

# Nd–Nb Co-doped SnO<sub>2</sub>/α-WO<sub>3</sub> Electrochromic Materials: Enhanced Stability and Switching Properties

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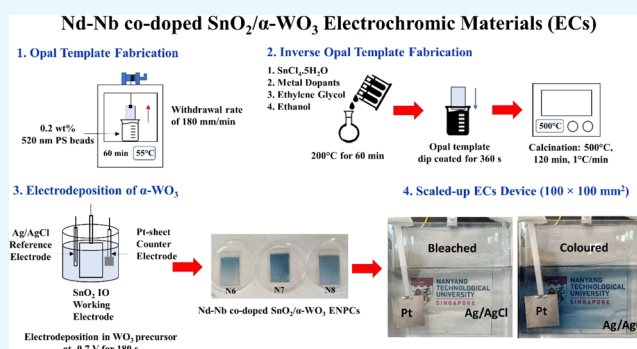
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**ABSTRACT:** The fabrication of Nd–Nb co-doped SnO<sub>2</sub>/α-WO<sub>3</sub> electrochromic (EC) materials for smart window applications is presented in the present paper. Nb is a good dopant candidate for ECs owing to its ability to introduce active sites on the surface of α-WO<sub>3</sub> without causing much lattice strain due to the similar ionic radius of Nb<sup>5+</sup> and W<sup>6+</sup>. These active sites introduce more channels for charge insertion or removal during redox reactions, improving the overall EC performance. However, Nb suffers from prolonged utilization due to the Li<sup>+</sup> ions trapped within the ECs. By coupling Nd with Nb, the co-dopants would transfer their excess electrons to SnO<sub>2</sub>, improving the electronic conductivity and easing the insertion and extraction of Li<sup>+</sup> cations from the ECs. The enhanced Nd–Nb co-doped SnO<sub>2</sub>/α-WO<sub>3</sub> exhibited excellent visible light transmission (90% transmittance), high near-infrared (NIR) contrast (60% NIR modulation), rapid switching time (~1 s), and excellent stability (>65% of NIR modulation was retained after repeated electrochemical cycles). The mechanism of enhanced EC performance was also investigated. The novel combination of Nd–Nb co-doped SnO<sub>2</sub>/α-WO<sub>3</sub> presented in this work demonstrates an excellent candidate material for smart window applications to be used in green buildings.



## 1. INTRODUCTION

Approximately 30–40% of electrical energy consumption in residential and commercial buildings is spent on lighting and thermal management system. The amount of electrical energy demand is even intensified in urbanized cities. For example, Singapore (population density of approximately 8000 per km<sup>2</sup>), in 2019, consumed 51.7 TWh of electrical energy, of which 17.5 TWh (~34%) was used for lighting and indoor cooling.<sup>1,2</sup> Majority of this electrical energy (around 80%) was generated from fossil fuels.<sup>3</sup> The generation of electrical energy from fossil fuels emits large amounts of carbon dioxide, CO<sub>2</sub>—a major component of greenhouse gases—to the atmosphere. Reducing the electrical energy consumption is a prerequisite for a newer and greener smart building design.

The utilization of smart windows in commercial and residential buildings promises a more sustainable consumption of electrical energy through the ability to control cross-sectional heat modulation without compromising its visible light transparency.<sup>4,5</sup> In this way, one can minimize the electrical consumption inside a building from lighting and air conditioning simultaneously. Electrochromic (EC) materials were first investigated by Platt in 1961.<sup>6</sup> The spectro-optical properties of the ECs can be easily modified through the application of small electrical charge, making them suitable

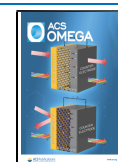
candidate materials for smart windows.<sup>5,7–9</sup> ECs may exist as organic, inorganic, or hybrid materials.<sup>5,10–13</sup> A good EC for smart window applications should possess the following properties: excellent coloration efficiency (CE), rapid tunable light and energy transmittance, good long-term stability, and good aesthetics.<sup>8</sup> The dual-band modulation, the ability to simultaneously tune near-infrared (NIR) irradiation and visible light transmission, is a very important characteristic of smart window materials that allow the optimization of seasonal consumption of indoor electrical energy. In this aspect, many organic ECs do not exhibit the needed dual-band modulation.<sup>11,13,14</sup>

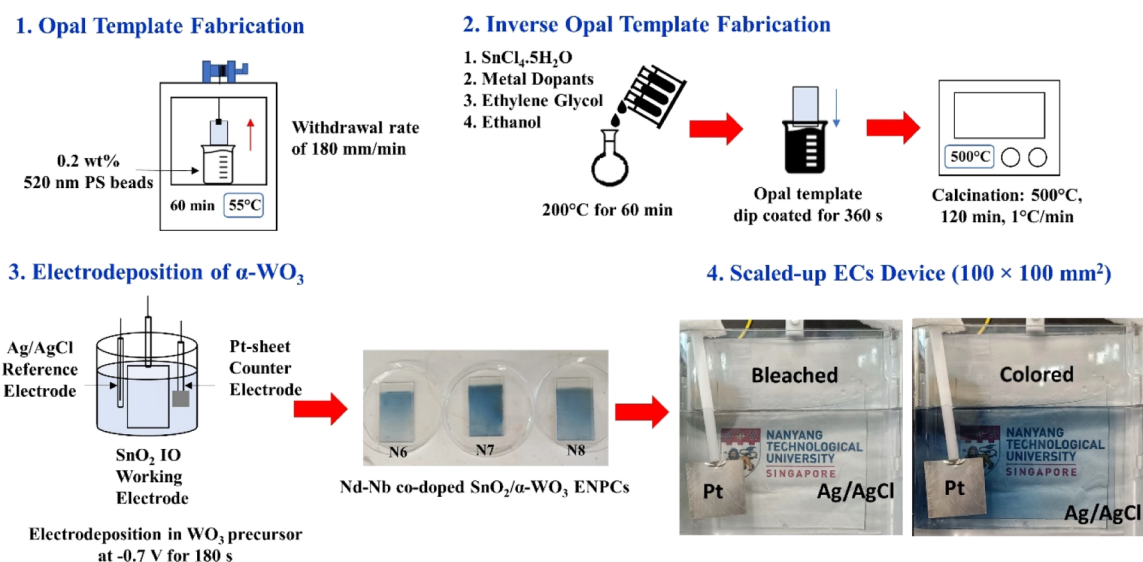
On the other hand, inorganic ECs exhibited the dual-band modulation characteristics, a prerequisite for a good smart window material. Research efforts had been devoted to study iridium oxide (IrO),<sup>15–17</sup> molybdenum oxide (MoO<sub>3</sub>),<sup>18–21</sup> nickel oxide (NiO),<sup>22–25</sup> niobium oxide (Nb<sub>2</sub>O<sub>5</sub>),<sup>26–30</sup>

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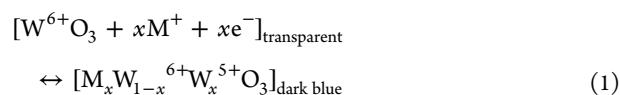
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Nd-Nb co-doped SnO<sub>2</sub>/α-WO<sub>3</sub> Electrochromic Materials (ECs)

**Figure 1.** Fabrication of Nd–Nb co-doped SnO<sub>2</sub>/α-WO<sub>3</sub> ECs.

titanium dioxide (TiO<sub>2</sub>),<sup>9,31–34</sup> tungsten oxide (WO<sub>3</sub>),<sup>35–42</sup> vanadium oxide (VO<sub>2</sub> or V<sub>2</sub>O<sub>5</sub>),<sup>43–46</sup> and their combination as suitable smart window materials. Among the abovementioned ECs, amorphous α-WO<sub>3</sub> is preferred due to its low cost and its excellent EC properties. The EC property of WO<sub>3</sub> is based on the simple principle of reversible electrochemical insertion or extraction of charge carriers and its counterions (such as H<sup>+</sup>, Li<sup>+</sup>, Na<sup>+</sup>, or K<sup>+</sup>) into/from the lattice of multivalent transition-metal ions. This reversible electrochemical reaction causes the optical modulation of α-WO<sub>3</sub> between the transparent and deep blue-colored state, as represented by eq 1. The three-dimensional tunnel network within the α-WO<sub>3</sub> octahedral framework is responsible for the ease of electron and small cation transport during the electrochemical insertion/extraction cycle, resulting in excellent EC properties.<sup>5</sup>



Despite its excellent EC properties, α-WO<sub>3</sub> suffers “ion-trapping” phenomenon in which the electronic capacity of the α-WO<sub>3</sub> framework is reduced due to the trapped ions during the prolonged reversible electrochemical cycles.<sup>38,47,48</sup> The crystallization and corrosion of α-WO<sub>3</sub> are also responsible for the poor long-term performances of α-WO<sub>3</sub> ECs.<sup>48,49</sup> The efforts to improve the long-term performances of α-WO<sub>3</sub> include constant current ion de-trapping, use of templating agent, or introduction of metal dopants onto the α-WO<sub>3</sub> framework.<sup>26,29,36,39,40,50–53</sup>

The conventional WO<sub>3</sub> only works efficiently in the visible light range. On the other hand, by combining SnO<sub>2</sub> with amorphous WO<sub>3</sub> (SnO<sub>2</sub>/α-WO<sub>3</sub>), the composite can modulate infra-red (IR) and near infra-red (NIR) light, which account for nearly 50% of the thermal energy in the solar spectrum while improving the visible light transparency of the ECs. The addition of SnO<sub>2</sub> to WO<sub>3</sub> will impede the rearrangement of the WO<sub>6</sub> octahedra from crystallizing, thus improving the overall stability of the EC.<sup>54,55</sup> Effort to improve the EC stability of α-WO<sub>3</sub> includes the addition of dopants into α-WO<sub>3</sub>. However,

the addition of dopants into α-WO<sub>3</sub> limits the ion insertion capability and the resulting EC performance.<sup>26,36,40,50</sup> In our previous works, we have investigated different metal dopants incorporated onto a bare SnO<sub>2</sub>/α-WO<sub>3</sub> framework: Nb, Mo, Ti, or Fe.<sup>56</sup> The addition of metal dopants was able to effect the dual-band modulation, and improved CE and fast switching time with Mo-doped SnO<sub>2</sub>/α-WO<sub>3</sub> exhibited the best EC performance. We further introduced a novel concept of second metal co-dopant (a lanthanide–neodymium) onto Mo-doped SnO<sub>2</sub>/α-WO<sub>3</sub> ECs.<sup>57</sup> We found that the introduction of Nd as a co-dopant enhanced the performances of Mo-doped SnO<sub>2</sub>/α-WO<sub>3</sub> ECs which caused the improved electronic conductivity of SnO<sub>2</sub>/α-WO<sub>3</sub> caused by the introduction of an energy state within the band gap of SnO<sub>2</sub>.<sup>40,58,59</sup> Reichman and Bard discovered the electrochromism of Nb<sub>2</sub>O<sub>5</sub> in 1980<sup>60</sup> which triggered an extensive study of Nb<sub>2</sub>O<sub>5</sub> as EC materials. Niobium exists in various oxidation states (i.e. +2, +4, or +5), oxide polymorphic forms, and morphologies. Nb can be used as a dopant to enhance the EC performance of other EC materials, such as organic poly(ethylene-3,4-dioxythiophene)/poly(styrene sulfonic acid)<sup>28</sup> and inorganic metal oxides,<sup>29,30,61</sup> or to be used as its own EC materials.<sup>41,62</sup> The addition of Nb onto SnO<sub>2</sub>/α-WO<sub>3</sub> will enhance the performance of SnO<sub>2</sub>/α-WO<sub>3</sub> due to the introduction of defect states and provision of active sites for reactions on the surface of α-WO<sub>3</sub> without introducing a lattice strain on the overall structure.<sup>61,63</sup> We aim to demonstrate the improved EC performance through the introduction of a novel co-dopant combination of neodymium and niobium (Nd–Nb) onto the SnO<sub>2</sub>/α-WO<sub>3</sub> EC framework. Nb as a sole dopant suffers from EC performance drop over a prolonged utilization. We propose that Nd–Nb will work synergistically to enhance the performance of SnO<sub>2</sub>/α-WO<sub>3</sub> ECs. To the best of the authors’ knowledge, this is a maiden work that investigates the synergistic introduction of Nd–Nb metal co-dopants into the SnO<sub>2</sub>/α-WO<sub>3</sub> EC framework and its effect on the enhanced stability and switching properties of the ECs. This work would show an important use of SnO<sub>2</sub>/α-WO<sub>3</sub> ECs as efficient, stable, and long-lasting smart window materials. The energy-saving

performance, lighting performance, ease of operation and control, and durability of the ECs are important prerequisites for the use of ECs for smart windows in green buildings.<sup>64</sup>

## 2. EXPERIMENTAL SECTION

**2.1. Materials and Reagents.** Nd–Nb co-doped SnO<sub>2</sub>/α-WO<sub>3</sub> ECs were prepared using the following chemicals acquired from Sigma-Aldrich and used without any further purification: tin(IV) chloride pentahydrate (SnCl<sub>4</sub>·5H<sub>2</sub>O), niobium(V) chloride, neodymium(V) chloride hexahydrate (NdCl<sub>3</sub>·6H<sub>2</sub>O), ethylene glycol (EG), hydrated sodium tungstate (Na<sub>2</sub>WO<sub>4</sub>·2H<sub>2</sub>O), hydrogen peroxide (H<sub>2</sub>O<sub>2</sub>), and chloric(VII) acid (HClO<sub>4</sub>). Milli-Q water (18.2 MΩ cm at 25 °C) was used for synthesis and other processes.

The Nd–Nb co-doped SnO<sub>2</sub>/α-WO<sub>3</sub> ECs were constructed on a cleaned 5.0 × 3.5 cm<sup>2</sup> glass substrate [fluorine-doped tin oxide (FTO), Wintek Technology]. To remove impurities, the substrates were ultrasonically cleaned sequentially (for 30 min each) in acetone, methanol, ethanol, and Milli-Q water. The as-cleaned substrates were stored submerged in 50% ethanol–50% Milli-Q water mixtures.

A polystyrene (PS) sphere suspension (520 nm, Thermo Fisher Scientific) was used to prepare the opal template. Optical and EC characteristics of the resulting ECs were assessed in 1 M LiClO<sub>4</sub> in propylene carbonate (PC) electrolyte solution.

**2.2. Fabrication of Nd–Nb Co-doped SnO<sub>2</sub>/α-WO<sub>3</sub> ECs.** Figure 1 illustrates the step-by-step construction of Nd–Nb co-doped SnO<sub>2</sub>/α-WO<sub>3</sub> ECs. A regular array of PS spheres was coated using an automated dip coating machine (MTI Corporation, PTL-MMB01). A previously cleaned FTO substrate was dipped (rate of 180 mm·min<sup>-1</sup>) in a 0.2 wt % PS sphere suspension and kept for 90 min at 55 °C.

Inverse opal (IO) precursors were synthesized by dissolving 2.8 g of SnCl<sub>4</sub>·5H<sub>2</sub>O in 40 mL of EG under continuous stirring. Varying amounts of dopant precursors (NbCl<sub>5</sub> and/or NdCl<sub>3</sub>·6H<sub>2</sub>O) were added to prepare different precursors based on the samples listed in Table 1. The precursors were kept at 200 °C for 60 min.

**Table 1. Dopant Content of the ECs Prepared**

EC samples	Nb dopant (% mol Sn)	Nd Co-dopant (% mol Sn)
	<u>Undoped sample</u>	
N1	0	0
	<u>Nb-Doped samples</u>	
N2	5	0
N3	10	0
N4	15	0
	<u>Nd-Doped Sample</u>	
N5	0	10
	<u>Nd–Nb–Co-doped Samples</u>	
N6	5	10
N7	10	10
N8	15	10

The viscosity of the precursor was reduced by adding 80 mL of ethanol to the cooled solution.<sup>65</sup> The IO samples were prepared by dip coating the as-prepared PS opal onto the IO precursor solution (360 s, room temperature). IO samples were dried at room temperature and heat-treated at 500 °C for

120 min (heating rate of 1 °C/min) to obtain the Nd–Nb co-doped SnO<sub>2</sub> IO.

The α-WO<sub>3</sub> precursor was synthesized by dissolving 1 g of Na<sub>2</sub>WO<sub>4</sub>·2H<sub>2</sub>O in 0.65 mL of H<sub>2</sub>O<sub>2</sub> containing Milli-Q water solution. The acidity of the precursor was lowered to pH 1.2 using a HClO<sub>4</sub> solution.<sup>35</sup> Lastly, the construction of the Nd–Nb co-doped SnO<sub>2</sub>/α-WO<sub>3</sub> EC structure was completed by electrodepositing the α-WO<sub>3</sub> precursor onto the IO samples (*V* = −0.7 V, 180 s, Autolab Potentiostat 1470E). The as-fabricated ECs were washed and dried overnight at room temperature.

**2.3. Characterization Methodology.** The surface chemistry and mineralogy of the ECs were characterized using X-ray photoelectron spectroscopy (XPS, Al Kα excitation source *hν* = 1486.71 eV, Shimadzu Kratos Axis Supra) and X-ray diffraction (XRD, λ<sub>Cu Kα</sub> = 1.5406 Å, Shimadzu XRD-6000). The morphology of the ECs was observed with atomic force microscopy (AFM, Park System NX10), field emission scanning electron microscopy (FESEM, JEOL 7600F), and transmission electron microscopy (TEM, JEOL 2100F).

**2.4. Evaluation of the Stability and Switching Properties of Nd–Nb Co-doped SnO<sub>2</sub>/α-WO<sub>3</sub> ECs.** A standard three-electrode system was used to evaluate the stability and switching properties of the resulting ECs. An Ag/AgCl electrode and 1 cm<sup>2</sup> platinum plate were employed as reference and counter electrodes, respectively.

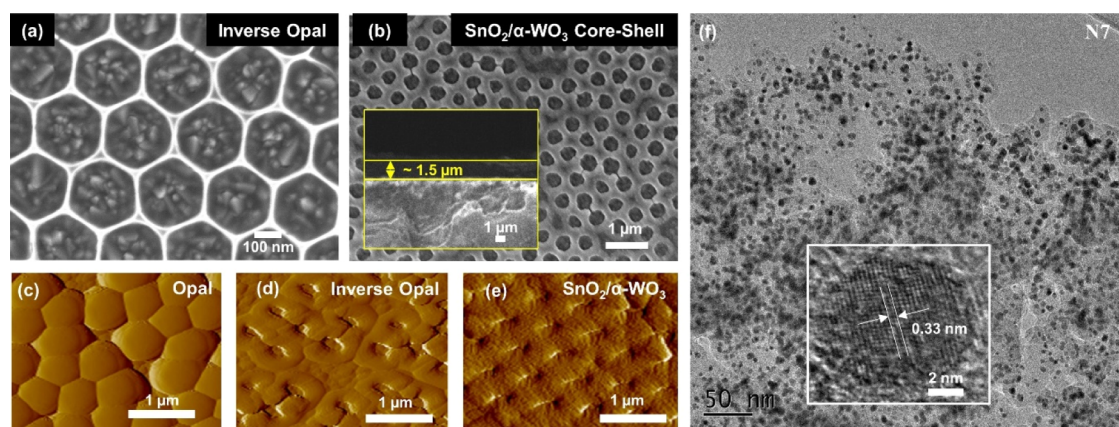
Cyclic voltammetry (CV) measurements of the ECs were carried out at the scan rates of 50 mV s<sup>-1</sup> at the potential range between −1.2 and +1.0 V in a 1 M LiClO<sub>4</sub>/PC electrolyte solution (CH Instruments 750E). The spectroelectrochemical properties of the EC samples were studied using a tandem Autolab 1470E potentiostat and a UV–vis–NIR spectrophotometer (Agilent Varian Cary 5000). The UV–vis–NIR spectra were collected at the applied bias of −0.5 and +0.8 V within the wavelength range of 300–1600 nm.

The CE value of the EC samples was calculated using eq 2, where *Q* is the sample charge density (C cm<sup>-2</sup>) and *T<sub>c</sub>*, *T<sub>b</sub>*, *A<sub>c</sub>*, and *A<sub>b</sub>* are the transmission and absorbance values in the colored and bleached states (measured at λ = 1033 nm), respectively. The value of *Q* was calculated from the current profile generated from the electrochemical station normalized against the effective area where the redox reactions took place.

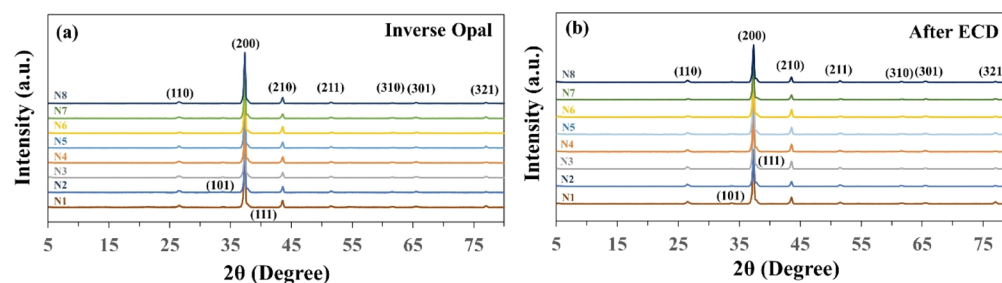
$$CE = \frac{\Delta OD}{Q} = \frac{\log\left(\frac{T_b}{T_c}\right)}{Q} = \frac{A_b - A_c}{Q} \quad (2)$$

The switching properties of the EC samples were evaluated based on the dynamic optical transmittance performance under the influence of the repeated application of the square wave potentials (−0.5 and +0.8 V, 100 s step size). The stability of the EC samples was also evaluated through the application of up to 1000 periodic cyclic square wave potentials. Finally, the EC performance drop (EPD)—a measure of EC stability—of the samples was established according to eq 3, where Δ*T*<sub>initial</sub> and Δ*T*<sub>after</sub> are the NIR contrast measured at the wavelength of 1033 nm before and after the periodic cyclic test, respectively.

$$EPD (\%) = \frac{\Delta T_{\text{initial}} - \Delta T_{\text{after}}}{\Delta T_{\text{initial}}} \times 100\% \quad (3)$$



**Figure 2.** Morphology of the Nd–Nb co-doped  $\text{SnO}_2/\alpha\text{-WO}_3$  ECs networks: (a) FESEM image of the metal-doped  $\text{SnO}_2$  structure; (b) FESEM images of the metal-doped  $\text{SnO}_2/\alpha\text{-WO}_3$  core-shell structure [with the inset in (b) showing the thickness of the  $\text{SnO}_2/\alpha\text{-WO}_3$  layer]; (c–e) AFM images of opal, inverse opal, and  $\text{SnO}_2/\alpha\text{-WO}_3$  layers; and (f) HRTEM images of Nd–Nb co-doped  $\text{SnO}_2/\alpha\text{-WO}_3$  ECs (sample N7), with the inset showing the lattice spacing of 0.33 nm which corresponds to the (110) planes of  $\text{SnO}_2$  crystals.



**Figure 3.** XRD spectra of samples N1–N8 of Nd–Nb co-doped  $\text{SnO}_2$  (a) IO and (b) ECs after the electrochemical deposition of the  $\alpha\text{-WO}_3$  layer.

### 3. RESULTS AND DISCUSSION

**3.1. Preparation and Characterization of the Nd–Nb Co-doped  $\text{SnO}_2/\alpha\text{-WO}_3$  ECs.** Figure 1 illustrates the fabrication steps of Nd–Nb co-doped  $\text{SnO}_2/\alpha\text{-WO}_3$  ECs. First, the uniform opal template must be assembled from the arrays of 520 nm polystyrene (PS) spheres dip-coated onto the cleaned FTO glass. Following steps are carefully carried out during the preparation of the opal template to ensure uniform coating: (1) preconditioning of PS sphere suspension (via ultrasonication and preheating), (2) constant dipping and withdrawal speed, (3) coating time, and coating temperature. The AFM image of the resulting opal template is shown in Figure 2c.

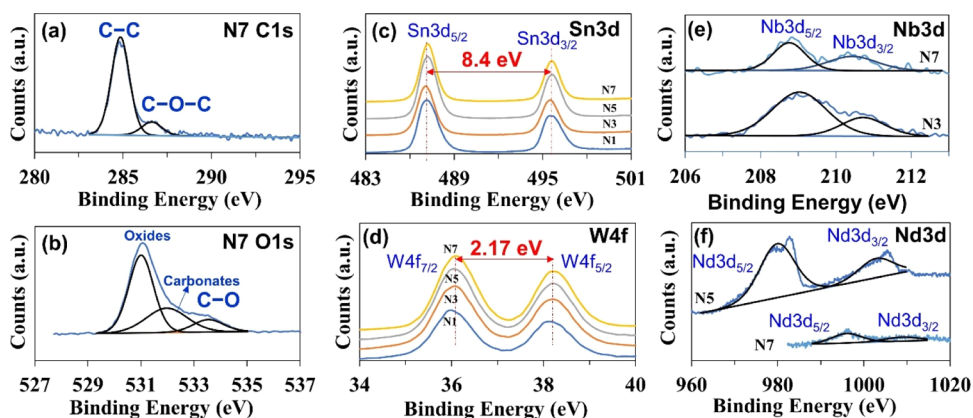
The second step involves the infiltration of the metal-doped (Nd- or Nb- or co-doped) solution onto the opal template, followed by a heat treatment resulting in the formation of a hexagonally interconnected IO metal-doped  $\text{SnO}_2$  structure (Figure 2a,d). The observed diameter of the IO template (both from FESEM and AFM images  $\sim$  approximately 300 nm) is smaller than the original size of PS spheres, which can be explained by the shrinkage of the IO structure in the calcination steps. The resulting regular and porous IO structure would ease the insertion or extraction of  $\text{Li}^+$  ions during the reversible electrochemical reaction.

The final step of the preparation of Nd–Nb co-doped  $\text{SnO}_2/\alpha\text{-WO}_3$  EC structure was the deposition of the  $\alpha\text{-WO}_3$  layer onto the existing IO template. Based on our previous observation, the shrinkage of pore diameter may occur during the prolonged electrodeposition of the  $\alpha\text{-WO}_3$  layer.<sup>49</sup> Figure 2b,e shows that the electrodeposition parameters used ( $-0.7$  V for 180 s) were optimum to preserve the integrity of the

porous structure. The inset of Figure 2b shows that the thickness of the resulting Nd–Nb co-doped  $\text{SnO}_2/\alpha\text{-WO}_3$  EC layer was approximately 1.5  $\mu\text{m}$ . The representative TEM image of the “scrapped off” Nd–Nb co-doped  $\text{SnO}_2/\alpha\text{-WO}_3$  EC layer (sample N7) is shown in Figure 2f. The inset of Figure 2f shows the apparent crystal with the uniform lattice spacing of 0.33 nm corresponding to the (110) plane of  $\text{SnO}_2$ . The electrodeposited  $\alpha\text{-WO}_3$  layer was amorphous in nature because no crystalline planes of  $\alpha\text{-WO}_3$  layer were observed from the TEM images.

The XRD spectra of metal-doped  $\text{SnO}_2$  IO samples are shown in Figure 3a. The crystalline  $\text{SnO}_2$  layer was successfully infiltrated onto the opal template forming a regular IO structure. The characteristic diffraction peaks of  $\text{SnO}_2$  (ICDD 01-070-4177) were observed in all IO samples. The peaks were located at  $2\theta = 22.6, 33.9, 38.0, 39.0, 42.7, 51.8, 61.9, 66.0,$  and  $78.8^\circ$ , which corresponded to the  $\text{SnO}_2$  (110), (101), (200), (111), (210), (211), (310), (301), and (321) planes, respectively. No formation of  $\text{M}_x\text{Sn}_{1-x}\text{O}_2$  ( $M = \text{Nb}$  or  $\text{Nd}$ ) was observed in the XRD spectra. The XRD spectra of Nd–Nb co-doped  $\text{SnO}_2/\alpha\text{-WO}_3$  are presented in Figure 3b. Due to the amorphous nature of the  $\alpha\text{-WO}_3$  layer, no crystalline  $\alpha\text{-WO}_3$  peaks were observed from the XRD spectra. This observation agreed with the result obtained previously from the TEM analysis.

Information of the chemical states of the ECs can be obtained through XPS analysis. The locations of all peaks were corrected against the adventitious C 1s peaks located at a binding energy of 284.8 eV. The high-resolution C 1s and O 1s XPS spectra of the representative sample N7 are shown in Figure 4a,b. The C 1s spectrum revealed that two states of

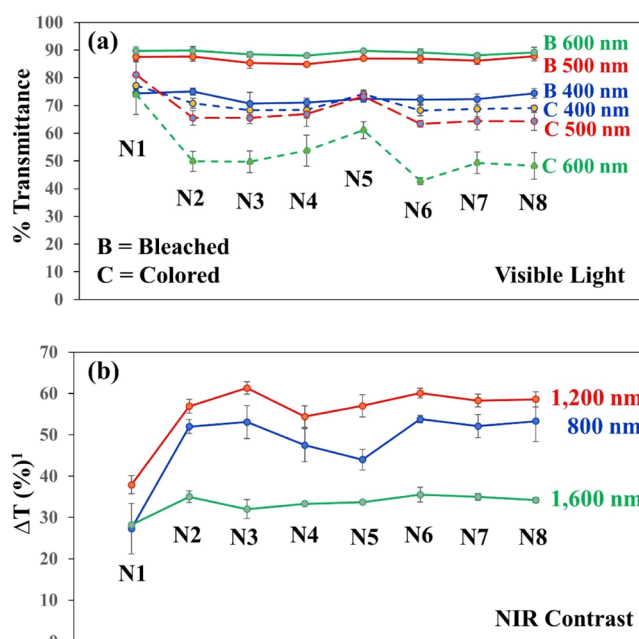


**Figure 4.** XPS spectra of regions (a) C 1s, (b) O 1s, (c) Sn 3d, (d) W 4f, (e) Nb 3d, and (f) Nd 3d of Nd–Nb co-doped  $\text{SnO}_2/\alpha\text{-WO}_3$  ECs.

chemical bonding of carbon existed within the samples, that is,, C–C (284.8 eV) and C–O–C ( $\sim 286$  eV). The presence of oxides, carbonates, and carbonyl C–O bonds was confirmed by the O 1s spectrum of sample N7. The symmetrical doublets of  $\text{SnO}_2$  Sn 3d<sub>5/2</sub> (486.6 eV) and Sn 3d<sub>3/2</sub> (495 eV) with a peak separation of 8.4 eV that were observed for all samples indicated the presence of Sn in the chemical state of  $\text{Sn}^{4+}$  for all samples (Figure 4c). This was consistent with the results obtained from XRD analysis. Figure 4d shows the presence of symmetrical  $\text{WO}_3$  doublets (doublet separation of 2.17 eV), indicating that W exists as  $\text{W}^{6+}$ . The high-resolution XPS spectra of Nb 3d region from the representative samples N3 and N7 are shown in Figure 4e. The Nd doublets are not well resolved due to the low concentration of Nb in the films.<sup>26</sup> Symmetrical Nb 3d<sub>3/2</sub> and Nb 3d<sub>7/2</sub> peaks suggest that majority of Nb present in the ECs are in the oxide ( $\text{Nb}_2\text{O}_5$ ) form. Weak signals of Nd 3d peaks were observed for the samples that were co-doped with Nd (Figure 4f). N5 only has Nd as a dopant, while N7 has Nd and Nb as co-dopants. As such, the lower intensity of Nd 3d peaks of sample N7 was caused by a smaller fractional amount of Nd (due to the presence of the co-dopant Nb) in the sample N7 as compared to sample N5.

**3.2. Stability and Switching Performance of Nd–Nb Co-doped  $\text{SnO}_2/\alpha\text{-WO}_3$  ECs.** The spectroelectrochemical characteristics of the ECs were characterized using UV–vis–NIR spectrophotometry. The spectroelectrochemical property characterization was done under the application of +0.8 and –0.5 V bias for bleached and colored phases, respectively. Figure 5a summarizes the visible light transmittance of the EC samples measured at wavelengths of 400, 500, and 600 nm. Visible light transmission of all samples in the bleached (transparent) phase was up to 90%. The Nd–Nb co-doped samples (samples N6–N8) exhibited a high visible transmission of up to 69% (measured at 400 nm) even at the colored phase. These observations confirmed the suitability of the as-prepared samples to be used as a smart window material.

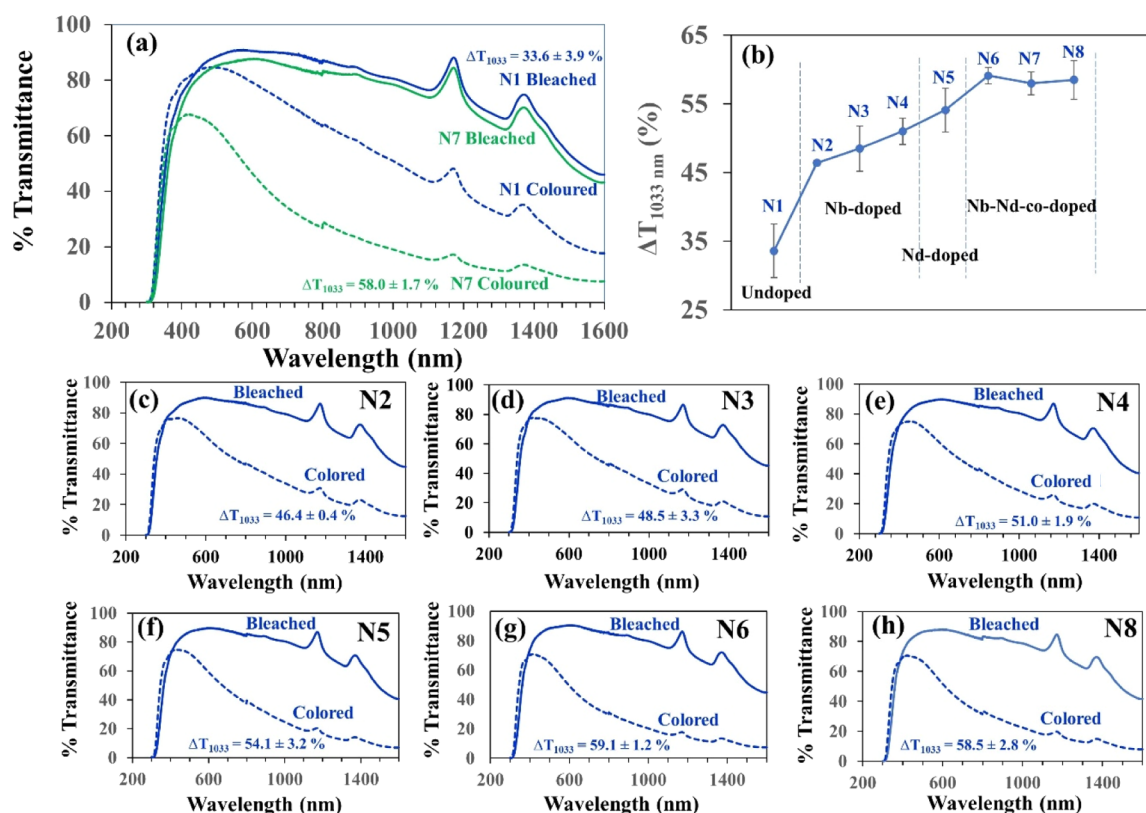
The NIR modulation capability of the samples was evaluated using the same setup as the visible light transmission. The results are summarized in Figure 5b. The value of  $\Delta T$  represents the difference in % transmission between the colored and bleached phases at NIR wavelengths of interest (800, 1000, and 1200 nm). The  $\Delta T$  value measured the NIR modulation, an important parameter of smart window materials. Materials with a higher NIR modulation would exhibit better smart window performance during their



**Figure 5.** (a) Visible light transmittance and (b) NIR contrast of Nd–Nb co-doped  $\text{SnO}_2/\alpha\text{-WO}_3$  ECs ( $\Delta T$  difference of % transmittance at the given NIR wavelength).

application. The doped samples exhibited a much higher NIR modulation as compared to the undoped sample (N1). The NIR modulation measured at 1600 nm was relatively similar [with only a maximum 7.3% increase in NIR modulation from 28.2% (sample N1) to 35.5% (sample N6)], caused by the low penetration power of NIR radiation at 1600 nm. The enhancement of NIR modulation was observed from the samples co-doped by Nd and Nb. Sample N6 (co-doped with 5% Nb and 10% Nd) exhibited a maximum NIR modulation of 53.8, 60.1, and 35.5% measured at 800, 1200, and 1600 nm, respectively. The observed NIR modulation was almost two times of those exhibited by the undoped sample.

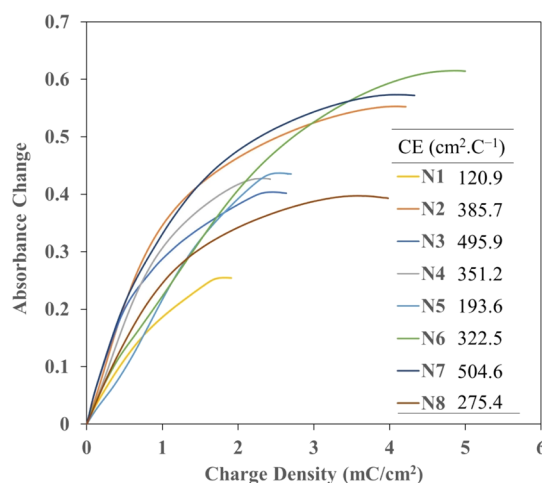
The UV–vis–NIR spectra of the ECs are shown in Figure 6 with the average value of the NIR contrast between the bleached and colored states measured at  $\lambda = 1033$  nm embedded within. Figure 6a shows a contrasted view of the UV–vis–NIR spectra of the undoped sample (N1) versus Nd–Nb co-doped samples (N7). The overall modulation at the NIR range was widened upon the addition of Nb–Nd co-dopants. For sample N7 in the colored phase, up to 90% of



**Figure 6.** UV-vis-NIR spectra of Nd-Nb co-doped SnO<sub>2</sub>/α-WO<sub>3</sub> ECs (a,c,d,e,f,g, and h for samples N1, N7, N2, N3, N4, N5, N6, and N8, respectively) and (b) % *T* measured at wavelength 1033 nm.

NIR radiation was blocked without affecting the visible light transmission (about 70% visible light transmission was still observed). On the other hand, sample N7 exhibited up to 90% of visible light and 80% of NIR heat radiation transmission when biased in the bleached state. Similar trend was observed for the other EC samples (Figure 5c–h). Figure 6b summarizes the observations of  $\Delta T$  measured at wavelength = 1033 nm for all ECs. Co-doped samples exhibited a higher NIR modulation ( $\sim 60\%$ ) when compared to the undoped sample ( $\sim 34\%$  of sample N1). The dual-band modulation exhibited by the Nd–Nb co-doped samples is superior as compared to the undoped sample, making them suitable candidates for smart window applications.

Another essential parameter of a good EC material is its CE. CE measured the changes in optical density caused by the insertion of electrical charge and is calculated based on eq 2. The CE values (as summarized in Figure 7) were obtained from the initial slope of the CE curves. The efficacy of Nb as a dopant material for SnO<sub>2</sub>/α-WO<sub>3</sub> EC materials was evident from the up to fourfold increase of CE values for the Nb-doped samples ( $\sim 496 \text{ cm}^2 \text{ C}^{-1}$  for sample N3 vs  $\sim 121 \text{ cm}^2 \text{ C}^{-1}$  for the undoped sample N1). The addition of Nd to the undoped sample also improved the CE value of the materials, although not as high as the samples doped with Nb—this observation highlights the importance of Nb as the main dopant of the system. When combined, a maximum value of CE was exhibited by sample N7 ( $\sim 505 \text{ cm}^2 \text{ C}^{-1}$ ), which was more superior than the other reported data.<sup>42,56,57,66</sup> The much higher CE value highlighted the importance of co-dopants in improving the electronic conductivity of the base SnO<sub>2</sub>/α-WO<sub>3</sub> EC materials.<sup>26</sup>



**Figure 7.** CE of Nd–Nb co-doped SnO<sub>2</sub>/α-WO<sub>3</sub> ECs.

CV performance was measured to further understand the switching behavior of the samples. The CV plots are shown in Figure 8. The enclosed area of the CV curve represents the number of charges inserted or extracted from the ECs when an external bias was applied. Good EC materials exhibited a large CV hysteresis loop area and a high absolute peak current. Figure 8a shows the CV curves for sample N1 (undoped), N3 (Nb-doped), N5 (Nd-doped), and N7 (Nd–Nb co-doped) ECs. The area enclosed by sample N5 is slightly larger than that by sample N1, indicating that Nd doping alone may not enhance the EC properties of the sample significantly. Sample N3 doped with only Nb showed a significant increase in the area enclosed by the CV curve. This again showed the

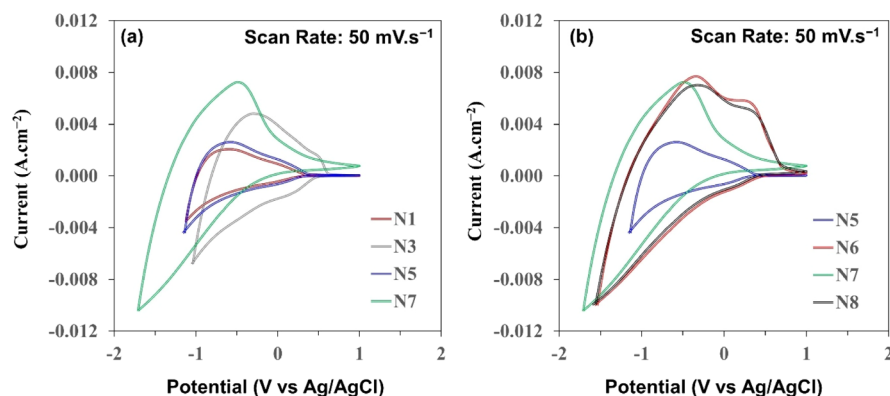


Figure 8. CV curves of Nd–Nb co-doped  $\text{SnO}_2/\alpha\text{-WO}_3$  ECs: (a) samples N1, N3, N5, and N7 and (b) samples N5–N8.

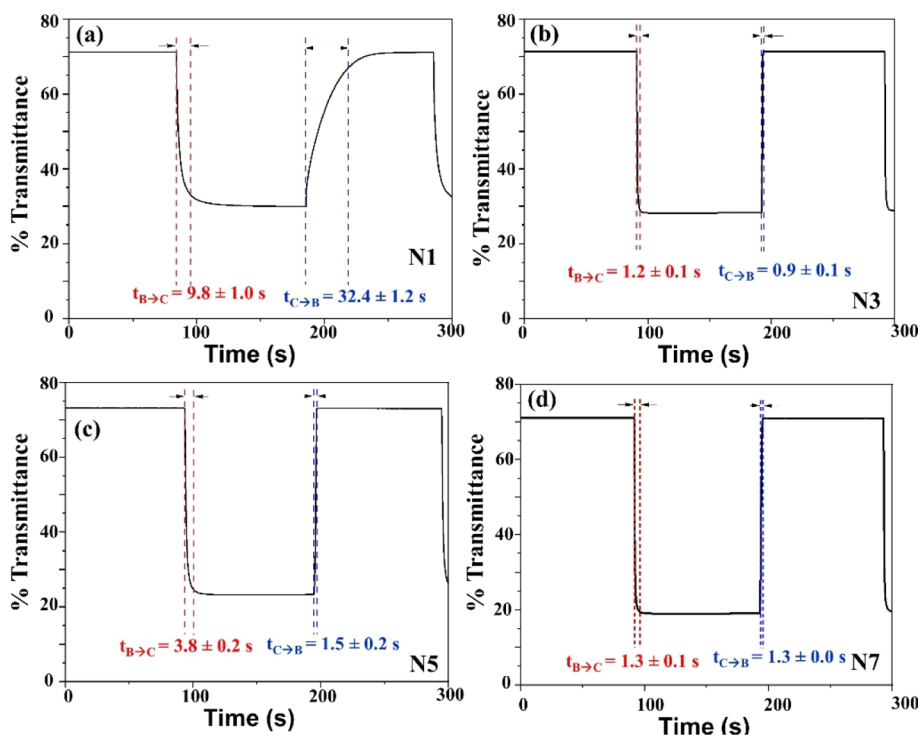


Figure 9. Switching time calculation of Nd–Nb co-doped  $\text{SnO}_2/\alpha\text{-WO}_3$  ECs: (a) N1, (b) N3, (c) N5, and (d) N7.

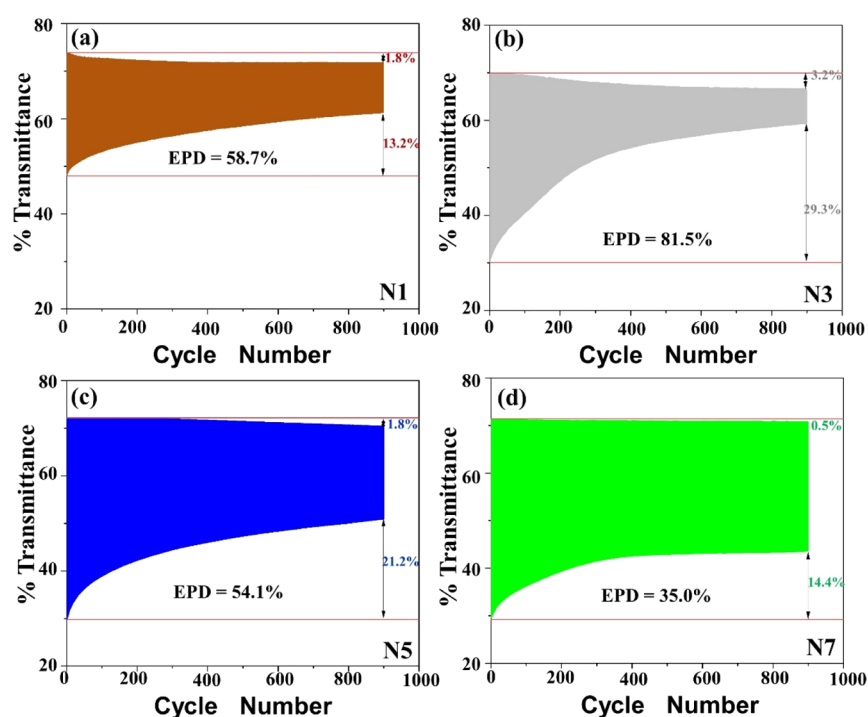
significance of Nb as the main dopant (consistent with the results obtained from CE). However, maximum enclosed CV curve area was achieved when Nd and Nb were co-doped into the ECs. The CV curves of samples N6–N8 are plotted in Figure 8b. All samples that were co-doped with Nd and Nb showed almost similar profiles of CV hysteresis curves. The difference in the shapes of the CV curves between the undoped and co-doped samples may be due to the reduced crystallinity caused by the co-dopants.<sup>67,68</sup> The additional oxidative peak observed only in samples N6 and N8 suggests the presence of an intermediate oxidative state. A maximum cathodic current density ( $\sim 8 \text{ mA cm}^{-2}$ ) was also observed from sample N7. The reported value of peak current density was superior as compared to our previous work on Nd–Mo co-doped ECs and other published data.<sup>57,69–71</sup>

Fast switching times, both (bleached  $\rightarrow$  colored) and (colored  $\rightarrow$  bleached), are also the important aspects of good EC materials. Figure 9 illustrates the calculation of switching time (to reach 90% modulation) for the selected samples N1,

N3, N5, and N7. The switching time for all samples is calculated and tabulated in Table 2. In general, the switching time from the colored to the bleached phase was shorter, except for sample N1. In terms of switching time, the effectiveness of Nb as a dopant was superior as compared to

Table 2. Switching Time and Stability of Nd–Nb Co-doped  $\text{SnO}_2/\alpha\text{-WO}_3$  ECs

samples	switching time		stability		
	$t_{B \rightarrow C}$ (s)	$t_{C \rightarrow B}$ (s)	$\Delta T_{\text{initial}}$	$\Delta T_{\text{after}}$	EPD (%)
N1	$9.8 \pm 1.0$	$32.4 \pm 1.2$	25.7	10.6	58.7
N2	$1.0 \pm 0.1$	$1.0 \pm 0.1$	47.6	11.8	75.3
N3	$1.2 \pm 0.1$	$0.9 \pm 0.1$	39.9	7.4	81.5
N4	$1.9 \pm 0.1$	$1.5 \pm 0.1$	42.0	11.6	72.3
N5	$3.8 \pm 0.2$	$1.5 \pm 0.2$	42.5	19.5	54.1
N6	$2.9 \pm 0.4$	$1.1 \pm 0.0$	52.4	31.9	39.1
N7	$1.3 \pm 0.1$	$1.3 \pm 0.0$	42.1	27.4	35.0
N8	$1.4 \pm 0.1$	$1.6 \pm 0.1$	51.5	25.4	50.8

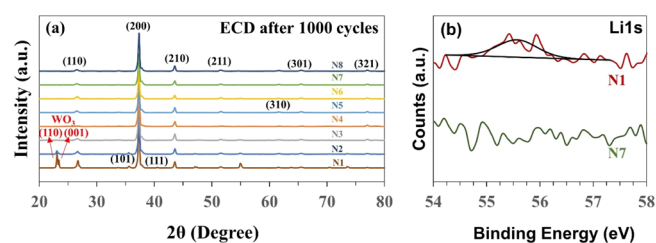


**Figure 10.** Stability performance of Nd–Nb co-doped  $\text{SnO}_2/\alpha\text{-WO}_3$  ECs: (a) N1, (b) N3, (c) N5, and (d) N7.

Nd. When N and Nb were co-doped, the overall switching time was very short (in the order of 1s), a much improved characteristic when compared with other published data.<sup>56,72–74</sup>

Finally, the stability of the ECs was evaluated. The samples were subjected to the reversible electrochemical cyclic test. For each cycle, the samples were subjected to 100 s of bleached phase by applying +0.8 V positive bias, followed by 100 s of colored phase by applying –0.5 V negative bias. The stability test results are presented in Figure 10. Despite a good initial NIR contrast, the sample doped only with Nb (Figure 10b) lost majority of its modulation capability—a major drawback of Nb as a dopant material. The sample doped only with Nd (Figure 10c) preserved a higher percentage of the NIR contrast. When combined, Nd and Nb synergistically improved the stability of the resulting ECs (Figure 10d). The EPD was calculated based on eq 3 and presented in the right-hand panel of Table 2. As discussed, samples doped with only Nb suffered a high percentage loss of the NIR contrast and hence showed poorer EC performance in the prolonged utilization. However, when Nd and Nb were co-doped, the overall stability improved. More than 65% of the initial NIR contrast was retained even after repeated testing cycles (sample N7). Good cyclic stability indicated the efficient intercalation and deintercalation of charges during bleaching and coloration, resulting in a better EC performance.

The synergistic role of Nd and Nb in improving the EC properties of the resulting ECs could be ascribed to two phenomena: (a) reduced crystallization of the  $\alpha\text{-WO}_3$  layer and (b) minimized  $\text{Li}^+$  trapping within the samples. Figure 11a exhibits the XRD spectra obtained from samples after the stability cycling test. New peaks corresponding to the (110) and (001) planes of the crystalline  $\text{WO}_3$  phase (ICDD 01-073-6498) were observed at  $2\theta = 22.6$  and  $23.7^\circ$ . The peaks were not observed in other samples, indicating the reduced crystallization of the  $\alpha\text{-WO}_3$  layer on the doped samples.<sup>49,50</sup>



**Figure 11.** (a) XRD spectra of Nd–Nb co-doped  $\text{SnO}_2/\alpha\text{-WO}_3$  ECs after the stability cycling test and (b) high-resolution XPS spectra showing the Li 1s region of samples N1 and N7 after the stability cycling test.

Further evidence on the role of Nd and Nb co-dopants that synergistically improved the EC performance of the  $\text{SnO}_2/\alpha\text{-WO}_3$  ECs is explained in Figure 11b. The presence of Li 1s peaks on the post-stability test sample N1 suggested the entrapment of  $\text{Li}^+$ . No  $\text{Li}^+$  ions were observed for other doped samples. The electronic conductivity of the co-doped samples was enhanced by the excess electrons transferred from the Nd and Nb co-dopants to the conduction band of  $\text{SnO}_2$ . The improved electronic conductivity of  $\text{SnO}_2/\alpha\text{-WO}_3$  ECs was achieved by the increased free charge carriers donated by metal ion dopants to the host lattice and improved electron mobility caused by the formation of electron-transfer channels within the EC framework.<sup>40,58,59</sup>

The Nd–Nb co-doped  $\text{SnO}_2/\alpha\text{-WO}_3$  ECs could also be systemically coated on a larger FTO template. An upscaled device consisting of  $100 \times 100 \text{ mm}^2$  of EC material-coated FTO can be prepared (Figure 1). The upscaled ECs exhibited a very similar EC characteristic as that of the smaller samples used in this study. The combination of both superior visible light transparency and high NIR contrast, rapid switching time, and excellent stability justified the choice of Nd–Nb co-doped  $\text{SnO}_2/\alpha\text{-WO}_3$  ECs as outstanding smart window materials.



## 4. CONCLUSIONS

The design and fabrication of novel Nd–Nb co-doped SnO<sub>2</sub>/α-WO<sub>3</sub> ECs are presented. The morphology and physicochemical properties of the materials were characterized, and the optical and electrochemical performances of the materials were evaluated. The enhancement of electrochemical performances caused by the synergistic actions of the added co-dopants (Nd and Nb) was investigated. The co-dopants would transfer their excess electrons to SnO<sub>2</sub>, improving the electronic conductivity of the ECs and easing the insertion and extraction of Li<sup>+</sup> cations into the structure. The presence of co-dopants also was found to reduce the crystallization rate of the α-WO<sub>3</sub> phase. Sample N7 (samples doped by 10% Nd and 10% Nb) exhibited 90% visible light transmission, 60% NIR contrast, high coloration efficiency (~505 cm<sup>2</sup> C<sup>-1</sup>), fast switching time (~1 s), and small EPD (~35% after 1000× repeated stability test cycles). The novel combination of Nd–Nb co-doped SnO<sub>2</sub>/α-WO<sub>3</sub> presented in this work is an excellent candidate material for smart window application to be used in green buildings. Beyond this, it showed the potential to be used for electronic displays, electronic papers, eyewear, automotive smart windows, and many other applications.

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## Notes

The authors declare no competing financial interest.

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