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The prognoses and postoperative outcomes of patients with both colorectal cancer and liver cirrhosis based on a nationwide cohort in Korea

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Purpose: The management of patients with colorectal cancer (CRC) who have liver cirrhosis (LC) requires a thorough understanding of both diseases; however, the prognoses and postoperative outcomes of such patients remain understudied. We investigated the effect of LC on surgical and oncologic outcomes in patients with CRC, and identified prognostic factors. **Methods:** We analyzed 453 patients with CRC and LC (CRC-LC group), 906 with CRC only (CRC group), 906 with LC only (LC group), and 1,812 healthy subjects using health insurance claim data (2008–2013).

Results: The CRC-LC group had a higher frequency of intensive care unit admission than the CRC group; there were no differences between the 2 groups in terms of early and late postoperative small bowel obstruction and incisional hernia. However, the 30-day, 60-day, and 90-day mortality rates were all significantly higher in the CRC-LC group. The higher Charlson comorbidity index (hazard ratio [HR], 1.127) and the lower socioeconomic status (HR, 0.985) were significant worse predictors of 5-year survival. Patients with underlying LC had a significantly higher HR in both the advanced CRC (HR, 1.858) and nonadvanced CRC (HR, 1.799) subgroups. However, the nonadvanced CRC subgroup showed a lower HR than the LC group (HR, 0.730).

Conclusion: Patients with CRC who had underlying LC had a lower survival rate than did those without LC, although the incidence rates of postoperative complications were not significantly different. The presence of LC was associated with a significantly lower survival rate regardless of CRC presence.

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INTRODUCTION

Liver cirrhosis (LC) is a pathological condition where long-term fibrous scarring of the liver owing to persistent

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Department of Surgery, Dongnam Institute of Radiological and Medical Sciences, 40 Jwadong-gil, Jangan-eup, Gijang-gun, Busan 46033, Korea **Tel:** +82-51-720-5034, **Fax:** +82-51-720-5914 **E-mail:** eonchulhan@gmail.com **ORCID:** https://orcid.org/0000-0001-5987-3752 inflammation caused by hepatitis virus infection or alcohol consumption leads to the loss of liver function [1]. A deepening pathophysiological understanding of LC and advances in treatment methods, such as liver transplantation, have led

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to longer life expectancies in patients with LC; however, no notable improvements in postoperative morbidity and mortality rates have been observed [2,3]. The reported morbidity rates of patients with LC are 30.1%–43% after nonhepatic abdominal surgery, while the reported mortality rates range between 11.1% and 35.8% [4-6].

Colorectal cancer (CRC) is the fourth most common cancer worldwide accounting for 6.1% of newly diagnosed cancers annually, and is the third leading cause of cancer-related deaths with a mortality rate of 5.8% [7]. Its incidence is rapidly increasing in South Korea owing to the rising popularity of Western diets in recent years [8]. A previous study found evidence of an increased risk of CRC in patients with LC [9]; the management of such patients requires a thorough understanding of the 2 diseases. While CRC and LC have been investigated separately in previous studies, the prognoses and postoperative outcomes of patients with CRC complicated with LC remain understudied. Among patients with CRC, we previously reported a significantly lower 5-year survival rate in those who also had LC (46.7%) than in those who did not (76.2%). We also derived a modified model that includes the serum sodium score that can serve as a prognostic predictor for patients who have both CRC and LC [4]. However, our previous study had a limited sample size; therefore, we performed this study to compare oncological and surgical outcomes between patients with CRC who had LC and those who did not using a nationwide cohort. Furthermore, we examined the respective effects of CRC and LC on the patients' prognoses and surgical outcomes by comparing them to members of the general public who were either healthy or have only LC.

METHODS

This study was approved by the Institutional Review Board of Dongnam Institute of Radiological and Medical Sciences (No. D-1807-007-002). It was also approved by the National Health Insurance Service (NHIS) Research Committee (NHIS-2018-1-378), and data customized by NHIS researchers were provided.

Data source and study design

We performed a nationwide retrospective study using health insurance claim data provided by the NHIS (Republic of Korea), which covers approximately 97% of all medical care and is considered representative of the South Korean population [10]. We included patients who were diagnosed with CRC and underwent resection between 2008 and 2013; there were 105,754 such patients identified. CRC was diagnosed per the Korean Classification of Diseases (KCD) codes, and resection was confirmed according to surgical codes. Patients diagnosed with any other type of cancer within 2 years before the surgery were excluded (n = 39,162). Patients with CRC who underwent resection were also excluded if (1) we were unable to identify their basic demographic characteristics (n =1,206), (2) the location of CRC was unclear (n = 6,826), or (3) they were younger than 19 years of age at the time of diagnosis (n = 22). Of the remaining 58,538 patients, 453 developed LC within 2 years before surgery; LC was confirmed using the KCD codes. Each patient with both CRC and LC was matched with 2 patients with CRC but without LC according to sex, age (5year intervals), and year of surgery. Furthermore, CRC patients with and without LC were matched patients without CRC but with LC and patients without CRC and LC, respectively. Upon matching, sex, age (5-year intervals) and the same year were matched, and then patients were randomly extracted at this ratio of 1:2. Therefore, CRC patients with LC, CRC patients without LC, patients without CRC but with LC, and patients without CRC and LC were matched at a ratio of 1:2:2:4. As a result, 453 patients with CRC and LC (the CRC-LC group), 906 with CRC but without LC (CRC group), 906 subjects with LC alone (LC group), and 1,812 healthy patients (control group) were analyzed.

Variable definitions

We considered the effects of basic demographic characteristics, location of CRC, stage of CRC, and surgical method on survival. For basic demographic characteristics, we considered age, sex, and socioeconomic status (SES); the latter was based on the level of insurance premium, which ranged from 0 to 20 (the higher the insurance premium level, the higher the SES). As the survival rates of patients with CRC differ depending on the tumor's location (i.e., the left versus right colon). C185, C186, C187, C19, and C20 codes were classified as left-sided CRCs while C180, C181, C182, C183, and C184 were classified as right-sided. As with all types of cancer, the tumor stage (which is a significant predictor of survival) was determined by whether chemotherapy or radiotherapy were administered; if codes denoting chemotherapy or radiotherapy administration were noted in the patient's medical records 1 year before and after the surgery date, the patient was classified as having advanced-stage CRC. Incisional hernia and ileus were confirmed via KCD and surgical codes. We also considered the surgical method as a covariate; there were 2 types of surgical CRC resection: laparoscopic and open. Lastly, the Charlson comorbidity index (CCI) was included as a covariate, and was calculated by using the CCI calculation algorithm in the analytical manual published by the Health Insurance Review & Assessment Service [11].

Statistical analysis

The t-test and chi-square test were performed to determine any differences in the means of continuous and categorical variables, respectively, between patients with and without LC. Kaplan-Meier survival curves were plotted for patients with and without LC according to the covariates. The effect of LC on the survival of patients with CRC was tested using a Cox proportional hazards model. For this analysis, the history of CRC and LC, CRC stage, location of CRC, SES, CCI, and surgical method were used as covariates. Sex, age, and year of surgery were not considered covariates because they were used to match patients with and without LC. All statistical analyses were performed using the R statistical software ver. 3.5 (R Foundation for Statistical Computing, Vienna, Austria), and figures were plotted using the Rex software [12,13]. P-values of <0.05 were considered statistically significant.

RESULTS

There were 453 patients in the CRC-LC group and 58,085 in the CRC group who underwent surgery for CRC; the characteristics of these patients before and after matching are summarized in Table 1. Compared to the CRC group, the CRC-LC group had a higher percentage of nonadvanced, right-sided CRC and lower SES. Table 2 shows the comparisons of 906 LC-group patient and 1,812 healthy controls.

Patients in the CRC-LC group had a higher frequency of intensive care unit (ICU) admission than did those in the CRC

group. There were no differences between the 2 groups in the incidences of early (\leq 30 days) and late (>30 days) postoperative

Table 2. Characteristics of non-CRC patients with andwithout LC

Variable	Non-CRC-LC (n = 906)	Non-CRC-non-LC $(n = 1,812)$
Sex		
Male	700 (77.3)	1,400 (77.3)
Female	206 (22.7)	412 (22.7)
Year of data matching		
2008	160 (17.7)	320 (17.7)
2009	128 (14.1)	256 (14.1)
2010	148 (16.3)	296 (16.3)
2011	160 (17.7)	320 (17.7)
2012	174 (19.2)	348 (19.2)
2013	136 (15.0)	272 (15.0)
Age (yr)	63.037 ± 9.801	63.089 ± 9.578
Socioeconomic status	10.41 ± 6.626	11.822 ± 6.614
CCI	0.811 ± 0.94	0.533 ± 0.931

Values are presented as number (%) or mean \pm standard deviation.

CRC, colorectal cancer; LC, liver cirrhosis; non-CRC-LC, patients without CRC and with LC; non-CRC-non-LC, patients without CRC and LC; CCI, Charlson comorbidity index.

Table 1. Characteristics of colorectal can	er (CRC) patients with liv	ver cirrhosis (LC) and without LC
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CRC-LC		CRC-non-LC			
Variable $(n = 453)$	Before matching $(n = 58,085)$	P-value	After matching $(n = 906)$	P-value	
Sex			< 0.001		>0.999
Male	350 (77.3)	35,633 (61.3)		700 (77.3)	
Female	103 (22.7)	22,452 (38.7)		206 (22.7)	
Location of CRC					
Right	130 (28.7)	13,294 (22.9)	0.004	198 (21.9)	0.007
Left	323 (71.3)	44,791 (77.1)		708 (78.1)	
Stage of CRC			< 0.001		< 0.001
Nonadvanced	286 (63.1)	29,367 (50.6)		461 (50.9)	
Advanced	167 (369.)	28,718 (49.4)		445 (49.1)	
Method of operation			0.001		>0.999
Laparoscopic	237 (52.3)	34,776 (59.9)		542 (59.8)	
Open	216 (47.7)	23,309 (40.1)		364 (40.2)	
Year of surgery			0.192		>0.999
2008	80 (17.7)	8,180 (14.1)		160 (17.7)	
2009	64 (14.1)	8,911 (15.3)		128 (14.1)	
2010	74 (16.3)	9,065 (15.6)		148 (16.3)	
2011	80 (17.7)	10,458 (18.0)		160 (17.7)	
2012	87 (19.2)	10,846 (18.7)		174 (19.2)	
2013	68 (15.0)	10,625 (18.3)		136 (15.0)	
Age (yr)	63.199 ± 9.456	63.914 ± 11.568	0.097	63.21 ± 9.6	>0.999
Socioeconomic status	10.095 ± 6.725	11.546 ± 6.266	< 0.001	11.98 ± 6.362	< 0.001
CCI	0.828 ± 1.059	0.681 ± 0.964	0.003	0.669 ± 0.992	0.008

Values are presented as number (%) or mean ± standard deviation.

CRC-LC, patents with CRC and LC; CRC-non-LC, patients with CRC and without LC; CCI, Charlson comorbidity index.

small bowel obstruction and incisional hernia. However, their mortality rates were significantly different, as the 30-day (2.4% vs. 0.6%, P = 0.0058), 60-day (4.0% vs. 1.1%, P < 0.001), and 90-day (4.6% vs. 1.5%, P = 0.0013) mortality rates were all significantly higher in the CRC-LC group than in the CRC group (Table 3).

Patients presenting with underlying LC had a significantly lower survival rate than those without LC. The CRC-LC group had the lowest survival rate (with statistical significance), followed (in order) by the LC, CRC, and control groups. The survival rate of patients with CRC (i.e., the CRC-LC and CRC groups) was significantly lower than that of patients without CRC (the LC and control groups). When patients with CRC were divided into the nonadvanced and advanced disease groups, the control group showed the highest survival rate, followed by the nonadvanced CRC, advanced CRC, LC, nonadvanced CRC-LC, and advanced CRC-LC groups (Fig. 1). In terms of other investigated covariates, a higher CCI, right-sided CRC, SES \leq 10, and open surgery were associated with a significantly lower survival rate (Fig. 2).

Of the factors influencing the 5-year survival rate, a higher CCI and higher SES were associated with significantly higher and lower risks of death, respectively; the risk was significantly lower in patients who underwent laparoscopic surgery. Patients in both the advanced and nonadvanced CRC-LC groups had significantly higher hazard ratios (HRs) than did those in the LC group; however, the control and nonadvanced CRC groups had

Table 3. Comparison of admission rate of ICU, incidence rate of incisional hernia, small bowel obstruction, and mortality rate after CRC surgery between non-LC and LC group after matching

Variable	CRC-LC (n = 453)	$\begin{array}{l} \text{CRC-non-LC} \\ (n=906) \end{array}$	P-value
Admission rate of ICU			< 0.001
No	317 (70.0)	750 (82.8)	
Yes	136 (30.0)	156 (17.2)	
Incisional hernia ^{a)}			0.885
Early (≤30 days)	8 (1.8)	5 (0.6)	
Late (>30 days)	8 (1.8)	3 (0.3)	
Small bowel obstruction ^{a)}			>0.999
Early (≤30 days)	4 (0.9)	8 (0.9)	
Late (>30 days)	5 (1.1)	13(1.4)	
Mortality rate ^{a)}			
<30 days	11 (2.4)	5 (0.6)	0.006
<60 days	18 (4.0)	10 (1.1)	< 0.001
<90 days	21 (4.6)	14 (1.5)	0.001

Values are presented as number (%).

ICU, intensive care unit; CRC, colorectal cancer; LC, liver cirrhosis; CRC-LC, patients with CRC and LC; CRC-non-LC, patients with CRC and without LC.

^{a)}Days counted from surgery date.

lower HRs than the LC group (Table 4).

DISCUSSION

This study examined the survival rates of healthy subjects, patients with LC alone, patients with CRC alone, and patients with both LC and CRC. Furthermore, the CRC patients' surgical and oncological outcomes were investigated based on the presence or absence of LC. Our findings suggest that LC determines the prognosis of patients with CRC regardless of the tumor's stage (i.e., advanced or nonadvanced). Additionally, the prognoses of patients without LC could depend on the stage of CRC. Given the paucity of research on the prognoses and postoperative outcomes of CRC patients with LC, this study had the advantage of investigating a national cohort.

The significantly high proportion of nonadvanced CRC in the CRC-LC group can be attributed to higher chances of discovering CRC in patients who have underlying LC. It is recommended that patients with LC seek routine screening for early detection of primary liver cancer [14,15]: a periodic health screening program is available in South Korea for the general public [16]. A previous study found that the postoperative ICU admission rate in the CRC-LC group was 14.5%, which was significantly higher than the rate of 4.1% observed in the CRC group [4]. Our study showed the same trend, although the rates in both groups were higher (30.0% *vs.* 17.2%). This difference may be owing to the selection bias in single-institution studies and variations in ICU admission criteria.

Treating patients with both CRC and LC involves longer hospital stays and (consequently) higher medical costs [17]. Such patients also require continuous outpatient follow-up and necessary procedures more frequently than do patients with CRC patients who have no LC. As such, we observed that a higher SES (which was a prognostic factor in this study) was associated with a lower HR. The CCI has also previously been found to be a significant prognostic factor in patients with CRC [18-20]. Likewise, the HR was significantly higher in patients with increasing CCIs.

Our multivariate analysis aimed at identifying factors that influence survival rates showed a significantly elevated HR when CRC occurred in patients with LC. There was a significant difference between the advanced CRC-LC group (HR, 1.858) and the nonadvanced-CRC-LC group (HR, 1.799); that both groups had HRs >1 indicated that CRC in patients with underlying LC was associated with a worsened prognosis regardless of CRC stage. Furthermore, the HR of the control group was 0.251 when using the LC group as a reference, which is consistent with data from previous studies that showed worsened prognoses in patients with CRC who had underlying LC than in those who do not. The nonadvanced CRC group had no survival advantages over the advanced CRC group, but did have a significantly lower



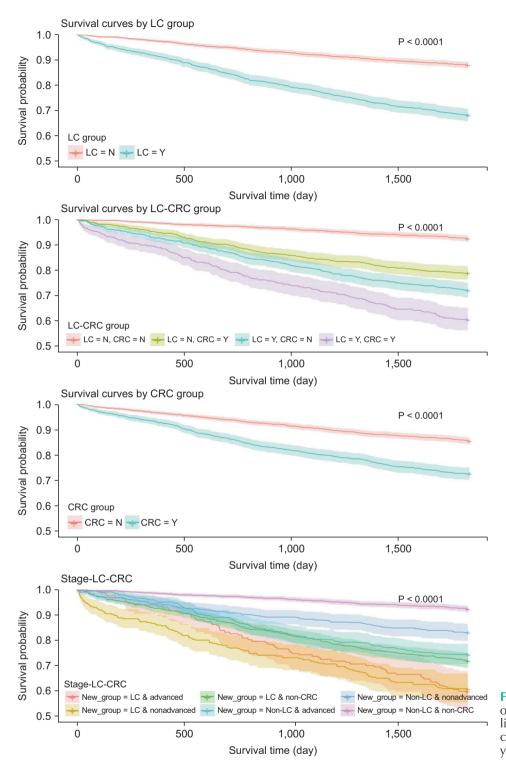


Fig. 1. Kaplan-Meier plots of overall survival according to liver cirrhosis (LC) and colorectal cancer (CRC) status. N, no; Y, yes.

HR (0.730) than that of the LC group. This indicates that LC has a greater influence on survival than CRC stage, and that the absence of LC in patients with CRC is associated with a significantly better prognosis.

This study also revealed significant differences in survival rates based on surgical methods and other prognostic factors. Laparoscopic surgery is currently performed for T4a and T4b cancers, and positive results are shown accordingly. However, the authors think that in the period of this study (2008–2013), open surgery was performed for T4a and T4b advanced CRC patients relatively and the prognostic factor was analyzed. Previous studies found that 7.3% and 12.7% of CRC patients with and without LC underwent laparoscopy, respectively [4]. Likewise, laparoscopy was significantly less frequent in

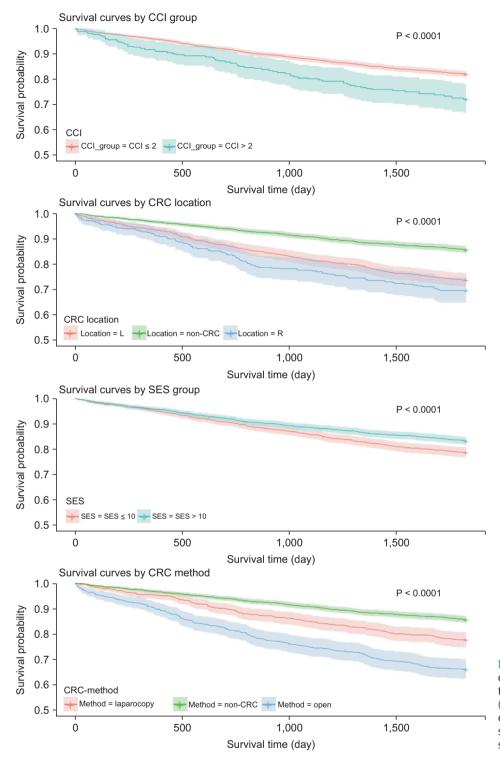


Fig. 2. Kaplan-Meier plots of overall survival according to the Charlson comorbidity index (CCI), location of colorectal cancer (CRC), socioeconomic status (SES), and method of CRC surgery. L, left; R, right.

our CRC-LC group (52.3%) than in our CRC group (59.9%). This difference is possibly attributable to a higher likelihood of poor prognosis in patients undergoing open surgery.

This study had some limitations. First, the nationwide data contain no information on recurrence or cancer stage. Some previous studies found that LC in patients with CRC influences the location of recurrence [21,22]; however, we could not confirm this in our study. Patients with advanced and nonadvanced CRC in our study were examined separately based on the administration of cancer treatment. Moreover, 74%–78% of patients with CRC underwent postoperative cancer treatment, the administration of which is an indicator of cancer stage; as such, we modified the study design based on this indication [23]. Furthermore, the severity of LC was not investigated and



 Table 4. Prognostic factors for 5-year overall survival in all patients after multivariate analyses

Variable	Hazard ratio (95% CI)	P-value
Charlson comorbidity index ^{a)}	1.127 (1.057–1.202)	< 0.001
Socioeconomic status ^{a)}	0.985 (0.974-0.995)	0.004
Non-CRC-LC group	1	
Advanced CRC-LC group	1.858 (1.385-2.492)	< 0.001
Nonadvanced CRC-LC group	1.799 (1.398–2.315)	< 0.001
Advanced CRC-non-LC group	1.168 (0.911-1.497)	0.220
Non-CRC-non-LC group	0.251 (0.203-0.309)	< 0.001
Nonadvanced CRC-non-LC group	0.730 (0.548-0.972)	0.031
CRC location		0.265
Left	1	
Right	1.141 (0.905-1.438)	
Method of CRC operation		< 0.001
Open	1	
Laparoscopy	0.643 (0.524–0.789)	

CI, confidence interval; CRC, colorectal cancer; LC, liver cirrhosis; non-CRC-LC, patients without CRC and with LC; CRC-LC, patients with CRC and LC; CRC-non-LC, patients with CRC and without LC.

^{a)}Continuous variables.

we could not examine the differences in survival rates based on the commonly used Child-Pugh classification or the 'model for end-stage liver disease' score. In the future, we believe it is necessary to conduct research involving liver function.

In conclusion, patients with CRC who had underlying LC had a lower survival rate than did those without LC, although there were no differences in the incidences of postoperative complications. LC was associated with a significantly lower

survival rate regardless of the CRC disease stage. Given the high postoperative mortality of patients with LC, caution is advised when planning and performing surgery; importantly, liver function (among other factors) should be taken into consideration. We also recommend careful postoperative management for patients with both CRC and underlying LC.

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Conflict of interest

No potential conflict of interest relevant to this article was reported.

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Author Contribution

Conceptualization: SBR, EKC, KJP Formal Analysis: NS, SW Investigation: NS, BKP Methodology: NS, SW Project Administration: ECH, SBR Writing – Original Draft: NS, ECH, SW Writing – Review & Editing: KJP, EKC, SBR, BKP

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