



# High *SLC4A11* expression is an independent predictor for poor overall survival in grade 3/4 serous ovarian cancer

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# **Abstract**

In this study, we aimed to examine the expression of SLC4A11 in ovarian cancer and in normal ovarian tissues, its prognostic value and the possible mechanism of its dysregulation. Bioinformatic analysis was performed by using data from the GEO datasets, the Cancer Genome Atlas-Ovarian Cancer (TCGA-OV) and the Human Protein Atlas (HPA). Results showed that SLC4A11 was upregulated in ovarian cancer compared with normal ovarian epithelial tissues. In patients with primary serous ovarian cancer in TCGA-OV, the cases with lymphatic invasion (N = 133) had significantly higher SLC4A11 expression than those without lymphatic invasion (N = 77) (p = 0.0069). High SLC4A11 expression was consistently associated with worse overall survival (OS). Univariate and multivariate analysis confirmed that high SLC4A11 expression was an independent prognostic factor for poor OS in grade 3/4 (G3/G4) tumors (HR = 1.416, 95%CI: 1.098–1.824, p = 0.007). 320 out of 578 (55.4%) ovarian cancer cases had SLC4A11 amplification. High methylation group had a significantly lower level of SLC4A11 expression. Based on these findings, we infer that high SLC4A11 expression is an independent predictor for poor OS in grade 3/4 serous ovarian cancer. Both DNA amplification and hypomethylation contribute to its upregulation in ovarian cancer.

### Introduction

Intracellular and extracellular pH (pH $_{\rm i}$  and pH $_{\rm e}$ ) homeostasis is an important constitution of cellular microenvironment and is a prerequisite for normal cell function [1]. Studies in the past decade revealed that pHi homeostasis is often dramatically altered in cancer [2, 3]. Cancer cells usually keep a pHi that is equal to or even more alkaline than the surrounding normal counterparts, suggesting that they upregulate net acid extrusion [2, 3]. This alteration leads to at least two fundamental differences to normal physiology: firstly, it is supportive to maintain pHi homeostasis by eliminating excessive production of acid equivalents in cancer cells due to



hypoxia and/or oncogene-induced changes in glycolytic metabolism [4]; secondly, prolonged extracellular acidification (pH<sub>e</sub>), which favors tumor invasion and metastasis [5, 6].

Solute linked cotransporter 4 (SLC4) family is comprised of ten members (SLC4A1-5; SLC4A7-11), which have critical roles in pHi buffering [7, 8]. SLC4A1-3 are Cl<sup>-</sup>/HCO<sub>3</sub><sup>-</sup> exchangers and function as cellular acid loaders [9]. SLC4A4, –4A5, –4A7, –4A8 and –4A10 are Na<sup>+</sup>-coupled HCO<sub>3</sub><sup>-</sup> transporters and function as cellular acid extruders [9]. SLC4A11 is the most divergent member of this family and has recently been characterized as a Na<sup>+</sup>/OH<sup>-</sup> and NH4<sup>+</sup> transporter [10]. Therefore, SLC4A11 can mediate H<sup>+</sup> efflux and can be considered as a cellular acid extruder. Dysregulated SLC4 family members have been implied in pathological development of some cancers. For example, SLC4A7 regulates pHi and tumor cell progression in breast cancers [11, 12]. Upregulated SLC4A4 contributes to growth and migration of colon and breast cancer cells [13]. SLC4A9 disruption by either genetic or pharmaceutical approaches results in pHi acidification and reduced cell growth of breast cancer and glioma cells [14].

Ovarian cancer cells have an elevated  $H^+$  efflux compared with non-tumor cells [15]. One recent study found that the basal  $pH_i$  is higher in ovarian cancer A2780 cells than in normal ovarian HOSE cells [16]. SLC9A1 amplification is a mechanism of the high basal  $pH_i$  and is associated with unfavorable overall patient survival [16]. These findings suggest that  $pH_i$  regulation is closely related to ovarian cancer cell behaviors and prognosis of the patients.

In this study, we examined the expression of *SLC4A11* in ovarian cancer/normal ovarian tissues and further assessed its prognostic value and the possible mechanism of its dysregulation.

#### Materials and methods

# Bioinformatic data mining in GEO

The normalized data of one previous microarray (GDS3592) [17] that explored the dysregulated genes in ovarian cancer epithelial cells (CEPIs) from patients with primary serous ovarian cancer compared with normal ovarian surface epithelia (OSE) was downloaded from GEO dataset for secondary analysis.

### Data mining in the Human Protein Atlas

*SLC4A11* expression at the protein level in normal ovarian tissues and in serous ovarian cancer tissues was compared by using the immunohistochemistry (IHC) staining data provided by the Human Protein Atlas (HPA) (http://www.proteinatlas.org/) [18, 19].

# Bioinformatic analysis using data from TCGA-Ovarian Cancer (TCGA-OV)

SLC4A11 expression, its copy number alteration and its DNA methylation in patients with ovarian cancer were examined using data from TCGA-OV. Original data downloaded from the UCSC Xena Browser (https://xenabrowser.net/) were given in S1 Table. The association between SLC4A11 expression and overall survival (OS) or recurrence-free survival (RFS) was assessed by generating Kaplan-Meier survival curves, with median SLC4A11 expression as the cutoff. The analysis was performed using the UCSC Xena Browser or by using GraphPad Prism 6.0. Among 595 patients with primary serous ovarian cancer in TCGA-OV, 540 patients had SLC4A11 expression measured by AgilentG4502A\_07\_3. This proportion of patients was included in the univariate and multivariate analysis of the association between SLC4A11 expression and OS/RFS.



# Statistical analysis

The association between clinicopathological characteristics and SLC4A11 expression was assessed by using  $\chi^2$  tests. The significance of the difference between the survival curves was assessed by log-rank test. Univariate and multivariate Cox regression models were used to evaluate prognostic significance. Welch's t-test was conducted to compare SLC4A11 expression between patients with or without lymphatic invasion and between groups with high/low methylation. p < 0.05 was considered statistically significant.

### Results

# *SLC4A11* is upregulated in ovarian cancer compared with normal ovarian epithelial tissues

By re-analysis of the normalized data of GDS3592, we examined the expression of SLC4A family members in CEPIs and OSE (Fig 1A). *SLC4A11* was the most significantly upregulated gene among the 10 SLC4A family members (Fig 1A, red arrow). The bar chart of the array signaling value further indicated that *SLC4A11* was significantly upregulated in the CEPI samples

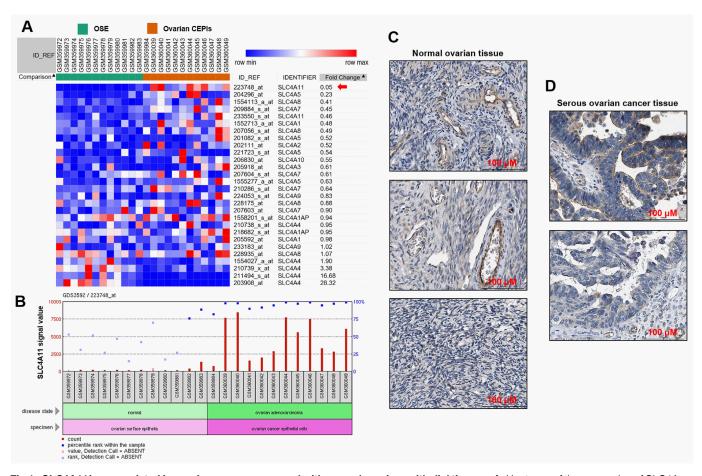


Fig 1. SLC4A11 is upregulated in ovarian cancer compared with normal ovarian epithelial tissues. A. Heat map of the expression of SLC4A family members in 12 cases of ovarian CEPIs compared with 12 cases of OSE. Red: up-regulation; Blue: down-regulation. The image was generated by re-analysis of the raw microarray data of GDS3592. B. SLC4A11 microarray signal values in 12 CEPIs and 12 OSE cases. Data were analyzed by using the tool provided by GEO datasets. C-D. Representative images of IHC staining of SLC4A11 in normal ovarian tissues (C) and serous ovarian cancer tissues (D). Data were obtained from the HPA: <a href="http://www.proteinatlas.org/ENSG00000088836-SLC4A11/pathology/tissue/ovarian+cancer">http://www.proteinatlas.org/ENSG00000088836-SLC4A11/pathology/tissue/ovarian+cancer</a>.

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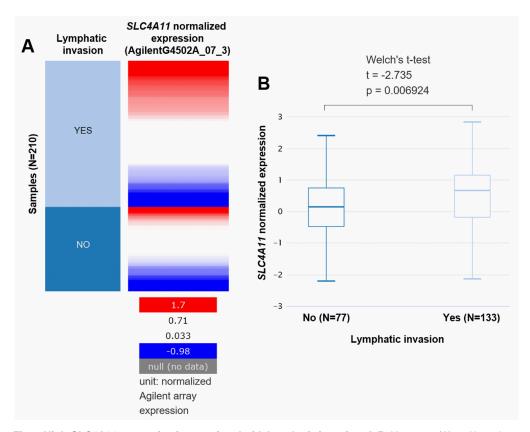
compared with the OSE samples (Fig 1B). By data mining in the HPA, we found that in normal ovarian tissues, follicle cells had medium SLC4A11 staining (Fig 1C). But SLC4A11 expression was not detectable in ovarian stroma cells (Fig 1C). In comparison, the serous ovarian cancer tissues usually had low to medium SLC4A11 staining in both cytoplasma and cell membrane (Fig 1D).

# High SLC4A11 expression is associated with lymphatic invasion

Using data from TCGA-OV, we compared SLC4A11 expression in ovarian cancer patients with or without lymphatic invasion. Results revealed that the cases with lymphatic invasion (N = 133) had significantly higher SLC4A11 expression (p = 0.0069) than those without lymphatic invasion (N = 77) (Fig 2A and 2B).

# High *SLC4A11* expression is an independent predictor of poor OS in ovarian cancer patients

The association between clinicopathological features and SLC4A11 expression in patients with primary serous ovarian cancer was summarized in Table 1. High SLC4A11 expression was significantly associated with lower grade tumors (GB/G1/G2) (p = 0.038), and a larger proportion of lymphatic invasion (p = 0.027) and deceased cases (p = 0.036) (Table 1). In addition, the high SLC4A11 expression group also had a higher ratio of tumor residual disease at the margin level of significance (p = 0.050) (Table 1). However, no significant difference was observed in



**Fig 2. High** *SLC4A11* **expression is associated with lymphatic invasion. A-B.** Heat map (A) and box plots (B) of *SLC4A11* expression in patients with or without lymphatic invasion.

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Table 1. Demographic and clinicopathological parameters of patients with primary ovarian cancer in TCGA-OV.

Parameters		SLC4A11 expre	χ²	<i>p</i> Value	
		High (N = 270)	Low (N = 270)		
Age (Mean ± SD)	≥60	131	122	0.54	0.46
	<60	139	147		
	Null	0	1		
Grade	GB/G1/G2	46	30	4.30	0.038
	G3/G4	215	236		
	GX + Null	9	4		
Clinical stage	I/II	18	24	0.93	0.33
	III/IV	249	243		
	Null	3	3		
Venous invasion	No	30	37	2.05	0.15
	Yes	48	37		
	Null	192	196		
Lymphatic invasion	No	33	44	4.88	0.027
	Yes	78	55		
	Null	159	171		
Tumor residual disease	No	44	62	3.83	0.050
	Yes	194	177		
	Null	32	31		
Recurrence status	No	5	10	0.24	0.62
	Yes	21	31		
	Null	244	229		
Living Status	Living	99	122	4.38	0.036
	Dead	169	144		
	Null	2	4		

GB: Border line malignancy; G1: Well differentiated; G2: Moderately differentiated; G3-G4: Poorly differentiated or Undifferentiated; GX: Grade cannot be assessed. Null: no data.

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recurrence rate between the two groups (Table 1). Then, Kaplan-Meier survival analysis was performed between the high and low SLC4A11 expression groups in TCGA-OV. In the database, SLC4A11 expression was quantified by RNAseq (AgilentG4502A 07 3 and IlluminaHi-Seq respectively) and exon RNAseq (polyA+ IlluminaHiSeq). Among 540 patients with SLC4A11 measured by AgilentG4502A 07 3, 534 patients had OS data. Both IlluminaHiSeq and RNAseq data included 302 patients with OS data (Fig 2A-2C). Log-rank test showed that in all measurements, high SLC4A11 expression was consistently associated with worse OS compared with low SLC4A11 expression (p = 0.00025, 0.0051 and 0.014 respectively, Fig 2A-2C). Recent studies suggest that GB/G1/G2 carcinoma belong to type I, while G3/G4 carcinomas belong to type II tumors, which have significant clinicopathologic and molecular differences [20, 21]. We then performed subgroup analysis in GB/G1/G2 and G3/G4 tumors respectively. In both groups, we found that high SLC4A11 expression was associated with unfavorable OS (Fig 3D and 3E). In univariate analysis, high SLC4A11 expression was associated with poor prognosis in terms of OS in both GB/G1/G2 and G3/G4 tumors (p = 0.011 and p<0.001 respectively) (Table 2). However, following multivariate analysis only confirmed the independent prognostic value of high SLC4A11 expression in G3/G4 tumors (HR = 1.416, 95%CI: 1.098–1.824, p = 0.007; Table 2), but not in GB/G1/G2 tumors (HR = 1.832, 95%CI: 0.987-3.402, p = 0.055; Table 2). Due to insufficient data of recurrence, we only assessed the



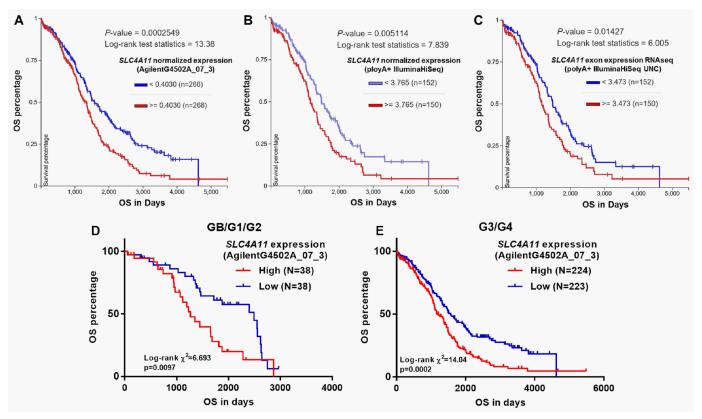


Fig 3. High *SLC4A11* expression is associated with poor survivals in ovarian cancer patients. A-E. Kaplan-Meier curves of OS in ovarian cancer patients with high and low *SLC4A11* expression. Data were obtained from TCGA-OV. *SLC4A11* expression was quantified by RNAseq (AgilentG4502A\_07\_3 (A) and IlluminaHiSeq respectively (B)) and exon RNAseq (polyA+ IlluminaHiSeq) (C). Kaplan-Meier curves of OS in GB/G1/G2 patients (D) and in G3/G4 patients (E).

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association between *SLC4A11* expression and RFS in patients with G3/G4 diseases. No independent prognostic value of *SLC4A11* expression was observed in terms of RFS (Table 2).

# *SLC4A11* expression is regulated by DNA amplification and methylation in ovarian cancer

Via analyzing the deep sequencing data in TCGA-OV, we further explored the mechanisms of *SLC4A11* dysregulation. Among 578 patients with copy number measured, 320 (55.4%) cases had DNA amplification (Fig 4A). By comparing *SLC4A11* expression and its DNA methylation status, we also confirmed that the high methylation group had a significantly lower level of *SLC4A11* expression (Fig 4B–4D).

#### **Discussion**

As a Na<sup>+</sup>-coupled base transporter capable of Na<sup>+</sup>-H<sup>+</sup> exchange in mammalian cells, SLC4A11 mutation is associated with a series of corneal endothelial diseases characterized by dysfunction of the endothelial cells in the inner surface of the cornea [22–24]. These diseases finally result in the apoptosis of corneal endothelial cells, and subsequent edema and impaired vision [25]. In fact, the normal corneal endothelial function is dependent on the balanced regulation of bicarbonate concertation and pH<sub>i</sub>/pH<sub>e</sub>. Based on these findings, we infer that the putative



Table 2. Univariate and multivariate analyses of OS/RFS in patients with primary ovarian cancer in TCGA-OV.

Parameters  OS (GB/G1/G2)  Age ≥60 vs.< 60	Univariate analysis				Multivariate analysis			
	<b>p</b> 0.011	<b>HR</b> 2.171	95%CI (lower/upper)		р	HR	95%CI (lower/upper)	
			1.192	3.956	0.999	1.00	0.549	1.824
Clinical stage III/IV vs. I/II	0.018	5.557	1.338	23.07	0.0367	4.63	1.099	19.504
<b>Venous invasion</b> No vs. Yes	0.112	0.392	0.123	1.244				
<b>Lymphatic invasion</b> No vs. Yes	0.999	1.001	0.27	3.712				
Tumor residual disease No vs. Yes	0.208	1.693	0.746	3.841				
SLC4A11 expression High vs. Low	0.011	2.171	1.192	3.956	0.055	1.832	0.987	3.402
<b>OS (G3/G4)</b> <b>Age</b> ≥60 vs.< 60	<0.001	1.616	1.266	2.062	0.001	1.516	1.187	1.937
Clinical stage III/IV vs. I/II	0.123	1.743	0.861	3.529				
Venous invasion No vs. Yes	0.533	1.2	0.676	2.132				
Lymphatic invasion No vs. Yes	0.055	0.613	0.373	1.01	0.563	0.859	0.514	1.437
Tumor residual disease No vs. Yes	<0.001	2.46	1.647	3.675	<0.001	2.252	1.497	3.39
SLC4A11 expression High vs. Low	<0.001	1.595	1.247	2.04	0.007	1.416	1.098	1.824
<b>RFS (G3/G4)</b> <b>Age</b> ≥60 vs.< 60	0.3004	1.366	0.757	2.465				
Clinical stage III/IV vs. I/II	0.146	0.22	0.029	1.692				
Tumor residual disease No vs. Yes	0.017	2.421	1.175	4.989	0.041	2.167	1.031	4.553
SLC4A11 expression High vs. Low	0.052	1.769	0.996	3.14	0.179	1.511	0.828	2.757

GB: Border line malignancy; G1: Well differentiated; G2: Moderately differentiated; G3-G4: Poorly differentiated or Undifferentiated. OS: overall survival; RFS: recurrence-free survival.

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bicarbonate transport or Na<sup>+</sup>-H<sup>+</sup> exchange activity of SLC4A11 is essential for maintaining normal cellular physiological functions.

In this study, we found that *SLC4A11* expression is significantly upregulated in ovarian cancer tissues than in normal tissues. The alkaline intracellular environment of cancer cells is usually associated with the upregulation of the expression and/or activity of acid extruders or downregulation of the expression and/or activity of acid loading transporters [1]. Given the pHi regulatory activity demonstrated in previous studies [10, 26], SLC4A11 naturally owns a strong cellular buffering capacity that enables the highly glycolytic cancer cells to remove lactic acid rapidly. Its upregulation can be considered as an adaptive response of the cancer cells to metabolic changes. In addition, upregulation of the acid extruders leads to extracellular acidification, thereby generating a favorable environment for tumor invasion and metastasis [5, 6]. In this study, we observed that the patients with metastasis had significantly higher *SLC4A11* expression than their counterparts without metastasis. Therefore, we infer that *SLC4A11* upregulation is an important mechanism leading to malignant cellular behaviors in ovarian cancer.



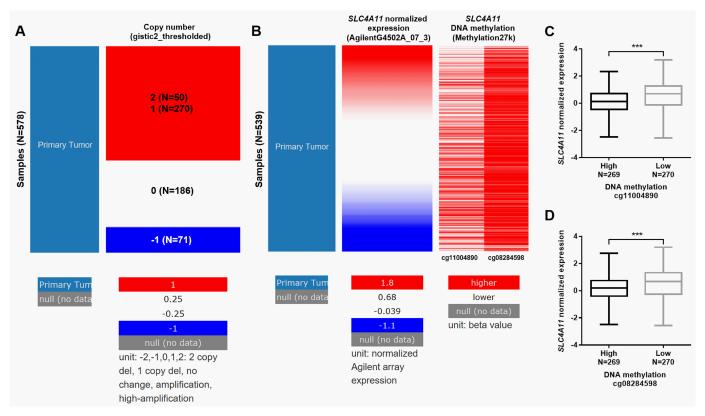


Fig 4. SLC4A11 expression is regulated by DNA amplification and methylation in ovarian cancer. A. Heat map of SLC4A11 copy number changes in TCGA-OV. -1: deletion; 0: no change; 1: amplification; 2: high-amplification. B-D. Heat map (B) and box plots (C-D) of SLC4A11 expression and DNA methylation in TCGA-OV. Patients were divided into high and low methylation groups according to the median value of the two probes (cg11004890 and cg08284598) respectively.

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By comparing the clinicopathological features between high and low *SLC4A11* expression groups, we found that the high *SLC4A11* expression group had a significantly higher ratio of lymphatic invasion and deceased cases. However, we also observed that the high *SLC4A11* expression group had a substantially larger proportion of low-grade tumors (GB/G1/G2) (46/261, 17.6% vs. 30/261, 11.3%) compared with the low *SLC4A11* group. Actually, G1/G2 serous ovarian carcinomas belong to type I tumors, while G3/G4 serous ovarian carcinomas belong to type II tumors, which have different molecular and biological profiles [20, 21]. We hypothesized that the difference in tumor grade might be related to the genetic difference between type I and type II tumors. However, more studies are required to confirm this hypothesis.

Currently, a series of predictors such as clinical stage, CA125 levels, age, response to chemotherapy and residual disease after debulking surgery are used to predict prognosis of ovarian cancer patients. However, there is still some discrepancy in the prognosis of similar tumors characterized by these clinical variables [27]. Ovarian cancers actually are a heterogeneous group of neoplasias derived from the ovarian surface epithelium, inclusion cysts, or the fallopian tube [28, 29]. The differences at the molecular level may be important sources of the variations. Therefore, it is necessary to explore other molecular parameters for better prediction of prognosis. In this study, based on the survival data of patients in TCGA-OV, we found that high *SLC4A11* expression was robustly associated with poor OS. Following univariate and multivariate analysis confirmed that high *SLC4A11* expression was an independent prognostic



factor for poor OS in G3/G4 tumors. These findings suggest that SLC4A11 might be a potential clinical marker in this group of patients. Although the association between SLC4A11 expression and OS in GB/G1/G2 patients was not significant, it showed a trend toward significance (p = 0.055). Considering the small number of GB/G1/G2 patients included in this study (N = 76), it is meaningful to further explore its prognostic value with a large sample base in the future.

SLC4A11 locates in chromosome 20p in the human genome, a region with a relatively high frequency of genetic amplification in ovarian cancer [30, 31]. By using deep sequencing data in TCGA-OV, we found that 320 out of 578 ovarian cancer cases had SLC4A11 amplification. Therefore, DNA amplification is one important mechanism of upregulated SLC4A11 in ovarian cancer. Epigenetic regulation, such as methylation and histone modification are also important mechanisms of dysregulated genes in ovarian cancer [32, 33]. Thus, we also investigated whether methylation status influences SLC4A11 expression. By using the results from Illumina 27k methylation array, we found that the group with high DNA methylation had significantly lower SLC4A11 expression, suggesting that epigenetic alterations also contribute to SLC4A11 upregulation in ovarian cancer.

### Conclusion

Based on findings above, we infer that high *SLC4A11* expression is an independent predictor for poor OS in grade 3/4 serous ovarian cancer. Both DNA amplification and hypomethylation contribute to its upregulation in ovarian cancer.

# **Supporting information**

S1 Table. Original data downloaded from the UCSC Xena Browser. (XLSX)

#### **Author Contributions**

Conceptualization: Lianzhi Qin, Yuhua Liu.

Data curation: Ting Li, Yuhua Liu. Formal analysis: Ting Li, Yuhua Liu.

Investigation: Lianzhi Qin, Ting Li, Yuhua Liu. Methodology: Lianzhi Qin, Ting Li, Yuhua Liu.

Project administration: Yuhua Liu.

Resources: Yuhua Liu.

Software: Ting Li, Yuhua Liu.

Supervision: Lianzhi Qin, Yuhua Liu.

Validation: Lianzhi Qin, Ting Li, Yuhua Liu.

Visualization: Lianzhi Qin, Ting Li.

Writing - original draft: Lianzhi Qin, Ting Li.

Writing - review & editing: Yuhua Liu.



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