

# ABO blood type, smoking status, other risk factors and prognosis of pancreatic ductal adenocarcinoma

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## Abstract

The aim of this observational study was to test whether ABO blood type was a prognostic factor for pancreatic ductal adenocarcinoma (PDAC) patients and whether other risk factors could influence pancreatic cancer patients' survival. This study included 610 patients who were diagnosed as pancreatic cancer and had undergone radical surgery. Patients' characteristics included age, gender, tumor stage, tumor grade, adenocarcinoma (ASC) status, preoperative serum carbohydrate antigen 19-9 (CA19-9) levels, preoperative serum carcinoembryonic antigen (CEA) levels, ABO blood type, smoking status, and drinking status were analyzed in this study. Cox proportional hazards regression model and Kaplan–Meier method were used to evaluate the role of prognostic factors. For pancreatic cancer patients undergoing radical surgery, the overall survival was worse for ASC patients than PDAC patients (Log-rank = 11.315,  $P < .001$ ). Compared with ASC patients (Log-rank  $< 0.001$ ,  $P = .996$ ), PDAC patients can benefit from chemotherapy (Log-rank = 17.665,  $P < .001$ ). For PDAC patients, O blood type had better overall survival than non-O blood type (Log-rank = 4.153,  $P = .042$ ). Moreover, the group with higher serum levels of CA19-9 had poor prognosis compared to another group with low serum CA19-9 (Log-rank = 4.122,  $P = .042$ ). Higher CEA levels indicated poor prognosis (Log-rank = 13.618,  $P < .001$ ). In conclusion, ASC status was associated with overall survival of pancreatic cancer patients and cannot benefit from postoperative chemotherapy. Non-O blood type was a prognostic factor for PDAC patients.

**Abbreviations:** AJCC = American Joint Committee on Cancer, ASC = Adenosquamous carcinoma, CA19-9 = carbohydrate antigen 19-9, CEA = carcinoembryonic antigen, PDAC = pancreatic ductal adenocarcinoma.

**Keywords:** ABO blood type, adenocarcinoma, carbohydrate antigen 19-9, pancreatic ductal adenocarcinoma, postoperative chemotherapy, prognosis

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SL and CZ conserve the same work.

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## 1. Introduction

Pancreatic cancer is the fourth leading cause of cancer death in western countries.<sup>[1]</sup> Overall mortality from pancreatic cancer is expected to be the second leading cause of cancer-related deaths by 2030.<sup>[2]</sup> Pancreatic cancer is usually diagnosed at the late stage. Most pancreatic cancer patients have initial metastasis and lose the opportunity of surgical treatment. The 5-year survival rate of pancreatic cancer patients is only 7%.<sup>[3–5]</sup> Therefore, it is important to understand the factors that affect the prognosis of pancreatic ductal adenocarcinoma (PDAC) in order to improve the prognosis and survival of pancreatic cancer patients.

There are many risk factors for pancreatic cancer, such as age, tobacco smoking, heavy alcohol consumption, obesity, low physical activity, chronic pancreatitis, long-standing type 2 diabetes, ABO blood type, and family history.<sup>[6]</sup> Among these risk factors, Chen et al<sup>[7]</sup> had reported that cigarette smoking was associated with the poor prognosis of pancreatic cancer, and that smoking cessation can improve the survival of pancreatic cancer patients. Long-standing diabetes was also associated with worse prognosis of pancreatic cancer patients.<sup>[8]</sup> Heavy drinking for 30 or more years was found to be a negative prognostic factor for PDAC.<sup>[9]</sup> On the other hand, it is controversial for evaluating the impact of obesity on overall survival in patients with pancreatic cancer. Li et al<sup>[10]</sup> reported that obesity was associated with worse clinical outcome, while another study found that obesity

had no significant influence on survival of pancreatic cancer patients.<sup>[11]</sup>

The ABO gene is located on chromosome 9q34. By coding N-acetylgalactosamine transferase or galactotransferase, the A and B gene could convert H antigen to A antigen or B antigen, respectively. A frequent ABO variant could not induce the activity of encoded acetylgalactosamine transferase, which could not convert H antigen and lead to O blood type.<sup>[12]</sup> Several studies identified the relationship between ABO blood type and various types of cancers.<sup>[13,14]</sup> For example, the ABO blood type was associated with the overall survival of renal carcinoma patients who had undergone radical operation.<sup>[15]</sup> Furthermore, compared with O blood type, non-O blood type had worse overall survival for patients with hepatocellular carcinoma.<sup>[16]</sup> ABO blood type can be used as a risk factor for recurrence for ovarian cancer and vulvar cancer.<sup>[17]</sup> ABO blood type could influence the risk for PDAC in China population<sup>[18]</sup> and studies reported that the overall survival was poor in the non-O blood type group than in the O blood type group, and that non-O blood type indicated poor prognosis.<sup>[19–21]</sup> However, in the study of Wang et al there was no significant correlation between ABO blood type and prognosis of patients with PDAC.<sup>[22]</sup> Thus, it is urgent to explore whether ABO blood type was correlated with the prognosis of PDAC patients, and whether other risk factors could influence the overall survival of PDAC patients.

## 2. Methods

### 2.1. Patient samples

A total of 492 patients with pancreatic cancer in West China Hospital of Sichuan University and 118 patients with pancreatic cancer in the First Affiliated Hospital of Xi'an Jiaotong University Medical College were eligible for this study between June 2010 and December 2018. Inclusion criteria include pancreatic cancer patients undergoing radical surgery. Excluded criteria include pancreatic cancer patients who had neoadjuvant radiotherapy and chemotherapy or had a previous history of cancer. According to the American Joint Committee on Cancer (AJCC), all cancer patients were confirmed histopathologically. All patients were followed up until August 2019. Overall survival referred to the time from cancer diagnosis to August 2019 or death. The study acquired ethical approval from the ethics committee of West China Hospital of Sichuan University and the ethics committee of Xi'an Jiaotong University. The collection information is shown in supplementary Table 1, <http://links.lww.com/MD/D893>.

### 2.2. Statistical analysis

SPSS version 22.0 was used for all statistical analyses. The association of ASC, ABO blood type, cigarette smoking, drinking, diabetes, serum CA19–9 levels, and serum CEA levels with overall survival were evaluated by Kaplan–Meier survival curve analysis. The univariate and multivariate Cox regression were used to analyze the relationship between each factor and prognosis.

## 3. Results

### 3.1. Clinical characteristics and prognostic value of pancreatic cancer

A total of 610 primary pancreatic cancer patients (492 patients in West China Hospital of Sichuan University and 118 pancreatic

cancer patients in First Affiliated Hospital of Xi'an Jiaotong University) who had undergone radical surgery from Jun 2010 to December 2018 were included for analyses. The average age was 60.2 years old, and 60.7% of them were men. Among the 610 pancreatic cancer patients, the ages ranged from 31 to 84 years old. Patients were divided into three groups according to AJCC stage, including stage I (n=46), stage II (n=537), stage III patients (n=24), and missing data (N=3). All PDAC patients were further divided into three groups according to the pathological type which included highly differentiated (n=9), moderately differentiated (n=252), minimally differentiated (n=328) and missing data (n=21). According to the serum CA19–9 levels, pancreatic cancer patients were divided into two groups (patients with CA19–9 value >37 U/mL and patients with CA19–9 value ≤37 U/mL). Total bilirubin was the sum of direct bilirubin and indirect bilirubin, which was recognized as abnormal when it was higher than 34.2 μmol/L. The ABO blood type was divided into four groups which included O blood type (n=181), A blood type (n=193), B blood type (n=175) and AB blood type (n=61). All PDAC patients were RH positive. Other factors such as smoking status, drinking status were shown in Supplemental Digital Content 1, <http://links.lww.com/MD/D893>.

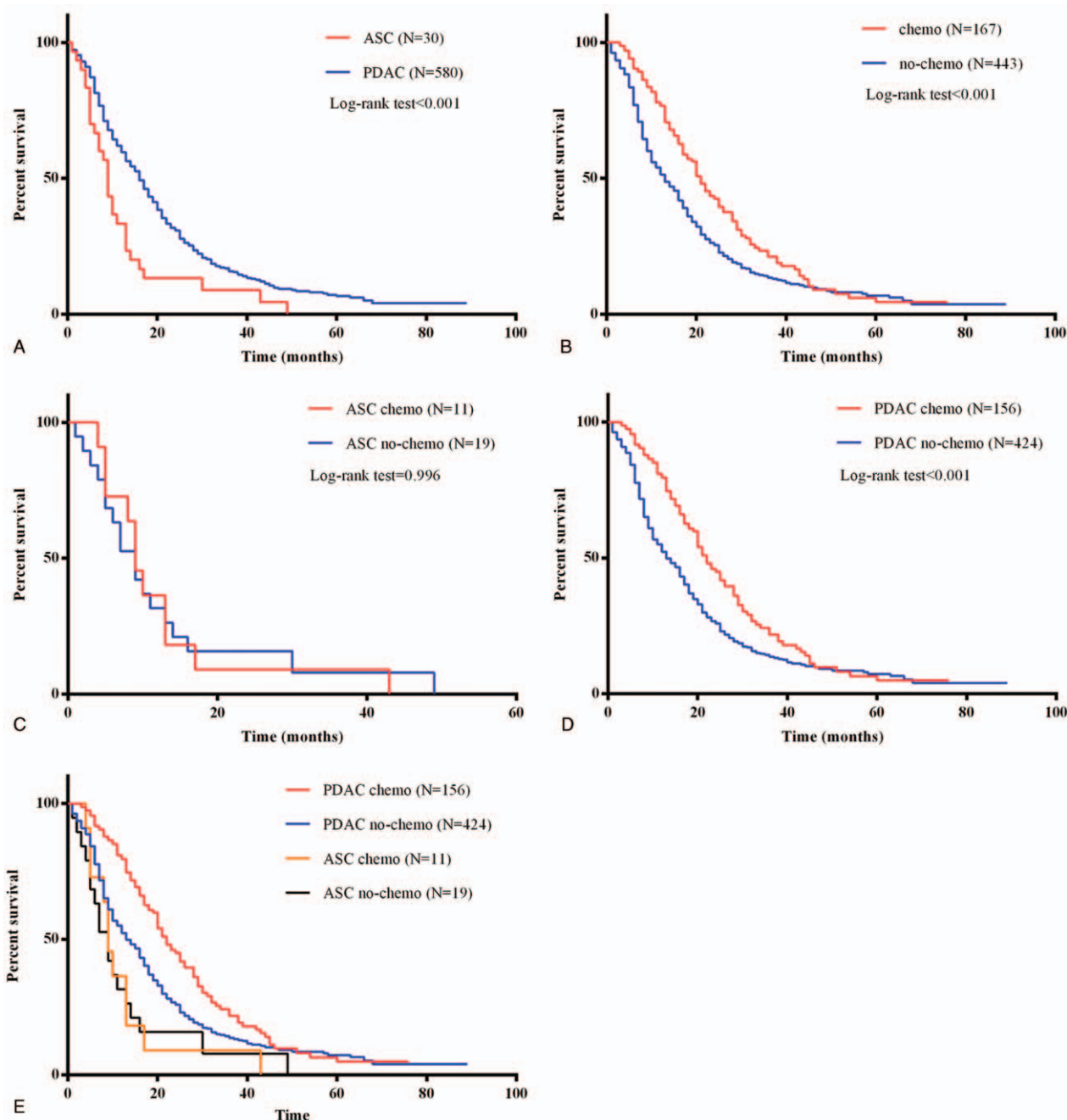
According to postoperative pathology, pancreatic cancer patients were divided into ASC (N=30) and PDAC (N=580). Survival outcomes were compared between PDAC group and ASC group. The median overall survival was 9 months for ASC patients and 16 months for the PDAC patients (Log-rank=11.315,  $P<.001$ ) (Fig. 1A), which indicated ASC was related to poor prognosis.

For investigating the survival outcomes, the pancreatic cancer patients were separated into two groups according to the chemotherapy usage. Most importantly, the overall survival time was higher in patients with chemotherapy treatment (Log-rank=15.839,  $P<.001$ ) (Fig. 1B). Compared with ASC group (Log-rank < 0.001,  $P=.996$ ) (Fig. 1C), PDAC patients can benefit from chemotherapy (Log-rank=17.665,  $P<.001$ ) (Fig. 1D and E). Univariate and multivariate survival analyses were conducted, indicating ASC status and chemotherapy were independent prognostic factors for pancreatic cancer patients (Supplemental Digital Content 2, <http://links.lww.com/MD/D894>).

### 3.2. Prognostic value of ABO blood type for PDAC patients

Since postoperative chemotherapy and PDAC status were correlated with prognosis of pancreatic cancer. We analyzed the prognosis of 424 PDAC patients without postoperative chemotherapy. Clinical characteristics of 424 participants were shown in Table 1.

Whether ABO blood was a prognostic factor for PDAC remains unclear. Cox proportional hazards regression model and Kaplan–Meier survival curve were performed to determine the relationship between ABO blood type and prognosis of PDAC patients. As shown in Table 2, ABO blood type was an independent prognostic factor for PDAC patients (Log-rank=1.358, 95% CI=1.054–1.749,  $P=.018$ ). The median overall survival time was 15 months for O blood type and 13 months for non-O blood type, which indicated non-O blood type related to worse prognosis for PDAC patients (Log-rank=4.153,  $P=.042$ ) (Fig. 2A). PDAC patients with O blood type have a higher overall survival time than B blood type (Log-rank=3.894,  $P=.048$ ) (Fig. 2C), while there were no significant differences in overall survival between the O blood type with the other blood types (Log-rank=3.023, 0.387;  $P=.082$ , .534; compared with A, AB blood type, respectively) (Fig. 2B and D). There was also no significant difference between A blood type



**Figure 1.** Survival outcomes in pancreatic cancer patients with ASC or PDAC status treated with postoperative chemotherapy. (A) Survival curves of patients among the ASC or PDAC status. (B) Survival curves of all pancreatic cancer patients with or without postoperative chemotherapy. (C) Survival curves of ASC patients with or without postoperative chemotherapy. (D) Survival curves of PDAC patients with or without postoperative chemotherapy. (E) Impact of postoperative chemotherapy introduction on overall survival was also illustrated separately in terms of ASC or PDAC in all patients.

and B (Log-rank = 0.098,  $P = .754$ ) as well as A and AB blood type (Log-rank = 0.317,  $P = .573$ ) (Fig. 2E and F). There was no difference between B blood type and AB blood type (Log-rank = 0.7053,  $P = .401$ ) (Fig. 2G).

**3.3. Combined CA19-9 and CEA levels improve prognostic prediction of PDAC patients**

The role of serum CEA levels in pancreatic cancer patients is poorly understood. Although many studies reported the relationship between serum CEA levels and pancreatic cancer,

the results were unclear. Therefore, Cox proportional hazards regression model and Kaplan–Meier survival curve were performed to analyze whether the serum CEA levels and the combined serum CA19-9 with CEA levels could evaluate the prognosis of PDAC patients. Among the 424 PDAC patients, 333 patients had higher serum CA9-9 levels ( $>37$  U/mL) and 116 had higher serum CEA levels ( $>5$  ng/mL). Positive rate of abnormal serum CA19-9 and CEA were 78.5% and 27.4%, respectively.

Compared to the 17 months for group with lower CA19-9 levels, the median overall survival was 13 months for the group with higher CA19-9 levels. Kaplan–Meier analysis showed those

**Table 1**  
**Clinical characteristics of 424 PDAC patients.**

Variable	Total (N = 424)
Age (years)	
>61	224 (52.8%)
≤61	200 (47.2%)
Sex	
Male	249 (58.3%)
Female	175 (41.3%)
Tumor stage	
I	33 (7.8%)
II	375 (88.4%)
III	16 (3.8%)
Tumor grade	
Well	6 (1.4%)
Moderate	176 (41.5%)
Poor	242 (57.1%)
CA19–9 value (U/mL)	
>37	333 (78.5%)
≤37	81 (19.1%)
Missing	10 (2.4%)
CEA value (ng/mL)	
>5.0	116 (27.4%)
≤5.0	294 (69.3%)
Missing	14 (3.3%)
Total bilirubin (μmol/L)	
>34.2	229 (53.0%)
≤34.2	194 (47.0%)
Missing	1 (0.2%)
ALT and AST	
Abnormal	274 (64.6%)
Normal	149 (35.1%)
Missing	1 (0.2%)
ABO blood type	
O	114 (26.9%)
A	138 (32.5%)
B	127 (30.0%)
AB	45 (10.6%)
Smoking status	
Yes	101 (23.8%)
No	323 (76.2%)
Drinking status	
Yes	85 (20.0%)
No	339 (80%)

Abnormal = ALT (Alanine aminotransferase) or AST (Aspartate aminotransferase) was higher than 40 U/L, AJCC = American Joint Committee on Cancer staging, M = metastasis, N = node, normal = ALT and AST < 40 U/L, PDAC = pancreatic ductal adenocarcinoma, TNM, T = tumor.

who had higher serum CA19–9 levels had worse overall survival (Log-rank = 4.122,  $P = .042$ ) (Fig. 3A). In addition, higher CEA levels indicated poor prognosis for PDAC patients (Log-rank = 13.618,  $P < .001$ ) (Fig. 3B). This result was consistent with the previous study.<sup>[23]</sup>

Pearson correlation analysis showed that serum CA19–9 levels were slightly associated with serum CEA levels ( $P < .001$ ,  $r = 0.220$ ) (data not show). The combined positive was defined when serum CA19–9 was higher than 37 U/mL and CEA was higher than 5 ng/mL, otherwise was defined as combined negative. When combined CA19–9 with CEA levels, the median survival time was 9 months for positive group but 16 months for negative group (Log-rank = 17.776,  $P < .001$ ) (Fig. 3C). This indicated combined serum CA19–9 with CEA levels was a prognostic factor for PDAC patients.

### 3.4. Prognostic value of other factors for PDAC patients

Smoking status, drinking status and diabetes were previously reported risk factors for PDAC, furthermore, we tested whether these factors correlated with the prognosis of PDAC patients. As shown in Table 2, univariate Cox regression analysis indicated that smoking (HR = 0.868, 95% CI = 0.676–1.113,  $P = .265$ ), drinking status (HR = 0.956, 95% CI = 0.736–1.243,  $P = .737$ ), diabetes (HR = 0.899, 95% CI = 0.674–1.199,  $P = .470$ ), total bilirubin (HR = 0.915, 95% CI = 0.741–1.131,  $P = .412$ ) and ALT with AST (HR = 0.815, 95% CI = 0.653–1.017,  $P = .070$ ) were not associated with the prognosis of PDAC patients. The results showed smoking status (Log-rank = 1.316,  $P = .251$ ), drinking status (Log-rank = 0.119,  $P = .730$ ) and diabetes (Log-rank = 0.552,  $P = .457$ ) were not associated with the overall survival of the PDAC patients (Fig. 3D–F).

## 4. Discussion

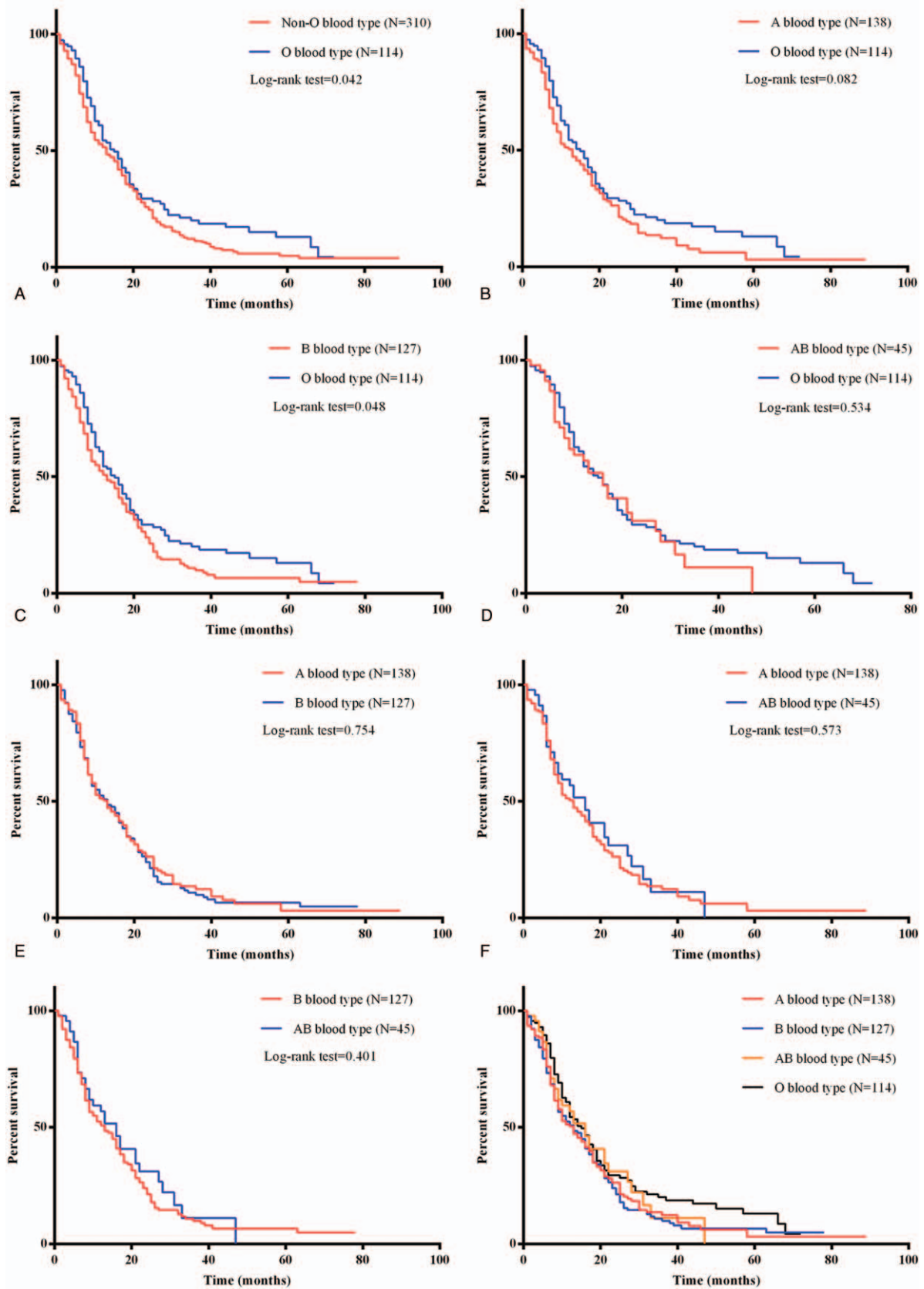
Pancreatic cancer is one of most fatal cancers and the 5-year survival rate of pancreatic cancer was only 7%.<sup>[3]</sup> As one of the pancreatic cancer subtypes, ASC was enriched for TP53 mutation, upregulation of the TP63ΔN transcriptional network and key factors involved in metastasis,<sup>[24]</sup> which may cause poor prognosis. In the study of Hoshimoto et al, the overall survival

**Table 2**  
**Cox regression analysis for 424 PDAC patients.**

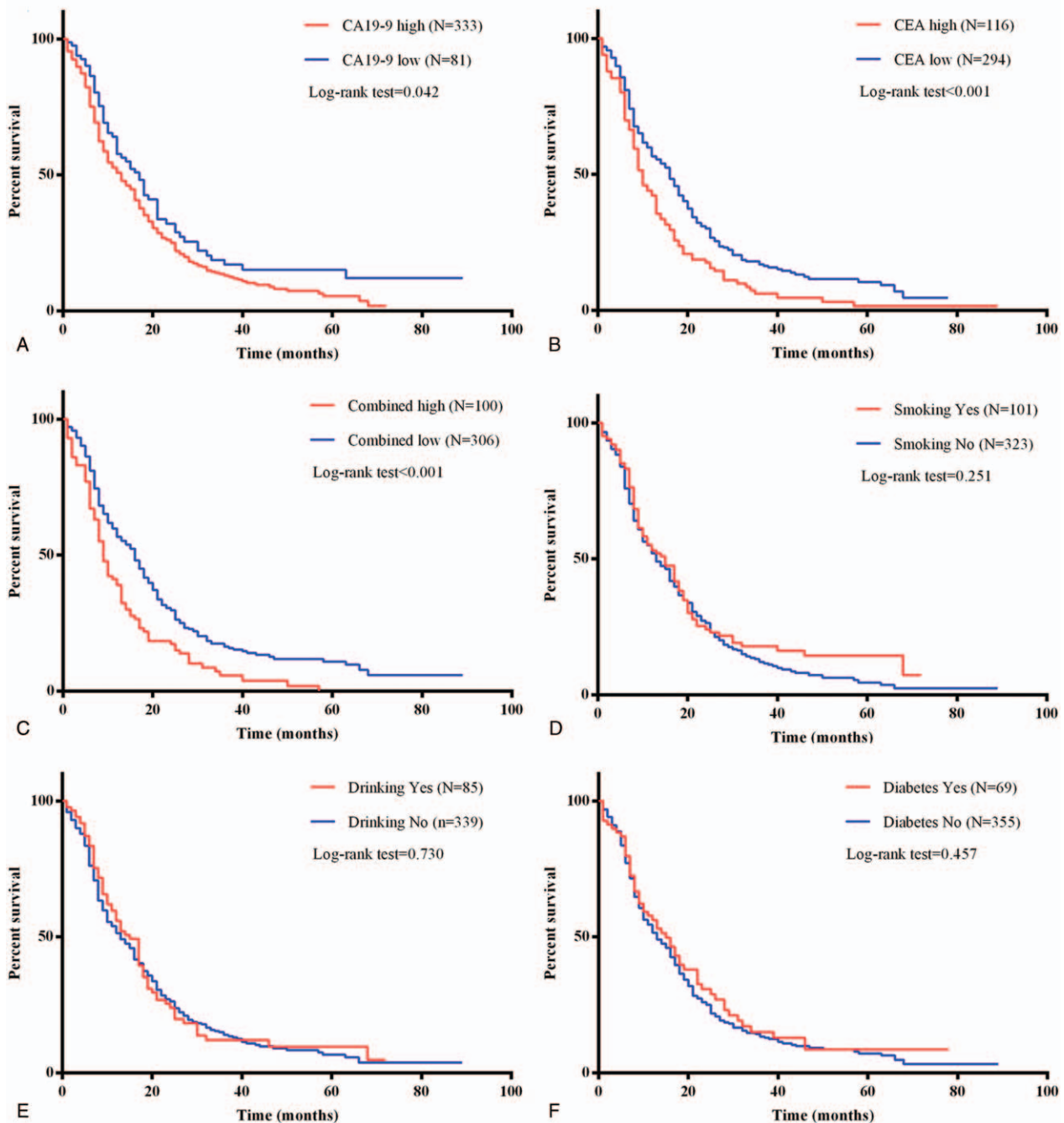
Clinicopathological variable	Univariate			Multivariate		
	HR	95% CI	P	HR	95% CI	P
Age (>61 vs ≤61)	1.165	0.917–1.405	.244			
Sex (M vs F)	1.135	0.943–1.439	.157			
TNM stage			.690			
II vs I	0.994	0.670–1.473	.974			
III vs I	1.258	0.659–2.399	.487			
Tumor grade (poor vs well and moderate)	1.367	1.367–1.693	.004	1.463	1.170–1.831	<.001
CA19–9	1.317	1.001–1.733	.049	1.293	0.977–1.711	.072
CEA	1.526	1.209–1.925	<.001	1.5	1.187–1.895	<.001
ABO blood type	1.275	1.002–1.622	.048	1.358	1.054–1.749	.018
Smoking status	0.868	0.676–1.113	.265			
Drinking status	0.956	0.736–1.243	.737			
Diabetes	0.899	0.674–1.199	.470			
Total bilirubin	0.915	0.741–1.131	.412			
ALT or AST	0.815	0.653–1.017	.070			

AJCC = American Joint Committee on Cancer staging; Abnormal, ALT (Alanine aminotransferase) or AST (Aspartate aminotransferase) was higher than 40 U/L; normal, ALT and AST < 40 U/L. F = female, M = male, M = metastasis, N = node, PDAC = pancreatic ductal adenocarcinoma, TNM, T = tumor.





**Figure 2.** Kaplan–Meier analysis of overall survival of PDAC patients. (A) Kaplan–Meier analysis of overall survival of O blood type with non-O blood type PDAC patients. (B) Kaplan–Meier analysis of A blood type with O blood type PDAC patients. (C) Kaplan–Meier analysis of overall survival of B blood type with O blood type PDAC patients. (D) Kaplan–Meier analysis of A blood type with B blood type PDAC patients. (E) Kaplan–Meier analysis of A blood type with AB blood type PDAC patients. (F) Kaplan–Meier analysis of B blood type with AB blood type PDAC patients.



**Figure 3.** Kaplan–Meier analysis of overall survival of PDAC patients. (A) Kaplan–Meier analysis of overall survival of PDAC patients with serum CA19-9 levels. (B) Kaplan–Meier analysis of overall survival of PDAC patients with serum CEA levels. (C) Kaplan–Meier analysis of overall survival of PDAC patients with combined serum CA19-9 and CEA levels. (D) Kaplan–Meier analysis of overall survival of PDAC patients with smoking status. (E) Kaplan–Meier analysis of overall survival of PDAC patients with drinking status. (F) Kaplan–Meier analysis of overall survival of PDAC patients with diabetes.

was comparable between the ASC and PDAC patients after surgical resection.<sup>[25]</sup> Boyd et al also found there was no difference for overall survival between ASC and PDAC patients.<sup>[26]</sup> However, Hester et al reported that the ASC patients had worse overall survival than PDAC patients.<sup>[27]</sup> In this study, we divided the patients into two groups, and found that the ASC status is associated with overall survival of pancreatic cancer patients. ASC indicated poor prognosis, which was supported by the study of Hester et al.<sup>[27]</sup> Considering the different response to

postoperative chemotherapy for ASC and PDAC patients, the pancreatic cancer patients were divided into ASC and PDAC groups and the result showed PDAC but not ASC patients can benefit from postoperative chemotherapy. It is helpful of us to consider the postoperative chemotherapy usage for PDAC but not ASC patients.

Among the risk factors of PDAC, smoking status,<sup>[7]</sup> diabetes<sup>[8]</sup> and heavy drinking<sup>[9]</sup> were correlated with the prognosis of PDAC patients. However, the impact of ABO blood on overall

survival in patients with PDAC remains controversial. The relationship between ABO blood type and cancer survival can be summarized as follows:

1. chronic inflammation, the single nucleotide polymorphism of ABO site is related to some inflammatory factors, such as tumor necrosis factor  $\alpha$ <sup>[28]</sup> and intercellular adhesion molecule 1,<sup>[29]</sup> which were known to modulate inflammatory responses. And inflammation was a hallmark of cancer and was linked with tumor progression.<sup>[30]</sup> These results indicated the mechanism by which ABO blood type influence tumor survival.
2. Immune surveillance, soluble intercellular adhesion molecule-1 (sICAM-1) was associated with single nucleotide polymorphism at the ABO locus and played an important role in immune surveillance and tumor progression, which was another mechanism for ABO blood type correlated with tumor survival.<sup>[15]</sup>

In this study, we focused on the relationship between ABO blood type and overall survival in PDAC patients who had undergone radical surgery. For PDAC patients, non-O blood type had poor overall survival time than O blood type group (Log-rank=4.153,  $P=.042$ ), which was supported by the previous study (Jellas et al).<sup>[18]</sup> The A blood type, B blood type, and AB blood type had similar overall survival.

In addition, our study indicated serum CA199 levels, CEA levels and combined CA19-9 with CEA levels were prognostic factors for PDAC patients. In contrast to previously reported studies,<sup>[7-9]</sup> we found no association between smoking status, drinking status, or diabetes and overall survival in PDAC patients who had undergone radical surgery. The possible reasons for our findings were as follows: first, some PDAC patients only quit smoking and drinking for a short time while some patients quit smoking and drinking for a long time when data was collected. Therefore, the effects of smoking and drinking were complex and cannot be analyzed by this way. Secondly, there is a significant crosstalk between smoking and drinking, which could influence the association with overall survival of pancreatic cancer patients. In contrast, the relationship between diabetes and pancreatic cancer is complex. Type 2 diabetes mellitus was a risk factor for pancreatic cancer.<sup>[31,32]</sup> Furthermore, long-standing diabetes was a prognostic factor for pancreatic cancer patients while recent-onset diabetes had little impact on pancreatic cancer patients' survival.<sup>[18]</sup> In this study, there was no difference in the overall survival between patients with or without diabetes. The possible explanation for this result was that some new-onset pancreatic cancer patients might not know that they have diabetes. Thus, we cannot distinguish between new diabetics and long-standing diabetics. Additionally, the sample size was too small to distinguish statistical differences. Further research is needed in the future.

In this study, we also found that the serum ALT or AST (before surgery) levels were not correlated with the overall survival of PDAC patients who had undergone radical surgery. This consist with findings by Zhang<sup>[33]</sup> reported that ALT or AST levels were not associated with overall survival for stage III patients, but correlated with worse survival for stage IV patients. In this study, there was no relationship between overall survival of PDAC patients and preoperative total bilirubin. This was likely that radical surgery relieved the compression of the tumor to the head of the pancreas and eliminated the jaundice, which in turn reduced total bilirubin levels.

Limitations of our study also require consideration. Our results showed that TNM staging had no influence on the prognosis of PDAC patients (HR=0.994, 1.258; 95% CI=0.670–1.473, 0.659–2.399;  $P=.974, .487$ ; respectively). The possible reason was that there were only 33 patients in stage I and 16 patients in stage III. Information on body mass index, low density lipoprotein, high density lipoprotein, total cholesterol, tumor location, and size were not analyzed. Meanwhile, information about smoking, drinking, and diabetes were not detailed enough. Family history of chronic pancreatitis was not considered and it was another limitation of the present study.

In summary, our results indicated that ASC status is associated with overall survival of pancreatic cancer patients. ASC patients indicated poor prognosis and cannot benefit from postoperative chemotherapy. Non-O blood type indicated poor prognosis for PDAC patients.

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## Author contributions

Qing Zhu and Cheng Yi designed the study. Shuang-Shuang Li, Cong-Ya Zhou, Rong Liao, Lai Xiong performed the data analysis. Ning-Na Weng, Ya-Qin Zhao and Hong-Feng Gou collected the clinical data for pancreatic cancer patients and follow up patients. Clifford Mason modified the article. Qing Zhu orcid: 0000-0002-6905-2517.

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