

# Prevention of Respiratory Syncytial Virus Infection in Healthy Adults by a Single Immunization of Ad26.RSV. preF in a Human Challenge Study

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**Background.** Respiratory syncytial virus (RSV) is a significant cause of severe lower respiratory tract disease in children and older adults, but has no approved vaccine. This study assessed the potential of Ad26.RSV.preF to protect against RSV infection and disease in an RSV human challenge model.

*Methods.* In this double-blind, placebo-controlled study, healthy adults aged 18-50 years were randomized 1:1 to receive  $1 \times 10^{11}$  vp Ad26.RSV.preF or placebo intramuscularly. Twenty-eight days postimmunization, volunteers were challenged intranasally with RSV-A (Memphis 37b). Assessments included viral load (VL), RSV infections, clinical symptom score (CSS), safety, and immunogenicity.

**Results.** Postchallenge, VL, RSV infections, and disease severity were lower in Ad26.RSV.preF (n = 27) vs placebo (n = 26) recipients: median VL area under the curve (AUC) quantitative real-time polymerase chain reaction: 0.0 vs 236.0 (P = .012; predefined primary endpoint); median VL-AUC quantitative culture: 0.0 vs 109; RSV infections 11 (40.7%) vs 17 (65.4%); median RSV AUC-CSS 35 vs 167, respectively. From baseline to 28 days postimmunization, geometric mean fold increases in RSV A2 neutralizing antibody titers of 5.8 and 0.9 were observed in Ad26.RSV.preF and placebo, respectively. Ad26.RSV.preF was well tolerated.

**Conclusions.** Ad26.RSV.preF demonstrated protection from RSV infection through immunization in a human challenge model, and therefore could potentially protect against natural RSV infection and disease.

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Keywords. respiratory syncytial virus; vaccine; challenge study; adenoviral vectors; RSV fusion protein; Pre-F protein; adults.

Globally, respiratory syncytial virus (RSV) is the most common cause of lower respiratory tract infection and is a major cause of hospitalizations, morbidity, and mortality in children  $\leq$ 5 years of age and older adults, particularly those aged  $\geq$ 60 years [1–4]. Annually, RSV infection develops in 3%–7% of older adults, where RSV-associated hospitalizations are often more severe than those associated with influenza [5, 6].

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RSV glycoprotein (RSV-G), which initiates viral attachment to the host cell, and RSV fusion protein (RSV-F), which in its prefusion (pre-F) conformation mediates viral cell membrane fusion, play a major role in the RSV viral life cycle [7]. Unlike influenza, RSV is antigenically stable, with only the RSV-G protein undergoing significant changes by season [8]. Therefore, the RSV-F protein is a favorable target for an effective vaccine, as it is highly conserved season-to-season across RSV strains [7, 8]. Epitopes specific to the pre-F protein appear to be more potent than those on the postfusion conformation (post-F) of RSV-F [7, 8]. However, wild-type pre-F is highly unstable and rapidly converts to a post-F conformation [9]. RSV vaccine candidates based on the post-F conformation so far failed to protect against RSV-mediated lower respiratory tract disease (LRTD) [10, 11]. However, a recent study showed that the RSV-F protein stabilized in the pre-F conformation induced [12].

Ad26.RSV.preF is a recombinant adenovirus serotype 26 vector (Ad26) that encodes for a full-length RSV-F protein stabilized in the pre-F protein conformation [13]. Ad26-based vectors investigated to date have elicited both humoral and cell-mediated immune responses without requiring an adjuvant, and have a good safety profile [14, 15]. Ad26.RSV.preF

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has demonstrated immunogenicity and induced robust RSVspecific humoral and cell-mediated immune responses that were durable for at least 2 years postimmunization in a firstin-human phase 1 study in older healthy adults aged  $\geq 60$  years [16]. A trend for a higher humoral immune response was observed with the higher vaccine dose (1 × 10<sup>11</sup> viral particles [vp]) compared with the lower dose (5 × 10<sup>10</sup> vp), with no safety concerns [16].

This study aimed to demonstrate the potential of Ad26.RSV. preF to protect against RSV infection and disease in healthy adult volunteers, utilizing the established human viral challenge (HVC) model for the first time to evaluate an RSV vaccine candidate.

# METHODS

#### **Study Design**

This randomized, placebo-controlled, double-blind phase 2a HVC study, conducted at a single quarantine unit (hVIVO, United Kingdom) comprised screening, immunization, viral challenge, and outpatient phases (Supplementary Figure 1). The screening phase was conducted between days -84 and -31. Historical prescreening data collected through the Ethics Committee-approved hVIVO screening protocol within 56 days to 3 days prior to vaccination (90 days for viral serology) could be used for screening procedures. Historical prescreening data obtained prior to this window could be reassessed any time from 40 days to 3 days prior to immunization. In the immunization phase, volunteers were randomized 1:1 to receive either  $1 \times 10^{11}$  vp Ad26.RSV.preF or placebo (0.9% saline) intramuscularly at day -28 and were followed up 28 days postimmunization. In the viral challenge phase, volunteers entered the quarantine unit 1-2 days prior to challenge. Volunteers were inoculated intranasally with RSV-A (4 log<sub>10</sub> plaque-forming units [PFU]/mL Memphis 37b) on day 0 (generally within 25-33 days postimmunization with allowance of up to 90 days) and followed up for 12 days. Volunteers were discharged from the unit on day 12 if RSV was not detected in the nasopharyngeal sample collected before discharge. If symptoms were present but RSV was not detected, the volunteer was discharged at the discretion of the investigator. If appropriate, volunteers were able to reside in guarantine for an additional night or longer before discharge. In the outpatient phase, volunteers were followed up for 6 months postimmunization.

#### Participants

Healthy adult volunteers aged 18–50 years were prescreened (to enrich the population for increased susceptibility to RSV infection) before inclusion in the study. Volunteers with levels of RSV neutralizing antibodies compatible with susceptibility to RSV infection were included. Susceptibility was determined within 90 days of immunization and on entry to the quarantine unit (ie, 1–2 days prior to challenge with RSV-A Memphis 37b virus, for post hoc confirmation of susceptibility). The cutoff was based on the bottom 25th percentile of the previous 12 months of screening results (half-maximal inhibitory concentration [IC<sub>50</sub>] of 810). Full inclusion and exclusion criteria are detailed in the Supplementary Appendix. All volunteers provided written informed consent. The study was conducted in accordance with the Declaration of Helsinki (1996 version), the International Conference on Harmonization Good Clinical Practice guidelines, applicable regional and local regulations, and the study protocol.

#### Procedures

From day 2 postchallenge, nasal wash samples were collected (twice daily from day 2 to day 11, once daily on day 12) and symptom score cards were completed thrice daily by volunteers at entry to the quarantine unit (day 1) until day 11 after challenge, and once at discharge from the quarantine unit on day 12, and reviewed by the attending physician. Tissue counts and mucus weights were accumulated every 24 hours. Blood samples for humoral immunity were collected preimmunization, 1-2 days prior to viral challenge, and at 28 days postchallenge. Isolated peripheral blood mononuclear cells were collected to measure cell-mediated immunity (CMI). Serious adverse events (SAEs) were reported by volunteers from immunization until 6 months postchallenge. Solicited adverse events (AEs) were reported by volunteers for 7 days postimmunization. Unsolicited AEs were reported by volunteers 28 days postimmunization and 28 days postchallenge.

RSV infection was defined as either asymptomatic and symptomatic ( $\geq$ 2 quantifiable real-time polymerase chain reaction [rt-PCR] measurements above the lower limit of quantification [LLOQ]), liberal ( $\geq$ 2 quantifiable rt-PCR measurements above the LLOQ plus any clinical symptom of any severity), or conservative ( $\geq$ 2 quantifiable rt-PCR measurements above the LLOQ and symptoms from 2 different categories [upper respiratory, lower respiratory, systemic] from the symptom score card or any grade 2 symptom from any category). Peak viral load (VL) was defined as the maximum VL of nasal wash samples determined by quantitative rt-PCR assay observed over the quarantine period.

#### Outcomes

The prespecified primary endpoint was the area under the VL-time curve of RSV (RSV VL-AUC) from challenge to discharge determined by quantitative rt-PCR assay of nasal wash samples. Other efficacy endpoints included RSV VL-AUC determined by quantitative culture, volunteers with RSV infection and peak VL, AUC of the total clinical symptom score (CSS; a composite of 13 self-reported symptoms, detailed in the Supplementary Appendix), total mucus weight, and tissue count (number of tissues used for nasal secretions). Immunogenicity endpoints included RSV A2 neutralizing antibody titers (virus neutralization assay), pre-F and post-F RSV-F protein binding

serum antibody (immunoglobulin G [IgG]) titers (enzymelinked immunosorbent assay [ELISA]), intranasal pre-F immunoglobulin A (IgA) antibody titers (ELISA), and Ad26 neutralizing antibody titers (adenovirus neutralization assay). Safety endpoints included solicited local and systemic AEs, unsolicited AEs, and SAEs. Post hoc, the vaccine efficacy (VE) based on RSV-infection definitions was calculated. Post hoc analysis of the CSS and VL as determined by rt-PCR and by quantitative culture was conducted on volunteers with breakthrough infections ( $\geq$ 2 timepoints with rt-PCR VL values above the LLOQ). Post hoc analyses were also conducted on the time to first of 2 positive rt-PCR results and first positive viral culture, and the relationship between infection and the prechallenge titers of neutralizing antibodies to the RSV A2 strain in Ad26.RSV.preF recipients.

#### **Statistical Analysis**

The study was only powered for the primary efficacy endpoint with details of power calculations discussed in the Supplementary Appendix. All volunteers who were randomized and received Ad26.RSV.preF or placebo, regardless of the occurrence of protocol deviations, were included in the safety population (full analysis set). Randomized volunteers who received Ad26.RSV.preF or placebo and viral challenge, were in the primary analysis population for efficacy evaluation (intentto-treat challenge population). All randomized volunteers who received Ad26.RSV.preF or placebo and had immunogenicity data available (excluding volunteers with a major protocol deviation impacting the immunogenicity outcomes) were included in the per-protocol immunogenicity population, upon which immunogenicity analysis was conducted. For the primary endpoint, an exact Wilcoxon rank-sum test was performed, and the 1-sided *P* value was interpreted at the 5% and 20% significance level. The same test was used to assess all other continuous secondary endpoints. VE was evaluated using a Farrington– Manning score method. A generalized linear regression was used to model the probability of being infected in the Ad26. RSV.preF group using the log-transformed prechallenge titers of neutralizing antibodies to the RSV A2 strain as an independent variable.

## RESULTS

Overall, 63 volunteers were randomized and immunized with Ad26.RSV.preF (n = 31) or placebo (n = 32), with the study conducted from 2 August 2017 through 27 November 2018. Ten volunteers receiving Ad26.RSV.preF (n = 4) or placebo (n = 6) discontinued before receiving viral challenge; 6 were lost to follow-up (Ad26.RSV.preF, n = 3; placebo, n = 3), 3 discontinued as per the investigator's decision (Ad26.RSV.preF, n = 1; placebo, n = 2), and 1 discontinued in the placebo group due to a positive cotinine test. Fifty-three patients were subsequently challenged with RSV (Ad26.RSV.preF, n = 27; placebo, n = 26) 25–33 days following immunization, with the exception of 1 volunteer in the placebo group who was challenged 73 days postimmunization (Figure 1). Baseline characteristics were similar between groups (Table 1).

Postchallenge with RSV, the infection rate was lower in recipients of Ad26.RSV.preF than placebo. The VL remained



Figure 1. Volunteer disposition. \*One volunteer was screened and randomized, but not immunized. <sup>1</sup>Three volunteers were lost to follow-up, and 1 volunteer was discontinued per the investigator's decision (not due to an adverse event [AE]). <sup>1</sup>Three volunteers were lost to follow-up, 2 volunteers were discontinued per the investigator's decision (not due to an AE), and 1 volunteer was discontinued due to a positive cotinine test, an exclusion criterion. Abbreviation: RSV-A, respiratory syncytial virus subtype A.

## Table 1. Baseline Characteristics of Immunized Volunteers

Characteristic	Ad26.RSV.preF	Placebo
Intent-to-treat challenge analysis set		
No.	27	26
Female sex	12 (44.4)	6 (23.1)
Median age, y, range (min, max)	24 (18, 45)	25 (18, 41)
Race <sup>a</sup>		
Asian	2 (7.7)	1 (4)
Black or African American	1 (3.8)	0
White	22 (84.6)	24 (96)
Multiracial	1 (3.8)	0
Median weight, kg (min, max)	71.7 (50.7, 94.5)	74.35 (55.8, 103.5)
Median BMI, kg/m² (min, max)	24 (17.6, 28.4)	23.15 (20.7, 33.3)
Full analysis set		
No.	31	32
Female sex	12 (38.7)	6 (18.8)
Median age, y, range (min, max)	24.0 (18, 45)	25.0 (18, 41)
Race <sup>a</sup>		
Asian	3 (10.3)	1 (3.4)
Black or African American	1 (3.4)	0
White	24 (82.8)	28 (96.6)
Multiracial	1 (3.4)	0
Median weight, kg (min, max)	75.10 (50.7, 112.8)	74.35 (54.7, 107.5)
Median BMI, kg/m <sup>2</sup> (min, max)	24.20 (17.6, 32.7)	23.15 (18.4, 36.2)
Baseline immunogenicity (per-protocol immunogenicity set)		
No.	30	31
Titers of neutralizing antibodies to RSV A2, $IC_{50}$ , (95% CI)	267 (222–320)	283 (248–322)
Pre-F IgG serum antibody response, ELISA units/L (95% CI)	109 (85–141)	112 (91–139)
Post-F IgG serum antibody response, ELISA units/L (95% CI)	101 (76–135)	97 (78–121)

Data are presented as No. (%) unless otherwise indicated

Abbreviations: BMI, body mass index; CI, confidence interval; ELISA, enzyme-linked immunosorbent assay; IC<sub>50</sub>, half-maximal inhibitory concentration; IgG, immunoglobulin G; RSV, respiratory syncytial virus.

<sup>a</sup>Two volunteers did not report race.

below the LLOQ postchallenge in 14 of 27 (51.9%) volunteers receiving Ad26.RSV.preF and in 6 of 26 (23.1%) volunteers receiving placebo. The primary endpoint (rt-PCR-determined RSV VL-AUC) was significantly lower in volunteers who received Ad26.RSV.preF than placebo (median, 0.0 vs 236 log<sub>10</sub> copies  $\times$  hour/mL) (P = .012) (Table 2). In both groups, mean VL peaked after approximately 7 days postchallenge, with the mean VL being considerably lower in Ad26.RSV.preF than placebo recipients at most timepoints (Figure 2A). Similarly, quantitative culture-determined RSV VL-AUC and peak VL (rt-PCR) were both substantially lower in those who received Ad26.RSV.preF compared with placebo (Table 2). In the placebo group, mean VL (as determined by quantitative culture) began to increase 3 days postchallenge, peaking 6.5 days postchallenge and decreasing substantially 7.5 days postchallenge. Mean VL in the Ad26.RSV.preF group was <0.5 log<sub>10</sub> PFU/mL throughout the study (Figure 2B). Post hoc analysis of volunteers with breakthrough infections yielded similar results (Supplementary Figure 2).

The AUC of the total CSS was markedly lower in those who received Ad26.RSV.preF compared with placebo (35.0 vs

167.0; Table 2). Volunteers who received Ad26.RSV.preF had fewer symptoms and lower severity of disease than placebo (Supplementary Figure 3). Disease severity remained low across timepoints in recipients of Ad26.RSV.preF, whereas severity started to increase 3 days postchallenge for placebo, peaking 6 days postchallenge and then decreasing 7 days postchallenge (Figure 2C). Objective markers of severity, the AUC of excreted mucus weight and the number of tissues used, were markedly lower in those who received Ad26.RSV. preF compared with placebo (Table 2; Supplementary Figure 4). The mean weight of mucus produced peaked in the placebo group 7 days postchallenge, while it remained consistently low in the Ad26.RSV.preF group throughout the study (Figure 2D).

The asymptomatic and symptomatic, liberal, and conservative definitions of RSV infection resulted in VE of 37.7%, 45.8%, and 51.9%, respectively (Table 2). The time to the first of 2 positive rt-PCR results was longer in the Ad26.RSV.preF group than placebo with more volunteers having 2 positive rt-PCR results in the placebo group (65.4%) than the Ad26.RSV.preF group (40.7%) by day 12 (VE, 37.7%). This pattern was more

Table 2. Summary of Efficacy Outcomes Following Intranasal Viral Challenge With Respiratory Syncytial Virus Subtype A After Receiving Intramuscular Immunization With Either Ad26.RSV.preF or Placebo (Intent-to-Treat Challenge Population)

			Difference Between Ad26.RSV.preF and
Endpoint	Ad26.RSV.preF (n = $27$ )	Placebo (n $= 26$ )	Placebo
Primary endpoint, median (Q1, Q3)			
RSV VL-AUC (rt-PCR) (log <sub>10</sub> copies $\times$ h/mL)	0.0 (0.0, 268.8)	236.0 (20.3, 605.8)	$P = .012^{a}$
Secondary endpoints, median (Q1, Q3)			
RSV VL-AUC (quantitative culture) ( $log_{10}$ PFU × h/mL)	0.0 (0.0, 20.3)	109.0 (0.0, 227.5)	$P = .002^{a,b}$
Peak VL (rt-PCR) (log <sub>10</sub> copies/mL)	0.0 (0.0, 4.5)	5.4 (3.0, 6.7)	$P = .004^{a,b}$
AUC of mucus weight $(g \times h)$	102.0 (10.4, 380.2)	333.0 (46.4, 669.0)	$P = .056^{a,b}$
AUC of total clinical symptom score (TSS $\times$ h)	35.0 (0.0, 91.2)	167 (38.7, 428.5)	$P = .002^{a,b}$
Secondary endpoints, No. (%)			VE, % (95% CI) <sup>c</sup>
% RSV-infected volunteers (asymptomatic and symptomatic) <sup>d</sup>	11 (40.7)	17 (65.4)	37.7 (-5.7 to 69.2)
RSV-infected volunteers (liberal) <sup>e</sup>	9 (33.3)	16 (61.5)	45.8 (-1.0 to 73.8)
RSV-infected volunteers (conservative) <sup>f</sup>	6 (22.2)	12 (46.2)	51.9 (-7.4 to 83.2)

Abbreviations: AUC, area under the curve; Cl, confidence interval; PFU, plaque-forming units; Q1, first quartile; Q3, third quartile; RSV, respiratory syncytial virus; rt-PCR, real-time polymerase chain reaction; TSS, total symptom score; VE, vaccine effectiveness; VL, viral load.

<sup>a</sup>Wilcoxon rank-sum test, 1-sided *P* value.

<sup>b</sup>As this was an exploratory study, hypothesis testing was only planned for the primary endpoint. No testing strategy (and corresponding α-level adjustment) was specified for any other analysis; therefore, *P* values should be interpreted with caution.

<sup>c</sup>Farrington–Manning score method.

<sup>d</sup>Asymptomatic and symptomatic: ≥2 quantifiable rt-PCR measurements.

<sup>e</sup>Liberal: ≥2 quantifiable rt-PCR measurements and any clinical symptom (regardless grade or class)

<sup>f</sup>Conservative: ≥2 quantifiable rt-PCR measurements and clinical symptoms of 2 different categories or any grade 2.

pronounced in the time to first positive culture, with a greater difference between the groups at day 12 (Ad26.RSV.preF, 18.5%; placebo, 53.8%; VE, 55.1%) (Figure 3).

Baseline geometric mean titers (GMTs) of RSV A2 neutralizing antibodies were similar in the Ad26.RSV.preF (267 IC<sub>50</sub> [95% confidence interval {CI}, 222-320]) and placebo groups (283 IC<sub>50</sub> [95% CI, 248-322]). From baseline to 28 days postimmunization, there was a 5.8-fold (95% CI, 4.4-7.8) increase in GMTs of RSV A2 neutralizing antibodies to 1589 IC<sub>50</sub> (95% CI, 1136–2224) in the Ad26.RSV.preF group, whereas RSV A2 neutralizing antibody GMTs remained similar in placebo (268 IC<sub>50</sub> [95% CI, 229-313]) (Figure 4A). There was a further GMT fold increase from prechallenge to 28 days postchallenge of 6.1 (95% CI, 4.8-7.9) to 1617 (95% CI, 1214-2152) in the Ad26.RSV.preF group and of 1.7 (95% CI, 1.4-2.1) to 538 IC<sub>50</sub> (95% CI, 436-664) in placebo. Post hoc analysis indicated that higher RSV A2 neutralizing antibody titers present at the time of the RSV challenge were associated with a reduction of the probability of being infected with RSV-A Memphis 37b (Figure 4B).

Baseline GMTs of pre-F IgG binding antibodies were similar in the Ad26.RSV.preF (109 ELISA units/L [95% CI, 85–141]) and placebo groups (115 ELISA units/L [95% CI, 96–138]). There was a 6.8 (95% CI, 5.1–9.1) fold increase in pre-F IgG binding antibody GMTs to 743 ELISA units/L (95% CI, 587– 941) from baseline to 28 days postimmunization in the Ad26. RSV.preF group, while remaining similar in placebo (112 ELISA units/L [95% CI, 91–139]) (Supplementary Figure 6). There was a further GMT fold increase from prechallenge to 28 days postchallenge of 8.2 (95% CI, 6.3–10.6) to 855 ELISA units/L (95% CI, 704–1038) in the Ad26.RSV.preF group vs a 2.1 GMT fold increase (95% CI, 1.7–2.6) to 281 ELISA units/L (95% CI, 227–348) in placebo. Further details of post-F IgG binding antibodies and neutralizing antibodies directed against the Ad26 vector are discussed in the Supplementary Appendix.

## Safety

The most frequently reported solicited local AEs were pain/ tenderness and swelling/induration at the injection site, and all solicited local AEs were grade 1 or 2 (Table 3). The most frequently reported solicited systemic AEs were myalgia, fatigue, and headache. Four volunteers reported at least 1 grade 3 solicited systemic AE postimmunization (Ad26.RSV.preF, n = 3; placebo, n = 1); the grade 3 solicited systemic AEs reported in volunteers receiving Ad26.RSV.preF were chills (n = 2), fatigue (n = 2), myalgia (n = 2), headache (n = 2), arthralgia (n = 1), and nausea (n = 1). The grade 3 solicited systemic AE reported in the volunteer receiving placebo was fatigue, and all reported grade 3 solicited systemic AEs were considered to be related to the vaccine by the investigator. All other solicited systemic AEs were grade 1 or 2. All unsolicited AEs were grade 1 or 2, with headache the most common unsolicited AE postimmunization (3.2% Ad26.RSV.preF; 12.5% placebo). The only unsolicited AEs considered related to study vaccine by the investigator in the Ad26.RSV.preF group were increased aspartate aminotransferase and increased alanine aminotransferase (ALT), occurring in 2 cases each (6.5%). Postchallenge, increased ALT, lymphadenopathy, and pharyngitis were the most



**Figure 2.** Efficacy as determined by viral load as determined by real-time polymerase chain reaction (rt-PCR) and quantitative culture, and disease severity as determined by total clinical symptom score (CSS) and mucus weight over time following intranasal viral challenge with respiratory syncytial virus subtype A (RSV-A) after receiving immunization with either Ad26.RSV.preF or placebo intramuscularly (intent-to-treat challenge population). *A*, Viral load as determined by rt-PCR. *B*, Viral load as determined by rt-PCR, viral load as determined by rt-PCR, viral load as determined by quantitative culture, CSS, and mucus weight are shown from viral challenge at day 0 until 12 days postchallenge in the intent-to-treat challenge set. From days 2 to 12 postchallenge, nasal wash samples were collected (twice daily from days 2 to 11, once daily on day 12) and reviewed by the attending physician. Mucus weights were determined every 24 hours. Placebo and Ad26.RSV.preF were measured at the same timepoints, jitter was applied to ensure both Ad26.RSV.preF and placebo means, and confidence intervals are visible even if close to each other. Abbreviations: CSS, clinical symptom score; SD, standard deviation; VL, viral load.



**Figure 3.** Kaplan-Meier curves of time to the first of 2 (*A*) respiratory syncytial virus (RSV) real-time polymerase chain reaction—positive (*B*) viral cultures, following intranasal viral challenge with RSV subtype A after receiving immunization with Ad26.RSV.preF or placebo intramuscularly (intent-to-treat challenge population). From days 2 to 12 postchallenge, nasal wash samples were collected (twice daily from day 2 to day 11, once daily on day 12). Positive was defined as above the lower limit of quantification; n, number of volunteers; rt-PCR, real-time polymerase chain reaction; VE, vaccine efficacy.

common AEs, and both increased ALT and lymphadenopathy were more frequent in the Ad26.RSV.preF group (25.9% ALT; 22.2% lymphadenopathy) than in the placebo group (11.5% ALT; 3.8% lymphadenopathy). One volunteer in the Ad26. RSV.preF group had an SAE (right ovarian cyst) that occurred 8 weeks postchallenge. This was considered to be unrelated to Ad26.RSV.preF or challenge virus by the investigator.

# DISCUSSION

This study assessed the potential of Ad26.RSV.preF to protect against RSV infection and disease in healthy adult volunteers in the RSV HVC model. Such models have been used to successfully demonstrate efficacy in RSV antiviral studies [17, 18], but this is the first such study to assess the proof of concept for vaccine-mediated protection against RSV infection. The primary endpoint was met; RSV VL-AUC (rt-PCR) postchallenge was significantly lower in volunteers who received Ad26.RSV. preF vs placebo (P = .012). We successfully demonstrated protection from RSV infection through active immunization with Ad26.RSV.preF in humans experimentally infected with RSV.

The majority of potent neutralizing antibodies in the serum of RSV-positive individuals are directed against pre-F specific sites [8, 9, 12]. Ad26.RSV.preF led to an increase in pre-F and post-F IgG serum antibody response and RSV A2 neutralizing antibody titers compared with placebo. In a previous challenge study, preexisting RSV-antibody serum concentrations correlated with protection against RSV infection, indicating that an effective RSV vaccine should likely elicit the production of serum neutralizing antibodies [19]. This is consistent with the protective effect of the increase in RSV A2 neutralizing antibody titers observed following immunization with Ad26.RSV. preF. In our study, a post hoc analysis demonstrated there was a correlation between the proportion of volunteers infected and their postimmunization RSV A2 neutralizing antibody titers, suggesting that Ad26.RSV.preF-induced titers are indeed contributing to protection.

Other immune functions induced by Ad26.RSV.preF likely also play a role in protection. Ad26-expressed proteins elicit stronger protective immunity than directly delivered soluble proteins [20]. The level of intranasal pre-F IgA antibodies was



**Figure 4.** Titers of neutralizing antibodies to respiratory syncytial virus (RSV) A2 strain over time, and proportion of infected volunteers vs prechallenge titers of neutralizing antibodies to RSV A2 strain following immunization with either Ad26.RSV.preF or placebo intramuscularly (per-protocol immunogenicity set). *A*, Titers of neutralizing antibodies to RSV A2 strain are shown from baseline to 28 days postchallenge in the per-protocol immunogenicity set. Geometric mean titers with 95% confidence intervals (CIs) are shown. The majority of the volunteers had their 28-day postimmunization sample taken between 22 and 33 days after immunization. One volunteer had 2 prechallenge samples taken at day 45 and day 70; these 2 samples are not part of the analysis. Infection was defined based on the symptomatic and asymptomatic definition: if a volunteer had  $\geq$ 2 quantifiable real-time polymerase chain reaction (rt-PCR) measurements above the lower limit of quantification (LLOQ). *B*, Infected and noninfected volunteers receiving Ad26.RSV.preF were plotted vs their prechallenge titers of neutralizing antibodies to RSV A2 strain as an independent variable. The solid lines represent the estimated probability of being infected and the shaded area around it represents the 95% CI. Infection was defined based on the symptomatic and asymptomatic and asymptomatic and asymptomatic definition: if a volunteer had  $\geq$ 2 quantifiable rt-PCR measurements above the LLOQ. Abbreviations: IC<sub>so</sub>, half-maximal inhibitory concentration; RSV, respiratory syncytial virus.

increased after immunization with Ad26.RSV.preF compared with the placebo group prechallenge, but did not correlate with protection. This is in contrast with a previous study reporting an association between the level of preexisting RSV-F specific IgA antibodies and susceptibility to infection after challenge of nonimmunized healthy adults [19]. These differences could be due to variability between studies and the limited number of volunteers included in our trial, or reflect a different mechanism of protection between natural and vaccine-induced immunity. CMI was not measured as the quality of the samples was insufficient to perform analysis, but Ad26.RSV.preF has been shown to induce durable RSV-specific CMI previously [16]. Overall, Ad26.RSV.preF has demonstrated its ability to elicit a functional immune response, which induces protection from RSV infection in the adult population, partly mediated by neutralizing antibodies. The preexisting Ad26 neutralizing antibodies measured at baseline did not impact the vaccine-induced immune responses.

Table 3. Adverse Events Following Immunization With Either Ad26.RSV. preF or Placebo Intramuscularly (Full Analysis Set)<sup>a</sup>

Event or Abnormality	Ad26.RSV.preF (n = 31)	Placebo (n = 32)
Solicited local AEs		
Any solicited local AE	31 (100.0)	6 (18.8)
Pain/tenderness	31 (100.0)	6 (18.8)
Swelling/induration	9 (29.0)	1 (3.1)
Erythema	1 (3.2)	0 (0.0)
Any solicited local AE of grade 3/4	0 (0.0)	0 (0.0)
Solicited systemic AEs		
Any solicited sys- temic AE	31 (100.0)	16 (50.0)
Myalgia	28 (90.3)	4 (12.5)
Fatigue	26 (83.9)	12 (37.5)
Headache	26 (83.9)	8 (25.0)
Chills	17 (54.8)	2 (6.3)
Arthralgia	14 (45.2)	2 (6.3)
Nausea	11 (35.5)	2 (6.3)
Fever	4 (12.9)	1 (3.1)
Any solicited systemic AE of grade 3	3 (9.7)	1 (3.1)
Any solicited systemic AE of grade 4	0 (0.0)	0 (0.0)
Unsolicited AEs		
Postimmunization		
Any unsolicited AE	11 (35.5)	15 (46.9)
Headache	1 (3.2)	4 (12.5)
Postchallenge <sup>a</sup>		
Any unsolicited AE	20 (74.1)	18 (69.2)
Increased ALT	7 (25.9)	3 (11.5)
Dry skin	3 (11.1)	2 (7.7)
Epistaxis	0 (0.0)	3 (11.5)
Lymphadenopathy	6 (22.2)	1 (3.8)
Pharyngitis	3 (11.1)	4 (15.4)
Rhinorrhea	1 (3.7)	4 (15.4)

Data are presented as No. (%).

Abbreviations: AE, adverse event; ALT, alanine aminotransferase.

<sup>a</sup>Unsolicited AEs postchallenge were collected from the intent-to-treat challenge population (Ad26.RSV.preF, n = 27; placebo, n = 26). Solicited AEs were defined (local and systemic) events that volunteers were specifically asked about and which were noted by volunteers in a diary in the evening after immunization and then daily for the next 7 days, which was reviewed by the investigator. Solicited AEs are presented in order of decreasing incidence in the Ad26.RSV.preF group and were based on the full analysis set (volunteers who were randomized and received at least 1 dose of the study vaccine). The severity of solicited AEs were graded in the diary and then verified by the investigator. For unsolicited AEs, only events occurring in at least 10% of volunteers in 1 am per period are shown.

VL has been shown to drive disease progression in RSV infection, and high RSV VL in respiratory secretions is associated with increased clinical disease severity in natural infection [21, 22]. Furthermore, reducing infectious virus quantity within respiratory secretions likely interrupts human-to-human RSV transmission [23]. Mathematical modeling predicts that an effective RSV vaccine would reduce viral transmission, thus indirectly reducing the burden of RSV [23]. Ad26.RSV.preF significantly reduced VL and reduced infectious virus quantity in the upper respiratory tract and therefore has potential for inducing an additional herd immunity effect.

The HVC model mimics natural infection as closely as possible and RSV Memphis 37b viral challenge models have shown parallels with natural infection, indicating that Ad26. RSV.preF may be efficacious against natural RSV infection [21, 24]. However, in this challenge model, adults infected with RSV usually experience mild, cold-like symptoms limited to upper respiratory tract infections (URTIs). Few URTI symptoms were noted in those who received Ad26.RSV.preF and were of reduced severity compared with placebo recipients, suggesting that Ad26.RSV.preF may induce protection from URTI symptoms that lead to upper respiratory tract disease (URTD), which has not been demonstrated with previous vaccine candidates [10, 11]. Severe RSV disease is characterized by an infection of the lower respiratory tract and results from the progression of RSV from the upper airways to the lower airways; therefore, protection from URTI symptoms that lead to URTD suggest that Ad26.RSV.preF could also provide protection from lower respiratory tract infection symptoms that lead to LRTD. Such a hypothesis has been consistently corroborated by data for influenza, which is another respiratory virus with similar disease progression. More specifically, many influenza vaccines have demonstrated clinically significant levels of efficacy against URTI symptoms that lead to URTD in the influenza challenge model similar to those observed with Ad26.RSV. preF against RSV in our study [25, 26]. These influenza vaccines were ultimately shown in larger pre- and postmarketing studies to demonstrate even more significant reductions in rates of influenza-associated pneumonia, hospitalization, and death among older adults and/or persons with high-risk underlying medical conditions [27-29]. Increased levels of efficacy have been observed in parallel with increased severity of case definition [30], which was consistent with our findings.

However, as volunteers in this study were healthy adults who were not at high risk of developing LRTD, and as previous RSV therapeutics that have demonstrated efficacy in adult RSV challenge models have not yet translated into efficacy in trials against natural RSV infection [17, 18], further studies are needed in high-risk populations to determine the degree of protection afforded by Ad26.RSV.preF from RSV LRTD.

A limitation of this study is that volunteers were inoculated with a clinical strain of RSV-A, so protection from a currently circulating strain or RSV-B was not demonstrated here. Additionally, the time from immunization to RSV challenge was relatively short. This study only demonstrated durability of immune responses 25–33 days postimmunization and 28 days postchallenge against RSV-A. However, durability of humoral and cellular immune responses induced by Ad26.RSV.preF for at least 2 years and breadth of immune responses against both RSV-A and RSV-B in older adults (aged  $\geq$ 60 years) have been previously demonstrated [16].

In conclusion, VL, RSV infection, and URTD severity following challenge with RSV-A were consistently lower in volunteers immunized with Ad26.RSV.preF vs placebo. Ad26.RSV. preF demonstrated immunogenicity and was well tolerated and has the potential to decrease viral transmission in those with breakthrough infection. These findings support further evaluation of Ad26.RSV.preF, the first RSV vaccine candidate to be tested in an HVC model, in field trials for efficacy against natural RSV infection in RSV-experienced populations at risk of severe RSV disease.

# Supplementary Data

Supplementary materials are available at *The Journal of Infectious Diseases* online. Consisting of data provided by the authors to benefit the reader, the posted materials are not copyedited and are the sole responsibility of the authors, so questions or comments should be addressed to the corresponding author.

# Notes

*Author contributions.* J. S., E. D. P., J. D.-V., J. M., B. M., A. R. B., W. H., N. N., K. E., A. G., R. L.-W., H. S., and B. C. were involved in the in the conception and design of the study. J. S., B. M., A. R. B., W. H., N. N., and B. C. were responsible for the data collection and acquisition. All authors were involved in the interpretation of the data and the drafting of the manuscript. The authors vouch for the accuracy and completeness of the data and analyses and for the fidelity of the study to the protocol.

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All authors have submitted the ICMJE Form for Disclosure of Potential Conflicts of Interest. Conflicts that the editors consider relevant to the content of the manuscript have been disclosed.

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