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# Greater Lymph Node Retrieval Improves Survival in Node-Negative Resected Gastric Cancer in the United States

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Conceptualization: W.J.; Data curation: H.S.C.; Formal analysis: M.K.A.; Investigation: W.J., H.C.S., M.K.A.; Methodology: W.J., H.C.S., M.K.A.; Project administration: W.J., H.C.S.; Resources: W.J., H.C.S.; Software: H.C.S.; Supervision: W.J., H.C.S.; Validation: H.D.S.; Visualization: W.J., H.C.S., M.K.A.; Writing - original draft: M.K.A.; Writing - review & editing: W.J., H.C.S.

# ABSTRACT

**Purpose:** Guidelines in Western countries recommend retrieving ≥15 lymph nodes (LNs) during gastric cancer resection. This study sought to determine whether the number of examined lymph nodes (eLNs), a proxy for lymphadenectomy, effects survival in node-negative disease.

**Materials and Methods:** The US National Cancer Database (2003–2011) was reviewed for node-negative gastric adenocarcinoma. Treatment was categorized by neoadjuvant therapy (NAT) vs. initial resection, and further stratified by eLN. Kaplan-Meier and Weibull models were used to analyze overall survival.

**Results:** Of the 1,036 patients who received NAT, 40.5% had  $\leq$ 10 eLN, and most underwent proximal gastrectomy (67.8%). In multivariate analysis, greater eLN was associated with improved survival (eLN 16–20: HR, 0.71; P=0.039, eLN 21–30: HR, 0.55; P=0.001). Of the 2,795 patients who underwent initial surgery, 42.5% had  $\leq$ 10 eLN, and the majority underwent proximal gastrectomy (57.2%). In multivariate analysis, greater eLN was associated with improved survival (eLN 11–15: HR, 0.81; P=0.021, eLN 16–20: HR, 0.73; P=0.004, eLN 21–30: HR, 0.62; P<0.001, and eLN >30: HR, 0.58; P<0.001).

**Conclusions:** In the United States, the majority of node-negative gastrectomies include suboptimal eLN. In node-negative gastric cancer, greater LN retrieval appears to have therapeutic and prognostic value, irrespective of initial treatment, suggesting a survival benefit to meticulous lymphadenectomy.

Keywords: Stomach neoplasms; Lymph node excision; Gastric cancer; Survival

# **INTRODUCTION**

In the United States, gastric cancer is a devastating disease, with a 5-year overall survival of only 30.6% [1]. Surgical resection with adequate oncologic margins and removal of regional lymph nodes (LNs) offers the best hope for long-term survival. LN status is an important prognostic indicator in gastric cancer, with positive LNs suggesting a poor prognosis [2,3]. However, patients with node-negative disease still have a 17% chance of disease recurrence, and a 5-year overall survival of only 53% [4].

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#### **Conflict of Interest**

The National Cancer Data Base (NCDB) is a joint project of the Commission on Cancer (CoC) of the American College of Surgeons and the American Cancer Society. The CoC's NCDB and the hospitals participating in the CoC's NCDB are the source of the de-identified data used herein; they have not verified and are not responsible for the statistical validity of the data analysis or the conclusions derived by the authors.

This study was selected and presented as a poster presentation at the Society for Surgery of the Alimentary Tract's Annual Meeting at Digestive Disease Week in Chicago, IL, May 6–9, 2017.

Extent of lymphadenectomy remains a controversial topic in surgical management of gastric cancer. In Japan, extended lymphadenectomy, referring to a D2 LN dissection, is standard of care [5]. However, initial data from randomized controlled trials in British and Dutch populations failed to find a significant survival benefit for D2 dissections over D1 dissections [6,7]. Long-term follow-up in the Dutch study found improved disease specific survival with D2 dissections [8]. In the United States, the National Comprehensive Cancer Network (NCCN) guidelines currently recommend gastrectomy with D1 or modified D2 LN dissection, with preservation of the distal pancreas and spleen; the surgeon should examine at least LNs [9]. Recent evidence from Asian populations demonstrates a survival benefit to increasing the number of examined lymph nodes (eLNs), even in node-negative disease [10-13]. However, this has not been explored in African, European, or North and South American populations, Given differences in gastric cancer between Asian and other populations, the results of these studies are not necessarily applicable to African, European, or North and South American populations. This study sought to determine whether number of eLN, a proxy for lymphadenectomy, effects survival in US patients with node-negative gastric cancer.

### **MATERIALS AND METHODS**

#### Data

This was a retrospective cohort study using data from the National Cancer Data Base (NCDB). This clinical oncology database, jointly sponsored by the American College of Surgeons and the American Cancer Society, is sourced from hospital registry data collected from over 1,500 Commission on Cancer (CoC) accredited facilities. The NCDB captures over 70% of newly diagnosed cancer cases in the United States. The NCDB contains readily available de-identified data, and therefore this study was not subject to institutional review board approval or oversight.

#### **Patient selection**

The NCDB (2003–2011) was reviewed for patients diagnosed with clinical stages I–III gastric cancer, who underwent surgical resection, with or without systemic therapy. Patients with clinical stage IV disease or unknown stage were excluded. Clinical stage is coded in the NCDB according to standard practice at each individual institution. Patients who did not undergo surgical resection were excluded. Patients were categorized by receipt of neoadjuvant therapy (NAT) vs. initial resection, and further stratified by number of eLN: ≤10, 11–15, 16–20, 21–30, and >30.

#### **Outcomes and covariates**

The primary variable assessed was overall survival. Analyses controlled for patient and disease characteristics including age, sex, race, insurance type (private, Medicare, Medicaid and other government programs, unknown, and not insured), and the Charlson/Deyo comorbidity index (CCI), an index of 15 comorbidities (myocardial infarction, congestive heart failure, peripheral vascular disease, cerebrovascular disease, dementia, chronic pulmonary disease, rheumatologic disease, peptic ulcer disease, mild liver disease, diabetes, diabetes with chronic complications, hemiplegia or paraplegia, renal disease, moderate or severe liver disease, and acquired immunodeficiency syndrome) [14,15]. Median income of the patient's zip code was used as a proxy for socioeconomic status. Treatment facilities were characterized by type (community, comprehensive community, academic or research



institution, other) and US geographic region (northeast, south, mid-west, west). Disease was characterized by the American Joint Committee on Cancer (AJCC) clinical stage, surgery type (proximal gastrectomy, total gastrectomy, distal gastrectomy, and surgery not otherwise specified), number of regional LNs removed, number of positive regional LNs, surgical margins (no residual tumor, residual tumor not otherwise specified, microscopic residual tumor, macroscopic residual tumor, and indeterminate and unknown margins), pathologic stage, and adjuvant therapy. The main covariate of interest was eLN, which was subdivided into 5 groups: ≤10, 11–15, 16–20, 21–30, and >30 LNs.

#### **Statistical analysis**

Statistical analyses were performed with Stata software (version 12.1; StataCorp., College Station, TX, USA). Patient, disease, and facility characteristics were compared within each cohort with analysis of variance for continuous variables and  $\chi^2$  tests for categorical variables. Kaplan-Meier analyses were performed for each clinical stage and treatment, and stratified by eLN. The proportional hazards assumption was violated, and thus multivariable survival analyses were performed for each initial treatment using a Weibull model, controlling for covariates described above.

# RESULTS

From 2003 to 2011, the median number of eLN in node negative resected gastric cancer has steadily risen from 8 to 14 (**Fig. 1**).

#### **Patient characteristics of NAT cohort**

Of the 1,036 (27%) who received NAT, 40.5% (n=420) had  $\leq 10$ , 21.8% (n=226) had 11–15, 16.8% (n=174) had 16–20, 13.4% (n=139) had 21–30, and 7.4% (n=77) had >30 eLN (**Fig. 2**). Of those who received NAT, 58.0% underwent a suboptimal lymphadenectomy as defined according to NCCN guidelines (<15 eLN).



Fig. 1. Trends in median number of LN examined from 2003 to 2011. LN = lymph node; eLN = examined lymph node.



**Fig. 2.** Distribution of eLNs in NAT cohort. eLN = examined lymph node; NAT = neoadjuvant therapy.

Patient, disease, and treatment characteristics of patients who received NAT, stratified by eLN, are presented in **Table 1**. Patients who received a suboptimal lymphadenectomy (eLN  $\leq$ 10) tended to be male (P<0.001), were more likely to be treated at a comprehensive community center (P<0.001), and were more likely to undergo a proximal gastrectomy (P<0.001). Age (P=0.915),

#### Table 1. Patient, disease, and treatment characteristics of NAT cohort

Variable	≤10 (n=420, %)	11-15 (n=226, %)	16-20 (n=174, %)	21-30 (n=139, %)	>30 (n=77, %)	P-value
Age	61.5	61.4	60.9	62.0	61.0	0.915
18-59	41.2	39.4	38.5	38.8	45.5	
60-69	35.7	39.4	42.5	34.5	27.3	
70-79	20.7	19.5	18.4	23.7	24.7	
80-90	2.4	1.8	0.6	2.9	2.6	
Sex						<0.001
Male	82.1	85.8	83.3	68.3	68.8	
Female	17.9	14.2	16.7	31.7	31.2	
Race						0.395
White (non-Hispanic)	84.8	86.7	85.6	81.3	75.3	
Black (non-Hispanic)	4.0	3.5	2.9	7.9	6.5	
Other (non-Hispanic)	2.1	2.2	1.1	2.9	3.9	
Hispanic	9.0	7.5	10.3	7.9	14.3	
Insurance						0.260
Private	52.6	52.7	58.0	54.0	50.6	
Medicare	38.3	38.9	33.9	38.1	35.1	
Medicaid & other government	6.9	4.9	6.3	5.0	9.1	
Unknown	0.5	1.8	1.7	2.2	0.0	
Not insured	1.7	1.8	0.0	0.7	5.2	
Median income						0.561
<58,000	12.1	8.8	12.1	11.5	15.6	
58,000-74,000	21.4	25.7	27.6	20.9	19.5	
74,000-93,000	26.7	28.8	27.0	29.5	19.5	
>93,000	36.7	34.5	31.0	34.5	42.9	
Comorbidities						0.785
CCI score 0	73.3	75.7	76.4	75.5	79.2	
CCI score 1	22.1	20.4	21.8	20.9	19.5	
CCI score 2	4.5	4.0	1.7	3.6	1.3	

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Variable	≤10 (n=420, %)	11–15 (n=226, %)	16-20 (n=174, %)	21-30 (n=139, %)	>30 (n=77, %)	P-value
Facility type						<0.001
Community	3.1	5.8	3.4	2.9	1.3	
Comprehensive community	42.4	32.3	26.4	22.3	31.2	
Academic/research	54.0	61.9	70.1	74.8	67.5	
Other	0.5	0.0	0.0	0.0	0.0	
Facility location						0.033
Northeast	18.8	22.1	25.9	30.2	29.9	
South	36.9	35.4	32.2	27.3	33.8	
Midwest	33.1	28.3	27.0	30.2	16.9	
West	11.2	14.2	14.9	12.2	19.5	
Clinical stage						0.901
Stage II	51.4	52.2	52.9	55.4	57.1	
Stage III	48.3	47.8	46.6	44.6	42.9	
Surgery type						<0.001
Proximal gastrectomy	74.0	69.9	67.8	54.7	50.6	
Total gastrectomy	19.0	23.0	24.7	40.3	40.3	
Distal gastrectomy	6.9	7.1	7.5	5.0	9.1	
Regional lymph nodes examined	6.2	13.0	17.7	24.5	39.0	<0.001
Surgical margins						0.225
No residual tumor	96.0	95.6	94.3	95.0	96.1	
Residual tumor, NOS	1.2	1.3	1.1	2.9	0.0	
Microscopic residual tumor	2.1	2.2	2.3	1.4	1.3	
Macroscopic residual tumor	0.0	0.0	0.0	0.0	1.3	
Indeterminate or unknown	0.7	0.9	2.3	0.7	1.3	
Pathological stage						0.124
Stage O	3.3	3.5	7.5	2.9	2.6	
Stage 1	29.0	32.7	24.7	31.7	41.6	
Stage 2	36.9	36.7	37.4	34.5	32.5	
Stage 3	3.1	1.3	4.0	2.9	2.6	
Stage 4	0.5	0.4	0.0	0.0	2.6	
Unknown	23.6	23.9	22.4	23.7	13.0	

Table 1. (Continued) Patient, disease, and treatment characteristics of NAT cohort

NAT = neoadjuvant therapy; CCI = Charlson/Deyo comorbidity index; NOS = not otherwise specified.

race (P=0.395), comorbidities (P=0.785), surgical margins (P=0.225), and clinical and pathological stages (P=0.901 and P=0.124, respectively) did not significantly differ among eLN groups.

#### Survival of NAT Cohort

Kaplan-Meier analyses of patients who received NAT are stratified by eLN and presented in Fig. 3. Inadequate lymphadenectomy (eLN  $\leq$ 10) was associated with worse survival in clinical stage II and III disease; however, this association was only significant in stage III disease (P=0.020).

Results of a Weibull survival model of patients who received NAT are presented in **Table 2**. A greater number of eLN was associated with improved hazards of mortality (eLN 16–20: HR, 0.71; P=0.039, eLN 21–30: HR, 0.55; P=0.001). Treatment at an academic center was also associated with a reduction in mortality (HR, 0.52, P=0.005). Greater age (80–90: HR, 3.52; P<0.001) and coverage by Medicaid (HR, 1.59, P=0.019) were associated with increased hazards of mortality.

#### Patient characteristics of initial surgery cohort

Of the 2,795 patients who underwent initial surgery, 42.5% (n=1,187) had  $\leq$ 10, 19.8% (n=553) had 11-15, 14.5% (n=404) had 16–20, 15.5% (n=432) had 21–30, and 7.8% (n=219) had >30 eLN (**Fig. 4**). Of those who underwent initial surgery, 58.6% underwent a suboptimal lymphadenectomy (<15 eLN).



#### Lymphadenectomy in Node-Negative Gastric Cancer



Fig. 3. Survival by eLNs in NAT cohort.

eLN = examined lymph node; NAT = neoadjuvant therapy.

Table 2.	Factors	impacting	survival	in	NAT	cohort
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Variable	HR	95% CI		P-value	
		Lower	Upper	-	
No. of lymph nodes examined					
≤10	Reference				
11–15	0.86	0.66	1.11	0.246	
16-20	0.71	0.52	0.98	0.039	
21–30	0.55	0.38	0.79	0.001	
>30	0.75	0.48	1.17	0.203	
Age					
18–59	Reference				
60-69	1.08	0.83	1.41	0.561	
70-79	1.54	1.09	2.17	0.014	
80-90	3.52	1.87	6.64	<0.001	
Sex					
Male	Reference				
Female	0.96	0.74	1.25	0.757	
Race					
White (non-Hispanic)	Reference				
Black (non-Hispanic)	0.71	0.41	1.24	0.227	
Other (non-Hispanic)	0.67	0.29	1.54	0.348	
Hispanic	1.05	0.75	1.48	0.777	
Insurance					
Private	Reference				
Medicare	1.02	0.77	1.35	0.911	
Medicaid & other government	1.59	1.08	2.35	0.019	
Unknown	0.82	0.26	2.61	0.738	
Not insured	1.67	0.77	3.65	0.195	
Median income					
<58,000	Reference				
58,000-74,000	1.40	1.00	1.96	0.053	
74,000–93,000	1.07	0.77	1.50	0.685	
>93,000	0.92	0.66	1.29	0.645	

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Table 2. (Continued) Factors impacting survival in NAT cohort

Variable	HR	HR 95% CI		P-value	
	_	Lower	Upper	_	
Comorbidities					
CCI score 0	Reference				
CCI score 1	1.22	0.96	1.55	0.100	
CCI score 2	1.28	0.72	2.27	0.397	
Facility type					
Community	Reference				
Comprehensive community	0.52	0.32	0.83	0.007	
Academic/research	0.52	0.33	0.82	0.005	
Other	1.05	0.14	8.14	0.961	
Facility location					
Northeast	Reference				
South	1.18	0.89	1.56	0.249	
Midwest	0.99	0.74	1.33	0.963	
West	0.80	0.55	1.15	0.230	
Surgery type					
Proximal gastrectomy	Reference				
Total gastrectomy	0.86	0.67	1.11	0.242	
Distal gastrectomy	0.80	0.53	1.19	0.271	
Surgical margins					
No residual tumor	Reference				
Residual tumor, NOS	2.05	1.05	4.01	0.035	
Microscopic residual tumor	1.81	1.03	3.20	0.040	
Macroscopic residual tumor	0.00	0.00	0.00	0.999	
Indeterminate or unknown	1.09	0.39	2.99	0.874	
Pathological stage					
Stage 0	Reference				
Stage 1	0.66	0.43	1.03	0.068	
Stage 2	0.99	0.65	1.52	0.970	
Stage 3	0.85	0.42	1.69	0.638	
Stage 4	2.04	0.71	5.90	0.188	
Unknown	0.62	0.39	0.98	0.041	

NAT = neoadjuvant therapy; HR = hazard ratio; CI = confidence interval; CCI = Charlson/Deyo comorbidity index; NOS = not otherwise specified.



**Fig. 4.** Distribution of eLNs in initial surgery cohort. eLN = examined lymph node. Patient, disease, and treatment characteristics of patients who underwent initial surgery are stratified by eLN and presented in **Table 3**. Patients who received a suboptimal lymphadenectomy (eLN  $\leq$ 10) tended to be older (P<0.001), white (P<0.001), and treated at a comprehensive community center (P<0.001). They tended to undergo a proximal

Table 3. Patient, disease, and treatment characteristics of initial surgery cohort

Age     66.8     66.3     64.9     65.1     0.001       8     59     23.2     26.5     23.2     26.5       60-69     27.0     32.4     28.8     30.0     27.5     29.7       80-30     11.0     11.0     8.7     31.4     28.6     7.4       80     27.2     68.6     67.4     62.6     7.4       Femalo     20.0     7.3     8.7     68.3     69.4     7.4       Rece	Variable	≤10 (n=1,187, %)	11–15 (n=553, %)	16-20 (n=404, %)	21-30 (n=432, %)	>30 (n=219, %)	P-value
B-9     23.8     25.5     28.2     32.2     26.5       60-69     20.0     22.0     28.7     28.2     35.2       70-79     32.4     28.8     30.0     27.5     29.7       80-30     60.77     68.6     67.4     62.6     70.7       Female     32.0     27.3     31.4     32.6     37.4       Race	Age	67.8	66.8	66.3	64.9	65.1	<0.001
60-69     27.0     32.0     32.7     26.2     35.2       70-79     30.0     27.3     13.1     12.0     8.7       Ser     0.075     33.1     12.0     8.7       Male     68.0     72.7     68.6     67.4     62.6       Penale     32.0     77.3     68.6     73.4     82.6     73.4       Rate     70.73     68.4     70.3     68.6     73.4     68.3     59.4       Back (non-Hispanic)     73.3     68.4     70.3     68.3     59.4     70.75       Other (non-Hispanic)     73.3     68.4     70.3     68.3     59.4     70.75     70.75       Insurance     70.74     13.7     70.75     88.2     70.75     70.38     82.2       Unknown     10.0     11     0.7     14     14.7     14.7       Stopoon     55.5     15.9     14.1     13.7     13.7     75.7       Stopoon     25.3     29.0     20.0     20.6     29.0	18-59	23.8	25.5	28.2	32.2	26.5	
Po-79     92.4     92.8     90.0     27.5     92.7       Sor     0.075     0.075     0.075       Male     32.0     27.3     31.4     32.6     77.4       Rece     0.075     0.075     0.075     0.075       Rece     0.001     0.001     0.001     0.001       Black (non-Hispanic)     10.0     9.2     6.7     6.3     59.4       Other (non-Hispanic)     10.1     7.8     7.2     10.6     12.3       Hispanic     12.6     H4.6     15.8     14.1     20.5     48.9       Medicate     33.6     55.4     39.6     39.8     39.3     14.1       Not insured     2.0     2.9     17     2.5     2.3     14.1       Not insured     2.0     2.5     25.0     2.4     2.4     14.1       Not insured     2.0     2.5     2.5     2.0     3.0     38.8     0.00       38,000     15.5     15.9     14.1     13.7     13.	60-69	27.0	32.0	28.7	28.2	35.2	
90     16.8     13.7     13.1     12.0     8.7       Ser     72.7     68.6     67.4     62.6     77.7       Rece	70-79	32.4	28.8	30.0	27.5	29.7	
Ser.     Num     Num <td>80-90</td> <td>16.8</td> <td>13.7</td> <td>13.1</td> <td>12.0</td> <td>8.7</td> <td></td>	80-90	16.8	13.7	13.1	12.0	8.7	
Male     68.0     72.7     68.6     67.4     62.6     70.00       Penale     32.0     73     31.4     32.6     37.4     0.001       White (non-Hispanic)     73.3     68.4     70.3     68.3     53.4     0.001       Black (non-Hispanic)     5.1     7.8     7.2     10.6     12.3     0.001       Hispanic     12.6     14.6     15.8     14.1     20.5     0.001       Insurance     -     -     0.336     0.38     0.33     0.3       Medicare Rovernment     5.4     6.1     5.7     5.8     8.2     0.0       Medicare Rovernment     5.4     6.1     0.7     1.4     1.4     0.4       Not Insured     2.2     2.5     1.5     1.9     9.0     2.6     1.0     1.0       S8,000     15.5     15.9     14.1     3.7     1.3     2.5     2.6     2.0     2.5     2.6     2.0     2.5     2.0     2.0     2.1     3.00     3.8<	Sex	1010	1017	1011	.210	011	0.075
Tenule     300     77.3     31.4     32.6     37.4       Race	Male	68.0	79 7	68.6	67.4	62.6	0.070
Race	Female	32.0	97.3	31.4	32.6	37.4	
Mile (non-Hispanic)     73.3     68.4     70.3     68.3     59.4       Black (non-Hispanic)     9.0     9.2     6.7     6.9     7.8       Black (non-Hispanic)     12.6     14.6     15.8     14.1     20.5       Hispanic     12.6     14.6     15.8     14.1     20.5       Private     33.6     55.4     15.7     5.8     8.2       Medicare     58.0     54.4     52.2     50.5     46.9       Medicare     5.0     2.9     17     2.5     2.3       Medicarin income     -     0.002     2.6     2.0       58,000     15.5     15.9     14.1     13.7     13.7       58,000     32.8     33.3     38.4     35.0     38.8       Comorbidities     -     -     0.076     2.5       Ciscore 1     2.81     25.9     25.5     24.8     23.3       Consore 1     2.81     25.2     2.6     0.0     0.0       Consore 1     2.81 </td <td>Bace</td> <td>02.0</td> <td>27.0</td> <td>01.1</td> <td>02.0</td> <td>07.1</td> <td>&lt;0.001</td>	Bace	02.0	27.0	01.1	02.0	07.1	<0.001
	White (non-Hispanic)	72.2	69 /	70.2	60.2	FQ /	0.001
bill of the (unit mispanic)     5.0     9.2     0.7     0.3     7.3       Hispanic     12.6     14.6     15.8     14.1     20.5       Private     33.6     35.4     33.6     38.8     39.3       Medicare     58.0     54.4     52.2     50.5     48.9       Medicare     58.0     54.4     52.2     50.5     48.9       Medicare     58.0     13.1     0.7     1.4     1.4       Not insured     2.0     2.9     17     2.5     2.3       Media income	Black (non Hispanic)	/3.3	0.4	70.3	6.0	39.4	
Dubber (Init-Inspand)     3.1     1.3     1.2     10.0     12.3       Hispanic     12.6     14.6     15.8     H.1     20.5     3.6       Private     33.6     35.4     39.6     39.8     59.3       Medicaide other government     5.4     6.1     5.7     5.8     82.2       Unknown     1.0     1.1     0.7     1.4     1.4       Not insured     2.0     2.9     1.7     2.5     2.3       Medianicome	Other (non-Hispanic)	9.0	9.2	0.7	10.0	7.0	
Insurance     0.396     11.1     20.0       Private     33.6     35.4     39.6     39.8     39.3       Medicare     56.0     54.4     52.2     50.5     48.9       Medicare     56.0     1.1     0.7     1.4     1.4       Not insured     2.0     2.9     1.7     2.5     2.3       Media in norme	Other (non-Hispanic)	5.1	7.8	7.2	10.6	12.3	
Insurance   0.396     Private   33.6   35.4   39.6   39.8   39.3     Medicaic for the sequence of the	Hispanic	12.6	14.6	15.8	14.1	20.5	
Private     33.6     35.4     39.6     39.3     39.3       Medicare     58.0     54.4     52.2     50.5     48.9       Medicaré à other government     5.4     6.1     5.7     5.8     8.2       Unknown     1.0     1.1     0.7     1.4     1.4       Not insured     2.0     2.9     1.7     2.5     2.3       Mediani ncome	Insurance						0.396
Medicaré observennent     5.4     5.2     50.5     48.9       Medicaré observennent     5.4     6.1     5.7     5.8     8.2       Unknown     1.0     1.1     0.7     1.4     1.4       Not insured     2.0     2.9     1.7     2.5     2.3       Median income     .     0.202     2.5     2.3       S8,000 - 47.000     25.5     21.9     20.0     20.6     21.0       74,000 - 33,000     32.8     33.3     38.4     35.0     38.9       Comorbiditis     .     .     0.076     0.076       CCl score 0     62.0     65.1     65.8     69.2     70.8       Conscriutity type     .     25.9     24.8     23.3     .       Comorbiditis     .     .     .     .     .       Conscriutity type     .     .     .     .     .       Comorbiditis     .     .     .     .     .     .       Comorbiditis     .     .<	Private	33.6	35.4	39.6	39.8	39.3	
Medical & other government     5.4     6.1     5.7     5.8     8.2       Unknown     1.0     1.1     0.7     1.4     1.4       Not insured     2.0     2.9     1.7     2.5     2.3       #edian income	Medicare	58.0	54.4	52.2	50.5	48.9	
Unknown     1.0     1.1     0.7     1.4     1.4       Not insured     2.0     2.9     1.7     2.5     2.3       Median income	Medicaid & other government	5.4	6.1	5.7	5.8	8.2	
Not insured2.02.91.72.52.3Medlan income	Unknown	1.0	1.1	0.7	1.4	1.4	
Media income   0.202     r38,000   15.5   15.9   14.1   13.7   13.7     58,000-74,000   25.3   21.9   20.0   20.6   21.0     74,000-93,000   32.5   26.0   26.2   29.2   25.1     93,000   32.8   33.3   38.4   35.0   38.8     Comorbidities	Not insured	2.0	2.9	1.7	2.5	2.3	
c58,000     15.5     15.9     14.1     13.7     13.7       58,000-74,000     25.3     21.9     20.0     20.6     21.0       '93,000     32.8     33.3     38.4     35.0     38.8       Comorbidites	Median income						0.202
\$\$8,000-74,000     \$23,3     \$21,9     \$20,0     \$20,6     \$21,0       74,000-93,000     \$22,8     \$33,3     \$8,4     \$5,0     \$38,8       Comorbidities     \$2,0     \$2,1     \$33,3     \$8,4     \$5,0     \$38,8       Controbidities     \$2,0     \$6,1     \$6,5,8     \$69,2     \$70,8       CCl score 1     \$28,1     \$25,9     \$25,5     \$24,8     \$23,3       CCl score 2     \$9,9     \$9,0     \$8,7     \$6,0     \$5,9       Facility type     \$6,6     \$2,2     \$2,5     \$24,8     \$23,3       Community     \$6,6     \$2,2     \$2,5     \$24,8     \$23,3       Academic/research     \$4,4     \$6,2     \$6,9     \$6,4,4     \$70,3       Other     \$0,3     \$0,2     \$0,5     \$0,0     \$0,0     \$0,0       Northeast     \$20,8     \$23,5     \$27,5     \$29,9     \$3,4     \$3,5       South     \$39,9     \$3,3     \$3,2     \$22,4     \$4,10     \$4,10       Wes	<58,000	15.5	15.9	14.1	13.7	13.7	
P4,000-93,000     32.5     26.0     26.2     29.2     25.1       930,000     32.8     33.3     38.4     35.0     38.4       Comorbidities	58,000-74,000	25.3	21.9	20.0	20.6	21.0	
33,000     32.8     33.3     38.4     35.0     38.8       Comorbidities	74,000-93,000	23.5	26.0	26.2	29.2	25.1	
Comorbidities     0.076       CC1 score 0     62.0     65.1     65.8     69.2     70.8       CC1 score 1     28.1     25.9     25.5     24.8     23.3       CC1 score 2     9.9     9.0     8.7     6.0     5.9       Facility type	>93,000	32.8	33.3	38.4	35.0	38.8	
CCI score 0     62.0     65.1     65.8     69.2     70.8       CCI score 1     28.1     25.9     25.5     24.8     23.3       CCI score 2     9.9     9.0     8.7     6.0     5.9       Facility type	Comorbidities						0.076
CCI score 1     28.1     25.9     25.5     24.8     23.3       CCI score 2     9.9     9.0     8.7     6.0     5.9       Facility type	CCI score 0	62.0	65.1	65.8	69.2	70.8	
CCI score 2     9.9     9.0     8.7     6.0     5.9       Facility type	CCI score 1	28.1	25.9	25.5	24.8	23.3	
Facility type	CCI score 2	9.9	9.0	8.7	6.0	5.9	
Community     6.6     5.2     7.2     4.2     4.6       Comprehensive community     48.8     38.3     35.4     31.5     25.1       Academic/research     44.4     56.2     56.9     64.4     70.3       Other     0.3     0.2     0.5     0.0     0.0       Facility location	Facility type						<0.001
Comprehensive community     48.8     38.3     35.4     31.5     92.1       Academic/research     44.4     56.2     56.9     64.4     70.3       Other     0.3     0.2     0.5     0.0     0.0       Facility location	Community	6.6	5.2	7.9	4.2	4.6	
Constraint     Academic/research     44.4     56.2     56.9     64.4     70.3       Other     0.3     0.2     0.5     0.0     0.0       Facility location     20.8     23.5     27.5     29.9     37.4       South     39.9     33.3     30.2     23.4     22.4       Midwest     23.0     25.5     27.0     27.5     19.2       West     16.3     17.7     15.3     19.2     21.0       Clinical stage     5.27.0     27.5     19.2     21.0       Stage I     71.7     74.1     70.3     71.1     73.5       Stage II     15.2     13.7     19.8     16.9     17.4       Stage III     6.4     6.7     5.7     8.6     5.5       Surgery type	Comprehensive community	48.8	38.3	35.4	31.5	25.1	
Action May result in the solution of the solutis of the solution of the solutis of the solution of the	Academic/research	44.4	56.2	56.9	64.4	70.3	
Order     0.2     0.2     0.3     0.03     0.03     0.03     0.03       Facility location	Other	0.3	0.2	0.5	0.0	0.0	
Northeast     20.8     23.5     27.5     29.9     37.4       Northeast     39.9     33.3     30.2     23.4     22.4       Midwest     23.0     25.5     27.0     27.5     19.2       West     16.3     17.7     15.3     19.2     21.0       Clinical stage	Eacility location	0.5	0.2	0.5	0.0	0.0	<0.001
Northeast     20.8     23.3     27.5     29.9     37.4       South     39.9     33.3     30.2     23.4     22.4       Midwest     23.0     25.5     27.0     27.5     19.2       West     16.3     17.7     15.3     19.2     21.0       Clinical stage	Northeast	00.0	02 5	07 5	00.0	27.4	0.001
South     39.9     33.3     30.2     23.4     22.4       Midwest     23.0     25.5     27.0     27.5     19.2       West     16.3     17.7     15.3     19.2     21.0       Clinical stage     71.7     74.1     70.3     71.1     73.5       Stage I     15.2     13.7     19.8     16.9     17.4       Stage III     6.4     6.7     5.7     8.6     5.5       Surgery type	Northeast	20.8	23.5	27.5	29.9	37.4	
Midwest     23.0     25.5     27.0     27.5     19.2       West     16.3     17.7     15.3     19.2     21.0       Clinical stage     71.7     74.1     70.3     71.1     73.5       Stage I     51.7     74.1     70.3     71.1     73.5       Stage II     15.2     13.7     19.8     16.9     17.4       Stage III     6.4     6.7     5.7     8.6     5.5       Surgery type	South	39.9	33.3	30.2	23.4	22.4	
West     16.3     17.7     15.3     19.2     21.0       Clinical stage     0.192     0.192       Stage I     71.7     74.1     70.3     71.1     73.5       Stage II     15.2     13.7     19.8     16.9     17.4       Stage III     6.4     6.7     5.7     8.6     5.5       Surgery type	Midwest	23.0	25.5	27.0	27.5	19.2	
Clinical stage     0.192       Stage I     71.7     74.1     70.3     71.1     73.5       Stage II     15.2     13.7     19.8     16.9     17.4       Stage III     6.4     6.7     5.7     8.6     5.5       Surgery type	West	16.3	17.7	15.3	19.2	21.0	
Stage I     71.7     74.1     70.3     71.1     73.5       Stage II     15.2     13.7     19.8     16.9     17.4       Stage III     6.4     6.7     5.7     8.6     5.5       Surgery type	Clinical stage						0.192
Stage II     15.2     13.7     19.8     16.9     17.4       Stage III     6.4     6.7     5.7     8.6     5.5       Surgery type	Stage I	71.7	74.1	70.3	71.1	73.5	
Stage III     6.4     6.7     5.7     8.6     5.5       Surgery type	Stage II	15.2	13.7	19.8	16.9	17.4	
Surgery type	Stage III	6.4	6.7	5.7	8.6	5.5	
Proximal gastrectomy     63.4     57.1     54.2     51.4     41.1       Total gastrectomy     26.9     32.9     36.1     40.7     50.7       Distal gastrectomy     9.8     9.9     9.7     7.9     8.2       Regional lymph nodes examined     5.6     12.9     17.9     24.7     38.7     <0.001	Surgery type						<0.001
Total gastrectomy   26.9   32.9   36.1   40.7   50.7     Distal gastrectomy   9.8   9.9   9.7   7.9   8.2     Regional lymph nodes examined   5.6   12.9   17.9   24.7   38.7   <0.001     Surgical margins   0.161     No residual tumor, NOS   1.1   1.1   0.7   0.9   0.5     Microscopic residual tumor   4.2   2.2   1.5   1.6   2.3     Macroscopic residual tumor   0.3   0.0   0.7   0.2   0.0     Indeterminate or unknown   0.8   0.9   1.0   0.7   0.9	Proximal gastrectomy	63.4	57.1	54.2	51.4	41.1	
Distal gastrectomy     9.8     9.9     9.7     7.9     8.2       Regional lymph nodes examined     5.6     12.9     17.9     24.7     38.7     <0.001       Surgical margins     0.161       No residual tumor     93.7     95.8     96.0     96.5     96.3       Residual tumor, NOS     1.1     1.1     0.7     0.9     0.5       Microscopic residual tumor     4.2     2.2     1.5     1.6     2.3       Macroscopic residual tumor     0.3     0.0     0.7     0.2     0.0       Indeterminate or unknown     0.8     0.9     1.0     0.7     0.9	Total gastrectomy	26.9	32.9	36.1	40.7	50.7	
Regional lymph nodes examined     5.6     12.9     17.9     24.7     38.7     <0.001       Surgical margins     0.161     0.163     0.161	Distal gastrectomy	9.8	9.9	9.7	7.9	8.2	
Surgical margins     0.161       No residual tumor     93.7     95.8     96.0     96.5     96.3       Residual tumor, NOS     1.1     1.1     0.7     0.9     0.5       Microscopic residual tumor     4.2     2.2     1.5     1.6     2.3       Macroscopic residual tumor     0.3     0.0     0.7     0.2     0.0       Indeterminate or unknown     0.8     0.9     1.0     0.7     0.9	Regional lymph nodes examined	5.6	12.9	17.9	24.7	38.7	<0.001
No residual tumor     93.7     95.8     96.0     96.5     96.3       Residual tumor, NOS     1.1     1.1     0.7     0.9     0.5       Microscopic residual tumor     4.2     2.2     1.5     1.6     2.3       Macroscopic residual tumor     0.3     0.0     0.7     0.2     0.0       Indeterminate or unknown     0.8     0.9     1.0     0.7     0.9	Surgical margins						0.161
Residual tumor, NOS     1.1     1.1     0.7     0.9     0.5       Microscopic residual tumor     4.2     2.2     1.5     1.6     2.3       Macroscopic residual tumor     0.3     0.0     0.7     0.2     0.0       Indeterminate or unknown     0.8     0.9     1.0     0.7     0.9	No residual tumor	93.7	95.8	96.0	96.5	96.3	
Microscopic residual tumor4.22.21.51.62.3Macroscopic residual tumor0.30.00.70.20.0Indeterminate or unknown0.80.91.00.70.9	Residual tumor. NOS	1.1	1.1	0.7	0.9	0.5	
Macroscopic residual tumor0.30.00.70.20.0Indeterminate or unknown0.80.91.00.70.9	Microscopic residual tumor	4.2	2.2	1.5	1.6	2.3	
Indeterminate or unknown 0.8 0.9 1.0 0.7 0.9	Macroscopic residual tumor	0.3	0.0	0.7	0.2	0.0	
	Indeterminate or unknown	0.8	0.9	1.0	0.7	0.9	

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( )			5 5			
Variable	≤10 (n=1,187, %)	11–15 (n=553, %)	16-20 (n=404, %)	21-30 (n=432, %)	>30 (n=219, %)	P-value
Pathological stage						0.024
Stage O	4.5	3.3	2.5	2.5	2.3	
Stage 1	68.4	70.7	71.0	71.1	73.5	
Stage 2	14.9	14.6	19.1	16.7	16.9	
Stage 3	4.4	3.3	2.2	1.6	1.8	
Stage 4	1.4	0.7	0.7	0.5	0.9	
Unknown	5.0	6.1	4.0	6.7	4.1	
Adjuvant therapy						0.061
None	86.7	90.1	85.1	88.4	83.6	
Adjuvant therapy	13.3	9.9	14.9	11.6	16.4	

Table 3. (Continued) Patient, disease, and treatment characteristics of initial surgery cohort

CCI = Charlson/Deyo comorbidity index; NOS = not otherwise specified.

gastrectomy (P<0.001), and have a more advanced pathological stage (P=0.024). Clinical stage (P=0.192), surgical margins (P=0.161), and adjuvant therapy (P=0.061) did not significantly differ among eLN groups.

#### Survival of initial surgery cohort

Kaplan-Meier analyses of patients who underwent initial surgery are stratified by eLN and presented in **Fig. 5**. Inadequate lymphadenectomy with eLN  $\leq$ 10 was associated with worse survival in clinical stage I–III diseases; however, this association was only significant in stages I and II (P<0.001 and P=0.002, respectively).

Results of a Weibull survival model of patients who underwent initial surgery are presented in **Table 4**. A greater number of eLN was associated with improved hazards of mortality (eLN 11-15: HR, 0.81; P=0.021, eLN 16–20: HR, 0.73; P=0.004, eLN 21–30: HR, 0.62; P<0.001, and eLN >30: HR, 0.58; P=0.001). Female sex, Hispanic or other race, greater median income,



**Fig. 5.** Survival by eLNs in initial surgery cohort. eLN = examined lymph node.



### Lymphadenectomy in Node-Negative Gastric Cancer

Table 4. Factors impacting survival in initial surgery cohort

Variable	HR		95% CI	
		Lower	Upper	-
No. of lymph nodes examined				
≤10	Reference			
11–15	0.81	0.68	0.97	0.021
16-20	0.73	0.59	0.91	0.004
21-30	0.62	0.50	0.78	<0.001
>30	0.58	0.43	0.80	0.001
Age				
18-59	Reference			
60-69	1.25	1.00	1.57	0.054
70-79	1.74	1.37	9.91	<0.001
80-90	2.86	2.91	3.69	<0.001
Sex	2100		0100	
Male	Reference			
Female	0.83	0.72	0.97	0.015
Race				
White (non-Hispanic)	Reference			
Black (non-Hispanic)	0.88	0.68	1.14	0.347
Other (non-Hispanic)	0.54	0.38	0.75	<0.001
Hispanic	0.78	0.63	0.95	0.015
Insurance	0.10	0100	0100	01010
Private	Reference			
Medicare	1 98	1.06	1 54	0.011
Medicaid & other government	1.20	1.00	1.01	0.046
	2.62	1.01	1.50	0.040
Not insured	1.06	0.58	1.02	0.851
Median income	1.00	0.50	1.52	0.001
	Peference			
58,000	0.96	0.78	1 17	0.656
74 000 02 000	0.90	0.78	0.94	0.030
×02.000	0.77	0.02	0.94	0.012
Comorbidition	0.76	0.02	0.95	0.008
	Peference			
	116	1.00	1.25	0.054
	1.10	1.00	1.35	0.054
Eacility type	1.55	1.30	1.90	(0.001
Community	Deference			
Community Community	Reference	0.00	1.05	0 117
Academia (vacace)	0.01	0.62	1.05	0.117
Academic/research	0.72	0.56	0.94	0.016
	0.71	0.17	2.92	0.632
Northeast	Deference			
South	1.09	0.00	1.20	0.206
South	1.08	0.90	1.30	0.396
Midwest	0.93	0.77	1.14	0.495
West	0.88	0.71	1.10	0.276
Surgery type	5.6			
Proximal gastrectomy	Reterence			0.000
Iotal gastrectomy	1.31	1.13	1.51	<0.001
Distal gastrectomy	1.15	0.91	1.46	0.241

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Table 4. (Continued) Factors impacting survival in initial surgery cohort

Variable	HR	950	∕₀ CI	P-value
		Lower	Upper	-
Surgical margins				
No residual tumor	Reference			
Residual tumor, NOS	2.14	1.29	3.55	0.003
Microscopic residual tumor	2.09	1.53	2.84	<0.001
Macroscopic residual tumor	2.98	1.21	7.34	0.017
Indeterminate or unknown	1.31	0.64	2.65	0.457
Pathological stage				
Stage O	Reference			
Stage 1	1.66	1.10	2.48	0.015
Stage 2	3.11	2.04	4.76	<0.001
Stage 3	3.80	2.25	6.42	<0.001
Stage 4	5.87	3.15	10.97	<0.001
Unknown	1.90	1.16	3.10	0.011
Adjuvant therapy				
None	Reference			
Adjuvant therapy	0.77	0.62	0.96	0.02
		:6 1		

HR = hazard ratio; CI = confidence interval; CCI = Charlson/Deyo comorbidity index; NOS = not otherwise specified.

treatment at an academic or research center, and receipt of adjuvant therapy were also associated with a reduction in mortality. Greater age, coverage by Medicaid or Medicare, receipt of total gastrectomy, positive surgical margins, and advanced pathological stage were associated with increased hazards of mortality.

### **DISCUSSION**

Despite a near linear improvement in median eLN from gastrectomy over the past decade, most US patients with node-negative gastric cancer received operations that failed to meet NCCN guidelines of retrieving ≥15 LNs. This concerning statistic suggests that surgeons nationwide may not understand the correlation between the systemic potential of gastric cancer and clearance of regional LNs, thus failing to perform the meticulous LN dissection necessary to achieve an optimal LN yield. University facilities may adhere more strictly to NCCN guidelines, as a study on 7 US universities reported a mean of 16 eLN, and our current study found that academic centers were more frequently associated with adequate oncologic lymphadenectomy [4].

The effect of eLN on western patients was explored by Smith et al. [16] with a retrospective analysis of the Surveillance, Epidemiology, and End Results (SEER) database from 1973 to 1999. They reported an association between increased number of eLN and improved survival in patients with T1-3, N0-1 gastric cancer [16]. However, given the increased utilization of NAT, as well as improvement in lymphadenectomy techniques, this analysis also includes antiquated treatment protocols [17]. Jin et al. [4] evaluated factors associated with recurrence and survival in 317 patients with node-negative gastric cancer from 2000–2012, and reported that eLN  $\geq$ 15 was associated with improvements in overall survival, but not disease recurrence. However, given the small sample size and inclusion of exclusively university facilities, the authors conceded that the analysis may have been insufficiently powered [4]. To our knowledge, this is the first study to evaluate national treatment patterns and outcomes of node-negative gastric cancer after the formation of the Meta-Analysis Group in Cancer (MAGIC) though it did include data from years prior to the publication of the trial by Cunningham et al. [17] in 2006 which established NAT as standard of care. It also includes the largest number of Western patients to undergo NAT or surgery for node-negative gastric cancer.



In our study, both in patients who received NAT and in those who underwent initial surgery, a greater number of eLN was associated with improved survival. A study by Deng et al. [18] of 112 Chinese patients with node-negative gastric cancer reported that eLN >20 was associated with improved survival. Another study on 600 Chinese patients with node negative gastric cancer recently reported that eLN was the strongest independent prognostic predictor and urged eLN to be considered a mandatory requirement for improving prognostic evaluations [10]. An Italian study, pre-dating the MAGIC trial, of 301 node-negative patients from 1992–2002 reported an association between eLN >25 and improved survival [19]. These studies all advocate for meticulous LN dissection and optimizing the number of harvested nodes.

To our knowledge, this is the largest and most contemporary study on western patients with node-negative gastric cancer treated at a wide spectrum of CoC-accredited facilities. However, there are some important limitations which should be considered when interpreting the results. The NCDB is a database sourced from hospital registry data from diverse institutions across the United States, and data recording may vary slightly from facility to facility. Furthermore, though the NCDB was designed to collect oncologic data, it lacks chemotherapy regimen, disease recurrence, disease-specific death, and complications data. Given the retrospective nature of this study, there likely exists a selection bias, with patients with more severe presentations treated more aggressively. Additionally, nodenegative disease was defined as patients with AJCC pathologic N0 disease. The database does not specify why patients received NAT, but it is possible that patients with clinically node positive disease converted to pathologic node negative disease following NAT and would thus be included in this study. While the NCCN recommends examination of at least 15 LNs, this study included patients with suboptimal lymphadenectomies to provide a more complete overview of US gastric cancer care. However, sub-optimal lymphadenectomy was controlled for in the multivariable Weibull survival analyses. Most patients in this study underwent proximal gastrectomy, which could include patients with gastroesophageal junction cancer, which current NCCN guidelines classify as esophageal tumors. However, this study used the NCDB gastric participant user file (PUF), not the esophagus PUF, to reduce possible misclassification. To further account for this, the multivariable Weibull analyses controlled for tumor location. Finally, it is important to consider the differences in pathologic specimen evaluation between Eastern countries and the United States [20]. While Eastern surgeons dissect out each LN station, Western surgeons typically submit specimens en bloc [21].

In conclusion, most US patients with node-negative gastric cancer receive a suboptimal lymphadenectomy. Even in node-negative disease, increasing the number of retrieved lymph nodes appears to have therapeutic and prognostic value, irrespective of initial treatment. This suggests a survival benefit to meticulous lymphadenectomy in Western patients with node-negative gastric cancer.

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