rate (FDR) of  $\leq 1\%$ . Quantitative data analysis was performed using Progenesis QI proteomics 4.2 (Nonlinear Dynamics). Statistical analysis was performed using ANOVA and the Benjamini–Hochberg (BH) method<sup>25</sup> was used to adjust *p* values for multiple-testing FDR.

### 2.4 | Differential proteomic analyses

Proteins identified by mass spectrometry were quantified to identify differentially expressed proteins between each treatment (2- and 6-months post-treatment) and baseline (pre-treatment) for each patient alone as well as between each treatment and control condition for all patients together. ANOVA *P* value (computed from the proteomics core) and absolute fold changes were used to identify differentially expressed proteins in different comparisons. A protein was considered to be differentially expressed if the *P* value was  $\leq 0.05$  and the absolute fold change was  $\geq 2$ . The IPA (Qiagen) was used to identify the pathways and networks affected in 2 and 6 months following the treatment compared to baseline (before starting the treatment). Functional and pathway enrichment analyses of differentially expressed proteins were conducted using Cytoscape in conjunction with the plug-in ClueGO<sup>26</sup> to identify the enriched immune responses, biological processes, molecular functions, cellular components, Kyoto Encyclopedia of Genes and Genomes (KEGG) pathways, and Reactome pathways. The search tool for the retrieval of interacting



genes/proteins (STRING) local network cluster enrichment was conducted using Cytoscape in conjunction with the plug-in STRING Enrichment which provides critical assessments and integration of PPIs. The cut-off used for Venn diagrams and general differential expression analysis summary was:  $P$  value of 0.05 and absolute fold change of 1.5. The IPA and ClueGO analyses were also performed on proteins with the same cut-off  $p$  value of 0.05. For Volcano plots, the cut-off used to add gene names to differentially expressed proteins was: absolute  $\log_2$ -fold change  $>2$  and ANOVA  $P$  value  $\leq 0.05$ .

## 2.5 | Single-cell RNA-sequencing

Cryopreserved monocyte samples (for 3 study participants having IDs 2003, 2004, and 2005) at baseline and 6 months were assessed. Cells were thawed on ice, centrifuged, and washed with PBS, then processed for single-cell RNA-sequencing (scRNA-seq); as previously described,<sup>27</sup> in FACS buffer (2% FBS + 0.1% NaN<sub>3</sub> in PBS). All reagents purchased from Sigma unless otherwise specified. Single cell suspensions were quantified and viability tested using a LUNA-FL™ Dual Fluorescence Cell Counter (Logos Biosystems). Single cells were then isolated from cell suspensions (100–2,000 cells/ $\mu$ l) using a 10x Chromium Controller per manufacturer's suggested protocol (10x Genomics). Following cell capture, the gel beads in emulsion (GEM)/sample solution were recovered and placed into strip tubes. Reverse transcription was performed on a C1000 Touch™ Thermal Cycler (Bio-Rad) per recommended protocol followed by cDNA amplification. Amplified products were solid phase reversible immobilization (SPRI) bead-purified and evaluated by Fragment Analyzer (Agilent). Twenty-five percent of the cDNA volume was subjected to fragmentation and double-sided SPRIselect (Beckman Coulter) was used for PCR purification and clean-up. After adaptor ligation, SPRI clean-up was performed and PCR amplification using sample specific indexes for each sample was completed. PCR products were purified, quantified, and library size distribution determined by Fragment Analyzer. Libraries were sequenced per the manufacturer's suggested parameters on a NextSeq500 sequencer to an average depth of 50,000 reads per cell.

## 2.6 | Droplet digital polymerase chain reaction assay

Frozen monocyte samples were thawed on ice, centrifuged to remove the freezing medium, and washed with PBS, then total RNA was isolated using RNeasy

Mini Kit (Qiagen, 74104), and cDNA was generated utilizing RevertAid First Strand cDNA synthesis kit (Thermo Fisher Scientific, K1622). Copy numbers of genes of interest normalized to those of a housekeeping gene (HPRT1)/ $\mu$ l reaction were determined by droplet digital polymerase chain reaction (ddPCR) (QX200 Droplet Digital PCR System, Bio-Rad) from 10 ng of template cDNA using ddPCR Supermix for Probes (No dUTP) (Bio-Rad, 1863024), ddPCR Copy Number Variation Assays (FAM) (Bio-Rad, 10042958) for leucine rich repeat kinase 2 (LRRK2) (dHsaCNS305132784), heme oxygenase 1 (HMOX1) (dHsaCNS341530792), toll-like receptor 2 (TLR2) (dHsaCNS915093309), TLR8 (dHsaCNS317664208), RELA; nuclear factor NF-kappa-B (NF- $\kappa$ B) p65 (dHsaCNS873554652), autophagy related 7 (ATG7) (dHsaCNS131828358), and gamma-aminobutyric acid receptor-associated protein-like 2 (GABARALPL2) (dHsaCNS699447999), TaqMan Gene Expression Assay (VIC) of HPRT1 (Life Technologies, 4448509), and Bio-Rad ddPCR reagents/consumables according to the manufacturer's instructions. The percentage of copy number variation of different genes of interest at 2 and 6 months after treatment was quantified relative to the baseline expression.

## 2.7 | Western blot analysis

Frozen monocyte samples were thawed on ice, centrifuged to remove the freezing medium, and washed twice with ice-cold PBS, then total protein was extracted using 200  $\mu$ l of 1x radioimmunoprecipitation assay (RIPA) lysis buffer (Millipore Sigma, 20–188), supplemented with protease and phosphatase inhibitor cocktail (Millipore Sigma, PPC1010). Protein concentration was determined utilizing Micro BCA Protein Assay Kit (Thermo Fisher Scientific, 23235) following the manufacturer's instructions. Protein lysates (25  $\mu$ g) were resolved by SDS-Polyacrylamide gel electrophoresis (PAGE) and transferred to Immobilon-P polyvinylidene fluoride (PVDF) membrane (Millipore Sigma, IPVH00010). Membranes were blocked in 5% non-fat milk in Tris-buffered saline with 0.1% Tween 20 detergent (TBST) buffer at room temperature for 1 h, followed by incubation with primary antibodies to LRRK2 (1:2000, Thermo Fisher Scientific, MA511154), HMOX1 (1:1000, Thermo Fisher Scientific, MA1112), TLR2 (1:1000, Proteintech, 66645-1-Ig), TLR8 (1:1000, Origene, TA810175), RELA (1:1000, Thermo Fisher Scientific, 436700), ATG7 (1:1000, Cell Signalling, 8558S), GABARALPL2 (1:1000, Abcam, ab126607), and  $\beta$ -actin (1:3000, Sigma-Aldrich, A3854) at 4°C overnight, followed by 1 h incubation in 2.5% non-fat milk in TBST buffer with horseradish peroxidase-conjugated anti-rabbit (1:2000, R&D Systems,

HAF008) or mouse (1:2000, R&D Systems, HAF018) secondary antibody. Immunoreactive bands were detected using SuperSignal West Pico Chemiluminescent substrate (Thermo Fisher Scientific, 34080), and images were captured using an iBright CL750 Imager (Thermo Fisher Scientific). Immunoblots were quantified using ImageJ software (NIH) relative to  $\beta$ -actin expression. The relative expression of proteins at 2 and 6 months after treatment initiation was quantified relative to baseline levels.

## 2.8 | Statistical analysis

Sample size estimates of five PD patients were determined to provide 80% power and to afford an increased score of 1.63 (32%) in baseline immune response using a two-sided Wilcoxon test assuming normal distribution.<sup>14</sup> Results are presented as the mean  $\pm$  standard deviation (SD). Non-parametric Kruskal–Wallis test with Dunn's multiple test was used to compare the mean ranks of gene/protein expression for all subjects together between different time points. *P* values  $\leq 0.05$  were considered statistically significant. Statistical analysis was performed using GraphPad Prism 9.1.0 software (GraphPad Software, San Diego, CA). All correlation analyses were determined using Pearson product-moment correlation coefficients, best-fit lines were determined using multiple linear regression, *p* values were determined for *r* values greater than 0.25, and multiple *p* values adjusted for FDR at 5%.<sup>28</sup>

## 3 | RESULTS

### 3.1 | Study population and demographics

In this study, six PD patients were enrolled and five met the study entry criteria. Average age of the patients was  $64 \pm 5$  years, and anti-parkinsonian therapies (carbidopa-levodopa) were continued during the study course (Table 1).

### 3.2 | Proteomic and single-cell RNA sequencing monocyte analyses

Before initiating sargramostim treatment, subjects underwent three UPDRS assessments at monthly intervals to establish baseline motor function for disease progression monitoring. After initiating sargramostim treatment, UPDRS was assessed every 4 weeks for all patients. Over the treatment course, sargramostim resulted in an overall

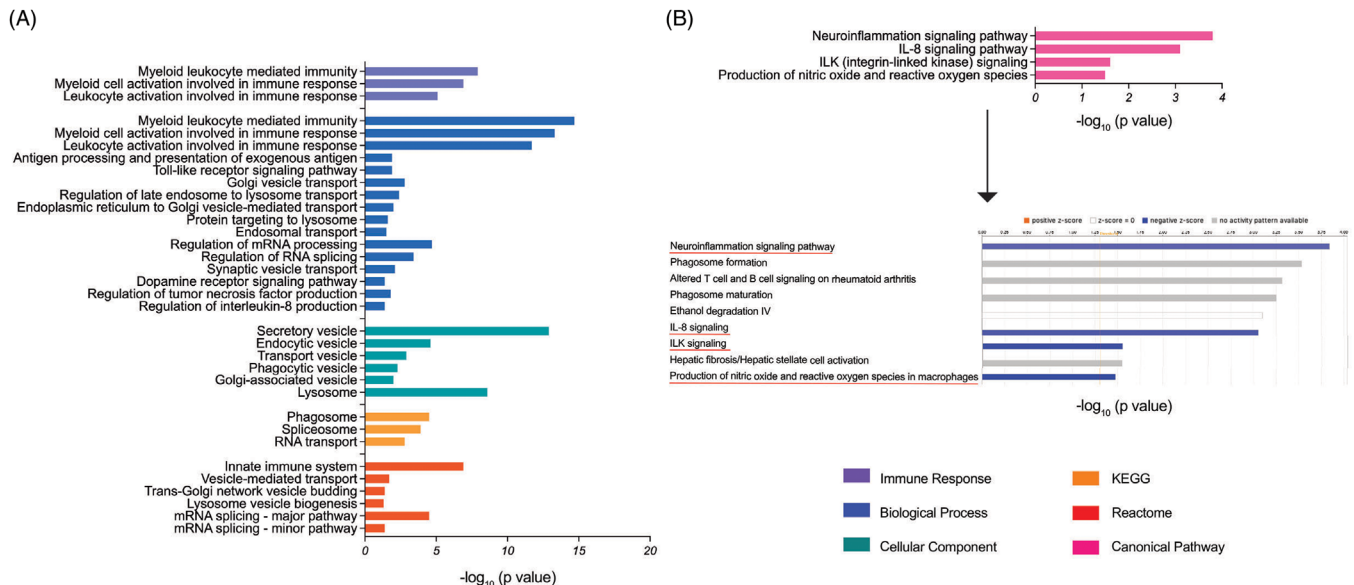
TABLE 1 Study population demographics (modified from<sup>14</sup>)

	Number of patients	Mean $\pm$ SD
Age (years)	5	64 $\pm$ 5
Disease duration since diagnosis	5	8 $\pm$ 5
MDS-UPDRS III score	5	20 $\pm$ 5
Sex (male)	5	–
Carbidopa-Levodopa 25–100 mg <sup>a</sup>	3	–
Carbidopa-Levodopa 50–200 mg	1	–
Carbidopa-Levodopa 23–95 mg	1	–

Values are depicted as mean  $\pm$  SD.

<sup>a</sup>Subject 2001 started anti-parkinsonian therapy on month 8.

decrease in UPDRS part III (UPDRS III) scores compared to baseline for all subjects.<sup>14</sup> Although, CNS innate immune microglia are comprehensively studied in PD animal models and patients,<sup>3,29,30</sup> peripheral monocytes have received less attention due to a past perception of immune privileged CNS. Altered monocyte functions have been reported in the periphery and CSF of PD patients.<sup>3</sup> To elucidate mechanisms of clinical findings observed in PD patients following sargramostim treatment, we analysed monocyte proteome from cells collected at 2- and 6-months following treatment. Comparisons were adjusted from baseline measures using *Homo sapiens* proteome databases (UniProt: proteome ID: UP000005640, Swiss-Prot: reviewed proteins). Three patients (2003, 2004, and 2005) with UPDRS III scores 5 points below baseline<sup>14</sup> were selected and subjected to scRNA-seq and proteomic analyses at 6 months following treatment. Expression of over 2000 proteins were identified by LFQ at both time points after treatment initiation (Additional file 1). Amongst the total identified proteins, 259 and 879 proteins were differentially expressed ( $p \leq 0.05$ ) at 2 and 6 months after treatment initiation, respectively (Additional file 1), and 25 and 262 proteins were differentially expressed after adjusting *p* values for FDRs (Additional file 2). Expression of 15 proteins out of 25 proteins overlapped between 2- and 6-months (Additional file 2). Interestingly, 5/15 of the proteins (tryptase beta-2 [TPSB2], HMOX1, LRRK2, TLR8, and superoxide dismutase 2 [SOD2]) showed significant down-regulation at both time points, suggesting the induction of antioxidant, anti-inflammatory, and autophagy activities by 2 and 6 months after treatment initiation. Volcano plots show differentially expressed proteins at 2 and 6 months after treatment compared to baseline (Figure S1). For scRNA-seq, the expression of 27,875 genes were identified and quantified using a NextSeq500 sequencer (Additional file 3). Amongst total identified genes, 746 were differentially expressed at 6 months of treatment compared to baseline ( $p \leq 0.05$ ).



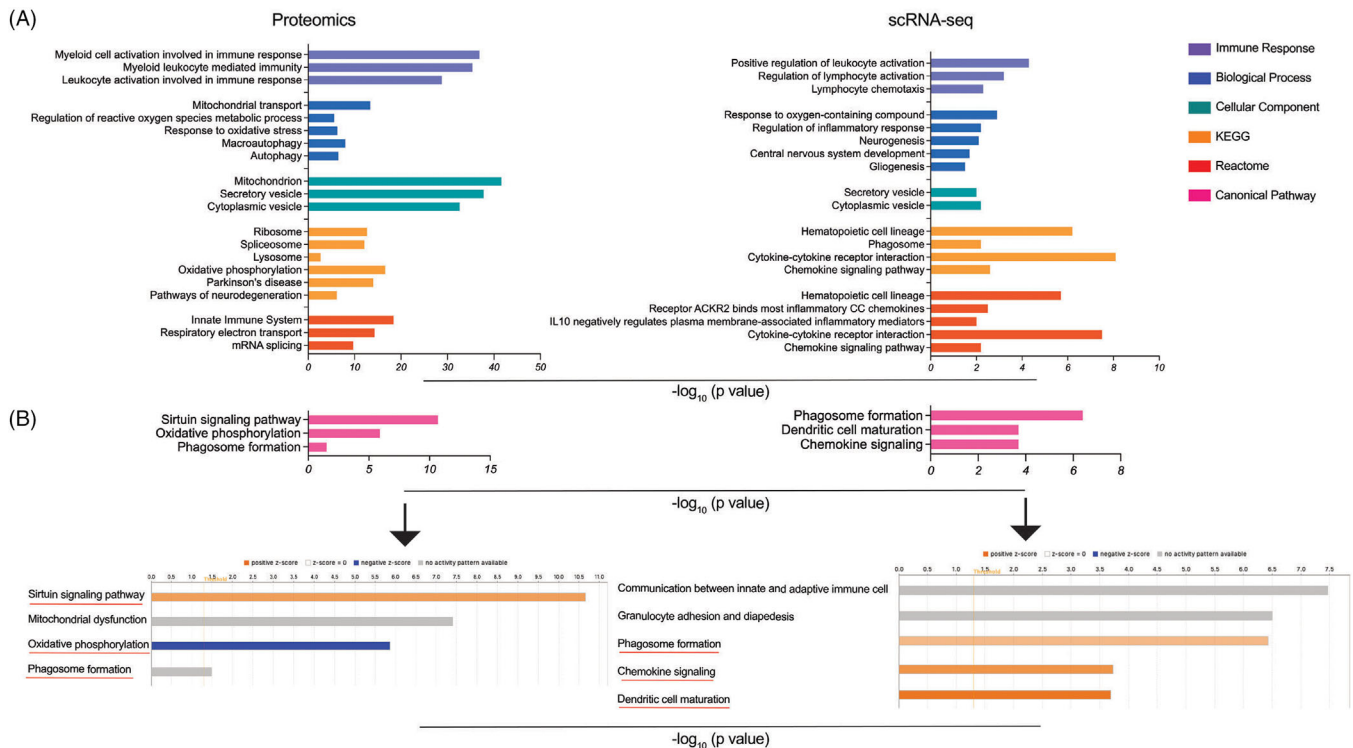
**FIGURE 1** Pathway enrichment of differentially expressed proteins in monocytes of PD patients at 2 months after sargramostim treatment. (A) Gene ontology (GO)-term functional enrichment by five categories (immune response, biological process, cellular component, KEGG, and Reactome) performed using Cytoscape in conjunction with the plug-in ClueGO. (B) Canonical pathway enrichment analysis performed using IPA (Qiagen). Black arrow points to the state of canonical pathways illustrated in Figure 1B; orange colour (activation), blue colour (inhibition), and grey colour (no activity pattern)

### 3.3 | Functional and pathway enrichment of proteomic and scRNA-seq analyses

To understand changes in the monocyte proteomic profile due to sargramostim treatment, we performed functional and pathway enrichment analyses of differentially regulated proteins at 2 and 6 months after initiation of sargramostim. By 2 months, multiple immune processes were found enriched, including “myeloid leukocyte mediated immunity” ( $p = 1.33 \times 10^{-8}$ ), “myeloid cell activation involved in immune response” ( $p = 1.30 \times 10^{-7}$ ), and “leukocyte activation involved in immune response” ( $p = 7.25 \times 10^{-6}$ ) (Figure 1A and Additional file 4). Similarly, KEGG and Reactome analyses showed “phagosome” ( $p = 2.74 \times 10^{-4}$ ) and “innate immune system” ( $p = 1.29 \times 10^{-7}$ ) enrichments, respectively. Additionally, pathways involved in regulation of interleukin-8 (IL-8) ( $p = 0.0364$ ) and tumour necrosis factor (TNF) production ( $p = 0.0173$ ) were enriched. These data highlight the control of inflammation by sargramostim treatment. Interestingly, Ingenuity Pathway Analysis (IPA) showed inhibition of neuroinflammation signalling ( $p = 1.4 \times 10^{-4}$ ), IL-8 ( $p = 8.8 \times 10^{-4}$ ), integrin-linked kinase (ILK) ( $p = 0.028$ ), nitric oxide and reactive oxygen species (ROS) ( $p = 0.0335$ ) pathways (Figure 1B and Additional file 5). Additionally, sargramostim affected transport mechanisms associated with endosomes ( $p = 0.0314$ ), Golgi vesicles ( $p = 0.0016$ ), endoplasmic reticulum to Golgi vesicle transfer

( $p = 0.0102$ ), protein targeting to lysosome ( $p = 0.0278$ ), and late endosome to lysosome maturation ( $p = 0.0039$ ) (Figure 1A and Additional file 4). Accordingly, cellular component and Reactome analyses showed enrichment of secretory vesicles ( $p = 1.14 \times 10^{-13}$ ) endocytic vesicles ( $p = 2.37 \times 10^{-5}$ ), transport vesicles ( $p = 0.0012$ ), phagocytic vesicles ( $p = 0.0052$ ), Golgi-associated vesicles ( $p = 0.0092$ ), lysosomes ( $p = 2.59 \times 10^{-9}$ ), and trans-Golgi network vesicle budding ( $p = 0.0419$ ) (Figure 1A and Additional file 4). Sargramostim treatment induced changes in biological processes related to the RNA processing such as regulation of mRNA processing ( $p = 2.16 \times 10^{-5}$ ) and regulation of RNA splicing ( $p = 3.96 \times 10^{-4}$ ). Similarly, KEGG and Reactome tests showed pathways affecting RNA processing (including RNA transport,  $p = 0.0370$ ), mRNA splicing (major and minor pathways,  $p = 3.5 \times 10^{-5}$  and  $p = 0.0431$ , respectively), and spliceosome ( $p = 0.0012$ ). These results indicate that homeostatic monocyte control ensued as early as 2 months after initiation of sargramostim treatment.

Both proteomic and scRNA-seq analyses at 6 months of treatment displayed enrichment of immune processes, including monocyte-macrophage mediated immunity ( $p = 6.03 \times 10^{-34}$ ), activation ( $p = 2.29 \times 10^{-35}$ ), and innate immune responses ( $p = 2.91 \times 10^{-27}$ ). Moreover, regulation of leukocyte activation ( $p = 0.0050$ ), lymphocyte chemotaxis ( $p = 0.0050$ ), and regulation of lymphocyte activation ( $p = 6.54 \times 10^{-4}$ ) were shown (Figure 2A and Additional files 6 and 7). Similarly, proteomic Reactome analysis



**FIGURE 2** Pathway enrichment of differentially expressed proteins/genes in monocytes at 6 months after sargramostim treatment. (A) GO-term functional enrichment by five categories (immune response, biological process, cellular component, KEGG, and Reactome) was performed using Cytoscape in conjunction with the plug-in ClueGO. (B) Canonical pathway enrichment analysis was performed using IPA (Qiagen). Black arrows point to the state of canonical pathways illustrated in Figure (B); orange colour (activation), blue colour (inhibition), and grey colour (no activity pattern)

showed enrichment of innate immunity ( $p = 3.66 \times 10^{-19}$ ) and phagosome formation ( $p = 0.0328$ ) (Figure 2A,B and Additional files 6 and 8). IPA of 6 month-scRNA-seq data sets showed chemokine signalling ( $p = 1.84 \times 10^{-4}$ ), dendritic cell maturation ( $p = 2.03 \times 10^{-4}$ ), and phagosome formation ( $p = 3.73 \times 10^{-7}$ ) enrichments (Figure 2A,B and Additional file 9). These data together indicate that sargramostim activated several different immune processes by 6 months of treatment initiation. In addition, there was an enrichment of regulation of ROS metabolism ( $p = 0.0032$ ), oxidative stress ( $p = 7.07 \times 10^{-4}$ ), and oxygen containing compounds ( $p = 0.0012$ ) (Figure 2A and Additional files 6 and 7). These data suggest antioxidant effects following sargramostim treatment. Interestingly, IPA of 6-month proteomic data showed inhibition of oxidative phosphorylation ( $p = 1.36 \times 10^{-6}$ ) (Figure 2B and Additional file 8), suggesting that sargramostim treatment controls ROS production. Moreover, 6-month proteomic data depicted an enrichment of mitochondria function ( $p = 8.26 \times 10^{-40}$ ) as the primary organelle responsible for respiratory electron transport pathway enriched in Reactome analysis ( $p = 5.29 \times 10^{-15}$ ) (Figure 2A and Additional file 6). Additionally, cellular component analysis of both proteomic and scRNA-seq data resulted in enrichment of secretory ( $p = 1.59 \times$

$10^{-38}$ ) and cytoplasmic ( $p = 0.0066$ ) vesicles (Figure 2A and Additional files 6 and 7). These data imply an accelerated bidirectional movement of materials across the cell membrane with sargramostim treatment. Importantly, 6-month proteomics demonstrated enriched autophagy processes ( $p = 4.63 \times 10^{-4}$ ) and macroautophagy ( $p = 1.51 \times 10^{-5}$ ) (biological process analysis) as well as activation of sirtuin signalling pathway (IPA,  $p = 2.19 \times 10^{-11}$ ) (Figure 2A,B and Additional files 6 and 8), which can regulate autophagy<sup>31</sup> and serve as an important mechanism for removal of misfolded  $\alpha$ -syn. Notably, 6-month scRNA-seq data showed enrichment of pathways linked to regulation of inflammatory responses ( $p = 0.0059$ ), “IL-10 mediated negative regulation of plasma membrane-associated inflammatory mediators” ( $p = 0.0097$ ),<sup>32,33</sup> and “receptor ACKR2 binds most inflammatory CC chemokines” ( $p = 0.0032$ )<sup>34</sup> (Figure 2A and Additional file 7). These data highlight the anti-inflammatory responses invoked by 6-month sargramostim treatment. Overall, the results indicate antioxidant and anti-inflammatory responses as well as autophagy after sargramostim initiation. The protein-protein interactions (PPIs) related to five categories (biological processes, cellular components, molecular functions, KEGG pathways, and Reactome pathways) were also



identified using STRING analysis of their interaction networks for proteomic data at 2 and 6 months of treatment (Additional files 10 and 11). These data demonstrate a complex immunomodulatory, antioxidant, anti-inflammatory, and autophagy network interactions.

### 3.4 | scRNA-seq data sets demonstrate an anti-inflammatory monocyte phenotype induced by sargramostim treatment

For deeper examination of cell heterogeneity, scRNA-seq data was clustered as cell groupings by measuring gene transcript similarities and differences.<sup>35</sup> Interestingly, monocyte gene clustering showed a remarkable alteration of transcriptome signatures for patients 2004 and 2005 at 6 months compared to baseline (Figure S2). In addition, scRNA-seq clustering was examined to assess putative monocyte phenotypes by measuring gene expression of *CD14*, *CD16*, *CD93*, *CD163*, and *CD209*, all associated with monocyte surface markers, at baseline and 6 months after sargramostim was started. *CD14*, was expressed in 82% and 70% of cells at baseline and 6 months, respectively, while no differences in *CD16* expression were detected. This suggests that most of the monocytes assessed by scRNA-seq at both time points exhibited a classical monocyte phenotype ( $CD14^+CD16^-$ ). In addition, *CD93* and *CD163* genes encoding anti-inflammatory surface markers,<sup>36</sup> were expressed by 66% and 44%, respectively, at 6 months compared to 43% and 31%, respectively, at baseline. Notably, expression of *CD209* encoding for inflammatory monocyte surface marker,<sup>37</sup> was not changed compared to baseline. Together, these observations demonstrate an anti-inflammatory transcriptomic phenotype by monocytes of sargramostim-treated PD patients.

### 3.5 | Sargramostim induces monocyte antioxidant, anti-inflammatory, and autophagy-related activities

Bioinformatic analyses identified proteins with antioxidant, anti-inflammatory, and autophagy functions that were differentially expressed by 2 and 6 months of sargramostim treatment (Table 2). Antioxidant and anti-inflammatory proteins included HMOX1, TLR2, TLR8, and RELA all were downregulated at 2 months of treatment. Autophagy-inducing proteins included ATG3, ATG7, and GABARAPL2; all were upregulated at 6 months of sargramostim treatment. Downregulation of HMOX1 by 2 months ( $-2.5$ -fold,  $p = 3.47 \times 10^{-8}$ ) and 6 months ( $-3.1$ -fold,  $p = 9.58 \times 10^{-12}$ ) of treatment supports an antioxidant effect of sargramostim on therapeutic outcomes for PD. In

addition, downregulation of TLR2 and TLR8 by 2 months ( $-1.77$ -fold,  $p = 0.0348$ ; and  $-1.99$ -fold,  $p = 3.09 \times 10^{-6}$ , respectively) and 6 months ( $-1.66$ -fold,  $p = 1.06 \times 10^{-6}$ ; and  $-2.598$ -fold,  $p = 1.66 \times 10^{-6}$ , respectively) of treatment supports an anti-inflammatory neuroprotective signature for sargramostim therapy in PD. Moreover, our data showed significant downregulation of RELA and IKBKG by 2 months ( $-2.74$ -fold,  $p = 0.0147$ ; and  $-1.89$ -fold,  $p = 0.0138$ , respectively) of treatment, thus provided further support for an anti-inflammatory mechanism of sargramostim.

A defective chaperone-mediated autophagy (CMA) pathway underlies PD-associated neurotoxicity by compromising  $\alpha$ -syn degradation. Thus, significant downregulation of LRRK2 after 2 months ( $-2.10$ -fold,  $p = 8.54 \times 10^{-6}$ ) and 6 months ( $-1.71$ -fold,  $p = 9.38 \times 10^{-4}$ ) of treatment suggests an autophagy-inducing mechanism where sargramostim provides protective outcomes in PD. Moreover, several autophagy-related proteins such as ATG3, ATG7, and GABARAPL2 regulate different autophagy signalling pathways.<sup>38–40</sup> Therefore, the significant upregulation of ATG3, ATG7, and GABARAPL2 (1.55-fold,  $p = 0.0279$ ; 1.68-fold,  $p = 0.0428$ ; and 3.24-fold,  $p = 0.0096$ , respectively) after 6 months of treatment indicates increased autophagy-inducing functions by sargramostim providing a protective outcome in PD patients. Based on these observations, we selected LRRK2, HMOX1, TLR2, TLR8, RELA, ATG7, and GABARAPL2 for measurement by ddPCR and Western blot analyses to assess the feasibility of using these genes/proteins as reliable biomarkers for detecting patient responses to sargramostim treatment with beneficial outcomes.

### 3.6 | The ddPCR and protein analyses of potential PD biomarkers

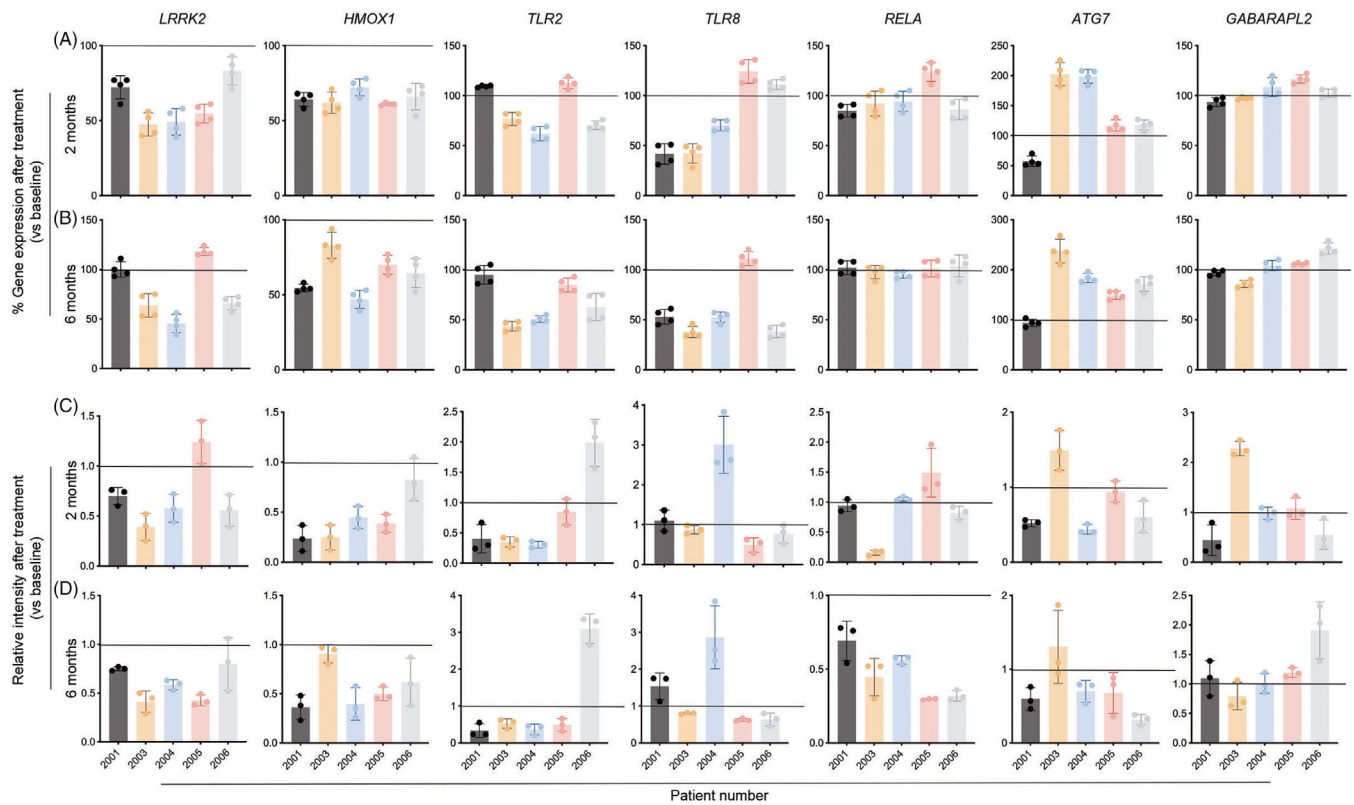
Western blot and ddPCR analyses affirm the expression of putative proteins and genes, respectively. These are shown in Figure S3. The variability in therapeutic responses is acknowledged between individual subjects. In attempts to address this and the sample size limitation we compared gene expression of a group of biomarkers during study and adjusted to baseline measurements. The analyses included *LRRK2*, *HMOX1*, *TLR2*, *TLR8*, *RELA*, *ATG7*, and *GABARAPL2* examined for baseline measures and at 2 and 6 months after initiating sargramostim. At 2 months after initiation of therapy, gene expression was decreased for *LRRK2* and *HMOX1* in all subjects and decreased *TLR2* and *TLR8* was detected in 3 subjects (Figure 3A). Four of five subjects demonstrated decreased *RELA* gene expression, but was limited to 15% of baseline. For *ATG7* gene 4/5 of subjects showed upregulation but at or below 17% of baseline measures in two

TABLE 2 Changes in antioxidant, anti-inflammatory, and autophagy proteins after sargramostim treatment

Months after treatment initiation	Canonical pathway	Direction	p value	Differentially expressed proteins (Fold change, p value)
2 months	Neuroinflammation signalling pathway	Inhibition	1.43E-04	HMOX1 (−2.49, 3.47E-08) TLR2 (−1.77, 3.48E-02) TLR8 (−1.99, 3.09E-06) IKBKG (−1.89, 1.38E-02)
	IL-8 signalling pathway	Inhibition	8.8E-04	IKBKG (−1.89, 1.38E-02) HMOX1 (−2.49, 3.47E-08)
	Production of nitric oxide and reactive oxygen species	Inhibition	3.35E-02	IKBKG (−1.89, 1.38E-02) TLR2 (−1.77, 3.48E-02)
	Integrin-Linked Kinase (ILK) signalling pathway	Inhibition	2.8E-02	RELA (−2.74, 1.47E-02)
6 months	Sirtuin signalling pathway	Activation	2.19E-11	ATG3 (1.55, 2.79E-02) ATG7 (1.68, 4.28E-02) GABARAPL2 (3.24, 9.57E-03)
	Oxidative phosphorylation	Inhibition	1.36E-06	ATP5F1D (−1.856, 1.06E-05) ATP5PB (−1.638, 1.96E-06) ATP5PF (−1.719, 6.24E-06) ATP5PO (−1.573, 1.44E-04) COX5B (−1.736, 7.79E-09) COX7C (−1.839, 8.2E-07) NDUFA2 (−1.902, 2.52E-05) NDUFB1 (−1.561, 3.06E-02) NDUFB4 (−1.994, 1.13E-03) NDUFS2 (−1.87, 1.34E-04) NDUFS3 (−1.835, 3.03E-07) NDUFS6 (−29.314, 1.66E-03) NDUFS7 (−1.535, 8.02E-06) SDHA (−1.563, 1.71E-08)

subjects (2005 and 2006). Similarly, for *GABARAPL2* gene 3/5 subjects showed upregulation but at or below 17% of baseline measurements. At 6 months, gene expression was decreased for *HMOX1* and *TLR2* in all patients, and for *LRRK2* and *TLR8* by 3/5 and 4/5 of patients, respectively (Figure 3B). *RELA* was downregulated by 2/5, but did not exceed 5% of baseline. Similar to 2-month ddPCR results, *ATG7* and *GABARAPL2* were found to be upregulated in 4/5 and 3/5 of tested subjects, but failed to exceed 6% of baseline for *GABARAPL2*. Similarly, we compared protein expression of *LRRK2*, *HMOX1*, *TLR2*, *TLR8*, *RELA*, *ATG7*, and *GABARAPL2* at baseline, and at 2 and 6 months of sargramostim treatment. At 2 months, 4/5 of patients exhibited decreased *LRRK2* and *HMOX1*, while *TLR2* was diminished in 3/5 of subjects (Figure 3C). In addition, 3/5 of subjects showed decreased protein expression of *TLR8* and *RELA*. Additionally, one subject displayed increased expression of *ATG7* and *GABARAPL2* proteins. At 6 months, 4/5 of subjects showed *TLR2* downregulation (Figure 3D). Notably, *LRRK2*, *HMOX1*, *TLR8* and *RELA* were reduced in most subjects. One subject displayed increased expression of *ATG7*, while *GABARAPL2* was upregulated in 3/5 of subjects.

In addition, for all subjects we compared relationships between gene and protein expression of *LRRK2*, *HMOX1*, *TLR2*, *TLR8*, *RELA*, *ATG7*, and *GABARAPL2* (Table 3 and Figure S4A,B). There was a significant difference in gene expression of *LRRK2*, *HMOX1*, and *TLR2* ( $p = 0.0213$ ,  $0.0021$ , and  $0.0452$ , respectively). The gene expression of *LRRK2* and *HMOX1* decreased significantly at 2 months of treatment ( $p = .0286$  for both genes). In addition, at 6 months the expression of *HMOX1* decreased significantly ( $p = 0.0149$ ), while the downregulation of *TLR2* gene at the level of significance ( $p = 0.0525$ ). At the protein level, there was significant difference in gene expression of *LRRK2*, *HMOX1*, and *RELA* at different time points ( $p = 0.0345$ ,  $0.0007$ , and  $0.0257$ , respectively). The protein levels of *HMOX1* and *RELA* significantly decreased ( $p = 0.0059$  and  $0.0352$ , respectively) after 2 and 6 months of treatment, respectively. While at 6 months of treatment, the protein levels of *LRRK2* and *HMOX1* were decreased but not significantly ( $p = 0.0636$  for both proteins). Together, these results support the bioinformatic analyses that demonstrated antioxidant, anti-inflammatory, and autophagy treatment effects in PD monocytes.



**FIGURE 3** Gene and protein expression of potential biomarkers in monocytes at 2 and 6 months after sargramostim treatment. The ddPCR assay was performed to determine the gene expression of *LRRK2*, *HMOX1*, *TLR2*, *TLR8*, *RELA*, *ATG7*, and *GABARAPL2* at 2 (A) and 6 (B) months after starting the sargramostim treatment compared to baseline. Gene expression was normalized to *HPRT1*, and the ddPCR assay was performed four times ( $n = 4$  technical replicates). Western blot analysis was performed to determine the protein expression of  $\beta$ -actin, *LRRK2*, *HMOX1*, *TLR2*, *TLR8*, *RELA*, *ATG7*, and *GABARAPL2* at 2 (C) and 6 (D) months after starting the sargramostim treatment compared to baseline. Protein expression was normalized to  $\beta$ -actin and densitometric quantification is shown. Western blot analysis was done thrice ( $n = 3$  technical replicates). Data represent mean  $\pm$  SD. Horizontal line in each image represents baseline expression; values above the line indicate upregulation, while values below the line indicate downregulation

**TABLE 3** Differences in the mean values of gene/protein expression during sargramostim treatment

	ddPCR						
	<i>LRRK2</i>	<i>HMOX1</i>	<i>TLR2</i>	<i>TLR8</i>	<i>RELA</i>	<i>ATG7</i>	<i>GABARAPL2</i>
<b>Kruskal-Wallis test (<math>p</math> value)</b>	0.0213	0.0021	0.0452	0.3009	.1644	.1655	.8528
	<b>Dunn's multiple comparison test (adjusted <math>p</math> value)</b>						
2M versus baseline	.0286	0.0286	>.9999	>.9999	0.4495	.4495	>.9999
6M versus baseline	.2519	.0149	.0525	0.3395	>.9999	0.2155	>0.9999
6M versus 2M	>.9999	>.9999	.3915	.9402	0.2155	>0.9999	>.9999
	Western blot						
	<i>LRRK2</i>	<i>HMOX1</i>	<i>TLR2</i>	<i>TLR8</i>	<i>RELA</i>	<i>ATG7</i>	<i>GABARAPL2</i>
<b>Kruskal-Wallis test (<math>p</math> value)</b>	0.0345	.0007	0.1796	0.8374	.0257	.1853	0.3515
	<b>Dunn's multiple comparison test (adjusted <math>p</math> value)</b>						
2M versus baseline	0.1313	0.0059	0.2519	>.9999	>0.9999	.3146	>.9999
6M versus baseline	0.0636	0.0636	0.3915	>0.9999	.0352	0.3146	0.6627
6M versus 2M	>0.9999	>0.9999	>0.9999	>0.9999	.2155	>0.9999	0.5138

**TABLE 4** Correlation of UPDRS III scores and ddPCR relative expression

		UPDRS, Total	UPDRS, Change	<i>LRRK2</i>	<i>HMOX1</i>	<i>TLR2</i>	<i>TLR8</i>	<i>RELA</i>	<i>ATG7</i>	<i>GABARAPL2</i>
UPDRS, Total	<i>r</i>	1.0000	0.6867	0.3299	.1598	.3665	−0.0089	−0.1380	−0.5960	−0.0115
	<i>p</i>		1.4E-09	0.0100	0.2226	0.0040	0.9462	0.2931	5.1E-07	0.9305
UPDRS, Change	<i>r</i>	0.6867	1.0000	0.2469	0.3193	0.4388	0.0385	−0.1464	−0.5662	−0.0360
	<i>p</i>	1.4E-09		0.0572	0.0129	.0005	0.7702	0.2643	2.4E-06	0.7847
<i>LRRK2</i>	<i>r</i>	0.3299	.2469	1.0000	0.4972	0.2741	0.4069	−0.0481	−0.5733	−.1201
	<i>p</i>	0.0100	0.0572		0.0001	0.0341	0.0013	0.7152	1.7E-06	0.3608
<i>HMOX1</i>	<i>r</i>	0.1598	0.3193	0.4972	1.0000	0.2171	0.2956	0.0207	−0.2649	−0.1893
	<i>p</i>	0.2226	0.0129	0.0001		0.0956	0.0218	0.8750	0.0408	0.1475
<i>TLR2</i>	<i>r</i>	0.3665	.4388	0.2741	0.2171	1.0000	0.4184	0.1560	−0.6452	−0.0876
	<i>p</i>	0.0040	0.0005	0.0341	0.0956		0.0009	0.2340	2.6E-08	0.5057
<i>TLR8</i>	<i>r</i>	−0.0089	0.0385	0.4069	0.2956	0.4184	1.0000	0.1341	−0.3704	0.1620
	<i>p</i>	0.9462	0.7702	0.0013	0.0218	0.0009		0.3069	0.0036	0.2162
<i>RELA</i>	<i>r</i>	−0.1380	−0.1464	−0.0481	0.0207	0.1560	0.1341	1.0000	0.0132	0.2511
	<i>p</i>	0.2931	0.2643	0.7152	0.8750	0.2340	0.3069		0.9204	0.0530
<i>ATG7</i>	<i>r</i>	−0.5960	−0.5662	−0.5733	−0.2649	−0.6452	−0.3704	0.0132	1.0000	0.0417
	<i>p</i>	5.1E-07	2.4E-06	1.7E-06	0.0408	2.6E-08	0.0036	0.9204		0.7519
<i>GABARAPL2</i>	<i>r</i>	−0.0115	−0.0360	−0.1201	−0.1893	−0.0876	0.1620	0.2511	0.0417	1.0000
	<i>p</i>	0.9305	0.7847	0.3608	0.1475	0.5057	0.2162	0.0530	0.7519	

*r*, Pearson product moment correlation coefficient; *p*, *p* value.

### 3.7 | Integrated scRNA-seq and proteomic analysis during sargramostim treatment

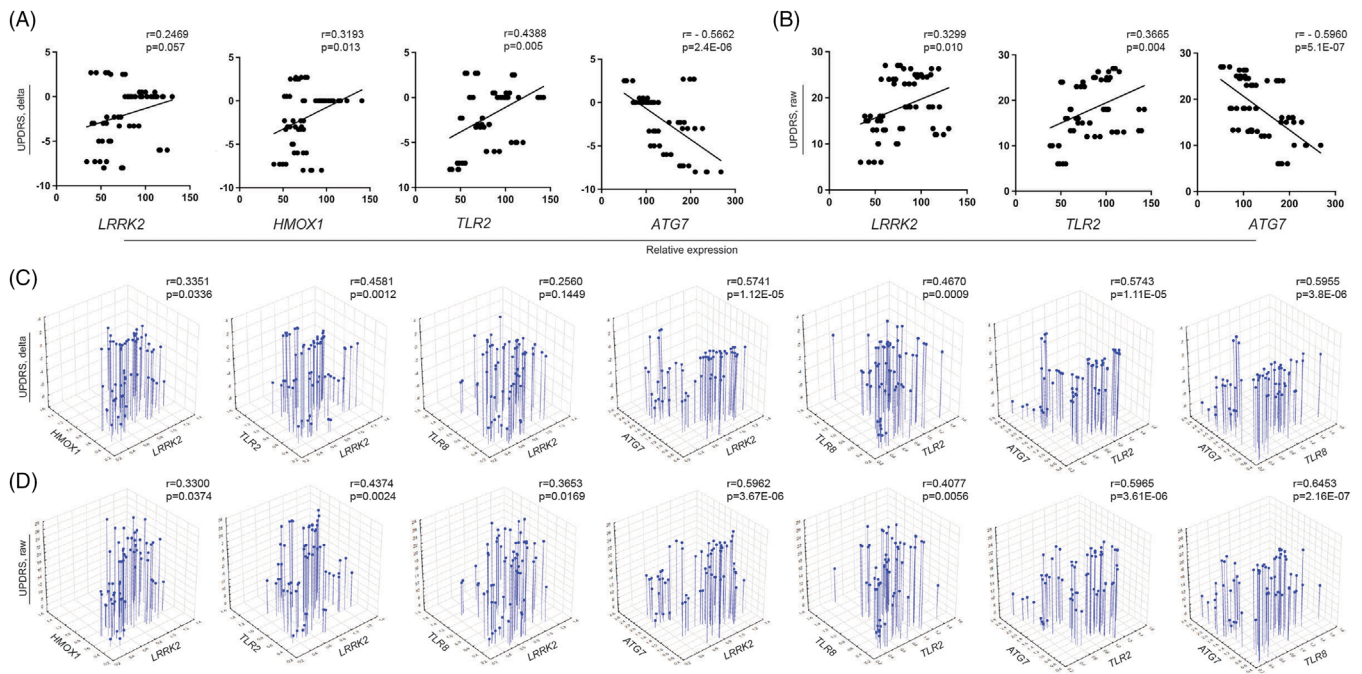
The overlapping expression of genes and proteins as detected by scRNA-seq and proteomic analyses for subjects 2003, 2004, and 2005 (Additional files 3 and 12, respectively) were identified and illustrated in Additional file 13. Notably, most of the proteins identified in the proteomic analysis (2,507 out of 2,560 genes) have been identified in scRNA-seq analysis as well. Venn diagram depicts the number of genes identified in each data set and the number of overlapped genes in both data sets (Figure S5A). In addition, the unique genes in each data set were identified and illustrated in Additional file 14. Pearson product-moment correlation coefficient between scRNA-seq and proteomic overlapping genes has been calculated ( $r = 0.3582$ ,  $p = 8.9627 \times 10^{-77}$ ), which showed significant moderate positive association between mRNA and protein expressions (Figure S5B). This indicates that expression of multiple genes was altered in the same direction, either down- or up-regulated.

### 3.8 | Western blot and ddPCR UPDRS III score correlations

Our study significantly adds to the knowledge of gene-protein therapeutic response signatures of monocytes from

PD patients during treatment with sargramostim. Correlating the expression of potential gene or protein biomarkers with UPDRS III scores would benefit in identifying the capacity to assess disease progression and predict putative therapeutic response for immunomodulatory drugs such as sargramostim. Therefore, we performed correlation analysis of UPDRS III scores or its change from baseline with gene expression of selected biomarkers (Table 4), including *LRRK2* ( $r = 0.2469$ ,  $p = 0.057$ ), *HMOX1* ( $r = 0.3193$ ,  $p = 0.013$ ) and *TLR2* ( $r = 0.4388$ ,  $p = 0.005$ ) (Figure 4A). Each demonstrated relationships between decreased gene expression and improved motor function. An indirect correlation was shown between the change in UPDRS III scores and *ATG7* expression ( $r = -0.5662$ ,  $p = 2.4 \times 10^{-6}$ ) (Figure 4A). Similarly, total UPDRS III scores were directly correlated with expression of *LRRK2* ( $r = 0.3299$ ,  $p = 0.01$ ) and *TLR2* ( $r = 0.3665$ ,  $p = 0.004$ ), while indirectly correlated with *ATG7* ( $r = -0.5960$ ,  $p = 5.1 \times 10^{-7}$ ) (Figure 4B). We next assessed the predictive potential for those correlated pairs and changes in UPDRS III scores from baseline and total UPDRS III scores by multiple regression analysis (Tables 5 and 6, respectively). *LRRK2* and *HMOX1* showed significant effect on UPDRS III score change ( $r = 0.3539$ ,  $p = 0.0336$ ) (Figure 4C) with *HMOX1* having a stronger, though not significant effect ( $\beta = 0.2611$ ,  $p = 0.0747$ ), whereby change in scores would increase by 4.1 points per fold increase in ddPCR *HMOX1* expression (Table 5). The effect of *LRRK2* and *TLR2* was significant ( $r = 0.4581$ ,  $p = 0.0012$ ) (Figure 4C), however *TLR2*





**FIGURE 4** Prediction of UPDRS III score by gene expression of potential biomarkers. (A) Correlation between genetic expression of *LRRK2*, *HMOX1*, *TLR2*, and *ATG7* and change in UPDRS III score. (B) Correlation between genetic expression of *LRRK2*, *TLR2*, and *ATG7* and raw UPDRS III score. (C) Multiple linear regression analysis of effect of genetic expression of *LRRK2*, *HMOX1*, *TLR2*, *TLR8*, and *ATG7* on change in UPDRS III score. (D) Multiple linear regression analysis of effect of genetic expression of *LRRK2*, *HMOX1*, *TLR2*, *TLR8*, and *ATG7* on raw UPDRS III score. (A,B)  $r$  = Pearson product-moment correlation coefficient. (C,D)  $r$  = regression coefficient.  $p \leq 0.05$  was considered significant

provided a greater effect ( $\beta = 0.4013$ ,  $p = 0.0018$ ) predicting an increase of 5 points in change from baseline scores per unit increase in *TLR2* expression (Table 5). *LRRK2* and *ATG7* showed strong potential to affect changes in UPDRS III score ( $r = 0.5741$ ,  $p = 1.12 \times 10^{-5}$ ) (Figure 4C) while *ATG7* provided a greater effect ( $\beta = -0.6326$ ,  $p = 1.3 \times 10^{-6}$ ) and would predict a lower UPDRS score from baseline score by 4 points per fold increase in expression of *ATG7* (Table 4). The combined effect of *TLR2* and *TLR8* was significant ( $r = 0.4671$ ,  $p = 0.0009$ ) (Figure 4C) with *TLR2* showing a greater effect ( $\beta = 0.5124$ ,  $p = 0.0002$ ) and increasing the change in baseline scores by 6.4 points per unit increase in *TLR2* gene expression (Table 5). The combination of *TLR2* and *ATG7* expression was significantly linked to the UPDRS III score change ( $r = 0.5743$ ,  $p = 1.1 \times 10^{-5}$ ) (Figure 4C), with *ATG7* having the greater influence ( $\beta = -0.4850$ ,  $p = 0.0012$ ) providing 3.1 points decrease in change in baseline score per unit increase in *ATG7* expression (Table 5). The combined effect of *TLR8* and *ATG7* correlated with the change in UPDRS score ( $r = 0.5955$ ,  $p = 3.8 \times 10^{-6}$ ) (Figure 4C) with *ATG7* producing a greater diminution effect ( $\beta = -0.6398$ ,  $p = 6.8 \times 10^{-7}$ ) whereby a 1-fold increase in *ATG7* expression decreased UPDRS III score change by 4 points (Table 5). Interestingly, the combined effects of all 7 variables showed a strong

correlation on score changes from baseline ( $r = 0.6904$ ,  $p = 1.0 \times 10^{-5}$ ) that accounted for 48% the variation in change from baseline (Table 5). The 3 variables producing the strongest effects from baseline scores, *HMOX1*, *TLR8*, and *ATG7*, were significantly correlation ( $r = 0.6354$ ,  $p = 2.0 \times 10^{-6}$ ) and accounted for 40% of the score change from baseline (Table 5). The strongest effect on change from UPDRS baseline was provided by *ATG7* ( $\beta = -0.5973$ ,  $p = 2.1 \times 10^{-6}$ ) leading to a 3.8-point diminution per fold increase in gene expression.

We also examined whether expression of the same set of genes had effects on total UPDRS III scores (Table 6). In combination, expression of all 7 genes accounted for 67% of the change in total UPDRS scores ( $r = 0.6692$ ,  $p = 3.6 \times 10^{-5}$ ) from which expression of *ATG7* yielded the most significant of the 7 genes, predicting a diminution of 7.1 points in UPDRS III scores per fold increase in *ATG7* expression (Table 6). Interestingly, 4 combinatorial pairs of genes also demonstrated strong correlations. The correlation of *LRRK2* and *TLR2* combination ( $r = 0.4374$ ,  $p = 0.0024$ ) (Figure 4D) both predicted effects to increase total UPDRS scores by 6.2 and 7.3 points, respectively per fold increase in gene expressions (Table 6). Additionally, a 1-fold increase in *LRRK2* expression in combination with *HMOX1* or *TLR8* (Figure 4D) was predicted to increase total

**TABLE 5** Multiple linear regression analysis for ddPCR test results on changes in MDS-UPDRS III scores

Predictors	Model				Predictors		
	<i>r</i>	<i>r</i> <sup>2</sup>	adj <i>r</i> <sup>2</sup>	<i>p</i>	<i>B</i>	<i>b</i>	<i>P</i>
<i>LRRK2</i>	0.3351	0.1123	0.0811	0.0336	.1170	1.4967	0.4192
<i>HMOX1</i>					0.2611	4.0892	0.0747
<i>LRRK2</i>	0.4581	0.2099	0.1822	0.0012	0.1369	1.7505	0.2682
<i>TLR2</i>					0.4013	5.0326	0.0018
<i>LRRK2</i>	0.2560	0.0655	0.0328	0.1449	0.2771	3.5435	0.0529
<i>TLR8</i>					−0.0742	−0.6706	0.5984
<i>LRRK2</i>	0.5741	0.3296	.3061	1.1E-05	−0.1158	−1.4811	0.3853
<i>ATG7</i>					−0.6326	−4.0035	1.3E-05
<i>TLR2</i>	0.4670	0.2181	.1906	0.0009	0.5124	6.4261	0.0002
<i>TLR8</i>					−0.1759	−1.5889	0.1779
<i>TLR2</i>	0.5743	0.3299	0.3063	1.1E-05	0.1259	1.5786	0.3788
<i>ATG7</i>					−0.4850	−3.0693	.0012
<i>TLR8</i>	0.5955	0.3546	0.3320	3.8E-06	−0.1985	−1.7928	0.0886
<i>ATG7</i>					−0.6398	−4.0486	6.8E-07
<i>LRRK2</i>	0.6904	0.4767	0.4063	1.0E-05	−0.1575	−2.0139	0.2809
<i>HMOX1</i>					0.3212	5.0298	.0095
<i>TLR2</i>					0.2288	2.8699	0.1226
<i>TLR8</i>					−0.2830	−2.5557	0.0256
<i>RELA</i>					−0.1867	−4.5497	0.0873
<i>GABARAPL2</i>					0.1408	4.2734	0.2106
<i>ATG7</i>					−0.5320	−3.3667	0.0015
<i>HMOX1</i>	0.6354	0.4037	.3718	2.0E-06	0.2357	3.6915	0.0360
<i>TLR8</i>					−0.2524	−2.2801	0.0307
<i>ATG7</i>					−0.5973	−3.7800	2.1E-06

*r*, regression coefficient; *p*, *p* value;  $\beta$ , standardized coefficient; *b*, non-standardized coefficient.

UPDRS scores by 8.3 and 9.9 points, respectively (Table 6). Contrastingly, while the combination of *LRRK2* and *ATG7* expression strongly correlated with total UPDRS scores ( $r = 0.5962$ ,  $p = 3.7 \times 10^{-6}$ ) (Figure 4D), *ATG7* exerted a stronger indirect effect on scores ( $\beta = -0.6060$ ,  $p = 1.9 \times 10^{-5}$ ) than *LRRK2* predicting a 7.5-point decrease in total UPDRS scores per fold increase in expression of *ATG7* (Table 6). *ATG7* expression in combination with *TLR2* also predicted a strong influence on total UPDRS scores ( $r = 0.5965$ ,  $p = 3.6 \times 10^{-6}$ ) (Figure 4D) that would decrease scores by 7.6 points per fold increase in *ATG7* expression ( $p = 4.4 \times 10^{-5}$ ) (Table 6). The most notable diminutive effect on total scores was afforded by expression of *ATG7* in combination with *TLR8* ( $r = 0.6453$ ,  $p = 2.2 \times 10^{-7}$ ) (Figure 4D), which predicted a decrease of 8.5 points in total UPDRS scores per fold increase in *ATG7* expression (Table 6). Notably, expression of *TLR2* in combination with *TLR8* ( $r = 0.4077$ ,  $p = 0.0056$ ) (Figure 4D), was determined to have the largest effect on total UPDRS scores with the prediction of adding 10.0 points per fold increase in *TLR2* expression (Table 6).

Similarly, changes in UPDRS III scores from baseline were correlated with protein expression of selected biomarkers (Table 7). Positive correlations were shown between change from baseline in UPDRS III scores and protein levels of *LRRK2* ( $r = 0.3534$ ,  $p = 0.017$ ) and *RELA* ( $r = 0.4258$ ,  $p = 0.004$ ) (Figure 5A), suggesting that motor function is improved with decreased expression of these proteins. In addition, an indirect correlation was shown between changes in UPDRS III score and protein level of *ATG7* but it did not reach a significant level ( $r = -0.2440$ ,  $p = 0.106$ ). Similarly, positive correlations were shown between total UPDRS III scores and protein levels of *LRRK2* ( $r = 0.2659$ ,  $p = 0.077$ ) and *TLR2* ( $r = 0.3704$ ,  $p = 0.012$ ), while a negative correlation was shown between scores and protein levels of *ATG7* ( $r = -0.3002$ ,  $p = 0.045$ ) (Figure 5B). We next evaluated the predictive value of correlations between Western blot test results (Table 7) and changes from baseline of UPDRS III scores by multiple linear regression analysis (Table 8). The ability of *LRRK2* and *HMOX1* to predict a change in UPDRS III score trended toward significance ( $p = 0.0602$ ) (Figure 5C). In this model

TABLE 6 Multiple linear regression analysis for ddPCR test results on raw MDS-UPDRS III scores

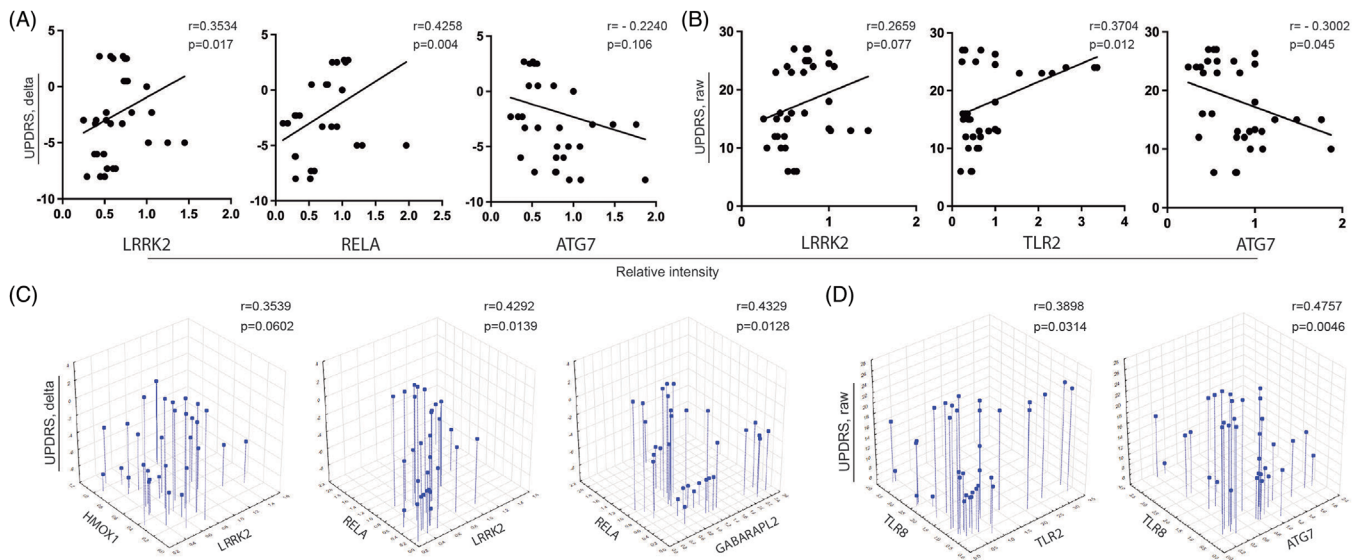
Predictors	Model			Predictors			
	<i>r</i>	<i>r</i> <sup>2</sup>	adj <i>r</i> <sup>2</sup>	<i>p</i>	$\beta$	B	<i>p</i>
LRRK2	.3300	0.1089	0.0776	0.0374	0.3327	8.2686	.0246
HMOX1					-.0056	-0.1711	0.9690
LRRK2	0.4374	0.1913	0.1629	0.0024	.2481	6.1659	0.0499
TLR2					.2985	7.2750	0.0192
LRRK2	0.3653	0.1334	0.1030	0.0169	0.3997	9.9341	0.0045
TLR8					-0.1716	-3.0113	0.2089
LRRK2	0.5962	0.3554	0.3328	3.7E-06	-0.0175	-0.4350	0.8932
ATG7					-0.6060	-7.4527	1.9E-05
TLR2	0.4077	0.1663	0.1370	0.0056	0.4488	10.9379	0.0014
TLR8					-0.1967	-3.4526	0.1451
TLR2	0.5965	0.3558	0.3332	3.6E-06	-0.0309	-0.7524	0.8252
ATG7					-0.6159	-7.5743	4.4E-05
TLR8	.6453	0.4164	0.3959	2.2E-07	-0.2662	-4.6725	0.0177
ATG7					-0.6946	-8.5420	3.5E-08
LRRK2	0.6692	0.4478	0.3734	3.6E-05	0.0727	1.8056	0.6266
HMOX1					0.0681	2.0720	0.5807
TLR2					0.1300	3.1682	0.3894
TLR8					-0.3305	-5.8013	0.0117
RELA					-0.1378	-6.5237	0.2162
GABARAPL2					0.1338	7.8883	0.2465
ATG7					-0.5786	-7.1156	0.0009

*r*, regression coefficient; *p*, *p* value;  $\beta$ , standardized coefficient; b, non-standardized coefficient.

TABLE 7 Correlations between UPDRS III and Western blot test scores

		UPDRS, Total	UPDRS, Change	LRRK2	HMOX1	TLR2	TLR8	RELA	ATG7	GABARAPL2
UPDRS, Total	<i>r</i>	1.0000	0.6867	0.2659	0.1109	0.3704	-0.2513	0.1847	-0.3002	-0.1110
	<i>p</i>		1.9E-07	0.0774	0.4682	0.0123	0.0958	0.2245	0.0451	0.4681
UPDRS, Change	<i>r</i>	0.6867	1.0000	0.3534	0.0881	-0.0048	.1531	.4258	-0.2440	-0.1376
	<i>p</i>	1.9E-07		0.0173	0.5648	0.9752	.3154	0.0035	0.1062	0.3672
LRRK2	<i>r</i>	0.2659	0.3534	1.0000	0.3008	0.2627	-0.1860	0.7456	0.0421	-0.1369
	<i>p</i>	0.0774	0.0173		0.0446	0.0812	0.2213	4.2E-09	0.7835	0.3699
HMOX1	<i>r</i>	0.1109	0.0881	0.3008	1.0000	0.2787	-0.2744	.2109	0.2436	-0.2179
	<i>p</i>	0.4682	0.5648	0.0446		0.0638	0.0681	0.1643	0.1069	0.1505
TLR2	<i>r</i>	0.3704	-0.0048	0.2627	0.2787	1.0000	-0.3750	-0.0541	-0.2868	0.1867
	<i>p</i>	0.0123	0.9752	0.0812	0.0638		0.0111	0.7243	0.0561	0.2194
TLR8	<i>r</i>	-0.2513	0.1531	-0.1860	-.2744	-0.3750	1.0000	0.0593	-0.3250	-0.1381
	<i>p</i>	0.0958	0.3154	0.2213	0.0681	0.0111		0.6987	0.0294	0.3657
RELA	<i>r</i>	0.1847	0.4258	0.7456	0.2109	-0.0541	0.0593	1.0000	-0.0438	-.4844
	<i>p</i>	0.2245	0.0035	4.2E-09	.1643	.7243	.6987		0.7754	0.0007
ATG7	<i>r</i>	-0.3002	-0.2440	0.0421	0.2436	-0.2868	-.3250	-0.0438	1.0000	0.2289
	<i>p</i>	0.0451	0.1062	0.7835	0.1069	0.0561	0.0294	0.7754		0.1304
GABARAPL2	<i>r</i>	-0.1110	-.1376	-0.1369	-0.2179	0.1867	-0.1381	-0.4844	0.2289	1.0000
	<i>p</i>	0.4681	0.3672	.3699	0.1505	0.2194	0.3657	0.0007	0.1304	

*r*, Pearson product moment correlation coefficient; *p*, *p* value.



**FIGURE 5** Prediction of UPDRS III score by protein expression of potential biomarkers. (A) Correlation between protein expression of LRRK2, RELA, and ATG7 and change in UPDRS III score. (B) Correlation between protein expression of LRRK2, TLR2, and ATG7 and raw UPDRS III score. (C) Multiple linear regression analysis of effect of protein expression of LRRK2, HMOX1, RELA, and GABARAPL2 on change in UPDRS III score. (D) Multiple linear regression analysis of effect of protein expression of TLR2, TLR8, and ATG7 on raw UPDRS III score. (A,B)  $r$  = Pearson product-moment correlation coefficient. (C,D)  $r$  = regression coefficient.  $p \leq 0.05$  was considered significant

**TABLE 8** Multiple linear regression analysis of Western blot tests and MDS-UPDRS III scores

Predictors	Model				Predictors		
	$r$	$r^2$	adj $r^2$	$p$	$\beta$	$b$	$p$
LRRK2	0.3539	0.1252	0.0836	0.0602	0.3594	4.2727	0.0222
HMOX1					-0.0200	-0.2093	.8956
LRRK2	.4292	0.1842	.1453	0.0139	0.0809	0.9614	0.7010
RELA					0.3655	3.2742	0.0879
RELA	0.4329	0.1874	0.1487	0.0128	0.4692	4.2032	0.0052
GABARAPL2					0.0896	0.6156	0.5761
TLR2	0.1633	0.0267	-0.0197	0.5670	0.0613	0.2707	0.7110
TLR8					0.1761	0.7438	0.2898
TLR8	0.2562	0.0656	0.0211	0.2403	0.0825	0.3485	0.6037
ATG7					-0.2172	-2.0416	0.1757
LRRK2	0.5359	0.2872	0.1523	0.0644	0.1298	1.5436	0.6175
HMOX1					0.1797	1.8818	0.3065
TLR2					-0.2060	-0.9101	0.3216
TLR8					0.0365	0.1540	0.8350
RELA					0.3724	3.3360	0.1825
ATG7					-0.3767	-3.5403	0.0601
GABARAPL2					0.2293	1.5758	0.2410
RELA	.5007	0.2507	0.1958	0.0075	0.4919	4.4068	0.0029
ATG7					-0.2591	-2.4353	0.0701
GABARAPL2					0.1599	1.0988	0.3207
RELA	0.4819	0.2322	0.1956	0.0039	0.4159	3.7259	0.0037
ATG7					-0.2258	-2.1225	0.1026

$r$ , regression coefficient;  $p$ ,  $p$  value;  $\beta$ , standardized coefficient;  $b$ , non-standardized coefficient.



TABLE 9 Multiple linear regression analysis of Western blot and MDS-UPDRS III tests scores

Predictors	Model				Predictors of the model		
	<i>r</i>	<i>r</i> <sup>2</sup>	adj <i>r</i> <sup>2</sup>	<i>p</i>	<i>B</i>	<i>b</i>	<i>p</i>
LRRK2	.2679	0.0718	.0276	0.2093	0.2557	5.9073	0.1084
HMOX1					0.0340	0.6922	0.8284
LRRK2	0.2667	0.0711	.0269	.2123	0.2887	6.6706	0.2028
RELA					−.0306	−0.5325	0.8916
RELA	0.1863	0.0347	−0.0112	0.4761	0.1711	2.9787	.3291
GABARAPL2					−.0281	−0.3750	.8720
TLR2	0.3898	0.1519	.1115	0.0314	0.3214	2.7592	.0421
TLR8					−0.1308	−1.0742	0.3982
TLR8	0.4757	0.2263	0.1894	0.0046	−0.3901	−3.2028	0.0095
ATG7					−0.4270	−7.7984	.0048
LRRK2	0.5335	0.2846	0.1492	0.0676	0.1136	2.6248	.6626
HMOX1					0.0198	0.4034	0.9097
TLR2					0.1285	1.1034	0.5354
TLR8					−0.3034	−2.4906	0.0898
RELA					0.0901	1.5677	0.7448
ATG7					−0.3606	−6.5853	0.0718
GABARAPL2					−0.0308	−0.4114	0.8739

*r*, regression coefficient; *p*, *p* value;  $\beta$ , standardized coefficient; *b*, non-standardized coefficient.

LRRK2 provided a stronger effect ( $\beta = 0.3594$ ,  $p = 0.0222$ ) with each unit increase in Western blot intensity increasing the change from baseline of UPDRS III scores by 4.3 points (Table 8). The effect of LRRK2 and RELA was significant ( $p = 0.0139$ ) (Figure 5C) with RELA providing a stronger effect ( $\beta = 0.3655$ ,  $p = 0.0879$ ), although it did not reach the level of significance. The model predicts that the change from baseline of UPDRS III score will increase by 3.3 points/unit increase in NF- $\kappa$ B p65 Western blot intensity (Table S7). RELA and GABARAPL2 showed significant correlation with UPDRS III score ( $r = 0.4329$ ,  $p = 0.0128$ ) (Figure 5C), and RELA provided a greater effect on the change in UPDRS III score ( $\beta = 0.4692$ ,  $p = 0.0052$ ) predicting that total UPDRS III scores would increase by 4.2 points per unit increase in RELA intensity (Table 8). The correlated pairs TLR2/TLR8 ( $r = -0.375$ ,  $p = 0.0111$ ) and TLR8/ATG7 ( $r = -0.325$ ,  $p = 0.0294$ ) were assessed as putative predictors of change in UPDRS scores from baseline, however neither pair proved of predictive value ( $p = 0.537$  and  $p = 0.2403$ , respectively). Assessing all 7 Western blot intensities for an effect on change from baseline in UPDRS scores showed moderate correlation that trended to significance ( $r = 0.5359$ ,  $p = 0.0644$ ), yet none proved to have a significant effect on changes in UPDRS scores. However, assessing 3 of the strongest variables, RELA, ATG7, and GABARAPL2, showed significant correlation ( $r = 0.5007$ ,  $p = 0.0075$ ) with change from baseline UPDRS scores. The stronger effects in this model were exerted by RELA ( $\beta = 0.4919$ ,  $p = 0.0029$ ) and ATG7 ( $\beta = -0.2591$ ,

$p = 0.0701$ ), whereby one unit increase in Western blot intensity for RELA or ATG7 would predict a 4.41 increase or a 2.44 decrease in baseline change of UPDRS scores, respectively. In a separate model, RELA and ATG7 correlated with change in scores ( $r = 0.4819$ ,  $p = 0.0039$ ) with RELA providing the stronger effect on change in UPDRS scores ( $\beta = 0.4159$ ,  $p = 0.0037$ ), whereby change in UPDRS scores would rise by 3.73 points per unit increase in RELA Western blot intensity.

We next assessed effects of WB intensities on total UPDRS III scores. In contrast to changes from baseline, no significant correlations with total UPDRS scores and LRRK2/HMOX1, LRRK2/RELA, and RELA/GABARAPL2 Western blot intensities ( $p = 0.2093$ ,  $0.2123$ , and  $0.4761$ , respectively) (Table 9). However, two pairs of predictors, TLR2/TLR8 and TLR8/ATG7, showed significant effects on total UPDRS scores ( $p = 0.0314$  and  $p = 0.0046$ , respectively) (Figure 5D). Western blot intensities for TLR2 and TLR8 showed significant correlation with total UPDRS scores ( $r = 0.3898$ ,  $p = 0.0314$ ), whereby TLR2 showed a stronger significant effect ( $\beta = 0.3214$ ,  $p = 0.0421$ ) predicting a 2.76-point increase per unit increase in TLR2 intensity. TLR8 and ATG7 Western blot intensities correlated with total scores ( $r = 0.4757$ ,  $p = 0.0046$ ). Interestingly, both TLR8 and ATG7 expression were significant predictors for effects on total scores ( $\beta = -0.3901$ ,  $p = 0.0095$ ; and  $\beta = -0.4270$ ,  $p = 0.0048$ , respectively). Moreover, assessment of all Western blot intensities as putative predictors yielded a correlation that trended to significance ( $r = 0.5335$ ,

$p = 0.0676$ ), and indicated that with all other predictors controlled, the strongest effect was exerted by ATG7 ( $\beta = -0.3606$ ,  $p = 0.0718$ ), and yielded a predictive decrease in total UPDRS score of 6.59 points per unit increase in ATG7 Western blot intensity.

## 4 | DISCUSSION

Neurodegenerative disorders that include PD, AD, ALS, Huntington's disease, and prion diseases, are an increasing global public health threat.<sup>41</sup> Each is difficult to diagnose or treat, and as such, an urgent need exists to develop biomarkers that reflect both the underlying pathophysiology and responses to therapy.<sup>42,43</sup> These are critically important for pre-clinical research as well as designing clinical trials. To date, reliable tests of disease progression include bioimaging with positron emission tomography (PET), single photon emission computed tomography (SPECT), magnetic resonance imaging (MRI), transcranial sonography (TCS), and magnetoencephalography (MEG).<sup>44</sup> However, each of these measures have limitations such as lack of specificity, high costs, time needed, invasiveness, and lack of sensitivity to detect disease processes at the molecular level and the therapeutic effects thereof.<sup>45</sup> For PD and beyond bioimaging, studies exploring genetic and biochemical biomarkers have proven helpful, but have so far failed to yield a set of definitive disease biomarkers.<sup>46,47</sup> The importance of  $\alpha$ -syn in PD pathogenesis directs studies to focus on measurements of total  $\alpha$ -syn, oligomeric, and modified forms of this protein in blood,<sup>47</sup> cerebrospinal fluid (CSF),<sup>48</sup> and in neuron-specific extracellular vesicles.<sup>49</sup> Studies have also explored  $\alpha$ -syn in various forms of PD within the gut,<sup>50</sup> submandibular glands,<sup>51</sup> skin,<sup>52</sup> and retina<sup>53</sup> for reflecting  $\alpha$ -syn as a definitive PD biomarker. While blood  $\alpha$ -syn species, neurofilament light chain, and lysosomal enzymes could supplement CSF findings, these have yet to show accuracy for following disease progression, and thus strongly supports the need for validation by other measurements.<sup>42,54–56</sup> Moreover,  $\alpha$ -syn has neither been consistent as a systemic biomarker for disease progression nor for response to therapy. All make reliable diagnostic and prognostic biomarkers of urgent need.

Monocytes are known to be involved in the recognition and phagocytosis of protein aggregates and debris from degenerating neurons and other cells, or from microbial organisms and their components.<sup>57,58</sup> In PD pathobiology, monocytes produce inflammatory cytokines in response to TLR and inflammasome stimulation by  $\alpha$ -syn or other pathogen- or damage-associated molecular patterns (PAMPs and DAMPs),<sup>58</sup> which, in turn, drive inflammatory responses. Monocyte-derived cells may also play

a role in the presentation of pathogenic  $\alpha$ -syn and other antigens to T lymphocytes<sup>59</sup> mediated by HLA-DR.<sup>60</sup> However, PD patient monocytes are typically unchanged in number, thus pro-inflammatory cytokine elevations result from changes of monocyte activation.<sup>3</sup> Peripheral blood monocytes in PD patients present impaired functions and altered subpopulations.<sup>61</sup> This implies a close link between peripheral monocytes and PD pathology. Mounting evidence confirms that peripheral inflammation triggers neuroinflammation and neurodegeneration.<sup>62</sup> Therefore, both peripheral monocytes and microglia have been suggested to contribute to the inflammatory process in PD.<sup>63</sup>

Clinical and pathobiological diversity of PD presents major challenges in development of relevant biomarkers to monitor disease progression and disease-modifying therapies. We have shown that GM-CSF (sargramostim) is effective in restoring immune homeostasis and clinical improvement in PD patients, and as GM-CSF preferentially targets myeloid lineages,<sup>14</sup> we utilized monocytes-macrophages as a means to uncover therapeutic-related biomarkers at the molecular level. Notably, GM-CSF not only affects macrophage immunity, but also is known to influence CNS function through altering microglia immune responses.<sup>64,65</sup> We posit that innate immunity via monocytes, macrophages, and microglial are linked and, in measure, orchestrate Teff and Treg cell responses. Both innate and T cell-mediated immunity are affected during sargramostim therapy, and as such, provide protection against nigrostriatal degeneration in PD.<sup>13–16</sup> Indeed in our studies, translation to humans validated GM-CSF activities in two Phase 1 PD clinical trials, whereby sargramostim treatment increased Treg numbers and function with improved motor function and associated brain activity.<sup>13,14</sup> For these reasons, we sought to characterize gene and protein activities of monocytes isolated from PD patients during immune modulatory therapy. The results showed that monocyte immune profiling could reflect operative processes in microglia, and is linked to a general adaptive immune transformative response. The results have proven to be predictive in clinical responses by monitoring UPDRS III scores during timed-disease progression.

Herein, the monocyte transcriptome and proteome showed that sargramostim enriched different immune processes, pointing an activation of monocytes as well as a connection between innate and adaptive immune responses. Our data are in agreement with a recent study which showed enrichment of biological processes involved in regulation of immune responses in the transcriptome of human monocytes and microglia.<sup>46</sup> Our findings are also consistent with previous reports depicting the activation effect of GM-CSF on monocyte-macrophage cell lineages.<sup>66</sup> In parallel, proteomic data displayed enrichment of different cellular transport and degradative and

microbicidal pathways such as endoplasmic reticulum to Golgi vesicle-mediated transport, protein targeting to lysosome, phagosome formation, and chemokine signalling. In addition, the monocyte proteome displayed enriched cellular components related to the aforementioned biological processes, such as secretory vesicle, endocytic vesicle, phagocytic vesicle, Golgi-associated vesicle, phagosome, and lysosome. This supports the ability of monocytes, after sargramostim treatments, to recognize danger signals (PAMPs and DAMPs), phagocytose, present antigens, and secrete both cytokines and chemokines.<sup>58</sup> The interplay between innate and adaptive immunity<sup>59</sup> underscores sargramostim-based enrichment of regulation of RNA processing, splicing, and transport. All lead to the restoration of cell-based homeostasis.

Interestingly, the sargramostim-affected monocytic proteome was characterized by downregulation of both inflammatory and oxidative stress factors such as IL-8, ILK, TNF, nitric oxide, and oxidative phosphorylation. Similarly, mitochondrial functions through oxidative phosphorylation<sup>67</sup> also were enriched by treatment. IL-8 is known to be an inflammatory factor involved in cell infiltration across the blood-brain barrier (BBB)<sup>68</sup> and is increased during idiopathic PD.<sup>69</sup> In addition, HMOX1 promoter contains response elements which allow its induction by factors implicated in PD pathogenesis. These include IL-1 $\beta$ , TNF- $\alpha$ , hydrogen peroxide, 1-methyl-4-phenyl-1,2,3,6-tetrahydropyridine (MPTP)-like xenobiotics, and heavy metals.<sup>70,71</sup> Overproduction of carbon monoxide and free ferrous iron by HMOX1 supports ROS production in mitochondrial and other intracellular compartments and induces cellular injury.<sup>72</sup> Moreover, prior studies suggest that modified and misfolded  $\alpha$ -syn serves as a DAMP ligand that activates myeloid phagocytes, including microglia, monocyte-derived macrophages, and dendritic cells; by pattern recognition receptors (PRRs) such as TLR2, TLR4, and CD11b.<sup>73–76</sup> Activation of TLRs may also be induced by DAMP-related mediators of oxidative stress such as those factors released by damaged or dying neurons.<sup>77,78</sup> The recognition of damage-associated signals by PRRs plays a pivotal role, once again, in the induction of oxidative stress and inflammation in PD.<sup>79</sup> Furthermore, NF- $\kappa$ B activation and inflammatory signalling are chronically perturbed in disease.<sup>80</sup> Activation of NF- $\kappa$ B leads to the transcription of several pro-inflammatory molecules such as IL-1 $\beta$ , inducible nitric oxide synthase (iNOS), and TNF- $\alpha$ .<sup>81,82</sup> Similarly, inhibitor of NF- $\kappa$ B kinase subunit gamma (IKBKG); a subunit of the I $\kappa$ B kinase complex which activates NF- $\kappa$ B, results in activation of inflammatory genes.<sup>83</sup> All serve to exacerbate dopaminergic neurons damage in PD.<sup>84</sup> Therefore, downregulation of HMOX1, TLR2, TLR8, IKBKG, and RELA proteins, as well as inhibition of several different

inflammation and oxidative stress signalling pathways, support anti-inflammatory, antioxidant, and consequently, potential neuroprotective mechanisms in PD.

The pathobiology of PD involves cyclic phases of neurodegeneration and failure of the proteasome-ubiquitin system to clear excess  $\alpha$ -syn.<sup>85</sup> Mounting evidence suggests that aggregation of  $\alpha$ -syn in PD is a consequence of impaired autophagic-lysosomal degradation.<sup>86,87</sup> In turn,  $\alpha$ -syn impacts mitochondrial, lysosomal, and autophagic functions.<sup>87,88</sup> Subsequently,  $\alpha$ -syn misfolds, and accumulates in dopaminergic neurons preceding neuronal death. Neuronal degeneration leads to release of  $\alpha$ -syn, which is oxidatively modified, misfolded, and oligomerized, then serves to activate microglia.<sup>89</sup> This shifts the brain's microenvironment and exacerbates neurodegeneration.<sup>76</sup> Autophagy is an important cellular process working to counter these effects. It helps in maintaining cellular homeostasis as one of the major degradation pathways playing a pivotal role in maintaining intracellular effective protein and damaged organelle turnover.<sup>90</sup> Importantly, the sargramostim-affected monocytic proteome showed enrichment of autophagy, by activated sirtuin signalling pathway, as a possible mechanism for clearance of misfolded and aggregated proteins. Under cellular stress, including nutrient depletion or starvation, sirtuin 1 activity is increased, autophagy proteins are deacetylated, and subsequently, autophagy is induced.<sup>91</sup> Autophagy related proteins (ATGs), including ATG7 and ATG8, directly interact with and are deacetylated by SIRT1.<sup>91</sup> Additionally, ATG3 catalyses the formation of ATG8-phosphatidylethanolamine (PE) conjugate which is essential for autophagy.<sup>40</sup> Moreover, GABARAPs are required for autophagosomal maturation and play an important role in the degradation of autophagosomes.<sup>92</sup> Our data showed upregulation of autophagy proteins ATG3, ATG7, and GABARAPL2, pointing to the restoration of normal autophagy following sargramostim treatment. On the other hand, LRRK2 (PARK8), a PD-associated gene whose mutations account for the majority of autosomal dominant cases in PD, was found to be primarily localized in membrane microdomains, multivesicular bodies, and autophagic vesicles, and involved in different cellular signalling pathways, including autophagy.<sup>93</sup> Our findings showed downregulation of LRRK2 in PD monocytes after treatment, which are in accordance with a recent study which showed increased gene expression of LRRK2 in PD monocytes compared to control monocytes and microglia.<sup>46</sup>

Interestingly, Western blot analysis confirmed that LRRK2, HMOX1, and TLR2 proteins were significantly downregulated in 60%–80% of patients at 2 and 6 months of sargramostim treatment, thus verifying the proteomic data. Additionally, Western blots validated

downregulation of TLR8 and RELA proteins after 2 months in 60% (3/5) of patients with significant downregulation in 1/3 of patients for each protein, thus supporting the proteomic results. Moreover, Western blot analysis confirmed the downregulation of TLR8 protein after 6 months in 60% (3/5) of patients with significant downregulation in 2/3 of patients (2004 and 2005), and a near significant downregulation in the third patient (2006), which also supported the proteomic results. Interestingly, Western blot tests showed significant downregulation of RELA in 80% (4/5) of patients after 6 months. Furthermore, Western blot confirmed the upregulation of GABARAPL2 protein in 60% (3/5) of patients after 6 months. One of the major challenges of protein detection by Western blot is sensitivity to detect low-abundance proteins in some samples. This could explain in some monocyte samples the low or normal expression of ATG7 and GABARAPL2 proteins by Western blot, while detectable by mass spectrometry, for instance, during sargramostim treatment compared to baseline. Similarly, ddPCR results confirmed that *LRRK2*, *HMOX1*, *TLR2*, *TLR8* genes were significantly downregulated in 60%–100% of patients at 2 and 6 months of sargramostim treatment, and supported both proteomic and Western blot analyses. In addition, ddPCR validated that *RELA* gene was significantly downregulated in 60% of patients after 2 months, corroborating the relative protein levels as determined by proteomic and Western blot results. *RELA* gene expression was not altered notably after 6 months, thus supporting the proteomic results for RELA protein. Interestingly, ddPCR confirmed that *ATG7* gene expression was significantly upregulated in 80% of patients after 6 months, supporting the proteomic data. In accordance with 6 month-Western blot results, ddPCR also confirmed upregulation of *GABARAPL2* gene in 60% of patients which supported the proteomic analysis.

We posit that the discrepancy between mRNA and protein expression of some genes, at 2 or 6 months compared to baseline, may be due to the fact that RNA and protein represent different steps of the multi-stepped cellular genetic information flow process, in which they are dynamically produced and degraded.<sup>94</sup> In this study, monocytes were collected at baseline and at 2 and 6 months of treatment, thus, mRNA and protein expression were static, not dynamic, which reflects the expression level at the moment of sample collection. The spatial and temporal variations of mRNAs, as well as the local availability of resources for protein synthesis, strongly affect the relationship between protein levels and their coding transcripts.<sup>95</sup> Overall, our data suggests the potential for using gene and/or protein expression levels of *LRRK2*, *HMOX1*, *TLR2*, *TLR8*, *RELA*, *ATG7*, and *GABARAPL2* to follow therapeutic response with sargramostim during progression of PD. Therefore, these genes/proteins

may serve as potential biomarkers to predict therapeutic response in PD patients treated with sargramostim or similar immunomodulatory therapies. Significantly, our data showed the predictive potential of *LRRK2* gene and protein expression for UPDRS III scores and changes in scores. Similarly, *HMOX1*, *TLR2*, and *ATG7* gene expression, as well as *RELA*, *TLR2*, and *ATG7* protein expression, showed predictive potential for UPDRS III scores and changes in scores, thus suggesting their utility as putative biomarkers for sargramostim therapy. A limitation of the current study is the small sample size employed which may overestimate the veracity of the conclusions. Due to the limited study participants, the recorded difference in the gene/protein expression of the examined putative biomarkers will require larger sample analysis in order to confirm the results recorded in the current evaluation.

To our knowledge, this study is the first to address the association between monocyte profiles and both clinical motor function and disease progression during immune modulatory therapy. We demonstrated that the monocyte signature profile would present relevant biomarkers to monitor the clinical improvement and disease progression during anti-parkinsonism immune modulatory therapy trials. Despite the small sample size of patients and lack of placebo controls, the utilization of patients' baseline samples before starting the treatment allowed for before and after treatment comparisons and temporal evaluations. In addition, the nature of the study as an unblinded, open-label phase 1 represents a limitation of this study because it introduces a significant risk for bias. Additionally, all enrolled study participants were male. However, these limitations require further validation in a larger double blinded placebo-controlled phase II trial that includes both sexes.

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## CONFLICT OF INTEREST

The authors declare that there is no conflict of interest that could be perceived as prejudicing the impartiality of the research reported.

## DATA AVAILABILITY STATEMENT

All data associated with this study are present in the manuscript or the supplementary information. Additional files are available in figshare at <https://doi.org/10.6084/m9.figshare.19380230>.

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## SUPPORTING INFORMATION

Additional supporting information can be found online in the Supporting Information section at the end of this article.

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