

Molecular Filters for Noise Reduction

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ABSTRACT Living systems are inherently stochastic and operate in a noisy environment, yet despite all these uncertainties, they perform their functions in a surprisingly reliable way. The biochemical mechanisms used by natural systems to tolerate and control noise are still not fully understood, and this issue also limits our capacity to engineer reliable, quantitative synthetic biological circuits. We study how representative models of biochemical systems propagate and attenuate noise, accounting for intrinsic as well as extrinsic noise. We investigate three molecular noise-filtering mechanisms, study their noise-reduction capabilities and limitations, and show that nonlinear dynamics such as complex formation are necessary for efficient noise reduction. We further suggest that the derived molecular filters are widespread in gene expression and regulation and, particularly, that microRNAs can serve as such noise filters. To our knowledge, our results provide new insight into how biochemical networks control noise and could be useful to build robust synthetic circuits.

Biochemical processes such as gene expression are inherently stochastic and must control noise, which presents itself as stochastic fluctuations. These fluctuations can be extrinsic, arising from interactions occurring with other processes in the environment, or intrinsic, resulting from the random timing of the reactions themselves (1–6). Molecular processes transform noisy input signals from the environment into output signals through a number of stages, with signals represented by chemical species and each stage implemented by a molecular reaction network. Because each stage can compound the noise, to obtain a reliable final output, natural systems must integrate mechanisms that, directly or indirectly, reduce noise or otherwise confine it. Examples include signaling cascades, which have been shown to reduce extrinsic fluctuations (7), and the role microRNA plays in attenuating the noise of protein expression (8).

Noise reduction has been studied extensively in electronics (9), and certain noise-filtering principles have been successfully applied to molecular systems (10–12). For instance, negative feedback and feed-forward loops have been shown to reduce noise (13,14), and fundamental limits for noise suppression of feedback loops have been derived using techniques from control and information theory (15). Analogs of mechanisms from signal processing such

as low-pass filters, which transduce low-frequency signals while attenuating high-frequency signals, have also been observed in biochemical systems (16). However, these are not always true low-pass filters: a low-pass filter must preserve the low frequencies and, for example, not amplify them while attenuating the high frequencies. Moreover, the classical theory of filters in electronics does not account for intrinsic noise. Therefore, difficulties arise when implementing such filters in terms of stochastic biochemical networks, because it is not clear how intrinsic noise may affect their noise-reduction performance (1,2,4,6).

Inspired by the concept of low-pass filters, we study the noise-reduction capabilities of molecular filters in a stochastic setting. We propose three fundamental filter modules and their implementation as stochastic chemical reaction networks. We account for intrinsic as well as extrinsic noise and derive principles holding for filters when embedded in a general biochemical network, which may include multiple feed-forward and feedback loops. First, we consider linear filters (Fig. 1 A), implemented by means of at most unimolecular reactions, and we show that in the case of positive correlation between the elements of the network, they are limited by Poisson levels, that is, the variance of the output signal is lower bounded by its mean. We then show how the presence of feedback loops may improve the performance of linear filters below Poisson levels. Specific models of linear filters have already been studied in the context of closed models of gene expression (17,18). Here, although still providing exact analysis based on the solution of the chemical master equation (CME), we generalize the analysis in

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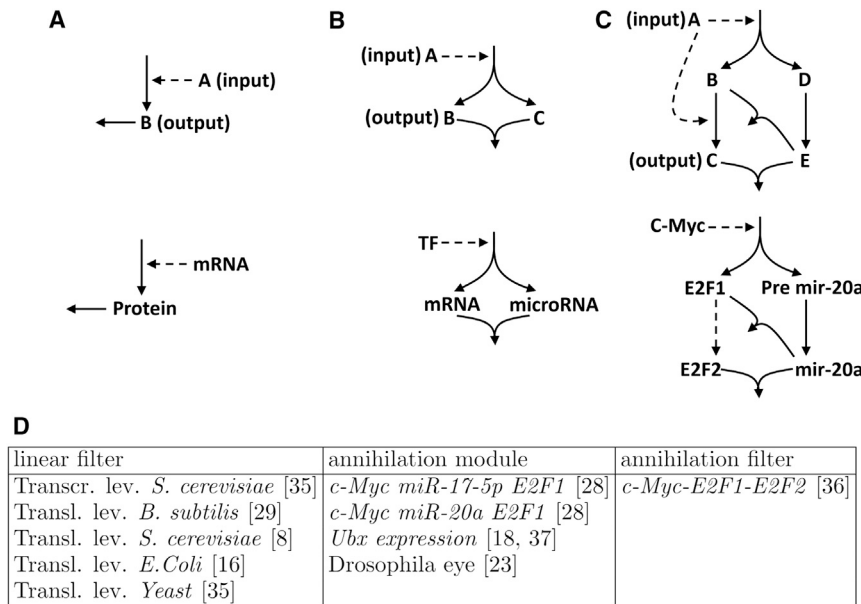


FIGURE 1 Molecular filters (*top row*) along with plausible biological examples in which such components can be found (*middle row*). We stress that for many of these examples, it has yet to be verified experimentally that those systems behave as our filters. We simply present them here to facilitate understanding (see [Discussion](#)). Dashed arrows represent catalytic production/activation effects and continuous arrows molecular transitions. (A) An example of a first-order low-pass filter implemented by means of slow production and degradation reactions is shown (linear filter, [Eq. 1](#)). These networks are present both at the translation and transcription level to increase robustness of gene expression. (B) An example of an annihilation module ([Eq. 7](#)) given by coexpression of two species that are then degraded together is shown. These networks may be a model for a class of microRNA-regulated gene expression, in which mRNA and the target protein are coexpressed. (C) An example of an annihilation filter ([Eq. 10](#)) based on the annihilation module is shown. Such a system may be a suitable model for the *c-Myc*|*E2F1*|*hsa-miR-20a* circuit with its extension to *E2F2* ([40](#)) under the

assumption that *E2F1* activates *E2F2*, except for the feed-forward loop between (A) and (C), which has no influence on noise reduction. We stress that this assumption needs further experiments to be confirmed. (D) Biological systems in which the filters may play a role in reducing noise are given.

the referenced works, also taking into account the more general and common case in which the dynamics of the other components of the networks are left unspecified and possibly involving nonlinearity and feedback loops. The limitations in the noise-suppression capabilities of linear filters motivate us to consider nonlinear filters. We introduce a nonlinear filter mechanism given by the coexpression of two species that then bind together, called the annihilation module ([Fig. 1 B](#)), which we demonstrate is able to reduce noise to below Poisson levels. We then propose the annihilation filter ([Fig. 1 C](#)), which combines the properties of the linear filter and annihilation module. We show how the annihilation filter in particular can greatly reduce molecular noise. We observe that coexpression and nonlinear degradation are key requirements for such noise reduction. This is important to stress, as theoretical analysis is usually restricted to linear degradation ([15](#)). Using analytical, numerical, and stochastic simulation techniques, we demonstrate how the different filters improve the robustness of the systems in which they are embedded.

Finally, we discuss how the molecular filters we derive are prevalent in gene expression. For instance, the linear filter, implemented by simply producing and degrading a species at a slow enough rate, is a low-pass filter mechanism widely deployed in gene expression to increase robustness at both the transcription and translation level ([18](#)). Moreover, we find that the annihilation module and annihilation filter are sound models of microRNA-regulated gene expression in the case of correlated expression of microRNAs with the target gene. This supports the hypothesis that microRNA may play a role in increasing the robustness and precision of gene expression. We stress how the focus of this study is not

to offer new models of particular molecular processes, but to identify fundamental and general mechanisms that, at the molecular level, can reduce noise and to understand their properties and limitations. Thus, to our knowledge, our results provide new insight into how biochemical networks control noise.

MATERIALS AND METHODS

Detailed information about the modeling framework and mathematical derivations can be found in the [Supporting Materials and Methods](#). Chemical reaction network (CRN) and linear noise approximation (LNA) simulations have been performed using the Microsoft Visual GEC tool ([19](#)). Details on the code can be found in [Supporting Materials and Methods](#), Section G.

RESULTS

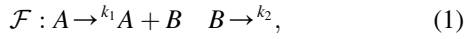
We first investigate filters composed from linear reactions and show their limitations; then we discuss nonlinear filters, showing how nonlinearities can improve the performances in terms of noise reduction. The techniques used are detailed in the [Materials and Methods](#).

Linear filters and their limits in noise suppression

To model biological regulatory networks, we focus on the underlying molecular interactions represented as CRNs. A CRN is a set of biochemical species that interact according to the reaction laws. Input and output signals are modeled as biochemical species. In this study, species *A* will always represent the input signal/species. We assume *A* is a noisy input with the noise identified by its Fano factor (ratio

between variance and mean). Thus, a molecular filter is a CRN with input A and whose output has a reduced Fano factor compared to A but still maintains certain features of its time evolution. In this study, we focus on filters that maintain the same long-term behavior of A while reducing its Fano factor.

The CRN \mathcal{F} (Eq. 1), which we call a linear filter, is composed of a production and a degradation reaction with output species B :



where $k_1, k_2 \in \mathbb{R}_{>0}$ are the rate parameters. We consider the general scenario in which the linear filter \mathcal{F} (Eq. 1) is embedded within an arbitrarily complex, possibly nonlinear reaction network with the only constraint that the output species B is changed only by the reactions in \mathcal{F} . We do allow B to act as a catalyst in an arbitrary number of reactions and A to interact with the larger network with no constraint. This scenario is very general and includes the case in which A is a function of B with feedback loops.

Classical frequency analysis

The transfer function of \mathcal{F} is obtained by applying the Fourier transform to the mass action rate equation corresponding to B (20,21). As B is changed only by reaction in \mathcal{F} , we obtain

$$\frac{d\Phi_B(t)}{dt} = k_1\Phi_A(t) - k_2\Phi_B(t),$$

where Φ_A and Φ_B are the deterministic signals modeling the time evolution of A and B . In the frequency domain, we get

$$i\omega\widehat{\Phi}_B(\omega) = k_1\widehat{\Phi}_A(\omega) - k_2\widehat{\Phi}_B(\omega),$$

where ω is the angular frequency and $\widehat{\Phi}_B(\omega), \widehat{\Phi}_A(\omega)$ are the Fourier transforms of signals Φ_B and Φ_A . For $k_1 = k_2$, we obtain

$$\frac{\widehat{\Phi}_B(\omega)}{\widehat{\Phi}_A(\omega)} = \frac{1}{1 + \frac{i\omega}{k_1}}. \quad (2)$$

Equation 2 is the transfer function of a first-order low-pass filter (see Supporting Materials and Methods, Section A). This network attenuates frequencies higher than the cutoff frequency by introducing a delay and integrating the fast dynamics. From Eq. 2, the cutoff frequency is exactly $\bar{\omega} = k_1$. This means that the higher the value of k_1 , the less noise is filtered out, but the faster B tracks A .

Stochastic analysis

The classical frequency analysis of \mathcal{F} , based on the Fourier transform of the rate equations, does not take into account the intrinsic noise introduced by the reactions firing in \mathcal{F} ;

it only considers the extrinsic noise modeled as fast fluctuations of the input. However, the intrinsic noise cannot simply be neglected, as it may drive the behavior of biological systems (16). This is the case in gene expression, in which low molecular counts are often involved and deterministic modeling is generally unsatisfactory (3,22). To resolve this, we need to consider the continuous time Markov chain induced by \mathcal{F} , whose transient evolution is described by the CME (23). The evolution of the moments of the CME can be described as a (possibly infinite) set of ordinary differential equations, so-called moment equations (24,25) (Supporting Materials and Methods, Section B). We quantify the noise with the Fano factor (ratio between expectation and variance) and, under the general scenario described in the previous section, using moment equations, the transient evolution of the expectation of B at time t can be computed exactly as

$$\frac{dE[B(t)]}{dt} = k_1E[A(t)] - k_2E[B(t)],$$

where $E[A(t)]$ is the expectation of A at time t . We call $\lim_{t \rightarrow \infty} E[A(t)] = E[A]_\infty$ the steady-state solution of $E[A]$, and we assume it exists and is finite. The steady-state solution of B , $E[B]_\infty$ can then be derived by solving $(dE[B(t)]/dt) = 0$, which results in

$$E[B]_\infty = \frac{k_1}{k_2}E[A]_\infty. \quad (3)$$

Equation 3 guarantees that the expected value of B always tracks the expectation of A , no matter which biochemical system is producing A and what happens in the rest of the system.

Importantly, for $V[A]_\infty$ (the variance of A at steady state), we can derive the following exact relation

$$V[B]_\infty = E[B]_\infty + \frac{k_1}{k_2}Cov[A, B]_\infty, \quad (4)$$

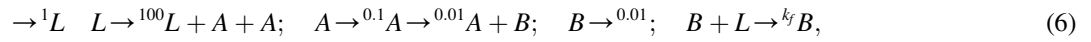
where $Cov[A, B]_\infty = E[AB]_\infty - E[A]_\infty E[B]_\infty$ is the covariance of A and B at steady state, with $E[AB]_\infty$ the expectation of $A B$ at steady state. A full derivation of Eqs. 3 and 4 is shown in the Supporting Materials and Methods, Section C. The idea is that even though B can participate in other reactions as a catalyst and A may be a nonlinear function of B , in Eq. 3, all the nonlinearities disappear, whereas in Eq. 4, these are included in the term $Cov[A, B]_\infty$. Equation 4 shows that for any input signal, the filtered signal B has variance that is equal to its mean plus the covariance between A and B . Assuming A and B are non-negatively correlated, then we have $E[AB]_\infty \geq E[A]_\infty E[B]_\infty$. As a result, in the case of non-negative correlation between A and B , for any $k_1, k_2 \in \mathbb{R}_{\geq 0}$, the following lower bound holds:

$$F_B \geq 1, \quad (5)$$

where F_B is the Fano factor of B at steady state. The above lower bound has already been observed and studied in the context of specific closed models of gene expression for which mRNA and protein are positively correlated (17,18,26). However, in the more general scenario we consider, we can observe that Eq. 5 holds only in the case of non-negative correlation of the species, meaning that a simple birth-death process of a downstream component cannot reduce the noise of an input signal below Poisson levels.

A and B being non-negatively correlated is natural, because A catalyzes the production of B . In fact, in Supporting Materials and Methods, Section C, we show that for a large class of systems, A and B are effectively positively correlated. However, in the following example, we show that a negative feedback loop between B and A may change the sign of their correlation, potentially leading to noise reduction to below Poisson levels. Thus, our analysis gives a further explanation of why negative feedback regulation in gene expression may be a widely selected mechanism to reduce noise and increase robustness (13) (see Discussion).

In example 1, we consider the following CRN, in which there is feedback between A and B and L is an auxiliary species:



where $k_f > 0$ is a rate constant, that is, feedback between A and B is present. The strength of the feedback can be controlled by changing the rate k_f . B can be thought of as a protein that inhibits its expression. The above CRN meets the condition of validity of Eqs. 3 and 4. Thus, Eq. 3 guarantees that for any possible initial condition and value of k_f ,

$$E[B]_\infty = E[A]_\infty,$$

whereas Eq. 4 guarantees that $F_B = E[A]_\infty + Cov[A, B]_\infty$. Thus, to compute the Fano factor of B at steady state, we need to estimate $Cov[A, B]_\infty$. The system is nonlinear. As a consequence, $Cov[A, B]_\infty$ cannot be computed exactly but can be estimated using the LNA. We obtain that, for $k_f > 0.277368$, $Cov[A, B]_\infty < 0$. Thus, $F_B < 1$. This shows how strong feedback can reduce the Fano factor of B to below Poisson levels. However, strong feedback means strong repression of the mean of B and A by Eq. 3. To confirm the mathematical analysis, in Fig. 2, for different values of k_f , we plot a single stochastic simulation of B compared with a signal with the same mean of B but affected by Poisson noise.

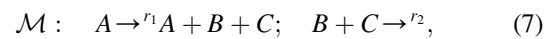
One might think that greater noise reduction compared to the linear filter Eq. 1 can be obtained by considering higher-

order low-pass filters (i.e., low-pass filters whose transfer function has order greater than 1). However, because such filters (in the case of real and nonpositive roots) can be implemented as cascades of linear filters (Supporting Materials and Methods, Section C), in which all components are therefore limited by Poisson noise, their noise-reduction performance is similarly limited. An example of such a mechanism can be found in multistep models of gene expression (26), in which protein expression is a sequence of linear reactions, thus limited by Eq. 4. Another example can be observed in signaling cascades, such as the mitogen-activated protein kinase cascade (27), in which nonlinear filtering mechanisms are necessary to reduce stochastic fluctuations of a downstream process below Poisson levels (7).

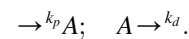
Correlated production and degradation can reduce noise below Poisson levels

The noise filtering capability of linear filters can be improved by using higher-order reactions. The simplest second-order reaction is complex formation. We show how complex formation of two molecules that are positively correlated in their expression can indeed work as an efficient noise filter. The following network, which we call the

annihilation module (Fig. 1 B), is based on the binding and degradation of two parallel synthesized molecules. The annihilation module can be described by the following two reactions:



where A is the input and B (or equivalently C) is the output. In this module, B and C are coexpressed, and then they inhibit each other. To study how the annihilation module behaves with respect to intrinsic and extrinsic noise, we consider a general birth-death process A affected by Poisson noise. That is, A is generated and removed by the following reactions:



Thus, we have $E[A(t)] = V[A(t)]$, $t \in \mathbb{R}_{\geq 0}$. Because Eq. 7 is nonlinear, a general and exact analysis as in the linear case cannot be performed, as the moment equations cannot be solved. Consequently, we make use of the LNA (23,28) and derive an analytical solution for the expectation and Fano factor of B at steady state for such an input

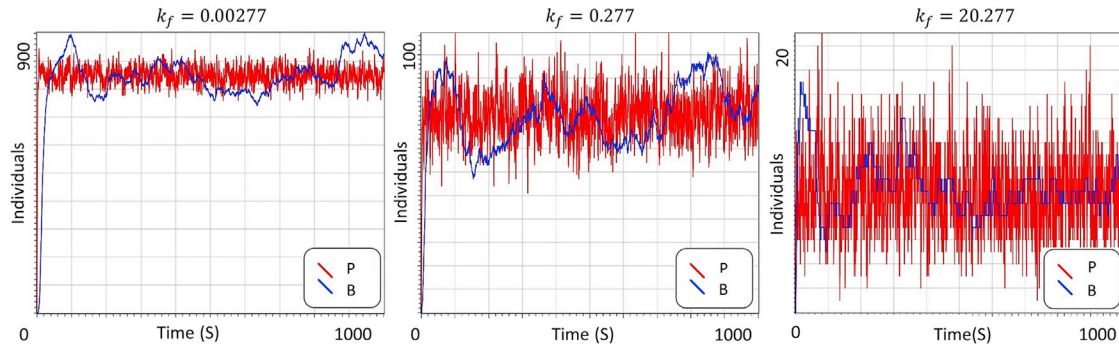


FIGURE 2 For the CRN in Eq. 6, we plot a stochastic simulation of B for three different values of k_f and assuming initial condition of all the species are 0. In all the plots, P is a signal with Poisson noise such that $E[P]_\infty = E[A]_\infty$. When the feedback is weak ($k_f = 0.00277$), the Fano factor of B is greater than one. For $k_f = 0.277$, our analysis predicts a Fano factor for B of ~ 1 . When the feedback is strong ($k_f = 20.277$), the Fano factor of B is smaller than 1, but there is also a strong repression of $E[B]_\infty$.

process A . We get (see [Supporting Materials and Methods, Section D](#))

$$E[B]_\infty = \sqrt{\frac{r_1 E[A]_\infty}{r_2}} \quad (8)$$

and

$$F_B = \frac{2r_1^{3/2} \sqrt{r_2 k_p k_d} + 4r_1 r_2 k_p - r_1 k_d^2 - k_d^3}{8r_1 r_2 k_p - 2k_d^3}, \quad (9)$$

where F_B stands for the Fano factor of B at steady state. Assuming $r_1 = r\gamma$ and $r_2 = r$, with $r, \gamma \in \mathbb{R}_{>0}$, then for $r \rightarrow 0$, we have $F_B = (1/2)$, thus halving the variance with respect to Poisson noise. Moreover, for $r \rightarrow \infty$, we have $F_C = (2 + n/4)$, where $n = (E[B]_\infty/E[A]_\infty)$. This leads to a surprising result: for $n = 1$, that is, $E[B]_\infty = E[A]_\infty$ (perfect tracking of the mean), the Fano factor is always smaller than 1 for arbitrarily large values of r_1 and r_2 . Thus, \mathcal{M} can reduce the noise even without introducing a delay in its buffering action. This can be justified because this architecture, in which B and C are coexpressed, enables attenuation of the low-frequency components of the input signal. Therefore, we obtain noise reduction even if the high-frequency components are not necessarily attenuated ([Supporting Materials and Methods, Section D](#)).

We note that, in the annihilation module, the steady-state value of the output signal is proportional not to the steady-state value of the input signal but to its square root (Eq. 8). This may be beneficial in molecular networks, in which it may help maintain regulatory stability under changes in initial conditions. However, this mechanism would not be appropriate in cases in which the long-term evolution of the upstream component should be followed, because changes to the input would not be followed proportionally (Fig. 3 B).

The annihilation module is closely related to the incoherent feed-forward loop motif (14), in which two species are coexpressed and one inhibits the other. However, in [Sup-](#)

[porting Materials and Methods, Section D](#), we show that an incoherent feed-forward loop motif with mass action kinetics cannot reduce the noise below Poisson levels. Hence, having B and C degraded together is essential for efficient noise reduction.

The annihilation module and the annihilation filter (see next section) are also related to the antithetical integral feedback motif (10). The main similarity lies in the fact that all these modules have an annihilation reaction, thus suggesting a key role for such a reaction in dealing with noisy dynamics. However, we stress here how the mechanisms differ: a key requirement of both our filters for efficient noise reduction is the coexpression of the molecules that will participate in the annihilation reaction. This requirement cannot be implemented in the antithetical integral feedback schema. Moreover, the antithetical integral feedback motif is known to increase the noise of the controlled network (29). In fact, we argue that one of the reasons why the noise increases in the antithetical integral feedback is that the species that undergo an annihilation reaction are not coexpressed. To illustrate this point, in Fig. 4, we compare two networks: one is the annihilation filter, the other is identical to the annihilation filter except for the fact that B and D are not coexpressed but only positively correlated in their expression. The two networks, deterministically, behave identically (they have same rate equations). However, interestingly, the stochastic behavior is completely different, thus demonstrating the importance of coexpression.

Annihilation filter suppresses molecular noise

We propose a general architecture, called the annihilation filter, which is based on the annihilation module but also guarantees $E[C]_\infty = sE[A]_\infty$ for a given constant s , independently of $E[A]_\infty$ (in what follows, without any loss of generality, we assume $s = 1$). We show how the annihilation filter can asymptotically reduce molecular noise to zero, that is, for appropriate limiting values for the rates, the Fano factor of the output converges to zero (Eq. 15).

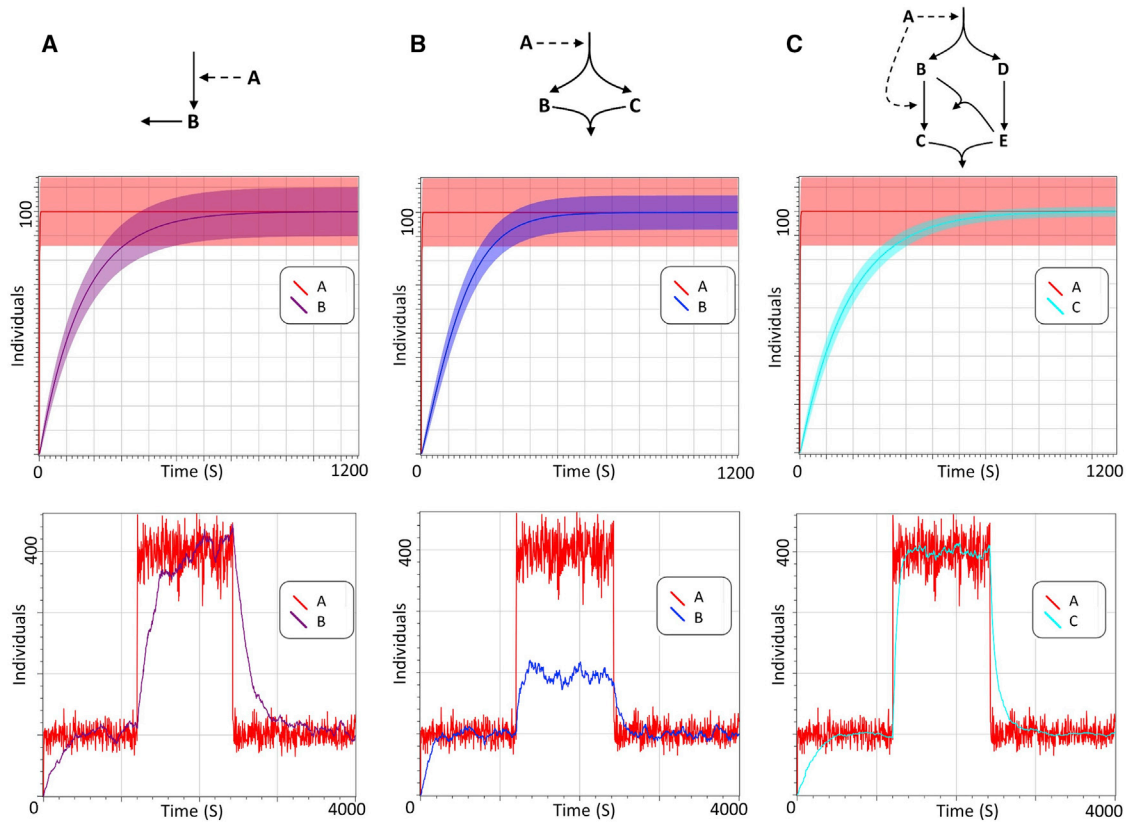
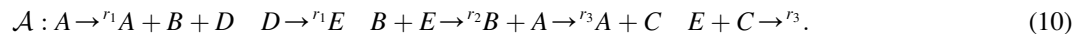


FIGURE 3 Comparison of the actions of \mathcal{F} (linear filter, Eq. 1), \mathcal{M} (annihilation module, Eq. 7), and \mathcal{A} (annihilation filter, Eq. 10) on noisy input A generated by reactions $\rightarrow^{k_p} A + A$; $A \rightarrow^{k_d}$ (top row). (Middle row) Plots of expectation and standard deviation of the respective species until time 1200 are shown, as estimated by the linear noise approximation (LNA). (Bottom row) Plots of the effect of each filter obtained from a single stochastic simulation until time 4000 are shown. (A) The linear filter (Eq. 1), for $k_1 = 0.0064$, $k_2 = 0.0064$, introduces a delay to buffer stochastic fluctuations and reduce the noise, but only to Poisson levels. (B) For $r_1 = 0.005$, $r_2 = 0.00005$, the annihilation module (Eq. 7) improves noise-reduction performance but cannot proportionally follow changes in the input. In fact, Eq. 8 predicts that the expectation of the output of the annihilation module changes with the square root of input. Thus, changes on the average value of the input are attenuated in the output. (C) For $r_1 = 100$, $r_2 = 1000$, $r_3 = 0.000055$, the annihilation filter (Eq. 10) not only improves the noise reduction capabilities compared to the other modules but also proportionally follows changes in the input.

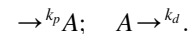
Our annihilation filter, \mathcal{A} , is illustrated in Fig. 3 C. \mathcal{A} is composed of the following reactions:



A is the input species and C is the output-filtered species. The first three reactions are similar to the annihilation module, but with an additional delay introduced by the reaction $D \rightarrow^{r_1} E$. Equation 3 guarantees that D is a copy of A , and the number of times that D molecules have been produced or destroyed is stored respectively in B and E . As the role of these reactions is to act as a sensor, high values of r_1 are more informative than small ones. If r_2 is large enough, the count of C is modified not any time a B or E molecule is produced but just by their difference. The fourth and fifth reactions increase or decrease C . The rate r_3 controls the delay introduced by the filter and thus also the noise reduction.

Because the system is nonlinear, to study the noise-reduction capabilities of the annihilation filter, we make use of the

LNA. We assume A is a general input process with extrinsic noise modeled by a Poisson process. That is, A is generated and removed by the following reactions:



Thus, we have $E[A(t)] = V[A(t)]$, $t \in \mathbb{R}_{\geq 0}$. Using the LNA equations, we can derive the following conditions (Supporting Materials and Methods, Section E):

$$E[A]_{\infty} = E[D]_{\infty}, \quad (11)$$

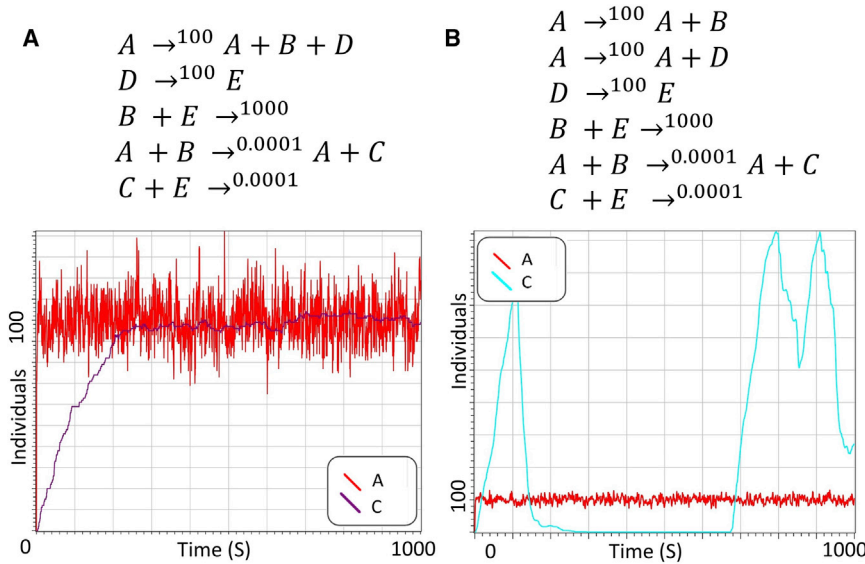


FIGURE 4 We consider two networks with the same rate equations that differ only in how B and D are expressed. For both figures, we plot a stochastic simulation of an input signal A affected by Poisson noise with the output of the filter. (A) considers the annihilation filter (Eq. 10). (B) considers a network that is identical to the one in (A), except for the fact that B and D are not coexpressed.

$$E[C]_{\infty} = E[D]_{\infty} \frac{E[B]_{\infty}}{E[E]_{\infty}}, \quad (12)$$

$$0 = r_1 E[D]_{\infty} - r_2 E[B]_{\infty} E[E]_{\infty} - r_3 E[E]_{\infty} E[C]_{\infty}, \quad (13)$$

and

$$\begin{aligned}
 E[B(t)] - E[E(t)] &= E[B(0)] - E[E(0)] + E[D(t)] \\
 &\quad - E[C(t)]. \quad (14)
 \end{aligned}$$



Assuming the same initial concentration of B, E , at steady state, C will always track A independently of the value of r_1, r_2, r_3 . That is, $E[A]_{\infty} = E[C]_{\infty}$.

We can now study the Fano factor of C at steady state, F_C . To do that, we assume $r_2 = (\gamma/r), r_3 = r$, where γ, r are constants. For the annihilation filter to work as an efficient noise filter, as we discussed, we need large r_2 and small r_3 . Thus, we study F_C for $r \rightarrow 0$. Under this limit, we obtain the following elegant form for the Fano factor of C :

$$\lim_{r \rightarrow 0} F_C = \frac{k_p}{k_p + r_1}.$$

Hence, we have that

$$\lim_{r_1 \rightarrow \infty} \left(\lim_{r \rightarrow 0} F_C \right) = 0. \quad (15)$$

Thus, by increasing r_1 , the noise can be made arbitrarily small, showing how this architecture has ideal noise-reduction capabilities: independently of the intrinsic noise introduced, the total noise can be made arbitrarily close to 0.

Note that in the CRN (29), it is assumed that some reactions have same rates. This assumption allows us to obtain simpler analytic results. To show that the above analysis also remains valid in the more general scenario in which the reactions have different rates, we consider the following CRN, modifying (29):

In Fig. 5, we compute F_C and $E[C]_{\infty}$ for different values of $r_{1,1}, r_{1,2}$, and $r_{3,1}, r_{3,2}$. It is easy to observe that Eq. 15 is confirmed: if $r_{1,1}, r_{1,2}$ are big enough compared to $r_{3,1}, r_{3,2}$ the Fano factor will decrease, converging to a value of 0. Nevertheless, if $r_{1,1} \neq r_{1,2}$ or $r_{3,1} \neq r_{3,2}$, the noise will still be reduced, but this will affect $E[C]_{\infty}$, which may be different from $E[A]_{\infty}$.

The first two reactions of the annihilation filter (Eq. 10) can be thought of as a model for coexpression of molecules B and E at different rates. Such a model of coexpression can be generalized as having B and E coexpressed and then interacting after a pathway of linear reactions. For instance, this is the case for mRNA and microRNA, which, when coexpressed, undergo a series of maturation steps before interacting (26,30). In Fig. 6, we show that these auxiliary reactions do not influence the noise-reduction capabilities of the annihilation filter.

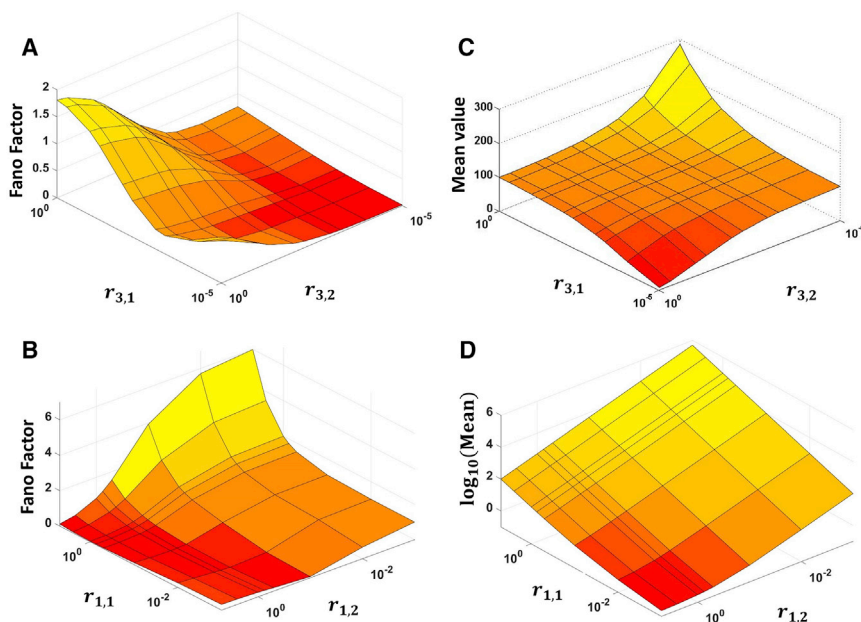


FIGURE 5 For the CRN in Eq. 16, the figure shows how the Fano factor of (C) , F_C , and the mean value of (C) at steady state, $E[C]_\infty$, depend on $r_{1,1}$, $r_{1,2}$ and $r_{3,1}$, $r_{3,2}$. For all figures, we consider an input species (A) such that $E[A]_\infty = 100$ and (A) is affected by Poisson noise. It is possible to observe that as predicted by the theoretical analysis, the Fano factor tends to decrease either when both $r_{1,1}, r_{1,2}$ increase or when both $r_{3,1}, r_{3,2}$ decrease. Interestingly, note that F_C tends to be smaller when there is a strong suppression of the mean. Thus, when a low number of molecules is involved, the noise has more influence on the behavior of the system. (A and C) We plot F_C and $E[C]_\infty$ for $r_{1,1} = r_{1,2} = 10$ and $r_2 = 100$. The nonlinearity of the reactions involved is such that F_C and $E[C]_\infty$ are robust with respect to parameter variation. (B and D) We plot F_C and $E[C]_\infty$ for $r_{3,1} = r_{3,2} = 0.001$ and $r_2 = 100$. In this case, $E[C]_\infty$ changes linearly with the rates. F_C tends to increase when there is a strong amplification of $E[C]_\infty$.

Numerical analysis

Although the mathematical analysis performed on linear filters is exact and general, for the annihilation module and annihilation filter, our claims are based on the LNA and for birth-death input processes. This is because those filters are nonlinear; hence, exact analysis based on the moment equations is not possible. Thus, we need to support our results about the noise-suppression capabilities of such networks with stochastic simulations of such filters for different classes of input. To do that, in Fig. 3, we consider a step-like perturbation, and in Supporting Materials and Methods, Section F, we consider oscillatory inputs. In both cases, the annihilation filter outperforms the other filters: for the same delay introduced, it suppresses more noise at the high frequencies while still maintaining similar long-term behavior. However, the annihilation module does not follow the long-term behavior of the input proportionally. In fact, Eq. 8 predicts that the expectation of the output of the annihilation module changes with the square root of input. Thus, changes of the input are attenuated in the output.

To further confirm the mathematical analysis, using stochastic simulations, we compare the power spectral density (PSD) (see Materials and Methods) of the input species affected by Poisson noise with the PSD of the output species of the annihilation filter (Eq. 10) and of the linear filter (Eq. 1), both for the same input (see Fig. 7 A). We can see that both filters are indeed low-pass filters in the sense that they attenuate the high frequencies. However, although they behave similarly at the high frequencies, the linear filter is less robust to intrinsic noise, and such intrinsic noise amplifies the low frequencies, resulting in noise reduction being lower bounded by Poisson dynamics. That is, the reactions of

the linear filter introduce slow and medium time variations of the output, leading to an amplification of the low-frequency components of its spectrum. The annihilation filter enables a much better reduction of the intrinsic noise, leading to a smaller amplification of the low frequencies. The connection between the noise of a process and its PSD is explained in detail in the Supporting Materials and Methods, Section A).

In Fig. 7 B, we consider again an input species A affected by Poisson noise, and, on this input, we compare the action of the annihilation filter (Eq. 10), annihilation module (Eq. 7), and linear filter (Eq. 1). To reduce the number of free variables in the system, we constrain the output of the filters to have the same mean as A . Then we plot the Fano factor as a function of the remaining free rate parameters. As expected, our key observations are that the annihilation module is the only mechanism that guarantees noise reduction for any value of the parameter rate, confirming the theoretical result of Eq. 9 that it is able to reduce the noise even without introducing a delay in its buffering action. Also, for an arbitrarily long delay, the annihilation filter converges to a Fano factor of 0, showing the ability of this network to remove all the noise (variance tends to 0 when delay tends to infinity). On the other hand, the linear filter converges to a Fano factor of 1, corresponding to Poisson levels, thus confirming Eq. 5.

DISCUSSION

Gene and protein expression can work as linear filters

Gene expression is often modeled as a two- or three-stage process, in which mRNA is transcribed from a transcription

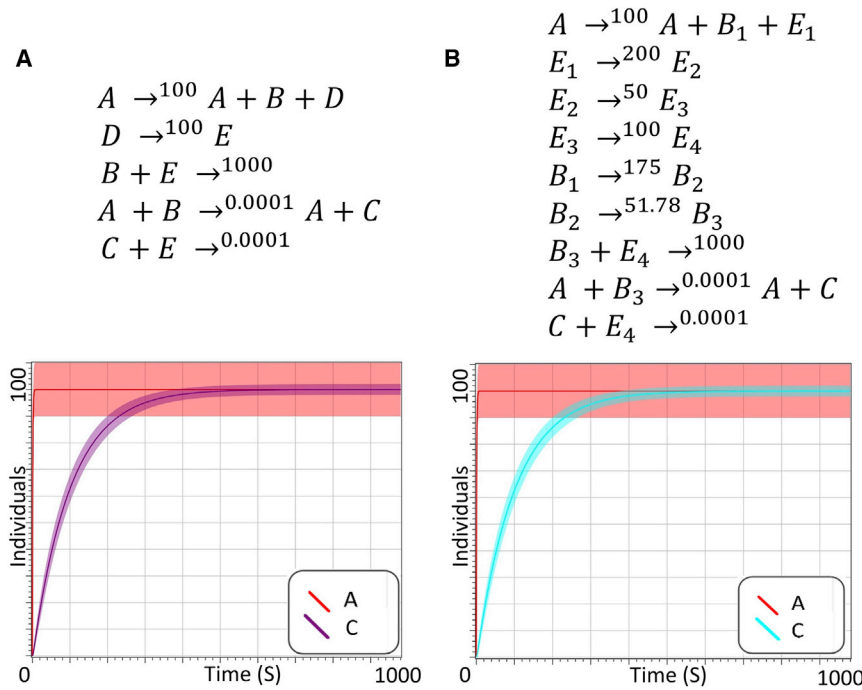
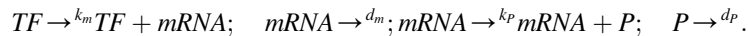


FIGURE 6 (A) Annihilation filter for an input process A affected by Poisson noise. (B) A generalization of the annihilation filter in which coexpression of molecules requires more intermediate steps is shown. Reaction rates are chosen such that $E[A]_\infty = E[C]_\infty$. We can observe that the Fano factor of the output remains constant for both networks.

factor TF and the protein P is translated from the mRNA (31):



Under this modeling assumption, the linear filtering mechanism (Eq. 1) may be present both at the transcription (top two reactions) and translation level (bottom two reactions) to buffer noise and increase robustness by slowing down transcription or translation. At the transcription level, inefficient transcription that follows fast promoter activation is a mechanism that has been widely observed to buffer fluctuations in the mRNA time evolution (32). At the translation level, the linear filtering mechanism reduces the noise in protein expression, as supported by experimental evidence in *Bacillus subtilis* and *Saccharomyces cerevisiae* (33,34). Equation 4 guarantees that independently of the presence of an arbitrary number of feed-forward or feedback loops between P and transcription factor or mRNA, we have

$$V[P]_\infty = E[P]_\infty + \frac{k_p}{d_p} Cov[mRNA, P]_\infty. \quad (17)$$

Thus, if mRNA and protein are positively correlated, then the stochastic fluctuations in protein expression cannot be reduced below Poisson levels, and this limit is approached

for slow translation. Hence, Eq. 17 may explain why in yeast and *Escherichia coli*, the translation rates tend to be slower

than the transcription rates (32,35) and also suggests that mechanisms to induce a negative correlation between protein and mRNA may have been selected to enhance robustness. This is the case for negative feedback, which may thus enable noise reduction below Poisson levels, as again confirmed by experimental evidence (13). We note that a more realistic model of gene expression requires representation of transcription and translation as multistep processes. However, because such processes can still be modeled as a sequence of first-order reactions (26), our analysis and the linear-filter mechanism still apply.

MicroRNAs can serve as annihilation filters

Slow translation/transcription is a very simple mechanism of noise reduction. Because gene expression involves low molecular counts and highly stochastic signals, different (and more complex) network architectures may have been selected to deal with scenarios in which greater noise reduction is needed (36). A simple example can be found in microRNA-regulated post-transcriptional regulation.

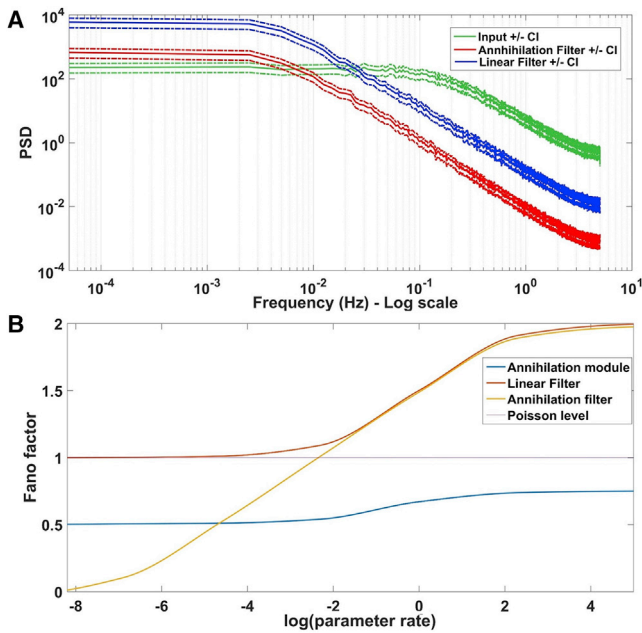


FIGURE 7 (A) Power spectral density (PSD) of input affected by Poisson noise (green), compared with the output of the linear filter (Eq. 1) applied on such input (blue) and of the annihilation filter (Eq. 10) (red). For the linear filter, we fix the following parameters $k_1 = k_2 = 0.008$, whereas for the annihilation filter, we have $r_1 = 1, r_2 = 10, r_3 = 0.00008$. The Poisson input is generated by the following reactions: $\rightarrow^{100} \text{Input}; \text{Input} \rightarrow^1$. The power spectrum is estimated using the Blackman-Tukey spectral estimate algorithm with frequency deep resolution (49), as implemented in MATLAB over 300,000 data points with a sampling time of 0.1 s. For each system, we plot the 99% confidence interval. (B) The Fano factors of the annihilation module (blue), annihilation filter (yellow), and linear filter (red) for an input affected by Poisson noise (dotted line) are shown. The outputs of the filters are constrained to have the same expectation as the input species at steady state. The plots are of the function of the remaining free rate parameters. The Fano factor is estimated by means of the LNA.

MicroRNAs (miRNAs) are short RNAs that are widely conserved in biological networks (37). In animals, it is common that miRNAs and their target mRNAs are coexpressed or positively correlated in their expression (38–40). For example, c-Myc induces the expression of the miRNAs miR-17-5p and miR-20a together with their target E2F1 (41). Furthermore, the system in which miRNAs repress gene expression by binding with the target mRNAs and either inhibiting translation of mRNA or promoting mRNA decay (42,43) leads to a pattern that can be modeled with the annihilation module (Fig. 1 B). Although it is well accepted that miRNAs confer robustness on gene expression (8,38,44), it is still not clear what aspects of their inhibitory mechanisms are used to gain efficient noise reduction (45), and previous analysis has focused on miRNAs that are not coexpressed with the target proteins (8). One hypothesis is that coexpression of miRNAs with their targets has a role in increasing robustness of gene expression (36). This is also supported by experimental evidence (46). Our mathematical analysis confirms such a hypothesis and shows

that correlated expression of miRNAs and mRNA, followed by translational repression of the mRNA when bound to the microRNA, may lead to noise reduction below Poisson levels. This result suggests that microRNA regulation may have been selected to postregulate highly noisy genes. One specific example of such a pattern can be found in the *Drosophila* eye, where miR-7 and its target protein are coexpressed and experimental studies have suggested the role of miR-7 in buffering fluctuations (39,47). A particular network involving miR-7 and verified experimentally in (47) is shown in Fig. 8. This network is responsible for sensory organ precursor fate. An annihilation module between Ato, miR-7, and E(spl) genes may have a role in increasing robustness. We stress that it has yet to be verified experimentally that miR-7 works as an annihilation filter, especially because of the lack of experiments concerning the joint degradation of microRNA and mRNA in different organisms (48).

We have also found that the annihilation filter (Eq. 10) may be a suitable model for the c-Myc|E2F1|hsa-miR-20a circuit, with its extension to E2F2 in the case when E2F1 activates E2F2 (40,41) (Fig. 1 C). This may suggest that miR-20a, by repressing both E2F1 and E2F2, confers greater robustness on E2F2 expression. We note, however, that there is no experimental evidence yet for whether E2F1 activates or represses E2F2. Note that the annihilation filter link between A (c-Myc) and C (E2F2) is not present here: its role is to regulate the mean of the output, but it has no influence on noise reduction.

In conclusion, we analyzed three simple molecular noise filters and derived their properties and limitations. These filters can be related to biological mechanisms. We show, for example, that gene expression with slow translation/transcription can reduce noise but only down to Poisson levels (Eq. 17), even when considering linear multiple-step models

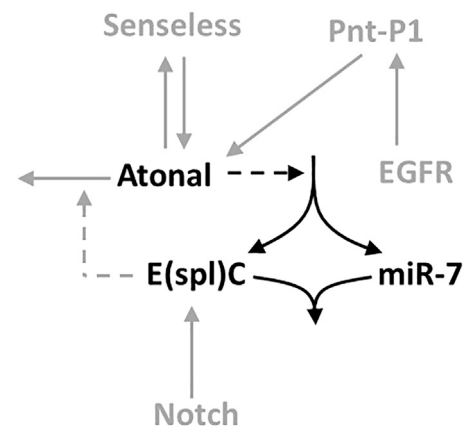


FIGURE 8 The network controlling sensory organ precursor fate (47). miR-7 participates in an annihilation module highlighted in black. The annihilation module is also interconnected with a double-negative feedback loop between Ato and E(spl), with miR-7 as an effector of Ato and E(spl) directly inhibiting Ato.

(26). In contrast, the annihilation module (Eq. 7), which relies on coexpression and joint degradation, can drive the noise below Poisson levels. Such mechanisms can be related to microRNA regulated systems, in which a key requirement for effective noise reduction is the coexpression of mRNA with its miRNAs. Our analysis suggests how a trade-off between performance and resources arises: simpler circuits can reduce less noise but also require fewer resources. In this sense, it is interesting to emphasize that complex noise reduction mechanisms, such as the annihilation module, tend to be found in highly regulated systems. In fact, coexpression of mRNA and microRNA, followed by translation inhibition, is a pattern that is common in animals but much less prevalent in plants (42).

Although biological systems deal with noise in a variety of ways, in this study, we focused on scenarios in which noise should be controlled. It remains an interesting endeavor to similarly discover and analyze the basic principles that allow biological systems to exploit noise functionally and use it to their benefit (2). We believe that a systematic analysis of noise reduction in molecular systems, together with evidence of widespread noise-reduction capabilities in biological systems such as in gene expression, are fundamental to obtaining new insights into the structure and evolutionary origin of noise-reduction mechanisms.

SUPPORTING MATERIAL

Supporting Materials and Methods and eight figures are available at [http://www.biophysj.org/biophysj/supplemental/S0006-3495\(18\)30585-X](http://www.biophysj.org/biophysj/supplemental/S0006-3495(18)30585-X).

AUTHOR CONTRIBUTIONS

L.C., A.C.-N., and L.L. designed the research. L.L. performed the research. All authors contributed to analysis, interpretation of the outcomes, and preparation of the manuscript.

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