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Gut microbiome dysbiosis during COVID-19 is associated with increased risk for bacteremia and microbial translocation.

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Letter

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2	microbial translocation.		
3			
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35 Abstract

- 36 The microbial populations in the gut microbiome have recently been associated with COVID-19 disease
- 37 severity. However, a causal impact of the gut microbiome on COVID-19 patient health has not been
- 38 established. Here we provide evidence that gut microbiome dysbiosis is associated with translocation of
- 39 bacteria into the blood during COVID-19, causing life-threatening secondary infections. Antibiotics and
- 40 other treatments during COVID-19 can potentially confound microbiome associations. We therefore first
- 41 demonstrate that the gut microbiome is directly affected by SARS-CoV-2 infection in a dose-dependent
- 42 manner in a mouse model, causally linking viral infection and gut microbiome dysbiosis. Comparison with
- 43 stool samples collected from 101 COVID-19 patients at two different clinical sites also revealed
- 44 substantial gut microbiome dysbiosis, paralleling our observations in the animal model. Specifically, we
- 45 observed blooms of opportunistic pathogenic bacterial genera known to include antimicrobial-resistant
- 46 species in hospitalized COVID-19 patients. Analysis of blood culture results testing for secondary
- 47 microbial bloodstream infections with paired microbiome data obtained from these patients suggest that
- 48 bacteria translocate from the gut into the systemic circulation of COVID-19 patients. These results are
- 49 consistent with a direct role for gut microbiome dysbiosis in enabling dangerous secondary infections
- 50 during COVID-19.

51 Main text

- 52 A better understanding of factors contributing to the pathology of coronavirus disease 2019 (COVID-19) is
- 53 an urgent global priority. Infections by SARS-CoV-2 are frequently asymptomatic or mild in nature, but
- 54 may also cause a broad range of severe and life-threatening symptoms. Previous reports have
- 55 demonstrated that severe COVID-19 is frequently associated with specific inflammatory immune
- 56 phenotypes, lymphopenia, and a generally disproportionate immune response leading to systemic organ
- 57 failure^{1,2}. Even in mild cases, gastrointestinal symptoms are reported frequently, and recent studies
- 58 reported that COVID-19 patients lose commensal taxa of the gut microbiome during hospitalization^{3,4}.
- 59 Differences in gut bacterial populations relative to healthy controls were observed in all COVID-19
- 60 patients, but most strongly in patients who were treated with antibiotics during their hospitalization⁴. Most
- 61 recently, COVID-19 patients treated with broad spectrum antibiotics at admission were shown to have
- 62 increased susceptibility to multi-drug resistant infections and nearly double the mortality rate from septic
- 63 shock^{5,6}, and a recent meta-analysis found that over 14% of 3,338 COVID-19 patients acquired a
- 64 secondary bacterial infection⁷. However, the causal direction of the relationship between disease
- 65 symptoms and gut bacterial populations is not yet clear.
- 66 Complex gut microbiota ecosystems can prevent the invasion of potentially pathogenic 67 bacteria^{8,9}. Conversely, when the gut microbiota incurs damage, such as through antibiotics treatment, 68 competitive exclusion of pathogens is weakened¹⁰ and potentially dangerous blooms of antibiotic resistant bacterial strains can occur ^{11,12}. In immunocompromised cancer patients, blooms of Enterococcaceae and 69 Gram-negative proteobacteria can lead to gut dominations by few or single species^{13–16}. Gut domination 70 71 events are dangerous to these patients because antibiotic resistant bacteria may translocate from the gut 72 into the blood stream. Consequently, enterococcal dominations have been associated with 9-fold 73 increased risk of bloodstream infections (BSIs) with vancomycin-resistant Enterococcus (VRE), and 74 domination by Gram-negative proteobacteria with 5-fold increased risk of Gram-negative rod BSIs¹³. 75 Bacterial co-infection can also cause life-threatening complications in patients with severe viral 76 infections^{6,17}; therefore, antibacterial agents were administered empirically to nearly all critically ill suspected COVID-19 patients since the incidence of bacterial superinfection was unknown early during 77 78 the pandemic^{4,18}. However, it is now known that nosocomial infection during prolonged hospitalization is 79 the primary threat to patients with COVID-19¹⁹, rather than bacterial co-infection upon hospital 80 admission^{7,20-22}. Evidence from immunocompromised cancer patients suggests that indiscriminate 81 administration of broad-spectrum antibiotics may, counter-intuitively, increase nosocomial BSI rates by causing gut dominations of resistant microbes that can translocate into the blood^{13,23}. Thus, empiric 82 83 antimicrobial use, i.e. without direct evidence for a bacterial infection, in patients with severe COVID-19 84 may be especially pernicious because it may select for antimicrobial resistance and could promote gut 85 translocation-associated BSI.
- 86

The role of the gut microbiome in respiratory viral infections in general²⁴, and in COVID-19 87 88 patients in particular, is only beginning to be understood. Animal models of influenza virus infection have 89 uncovered mechanisms by which the microbiome influences antiviral immunity²⁵⁻²⁷, and in turn, the viral 90 infection was shown to disrupt the intestinal barrier of mice by damaging the gut microbiota^{28,29}. Hence, 91 we hypothesized that gut dysbiosis during COVID-19 may be associated with BSIs. To test this, we first 92 determined whether SARS-CoV-2 infection could directly cause gut dysbiosis independently of 93 hospitalization and treatment. Daily changes in fecal bacterial populations were monitored following 94 intranasal inoculation of transgenic mice expressing human ACE2 driven by the cytokeratin-18 promoter 95 (K18-ACE2tg mice) with either a high dose (HD.10⁴PFU) or low dose (LD. 10PFU) of SARS-CoV-2 96 (Figure 1a). Although disease was not as evident in LD mice, we confirmed the presence of infectious 97 virus in the lung by plaque assay at sacrifice (Supplementary Figure S1). Among the HD mice, we observed significant microbiome changes (Figure 1b), with a repeatedly observed community trajectory 98 99 corresponding to a loss in relative abundances of obligate anaerobe species such as members of the 100 Clostridiales order (Figure 1c), concurrent with an expansion of Verrucomicrobiales (Figure 1a,c). During 101 this shift in the microbiome, α -diversity in the gut bacterial ecosystem was decreasing, a trend also 102 observed in the LD mice, albeit to a lesser extent, but not in the control mice (Figure 1b). After less than 103 one week of viral infection, α-diversity was reduced in infected mice (Figure 1b, 95%HDI<0 Bayesian 104 estimation of differences in group means BEST, methods). Alongside the progressive increase in 105 microbiota compositional dysbiosis, we also observed systemic signs of severe infection, including weight 106 loss (Supplementary Figure S2), as well as ruffled fur, heavy breathing, reduced activity and hunched 107 posture (Supplementary Table S1). These results demonstrate that SARS-CoV2 infection directly 108 causes gut microbiome dysbiosis in a mouse model.

109 We next profiled the bacterial composition of the fecal microbiome in 138 samples (Figure 2a) 110 obtained from SARS-CoV-2 infected patients treated at NYU Langone Health (NYU, 73 samples, 111 Supplementary Table S2) and Yale New Haven Hospital (YALE, 65 samples, Supplementary Table 112 S3). Analysis of metagenomic data obtained from sequencing of the 16S rRNA genes revealed a wide 113 range of bacterial community diversities, as measured by the inverse Simpson index, in samples from 114 both centers (NYU: [1.0, 32.2], YALE: [1.5, 29.3], Figure 2b); on average, samples from NYU were less 115 diverse (-2.5, p<0.01, Figure 2c). However, the composition in samples between the two centers did not 116 show systematic compositional differences (Figure 2d,e,f). On average, in both centers, members of the 117 phyla Firmicutes and Bacteroidetes represented the most abundant bacteria, followed by Proteobacteria 118 (Figure 2d). The wide range of bacterial diversities was reflected in the high variability of bacterial 119 compositions across samples (Figure 2e, f). In samples from both centers, microbiome dominations, 120 defined as a community where a single genus reached more than 50% of the population, were observed 121 frequently (NYU: 21 samples, YALE: 12 samples), representing states of severe microbiome injury in 122 COVID-19 patients (Figure 2g).

123 In agreement with a recent study associating gut microbial compositions with disease severity⁴,

124 we found that samples from patients who were treated in the ICU had reduced bacterial diversity

125 (Supplementary Figure S3). In 22 cases, gastrointestinal symptoms were recorded, but only two of

126 those patients required ICU treatment and corresponding stool samples had higher average diversity than

127 other samples (**Supplementary Figure S3**). Strikingly, however, samples associated with a BSI had

- 128 strongly reduced bacterial α -diversities (mean difference: -7.7, Cl_{BEST}[-10.2, -5.2], **Supplementary Figure**
- 129 **S3**).

130 The lower diversity associated with samples from 21 patients with BSIs led us to investigate their 131 bacterial taxon compositions and the potential that gut dysbiosis was associated with BSI events. All BSI 132 patients had received antibiotic treatments during hospitalization, which could exacerbate COVID-19 induced shifts in microbiota populations^{11,12,15}, but may indeed be administered in response to a 133 134 suspected or confirmed BSI. However, we noted that most BSI patients (80%) also received antibiotics 135 prior to their BSI. Principal coordinate analysis of all stool samples indicated that the BSI-associated 136 samples spanned a broad range of compositions (Figure 3a). To identify bacterial abundance patterns 137 that consistently distinguished BSI from non-BSI-associated samples, we next performed a Bayesian 138 logistic regression. This analysis estimated the association of the 10 most abundant bacterial genera with 139 BSI cases, i.e. it identified enrichment or depletion of bacterial genera in BSI associated samples 140 (Figure 3b). This analysis revealed that the genus *Faecalibacterium* was negatively associated with BSI 141 (OR: -1.49, CI:[-2.82, -0.18]). Faecalibacterium is an immunosupportive Clostridiales genus that is a prominent member of the human gut microbiome^{30–32}, and its reduction is associated with disruption to 142 143 intestinal barrier function^{33,34}, perhaps via ecological network effects³⁴.

To evaluate the effect size of the association between *Faecalibacterium* and BSIs, we performed a posterior predictive check. Using the average genus composition found across all samples, we first computed the distribution of predicted BSI risks (**Figure 3c**), and compared this risk distribution with a hypothetical bacterial composition which increased *Faecalibacterium* by 10% points. The predicted risk distributions associated with these two compositions differed strongly (mean difference 26%,

149 CI: [-9%, 67%], Figure 3c). Domination states of the microbiome increase the risk for BSIs in

150 immunocompromised cancer patients ¹³; such dominations imply high relative abundances of single taxa,

151 and therefore a low diversity. Consistent with this, *Faecalibacterium* abundance was positively correlated

152 with diversity (R: 0.55, $p < 10^{-10}$, **Figure 3d**) in our data set and as reported previously ³⁰.

We therefore next investigated a direct association between the bacteria populating the gut
 microbiome and the organisms identified in the blood of patients. Visualizing the bacterial composition in
 stool samples from patients alongside the BSI microorganism (Supplementary Figure S4, Figure 3e)

156 suggested a correspondence with the respective taxa identified in the blood: high abundances of the BSI-

157 causing microbes were found in corresponding stool samples (Figure 3e). To analyze this, we first

158 assigned stool samples associated with each BSI event into 5 categories defined by the taxonomic order

159 of the causative bacterial organisms, as well as one singleton group of a fungal infection case as a sixth

160 category; stool samples from uninfected patients were assigned a seventh, "uninfected" category. For 161 samples of each BSI category, we first calculated their median abundances of bacterial predictors in the 162 stool. We then ranked these stool taxon median abundances across BSI categories. As expected from 163 the visualization of sample compositions (Figure 3e), we found that BSI category sample sets were 164 generally enriched in their respective taxa in the stool. For example, samples associated with Klebsiella, 165 Escherichia or Serratia BSIs (Enbct category, Figure 3f) had the highest rank of Enterobacterales 166 abundances across the BSI category sample sets (Figure 3f). We tested this observation statistically 167 using the log₁₀-relative bacterial abundances in stool samples as independent predictors of identified BSI 168 pathogens, i.e. the BSI category, in a Bayesian categorical regression model where the uninfected class 169 was used as a pivot (see methods). In addition to taxon abundances, the model included the bacterial 170 α-diversity as a predictor. As expected, a strong statistical association between diversity and BSIs in general was detected (Supplementary Figure S5). The rank analysis had suggested that 171 172 Staphylococcales are not enriched in BSIs by Staphylococcus (Figure 3f); this was supported by the 173 Bayesian model which showed that log10-abundances of Staphylococcales in the stool were not 174 detectably predictive of a Staphylococcus BSI (Figure 3g). By contrast, our analysis demonstrated that 175 the bacterial abundances of all other BSI-causing organisms in the stool were predictive of corresponding 176 BSIs.

177 Collectively, these results reveal an unappreciated link between SARS-CoV-2 infection, gut 178 microbiome dysbiosis, and a major complication of COVID-19, BSIs. The loss of diversity and 179 immunosupportive Faecalibacterium in patients with BSIs mirrored a similar loss of diversity and 180 Clostridiales in the mice receiving high doses of SARS-CoV-2, suggesting that this virus causally affects the microbiome, either through direct infection^{35–39} or through a systemic inflammatory response ^{2,4}. 181 182 However, the dysbiosis in patients with COVID-19 exceeded the microbiota shifts observed in the mouse 183 experiments, including microbiome dominations by single taxa, which was not seen in the mouse 184 experiments. It is possible that in our experiment, mice were sacrificed before perturbations to the gut 185 microbial populations reached a maximum. However, it is also plausible that the frequently administered antibiotic treatments that hospitalized COVID-19 patients receive exacerbated SARS-CoV-2 induced 186 187 microbiome perturbations. Additionally, unlike the controlled environment experienced by laboratory mice, 188 hospitalized patients are uniquely exposed to antimicrobial-resistant infectious agents present on 189 surfaces and shed by other patients. Indeed, domination events where the gut is populated by only a few 190 taxa have been described in hospitalized, immunocompromised cancer patients treated with broad 191 spectrum antibiotics¹⁵. We frequently observed such dominations in our COVID-19 cohorts treated at two 192 hospitals. 193 Our observation that the type of bacteria that entered the bloodstream was disproportionately

194 enriched in the associated stool samples is a well characterized phenomenon in cancer patients¹³,

195 especially during chemotherapy induced leukocytopenia when patients are severely

196 immunocompromised^{11,30}. COVID-19 patients are also immunocompromised and frequently incur

- 197 lymphopenia, rendering them susceptible to secondary infections⁴⁰. Our data suggests dynamics in
- 198 COVID-19 patients may be similar to those observed in cancer patients: BSI-causing organisms may
- translocate from the gut into the blood, potentially due to loss of gut barrier integrity, through virus-
- 200 induced tissue damage rather than chemotherapy. Consistent with this possibility, soluble immune
- 201 mediators such as TNFa and interferons produced during viral infections, including SARS-CoV-2,
- 202 damage the intestinal epithelium to disrupt the gut barrier, especially when the inflammatory response is
- sustained as observed in patient with severe COVID-19^{41–43}.

One limitation of our data is temporal ordering of samples. Occasionally stool samples were collected after observation of BSI, and this mismatch in temporal ordering is counter intuitive for gut-toblood translocation and a causal interpretation of our associations. However, the reverse direction, that blood infection populates and changes the gut community, is unlikely for the organisms identified in the blood, and if our associations were not causal, we would expect no match between BSI organisms and stool compositions.

Taken together, our findings support a scenario in which gut-to-blood translocation of
 microorganisms following microbiome dysbiosis, a known issue for chronic conditions such as cancer,
 leads to dangerous BSIs during COVID-19. We suggest that investigating the underlying mechanism

- 213 behind our observations will inform the judicious application of antibiotics and immunosuppressives in
- 214 patients with respiratory viral infections and increase our resilience to pandemics.
- 215

216 Materials and Methods

217 Bioethics statement

- 218 The collection of COVID-19 human biospecimens for research has been approved by the NYUSOM
- 219 Institutional Review Board under il8-01121 Inflammatory Bowel Disease and Enteric Infection at NYU
- 220 Langone Health. The data presented in this study were also approved by Yale Human Research
- 221 Protection Program Institutional Review Boards (FWA00002571, protocol ID 2000027690). Informed
- consent was obtained from all enrolled patients.
- 223

224 Mouse experiments

225 Cells & virus

Vero E6 (CRL-1586; American Type Culture Collection) were cultured Dulbecco's Modified Eagle's
 Medium (DMEM,Corning) supplemented with 10% fetal bovine serum (FBS, Atlanta Biologics) and 1%

228 nonessential amino acids (NEAA,Corning). SARS-CoV-2, isolate USA-WA1/2020 19 (BEI resources

- #NR52281), a gift from Dr. Mark Mulligan at the NYU Langone Vaccine Center was amplified once in
- 230 Vero E6cells. All experiments with SARS-CoV-2 were conducted in the NYU Grossman School of
- 231 Medicine ABSL3 facility by personnel equipped with powered air-purifying respirators.
- 232

233 <u>Mice</u>

- Heterozygous K18-hACE2 C57BL/6J mice (strain: 2B6.Cg-Tg(K18-ACE2)2Prlmn/J) were obtained from
- 235 The Jackson Laboratory. Animals were housed in groups and fed standard chow diets. All animal studies
- 236 were performed according to protocols approved by the NYU School of Medicine Institutional Animal Care
- and Use Committee (IACUC n°170209). 24-week-old K18-hACE2 males were administered either 10PFU
- 238 SARS-CoV-2 (low dose, LD), 10⁴PFU SARS-CoV-2 (high dose, HD) diluted in 50µL PBS (Corning) or
- 239 50µL PBS (non-infected, CTRL) via intranasal administration under xylazine-ketamine anesthesia
- 240 (AnaSedR AKORN Animal Health, KetathesiaTM Henry Schein Inc). Viral titer in the inoculum was
- 241 verified by plaque assay in Vero E6 cells. Following infection, mice were monitored daily for weight loss
- and signs of disease. Stool samples were collected and stored at -80°C.
- 243

244 Measurement of viral load by plaque assay

245 Six or seven days after infection, mice were sacrificed. For some mice lungs were collected in Eppendorf 246 tubes containing 500µl of PBS and a 5mm stainless steel bead (Qiagen) and homogenized using with the 247 Qiagen TissueLyser II. Homogenates were cleared for 5 min at 5,000 × g, and viral supernatant was 248 frozen at -80°C for titration through plague assay. In brief, Vero E6 cells were seeded at a density of 2.2 * 249 10⁵ cells per well in flat-bottom 24-well tissue culture plates. The following day, media was removed and 250 replaced with 100µL of tenfold serial dilutions of the virus stock, diluted in infection medium. Plates were 251 incubated for 1h at 37°C. Following incubation, cells were overlaid with 0.8% agarose in DMEM 252 containing 2% FBS and incubated at 37°C for 72hrs. Cells were then fixed with formalin buffered 10% 253 (Fisher Chemical) for 1h. Agarose plugs were then removed and cells were stained for 20 min with crystal 254 violet and then washed with tap water.

255

256 Human study population and data collection

257 This study involved 101 patients with laboratory-confirmed SARS-CoV-2 infection. SARS-CoV-2 infection 258 was confirmed by a positive result of real-time reverse transcriptase-polymerase chain reaction assay on 259 a nasopharyngeal swab. 64 patients were seen at NYU Langone Health, New York, for routine medical 260 procedures, outpatient care, or admitted through the Emergency Department at NYU Langone Health's 261 Tisch Hospital, New York City, between January 29, 2020 – July 2, 2020 and were followed until 262 discharge. In order to be eligible for inclusion in the study, stool specimens needed to be from individuals 263 >18 years of age. Data including demographic information, clinical outcomes, and laboratory results were 264 extracted from the electronic medical records in the NYU Langone Health clinical management system. 265 Blood and stool samples were collected by hospital staff. OmnigeneGut kits were used on collected stool. 266 In parallel, 37 patients were admitted to YNHH with COVID-19 between 18 March 2020 and 27 May 2020 267 as part of the YALE IMPACT cohort described at length elsewhere². Briefly, participants were enrolled

- after providing informed consent and paired blood and stool samples were collected longitudinally where
- 269 feasible for duration of hospital admission. No statistical methods were used to predetermine sample size

- 270 for this cohort. Demographic information of patients was aggregated through a systematic and
- 271 retrospective review of the EHR and was used to construct **Supplementary Table 3**. Symptom onset and
- 272 aetiology were recorded through standardized interviews with patients or patient surrogates upon
- enrolment in our study, or alternatively through manual EHR review if no interview was possible owing to
- 274 clinical status at enrolment. The clinical data were collected using EPIC EHR and REDCap 9.3.6
- 275 software. At the time of sample acquisition and processing, investigators were blinded to patient clinical
- 276 status.
- 277

278 DNA extraction and bacterial 16S rRNA sequencing

- 279 For bacterial DNA extraction 700µL of SL1 lysis buffer (NucleoSpin Soil kit, Macherey-Nagel) was added 280 to the stool samples and tubes were heated at 95°C for 2h to inactivate SARS-CoV-2. Samples were then 281 homogenized using the FastPrep-24TM instrument (MP Biomedicals) and extraction was pursued using 282 the NucleoSpin Soil kit according to the manufacturer's instructions. DNA concentration was assessed 283 using a NanoDrop spectrophotometer. Samples with too low DNA concentration were excluded. DNA 284 from human samples was extracted with PowerSoil Pro (Qiagen) on the QiaCube HT (Qiagen), using 285 Powerbead Pro (Qiagen) plates with 0.5mm and 0.1mm ceramic beads. For mouse samples, the variable 286 region 4 (V4) of the 16S rRNA gene was amplified by PCR using primers containing adapters for MiSeg 287 sequencing and single-index barcodes. All PCR products were analyzed with the Agilent TapeStation for 288 quality control and then pooled equimolar and sequenced directly in the Illumina MiSeg platform using the 2x250 bp protocol. Human samples were prepared with a protocol derived from ⁴⁴, using KAPA HiFi 289 290 Polymerase to amplify the V4 region of the 16S rRNA gene. Libraries were sequenced on an Illumina 291 MiSeg using paired-end 2x250 reads and the MiSeg Reagent Kitv2.
- 292

293 Bioinformatic processing and taxonomic assignment

- 294 Amplicon sequence variants (ASVs) were generated via dada2 v1.16.0 using post-QC FASTQ files. 295 Within the workflow, the paired FASTQ reads were trimmed, and then filtered to remove reads containing 296 Ns, or with maximum expected errors >= 2. The dada2 learn error rate model was used to estimate the 297 error profile prior to using the core dada2 algorithm for inferring the sample composition. Forward and 298 reverse reads were merged by overlapping sequence, and chimeras were removed before taxonomic 299 assignment. ASV taxonomy was assigned up to genus level using the SILVAv.138 database with the 300 method described in ⁴⁵ and a minimum boostrapping support of 50%. Species-level taxonomy was 301 assigned to ASVs only with 100% identity and unambiguous matching to the reference.
- 302

303 Compositional analyses

304 <u>α-Diversity</u>

305 We calculated the inverse Simpson (*IVS*) index from relative ASV abundances (*p*) with *N* ASVs in a given

306 sample, $IVS = \frac{1}{\sum_{i}^{N} p_{i}^{2}}$.

307 Principal Coordinate Analyses

- 308 Bray-Curtis distances were calculated from the filtered ASV table using QIIME 1.9.1 and principal
- 309 components of the resulting distance matrix were calculated using the scikit-learn package for the Python
- 310 programming language, used to embed sample compositions in the first two principal coordinates (see
- 311 published code for the implementation in the Python programming language).
- 312

313 Average compositions and manipulation of compositions

- 314 To describe the average composition of a set of samples we calculated the central tendency of a
- 315 compositional sample ⁴⁶. For counter factual statistical analyses that require changes to a composition,
- e.g. an increase in a specific taxon, we deployed the perturbation operation (\oplus) , which is the
- 317 compositional analogue to addition in Euclidean space⁴⁶. A sample *x* containing the original relative taxon
- abundances is perturbed by a vector *y*,

319
$$y: x \oplus y = \left[\frac{x_1y_1}{\sum_{i=1}^{D} x_iy_i}, \frac{x_2y_2}{\sum_{i=1}^{D} x_iy_i}, \dots, \frac{x_Dy_D}{\sum_{i=1}^{D} x_iy_i}\right] \forall x, y \in S^D$$

- 320 where S^{D} represents the D-part simplex.
- 321

322 Statistical analyses

323 Computer code alongside processed data tables are made available and can be used to reproduce the 324 statistical analysis and regenerate the figures (**Supplementary File 1**).

325

326 Bayesian t-test

- 327 To compare diversity measurements between different sample groups, e.g. different clinical status, we
- 328 performed a Bayesian estimation of group differences (BEST, ⁴⁷), implemented using the pymc3 package
- 329 for the Python programming language; with priors (~) and deterministic calculations (=) to assess
- 330 differences in estimated group means as follows:
- 331 $g_1 \sim Normal(\mu = 15, \sigma = 15)$
- 332 $g_2 \sim Normal(\mu = 15, \sigma = 15)$
- 333 $\sigma_{g1} \sim \text{Uniform}(\text{low} = 1\text{e}-4,\text{high} = 30)$
- 334 $\sigma_{g2} \sim \text{Uniform}(\text{low} = 1e-4, \text{high} = 30)$
- 335 $v \sim \text{Exponential}(1/15) + 1$
- $336 \qquad \qquad \lambda_1 = \sigma_{g1}^{-2}$
- $337 \qquad \qquad \lambda_2 = \sigma_{g2}^{-2}$
- 338 $G1 \sim \text{StudentT}(\text{nu} = v, \text{mu} = g_1, \text{lam} = \lambda_1)$
- 339 $G2 \sim \text{StudentT}(\text{nu} = v, \text{mu} = g_2, \text{lam} = \lambda_2)$
- $\Delta = G1 G2$

Bayesian inference was performed using "No U-turn sampling"⁴⁸. Highest density intervals (HDI) of the

342 posterior estimation of group differences (Δ) were used to determine statistical certainty (***: 99% HDI >0

343 or <0, **: 95%HDI, *:90% HDI). The BEST code is provided in the Supplementary and implemented 344 following the pymc3 documentation (Supplementary File 1).

345

346 Bayesian logistic regression

347 We performed a Bayesian logistic regression to distinguish compositional differences between infection-

- 348 associated samples and samples from patients without secondary infections. We modeled the infection
- 349 state of patient sample *i*, *yi* with a Binomial likelihood:

350 $y_i \sim \text{Binomial}(n = 1, p = p)$

- 351 $p = inverse logistic(\alpha + X_i\beta)$
- 352 $\alpha \sim \text{Normal}(\mu = 0, \sigma = 1)$
- 353 $\beta \sim \text{Normal}(\mu = 0, \sigma = 1)$

354 Where prior distributions are indicated by \sim ; α is the intercept of the generalized linear model, β is the

355 coefficient vector for the log₁₀-relative taxon abundances X_i in sample *i*.

356

357 Bayesian categorical regression

358 To interrogate a correspondence between the taxon abundances in stool samples and the

359 microorganisms causing BSIs, we performed a Bayesian categorical regression. Briefly, we chose to

- 360 investigate an association between stool taxon abundances (independent predictor variable) and the
- 361 microbe identified in the blood (categorical outcome variable with seven unordered values) using a
- 362 multiclass regression (categorical regression). We estimated for each sample a probability of being
- 363 associated with one of the 6 BSI types (i.e. BSI by: Bacteroidaceae, Enterobacteriaceae,
- 364 Lactobacillaceae, Pseudomonadaceae, Staphylococcaceae, Saccharomycetaceae), and we used a
- 365 seventh class, uninfected, as a pivot. This means we are estimating a seven component simplical vector
- 366 (s) containing the probabilities of a sample to be associated with one of the seven categories (6 BSI types 367 and uninfected). For each category, we set up a linear model (sq, where g indicates the category). Each
- 368 linear model includes log₁₀-relative stool taxon abundances of the taxa corresponding to the BSI category.
- 369 Furthermore, we had shown that alpha diversity (IVS) was globally associated with BSI; thus, diversity
- 370 was a predictor in each linear model. We set up a model using varying intercept and varying slope terms
- 371 such that the linear models used partially pooled coefficients for baseline risks (β [1]) and slopes
- 372 corresponding to the stool sample predictors (β [2]). The multiclass probabilities in *s* were then obtained by applying the softmax function. The following model and priors were used:
- 373

374 $y_i \sim Categorical(p = p)$

375 p = softmax(s)

- 376 $z = (\sigma p * Lp) * zp$
- 377 $s_{Bacteroidaceae} = \beta[1] + z[1,1] + (\beta[2] + z[2,1]) * X_{Bacteroidaceae} + \beta_{diversity} * IVS$
- 378 $s_{Enterobacteriaceae} = \beta[1] + z[1,2] + (\beta[2] + z[2,2]) * X_{Enterobacteriaceae} + \beta_{diversity} * IVS$
- 379 $s_{\text{Lactobacillaceae}} = \beta[1] + z[1,3] + (\beta[2] + z[2,3]) * X_{\text{Lactobacillaceae}} + \beta_{\text{diversity}} * \text{IVS}$

380	$s_{Pseudomonadaceae} = \beta[1] + z[1,4] + (\beta[2] + z[2,4]) * X_{Pseudomonadaceae} + \beta_{diversity} * IVS$
381	$s_{Staphylococcaceae} = \alpha_{Staphylococcaceae} + \beta_{Staphylococcaceae} * X_{Staphylococcaceae} + \beta_{diversity} * IVS$
382	$s_{Saccharomycetaceae} = \alpha_{Saccharomycetaceae} + \beta_{diversity} * IVS$
383	$s_{uninfected} = 0$
384	$\beta \sim \text{Normal}(\mu = 0, \sigma = 1)$
385	$\sigma \sim \text{Exponential}(\lambda = 1)$
386	$\sigma p \sim \text{Exponential}(\lambda = 1)$
387	$Lp \sim LKJ_corr_choleski(2)$
388	$\alpha_{Staphylococcaceae} \sim Normal(\mu = 0, \sigma = 1)$
389	$\beta_{\text{Staphylococcaceae}} \sim \text{Normal}(\mu = 0, \sigma = 1)$
390	$\beta_{\text{diversity}} \sim \text{Normal}(\mu = 0, \sigma = 1)$
391	$\alpha_{\text{Saccharomycetaceae}} \sim \text{Normal}(\mu = 0, \sigma = 2)$
392	$zp \sim Normal(\mu = 0, \sigma = 1)$

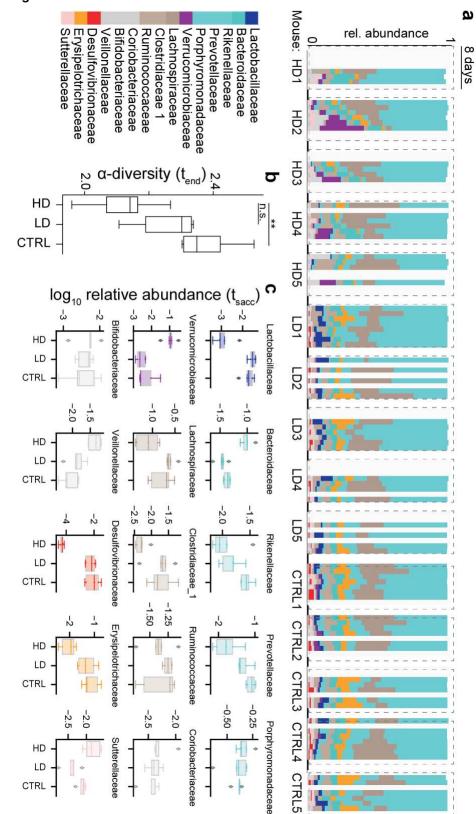
393

394 Where p corresponds to the probabilities of each category, β is a two-component variable for the intercept 395 and slope terms, representing the global baseline probability of bacterial infections with Bacteroidaceae, 396 Enterobacteriaceae, Lactobacillaceae, and Pseudomonadaceae as well as the global slope coefficient for 397 the effect of stool log₁₀-relative abundances, which are partially pooling information across bacterial 398 infection categories. To achieve partial pooling and account for correlations between varying intercepts 399 and slopes (z), we jointly inferred the Choleski-factorized covariance matrix (σp , Lp, zp), using the 400 Lewandowski-Kurowicka-Joe (LKJ) distribution as a prior (LKJ corr choleski). Bdiversity is the coefficient for 401 the effect of the IVS diversity. Of note, 16S rRNA sequencing does not provide abundances for the fungal 402 infection by Saccharomycetaceae; therefore, we used only a baseline risk for this infection type 403 (asaccharomycetaceae) and IVS as predictors. To ensure equal prior probabilities for this category relative to the 404 other categories, which have an additional predictor term and thus wider prior probabilities, we 405 compensated the otherwise reduced prior uncertainty by widening the prior for asaccharomycetaceae. Also, we 406 assumed that infections by Staphylococcaceae could sometimes include contaminations from the skin of 407 the patient or staff; therefore, we did not pool estimates for BSIs by Staphylococcaceae with other 408 coefficients. The model was implemented in the STAN programming language and compiled using 409 cmdstan. Code, the compiled STAN model, R notebooks to obtain and process the posterior chains, and 410 data tables are provided in the supplement (Supplementary File 2). 411

412 Data Availability

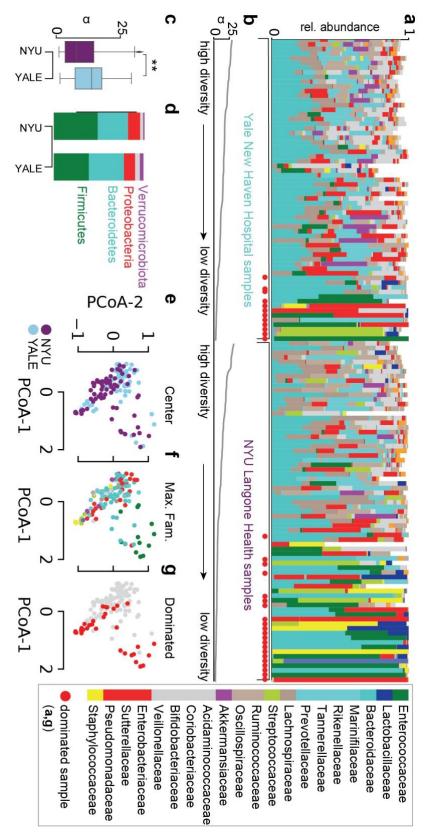
- 413 All data is made available as Supplementary. We provide code and data to reproduce the main analyses
- 414 in the form of jupyter notebooks and R notebooks, alongside processed "tidy" data tables, compiled STAN
- 415 programs and code to regenerate the figures. The raw sequencing data have been deposited on the
- 416 Sequencing Reads Archive (SRA), and SRA accession numbers are available for two bioprojects
- 417 corresponding to the mouse sequencing data (Supplementary File 3) and the human stool samples
- 418 (Supplementary File 4).

419 Figures



420

- 421 Figure 1. SARS-CoV-2 infection causes gut microbiome alterations in mice. a Timelines of fecal
- 422 microbiota composition measured by 16S rRNA gene sequencing in mice infected with high (HD,
- 423 10⁴PFU), or low doses (LD, 10PFU) and in uninfected control mice (CTRL); time of infection=Day 1. Bars
- 424 represent the composition of the 30 most abundant bacterial families per sample, blocks of samples
- 425 correspond to an individual mouse's time course. **b** α -diversity (Shannon) in the final samples per
- 426 infection group; **: HDI95<0 BEST. **c** *log*₁₀-relative family abundances at the final time point. heavy
- 427 breathing, reduced activity and hunched posture (Supplementary Table S1).



428

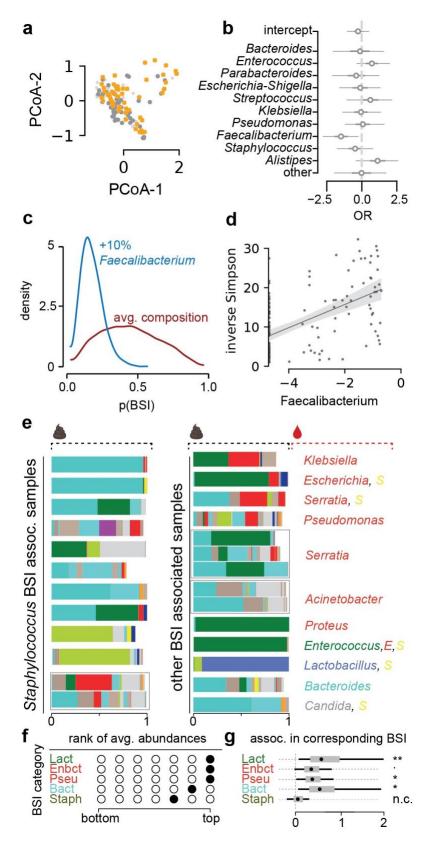
429 Figure 2. Gut microbiome bacterial compositions during COVID-19 in patients from NYU Langone

430 Health and Yale New Haven Hospital. a Bacterial family composition in stool samples identified by 16S

431 rRNA gene sequencing; bars represent the relative abundances of bacterial families; red circles indicate 432 samples with single taxa >50%. Samples are sorted by the bacterial α -diversity (inverse Simpson index, 433 b). c α -diversity in samples from NYU Langone Health and Yale New Haven Hospital. d Average phylum 434 level composition per center. e-g Principal coordinate plots of all samples shown in a, labeled by center 435 (e), most abundant bacterial family (f) and domination status of the sample (g). 436

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Figure 3. Microbiome composition is associated with secondary bloodstream infections. a Principal

441 coordinate plot of all samples, BSI associated samples in orange. **b** Posterior coefficient estimates from a

442 Bayesian logistic regression regressing log₁₀ relative abundances of the top 10 most abundant bacterial 443 genera on BSI status. c Posterior prediction of BSI risk based on bacterial composition contrasting the predicted risk of the average composition across all samples (red) with the risk estimated for the same 444 445 composition changed such that Faecalibacterium was increased by 10% (blue). d Log₁₀ relative abundances of *Faecalibacterium* correlated with α -diversity, shaded region: 95%CI. **e** Sample 446 compositions with BSIs indicated; left: Staphylococcus BSI associated samples; right: other BSI associated 447 448 samples, the BSI causing microbial genus annotated in colors corresponding to the colors in stool 449 microbiome compositions. f Rank analysis of abundance patterns in stool samples from different BSI 450 categories; a filled circle indicates the calculated rank of the focal BSI category (row) in terms of the 451 corresponding taxon stool abundance relative to samples from other BSI categories (only 5 out of 7 BSI categories are shown because fungal BSIs and the uninfected category have no corresponding bacterial 452 453 stool abundances). g Posterior coefficients of the statistical association between bacterial order log₁₀ 454 relative abundances of BSI causing bacteria and BSI events from a hierarchical Bayesian categorical 455 regression; **: 95%HDI>0, *: 90%HDI>0, .:85% HDI>0. 456

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- 462

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475 Author contributions

- 476 LBR performed the mouse experiments with help from MGN, AMVJ. MV, JEA and JS prepared the
- 477 samples from NYU. MV, JEA prepared the clinical data from NYU with help from JG, EW, BS. JK
- 478 provided the data from Yale with help from ACM and the IMPACT team, AIK and AI. JS designed and
- 479 performed the analyses with help from GAH and APS. JS and KC designed the research question with
- 480 support from VJT and BS. JS and KC wrote the manuscript. All other authors contributed materials,
- 481 scientific feedback and commented on the manuscript.
- 482

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- 499 KC has received research support from Pfizer, Takeda, Pacific Biosciences, Genentech, and Abbvie;
- 500 consulted for or received an honoraria from Puretech Health, Genentech, and Abbvie; and holds U.S.
- 501 patent 10,722,600 and provisional patents 62/935,035 and 63/157,225. JS is cofounder of Postbiotics
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- 503

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