

REVIEW ARTICLE

A review of significance of *Aspergillus* detection in airways of ICU COVID-19 patients

Grégoire Pasquier¹ | Agathe Bounhiol² | Florence Robert Gangneux^{3,4} |
 Jean-Ralph Zahar⁵ | Jean Pierre Gangneux^{3,4} | Ana Novara⁶ |
 Marie-Elisabeth Bougnoux^{1,7} | Eric Dannaoui^{2,8} 

¹Microbiology Department, Parasitology-Mycology Unit, Faculty of Medicine, Paris University, Necker-Enfants maladies Hospital, Paris, France

²Microbiology Department, Parasitology-Mycology Unit, Faculty of Medicine, Paris University, AP-HP, European Georges-Pompidou Hospital, Paris, France

³Parasitology-Mycology Department, Centre Hospitalier Universitaire de Rennes, Rennes, France

⁴Inserm, EHESP, Irset (Institut de recherche en santé, environnement et travail), UMR_S 1085, University Rennes, Rennes, France

⁵Infection Control Unit, Avicenne Hospital, Bobigny, France

⁶Medical Intensive Care Unit, Faculty of Medicine, Paris-Descartes University, AP-HP, European Georges-Pompidou Hospital, Paris, France

⁷Fungal biology and Pathogenicity, Institut Pasteur, Paris, France

⁸Dynamyc EA 7380, Paris-Créteil University, Créteil, France

Correspondence

Eric Dannaoui, Unité de Parasitologie – Mycologie, Laboratoire de Microbiologie, Hôpital Européen Georges Pompidou, AP-HP, Centre – Université de Paris, 20 rue Leblanc, 75908 Paris Cedex 15, France. Email: eric.dannaoui@aphp.fr

Funding information

This research received no external funding. Publication fees were funded by APERMY.

Abstract

It is now well known that patients with SARS-CoV-2 infection admitted in ICU and mechanically ventilated are at risk of developing invasive pulmonary aspergillosis (IPA). Nevertheless, symptomatology of IPA is often atypical in mechanically ventilated patients, and radiological aspects in SARS-CoV-2 pneumonia and IPA are difficult to differentiate. In this context, the significance of the presence of *Aspergillus* in airway specimens (detected by culture, galactomannan antigen or specific PCR) remains to be fully understood. To decipher the relevance of the detection of *Aspergillus*, we performed a comprehensive review of all published cases of respiratory *Aspergillus* colonisation and IPA in COVID-19 patients. The comparison of patients receiving or not antifungal treatment allowed us to highlight the most important criteria for the decision to treat. The comparison of surviving and non-surviving patients made it possible to unveil criteria associated with mortality that should be taken into account in the treatment decision.

KEYWORDS

Aspergillus fumigatus, colonisation, COVID-19, intensive care unit, invasive pulmonary aspergillosis, mortality, SARS-CoV-2

1 | INTRODUCTION

Patients with severe pneumonia due to SARS-CoV-2 and hospitalised in the intensive care unit (ICU) with acute respiratory distress

syndrome (ARDS) may have an increased risk of coinfection with fungal and bacterial pathogens.¹ It is now well known that patients with severe influenza are at higher risk of developing invasive pulmonary aspergillosis (IPA).² Therefore, as a potentially severe

respiratory viral infection, SARS-CoV-2 infection may also be a risk factor for IPA. More and more cases in the literature report the presence of *Aspergillus* in airway specimens, for which the diagnosis of IPA seems unclear. There are several difficulties for the diagnosis: symptomatology is often atypical in ventilated intubated patients, and the radiological aspects are difficult to differentiate from those of SARS-CoV-2 pneumonia.

The first studies reported a widely variable incidence ranging from 2.4%³ to 35%⁴ depending on the screening protocols and used definition of IPA. Indeed, aspergillosis definitions were not consensual and were not necessarily in agreement with the definition of IPA in haematology.^{5,6} As a reminder, the definition of IPA in ICU still remains non-consensual and unclear.⁷ It is only recently that IPA definitions specifically, in the context of COVID-19, have been proposed.

One of the major issues is the significance of *Aspergillus* detection (culture, galactomannan antigen (GM) or specific PCR) in airways from intubated COVID-19 patients (colonisation or IPA) and thus the indication for an antifungal treatment. In an attempt to clarify this issue, we analysed all published cases of *Aspergillus* colonisation and IPA in ICU COVID-19 patients until 1 October 2020. Comparison of treated and non-treated patients allowed us to highlight the criteria leading the clinicians to a non-treatment decision. Comparison of survivor and non-survivor groups underlined the criteria associated with mortality that should help for treatment decision.

2 | SOURCES AND METHODS

We performed a review of literature until the 1 October 2020 on Pubmed database with the MeSH terms: "Aspergillosis" and "COVID" (Figure 1, Flow chart). Inclusion criteria were the description of SARS-CoV-2-infected patients in ICU under mechanical ventilation (35 publications). For statistical analysis, only 28 articles with individual patient information about diagnosis method, treatment and outcome were included. Quantitative data were compared with Student's *t* test or Mann-Whitney test when the distribution was not normal. Odds ratio with 95% confidence interval were calculated

for selected quantitative variables. Qualitative data were compared with chi-square test or Fisher's exact test when an expected number was inferior to five. For each analysed parameter, patients with missing data were excluded of the statistical analysis. Variables with univariate *p*-values under .05 except those with too many missing data (such as *Aspergillus* PCR) were included in a multivariate linear regression model using R 3.6.3 software.

3 | ANALYSIS OF THE LITERATURE

A systematic review of the literature allowed us to select 35 studies (Figure 1), published between January 2020 and the 1 October 2020, gathering data from 182 COVID-19-associated pulmonary aspergillosis (CAPA) patients and 49 patients with *Aspergillus* colonisation Table 1. As showed in Table S1, 35 studies comprised 29 retrospective and 6 prospective ones, of which 4 were multicentre studies. Regarding the classification, eight different IPA definitions were used. A wide range of CAPA incidence (from 2.4%³ to 35%⁴) among ventilated ICU patients with COVID-19 has been reported (Figure 2).

In the two largest multicentre prospective studies, including 108 and 122 patients, respectively, CAPA was associated with an overmortality. In Bartoletti et al.,⁸ the 30-day mortality rate in ICU was 44% in the CAPA group vs 19% in the control group (*p* = .002). In White et al.,⁹ among COVID-19 patients, the mortality rate in ICU was 53% in patients with fungal infection (including yeast infection) vs 31% in patients without fungal disease (*p* = .0387).

In order to analyse the criteria used for the decision of antifungal treatment, we selected the 28 publications for which individual data were available (Figure 1, Table S1). One hundred and thirty-four patients with CAPA or *Aspergillus* colonisation were included in these 28 studies (Table 2). Eleven CAPA patients were not treated because of pre- or post-mortem aspergillosis diagnosis and were thus excluded from the analysis. We compared the treated CAPA group (*n* = 96) vs the non-treated group with CAPA or *Aspergillus* colonisation (*n* = 27). Clinical decision not to initiate an antifungal treatment

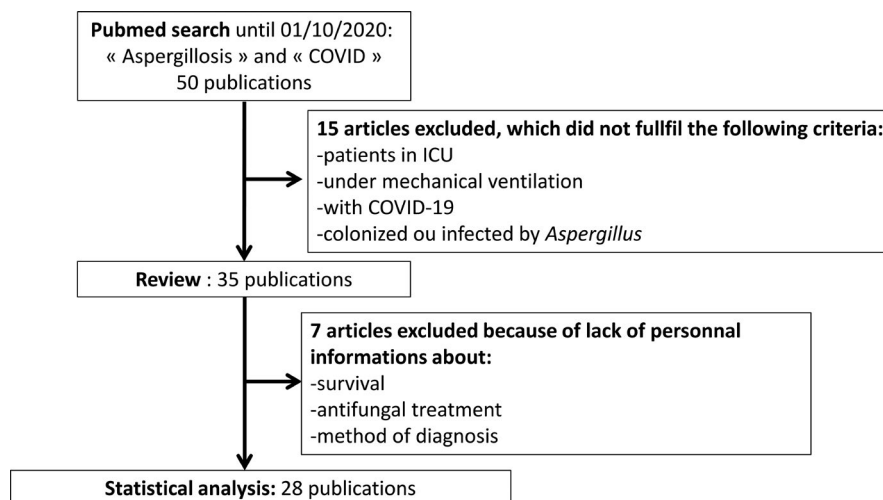


FIGURE 1 Flow chart

TABLE 1 Review of the 35 studies reporting COVID-19-associated pulmonary aspergillosis cases (CAPA) or respiratory *Aspergillus* colonisation in ICU COVID-19 patients

| 1st Author (ref) | Country | CAPA (/total number of described patients) | Colonisation (/total number of described patients) | Mortality rate in patients with CAPA |
|---|-----------------|--|--|--------------------------------------|
| Abdalla, 2020 ²³ | Qatar | 2/2 | 0/2 | 2/2 |
| Alanio, 2020 ¹⁵ | France | 9/27 (33.3%) | 0/27 | 4/9 |
| Antinori, 2020 ²⁴ | Italy | 1/1 | 0/1 | 1/1 |
| Bartoletti, 2020 ^{8c} | Italy | 30/108 (27.8%) | 5/108 | 16/30 |
| Blaize, 2020 ²⁵ | France | 1/1 | 0/1 | 1/1 |
| Brown, 2020 ^{26c} | United Kingdom | 2/62 (3.2%) | 4/62 | NA |
| Dupont, 2020 ²⁷ | France | 19/106 (17.9%) | 0/106 | 7/19 |
| Falces-Romero, 2020 ²⁸ | Spain | 7/7 ^b | 0/7 | 5/7 |
| Fekkar, 2020 ¹⁴ | France | NA | 2/2 | 0/2 |
| Fernandez, 2020 ²⁹ | Argentina | 1/1 | 0/1 | 1/1 |
| Flikweert, 2020 ³⁰ | The Netherlands | 6/6 | 0/6 | 6/6 |
| Gangneux, 2020 ³¹ | France | 7/45 (15.6%) | 8/45 | 2/7 |
| Ghelfenstein-Ferreira, 2020 ³² | France | 1/1 | 0/1 | 1/1 |
| Helleberg, 2020 ³³ | Denmark | 2/27 (7.4%) | 0/27 | 2/2 |
| Ichai, 2020 ^{34a,c} | France | 6/26 (23.1%) | 2/26 | 4/6 |
| Koehler, 2020 ³⁵ | Germany | 5/5 | 0/5 | 3/5 |
| Lahmer, 2020 ³⁶ | Germany | 2/2 | 0/2 | 2/2 |
| Lahmer, 2020 ³⁷ | Germany | 11/32 (34.4%) | 0/32 | 4/11 |
| Lamoth, 2020 ³⁸ | Switzerland | 3/80 (3.8%) | 0/80 | 1/3 |
| Lescure, 2020 ¹ | France | 1/1 | 0/1 | 1/1 |
| Meijer, 2020 ³⁹ | The Netherlands | 1/1 | 0/1 | 1/1 |
| Mitaka, 2020 ⁴⁰ | USA | 4/7 | 3/7 | 4/4 |
| Mohamed, 2020 ⁴¹ | Ireland | 1/1 | 0/1 | 1/1 |
| Nasir, 2020 ⁴² | Pakistan | 5/23 (21.7%) | 4/23 | 3/5 |
| Prattes, 2020 ⁴³ | Austria | 1/1 | 0/1 | 1/1 |
| Rutsaert, 2020 ⁴ | Belgium | 7/20 (35.0%) | 0/20 | 4/7 |
| Santana, 2020 ⁴⁴ | Brazil | 1/1 | 0/1 | 1/1 |
| Sarrazyn, 2020 ^{45c} | Belgium | 4/4 | 0/4 | 4/4 |
| Schein, 2020 ³ | France | 1/42 (2.4%) | 12/42 | 1/1 |
| Sharma, 2020 ⁴⁶ | Australia | 1/1 | 0/1 | 0/1 |
| van Arkel, 2020 ⁴⁷ | The Netherlands | 6/31 (19.4%) | 0/31 | 4/6 |
| Van Biesen, 2020 ^{48c} | The Netherlands | 9/42 (21.4%) | 1/42 | 2/9 |
| Wang, 2020 ^{49c} | China | 4/15 (26.7%) ^b | 0/15 | NA |
| White, 2020 ⁹ | United Kingdom | 18/122 (14.8%) ^b | 12/122 | 8/18 |
| Yang, 2020 ^{50a,c} | China | 2/52 (3.8%) | 0/52 | N |

Abbreviations: AMB, Amphotericin B; ANI, Anidulafungin; CAPA, COVID-19-associated pulmonary aspergillosis; CAS, Caspofungin; FLU, Fluconazole; GM, Galactomannan antigen; ICU, Intensive care unit; ISA, Isavuconazole; MIC, Micafungin; NA, Not available data; VOR, Voriconazole.

^aStudy in ICU where mechanical ventilation status was not precised.

^bAll the CAPA cases were not intubated and thus were not included in this review.

^cNot included study because of lack of individual information.

was associated with a younger age (59.1 vs 68.8 years, $p = .001$) and a diagnosis based on a unique microbiologic criterion (culture, respiratory marker or blood marker) (81.5% vs 33.3%, $p < .001$), particularly on a unique respiratory marker (44.4% vs 11.5%, $p < .001$). In the non-treated group, positivity rates of *Aspergillus* isolation in

culture ($P = .006$), of GM in non-BAL respiratory samples ($p = .03$) and of *Aspergillus* specific PCR in blood ($p < .001$) and in respiratory samples ($p = .01$) were significantly lower than in the treated group in univariate analysis. These results were confirmed in multivariate analysis except for *Aspergillus* isolation in culture (Table S2). We

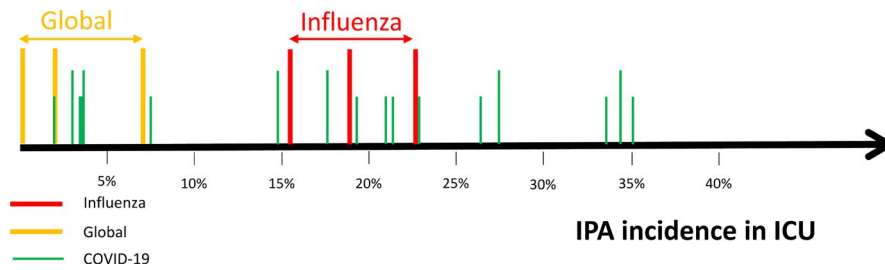


FIGURE 2 Schematic comparative representation of reported prevalence of IPA in ICU patients. Each green bar represents an individual study in COVID-19 patients (details in Table 2). A short and long bar represent a retrospective and prospective study, respectively. The three yellow bars represent the global prevalence in ICU non-COVID-19 and non-Influenza patients (0.3%–6.9%).^{18,51,52} The three red bars represent the prevalence in the group of ICU patients with severe influenza (16%–23%).^{10,53,54}

noted that a combination of positive culture and positive marker in respiratory sample was more frequent in the treated group (35.4% vs 11.1%, $P = .02$) (Table 2). Moreover, *Aspergillus* specific blood markers (GM and PCR) were more often positive in treated patients ($p = .02$). Interestingly, among *Aspergillus* species, *A niger* was more common in non-treated group (20% vs 2.6%, $p = .03$) and thus was more frequently considered as a contaminant. Concerning radiological data, although CT-scan results were reported only for 34 patients, the presence of typical aspergillosis imaging was correlated with the decision to treat ($p < .001$, data not shown). Besides, decision of non-treatment was not associated with an over-mortality (18.5% of fatality rate in non-treated group vs 56.3% in treated group, $p < .001$).

The overall mortality rate was 52.2% (70/134). In order to compare characteristics of survivor ($n = 64$) and non-survivor ($n = 70$) patients, we analysed the underlying diseases, the microbiological data and the antifungal treatment (Table 3). Non-survivor patients were older (70.8 vs 62.5 years, $p < .001$), were more likely to have chronic respiratory diseases (33.9% vs 11.8%, $p = .009$) and chronic obstructive pulmonary disease (COPD) (17.7% vs 2%, $p = .01$) in univariate analysis. However, the multivariate analysis did not confirm the positive association between COPD and mortality (Table S3). Of note, administration of systemic corticosteroids was not more frequent in non-survivor patients (48.0% vs 56.3%, $p = .5$, data not shown). Moreover, presence of a positive blood marker (PCR or GM) was more frequent in the non-survivor group (28.6% vs 9.4%, $p = .005$ and 27.1% vs 4.7%, $p < .001$, respectively) in univariate analysis. In multivariate analysis, positive GM was more frequent in the non-survivor group ($p < .006$). Patients with a positive blood GM or with any positive blood marker had an 11.67 (3.06–55.54)-fold or a 5.79 (1.98–16.93)-fold higher probability of death, respectively (Figure 3).

On the other hand, detection of *Aspergillus* spp. in the survivor group was more often based on a unique respiratory marker (PCR or GM), compared to non-survivors (25% vs 10%, $p = .02$).

4 | DISCUSSION

Currently, a major issue is the absence of consensual definition of IPA^{6,7,10,11} in COVID-19 patients as shown in the literature review

presented here, where at least 8 different definitions of IPA have been used in studies published between January and October 2020. To address this issue, an international working group is currently implementing new definition criteria. This categorisation into proven/probable/possible CAPA contains new criteria such as PCR in various samples and the taking into account of non-BAL respiratory samples, to classify as possible CAPA in case of positive culture and/or other positive markers.¹² Another issue is the high variability among studies concerning biological markers used for aspergillosis diagnosis. Indeed, few centres performed *A fumigatus* PCR in BAL or in serum. Moreover, there are no standardised recommendations nor diagnostic protocols, and some sampling procedures such as BAL were not always performed in COVID-19 patients due to the aerosolising nature of the procedure.¹³

This is, to our knowledge, the largest systematic literature review (covering a 9-month period) for which a statistical analysis of the data has been performed to clarify CAPA characteristics particularly in terms of decision to treat and of mortality criteria. This review clearly shows that the reported CAPA incidence is extremely variable, from 2.4%³ to 35%.⁴ The explanation for this variability remains unclear. The disparity among these results may be explained in part by the various screening methods for *Aspergillus* spp. detection in these studies and by the lack of consensual definition of IPA in COVID-19 patients until now.

Among the 134 cases analysed, there were 12 cases of colonisation and 122 IPA according to the authors' classification. Only 6.3% met the host factor required for classification as probable or putative IPA according to the EORTC⁶ or Blot et al classification.⁷ Of note, if the published cases were reclassified according to the most recent criteria of Kohler et al.,¹² based on the available data in the publications, 100 out of the 134 cases would have been classified as 6 proven, 92 probable and 2 possible CAPA. Nevertheless, as it was not possible to reclassify all the cases, we kept the classification given by the authors for our analysis.

Antifungal treatment was prescribed in 71.6% of cases. First, we compared the characteristics of treated patients versus untreated patients. We noted that the age and the number of microbiological criteria seemed to be key factors for treatment decision. As suggested in previous studies, the number of mycological criteria should be taken into account in further classification adapted for ICU COVID-19

TABLE 2 Comparison of antifungal treated and non-treated patients with CAPA or *Aspergillus* colonisation associated with COVID-19 infection in the 28 selected publications (N = 134)

| Parameter | Total | Non-treated due to post-mortem diagnosis (NTd) | Non-treated due to clinical decision (NTc) | Treated (TT) | p NTc vs TT |
|--|--------------|--|--|--------------|-------------|
| Number of patients | 134 | 11 | 27 | 96 | |
| Age (years), mean (range) ^a | 67 (38–87) | 69 (47–86) | 59.1 (38–79) | 68.8 (38–87) | .001 |
| Male gender, n (%) ^a | 84 (72.4%) | 8 (72.3%) | 17 (77.3%) | 62 (74.7%) | .8 |
| Microbiology | | | | | |
| Positive Histology, n/N | 6/13 | 1/1 | 0/0 | 5/12 | - |
| Positive Culture, n/N | 101/133 | 9/11 | 15/27 | 77/95 | .006 |
| With <i>A. fumigatus</i> | 87/101 | 8/9 | 11/15 | 68/77 | .22 |
| With TR34/L98 gene CYP51A mutation | 3/87 | 1/8 | 0/11 | 2/68 | 1 |
| With <i>A. niger</i> complex | 5/101 | 0/9 | 3/15 | 2/77 | .03 |
| With <i>A. flavus</i> | 6/101 | 1/9 | 0/15 | 5/77 | .59 |
| Others <i>Aspergillus</i> species | 4/101 | 0/9 | 1/15 | 3/77 | .52 |
| GM positive in | | | | | |
| Serum (>0.5), n/N | 22/76 | 2/5 | 1/15 | 19/56 | .05 |
| BAL (>1), n/N | 26/33 | 0/1 | 3/6 | 23/26 | .06 |
| Other respiratory samples (>1), n/N | 29/34 | 0/1 | 4/6 | 25/27 | .03 |
| PCR <i>A. fumigatus</i> positive in | | | | | |
| Serum, n/N | 9/31 | 0/1 | 0/14 | 9/16 | <.001 |
| Respiratory samples, n/N | 36/48 | 2/5 | 8/15 | 26/28 | .01 |
| Positive beta D glucan, n/N | 18/31 | 1/3 | 3/7 | 14/21 | .38 |
| Classification, n (%)^a | | | | | |
| One positive result | | | | | |
| C–R–S– | 1 (0.7%) | 0 | 0 | 1 (1%) | 1 |
| C+R–S– | 45 (33.6%) | 7 (63.6%) | 11 (40.7%) | 27 (28.1%) | .24 |
| C–R+S– | 23 (17.2%) | 0 | 12 (44.4%) | 11 (11.5%) | <.001 |
| Two positive results | | | | | |
| C–R–S+ | 3 (2.2%) | 1 (9.1%) | 0 | 2 (2.1%) | 1 |
| C+R+S– | 39 (29.1%) | 2 (18.2%) | 3 (11.1%) | 34 (35.4%) | .02 |
| C+R–S+ | 4 (3%) | 0 | 1 (3.7%) | 3 (3.1%) | 1 |
| C–R+S+ | 6 (4.5%) | 1 (9.1%) | 0 | 5 (5.2%) | .58 |
| Three positive results | | | | | |
| C+R+S+ | 13 (9.7%) | 0 | 0 | 13 (13.5%) | .07 |
| Positive blood marker ^b , n/N | 26/78 | 2/5 | 1/15 | 23/58 | .02 |
| Unique microbiologic criterion ^c | 62 (46.3%) | 8 (72.7%) | 22 (81.5%) | 32 (33.3%) | <.001 |
| Outcome | | | | | |
| Death, n (%) | 70 (52.2%) | 11 (100%) | 5 (18.5%) | 54 (56.3%) | <.001 |
| ICU length of stay (days), mean (range)** | 24.2 (3–100) | 11.9 (3–34) | 28.9 (9–69) | 25.4 (4–100) | .55 |
| Time from ICU admission to diagnosis (days), mean (range)*** | 9.5 (1–48) | 11.6 (4–25) | 13.5 (2–38) | 8.5 (1–48) | .24 |

Abbreviations: BAL, Broncho-alveolar lavage fluid; GM, Galactomannan antigen.

^aMicrobiological classification: C (culture) R (respiratory marker, PCR or GM) S (serum marker, PCR or GM), for example C+R–S– stands for a positive culture with negative respiratory and serum markers.

^bNumber of patients with positive blood marker including PCR and GM.

^cUnique microbiologic criterion is fulfilled when the diagnosis was based on a unique mycological argument (culture, PCR or GM).

*18 patients not included (data not available); **62 patients not included (data not available); ***60 patients not included (data not available).

TABLE 3 Comparison of survivor and non-survivor patients with CAPA or *Aspergillus* colonisation associated with COVID-19 infection in the 28 selected publications (N = 134)

| Parameter | Total | Survivor (S) | Non-Survivor (NS) | P S vs NS |
|------------------------------------|------------|--------------|-------------------|--------------|
| Number of patients | 134 | 64 | 70 | |
| Age (years) mean (range) (18 NA) | 67 (38-87) | 62.5 (38-79) | 70.8 (47-87) | <.001 |
| Male gender, n (%) (18 NA) | 84 (72.4%) | 41 (75.9%) | 46 (74.2%) | .83 |
| Past medical history, n (%) | | | | |
| Obesity | 25 (19.7%) | 14 (27.5%) | 11 (17.7%) | .17 |
| Diabetes | 47 (37%) | 21 (41.2%) | 22 (35.5%) | .9 |
| Hypertension | 56 (44.1%) | 25 (49%) | 25 (40.3%) | .25 |
| Hypercholesterolemia | 8 (6.3%) | 2 (3.9%) | 6 (9.7%) | .46 |
| Cardiovascular diseases | 16 (12.6%) | 8 (15.7%) | 6 (9.7%) | .29 |
| Solid cancer | 9 (7.1%) | 4 (7.8%) | 5 (8.1%) | 1 |
| Hematological malignancy | 5 (3.9%) | 0 | 5 (8.1%) | .07 |
| COPD | 14 (11%) | 1 (2%) | 11 (17.7%) | .01 |
| Asthma | 9 (7.1%) | 4 (7.8%) | 5 (8.1%) | 1 |
| Chronic respiratory diseases | 30 (23.6%) | 6 (11.8%) | 21 (33.9%) | .009 |
| CKD | 11 (8.7%) | 7 (13.7%) | 3 (4.8%) | .1 |
| SOT | 1 (0.8%) | 1 (2%) | 0 | .44 |
| Chronic steroid treatment | 4 (3.2%) | 2 (3.9%) | 1 (1.6%) | .58 |
| Host Factor EORTC ⁴ | 8 (6.3%) | 4 (7.8%) | 4 (6.5%) | .73 |
| NA ^a | 7 | 13 | 5 | |
| Microbiology, n/N | | | | |
| Positive histology | 6/13 | 3/3 | 3/10 | .07 |
| Positive culture with | 101/133 | 45/64 | 56/69 | .14 |
| <i>A fumigatus</i> | 87/101 | 40/45 | 47/56 | .47 |
| With TR34/L98 Gene CYP51A mutation | 3/87 | 0/40 | 3/47 | .25 |
| <i>A niger</i> section | 5/101 | 3/45 | 2/56 | .65 |
| <i>A flavus</i> | 6/101 | 2/45 | 4/56 | 1 |
| Others <i>Aspergillus</i> species | 4/101 | 1/45 | 3/56 | .63 |
| GM positive in | | | | |
| Serum (>0.5) | 22/76 | 3/38 | 19/38 | <.001 |
| BAL (>1) | 26/33 | 9/13 | 17/20 | .39 |
| Other respiratory samples (>1) | 29/34 | 16/18 | 13/16 | .65 |
| <i>A fumigatus</i> PCR positive in | | | | |
| Serum | 9/31 | 4/22 | 5/9 | .08 |
| Respiratory samples | 36/48 | 23/31 | 13/17 | 1 |
| Positive beta-D-glucan | 18/31 | 7/14 | 11/17 | .41 |
| Classification, n (%) ^b | | | | |
| C-R-S- | 1 (0.7%) | 1 (1.6%) | 0 | .48 |
| C+R-S- | 45 (33.6%) | 20 (31.3%) | 25 (35.7%) | .58 |
| C-R+S- | 23 (17.2%) | 16 (25%) | 7 (10%) | .02 |
| C-R-S+ | 3 (2.2%) | 0 | 3 (4.3%) | .25 |
| C+R+S- | 39 (29.1%) | 21 (32.8%) | 18 (25.7%) | .37 |
| C+R-S+ | 4 (3%) | 1 (1.6%) | 3 (4.3%) | .62 |
| C-R+S+ | 6 (4.5%) | 2 (3.1%) | 4 (5.7%) | .68 |
| C+R+S+ | 13 (9.7%) | 3 (4.7%) | 10 (14.3%) | .08 |

(Continues)

TABLE 3 (Continued)

| Parameter | Total | Survivor (S) | Non-Survivor (NS) | <i>p</i> S vs NS |
|--|------------|--------------|-------------------|---------------------|
| Number with positive blood marker ^c | 26/78 | 6/39 | 20/39 | <.001 |
| Unique microbiologic criterion ^d | 62 (46.3%) | 31 (48.4%) | 31 (44.3%) | .63 |
| Antifungal treatment, <i>n</i> (%) | | | | |
| Antifungal treatment: | 96 (71.6%) | 42 (65.6%) | 54 (77.1%) | .14 |
| Voriconazole | 72 (53.7%) | 34 (53.1%) | 33 (47.1%) | .49 |
| Isavuconazole | 9 (6.7%) | 4 (6.3%) | 5 (7.1%) | 1 |
| Amphotericin B | 22 (16.4%) | 7 (10.9%) | 14 (20%) | .15 |
| Caspofungin | 8 (6%) | 5 (7.8%) | 3 (4.3%) | .48 |
| Micafungin | 1 (0.8%) | 1 (1.6%) | 0 | .48 |
| Anidulafungin | 15 (11.2%) | 2 (1.6%) | 9 (12.9%) | .06 |
| Fluconazole | 2 (1.5%) | 3 (1.6%) | 1 (1.4%) | .35 |

Abbreviations: BAL, Broncho-alveolar lavage fluid; GM, Galactomannan antigen; NA, not available data.

^aThe total is not equal to the sum of S and NS groups since in one publication²⁹ the past medical history was only available for the total of patients and not for S and NS subgroup.

^bMicrobiological classification: C (culture) R (respiratory marker, PCR or GM) S (serum marker, PCR or GM), for example C+R-S- stands for a positive culture with negative respiratory and serum markers.

^cNumber of positive blood marker included blood PCR and GM.

^dUnique microbiologic criterion is fulfilled when the diagnosis was based on a unique mycological argument (culture, PCR or GM).

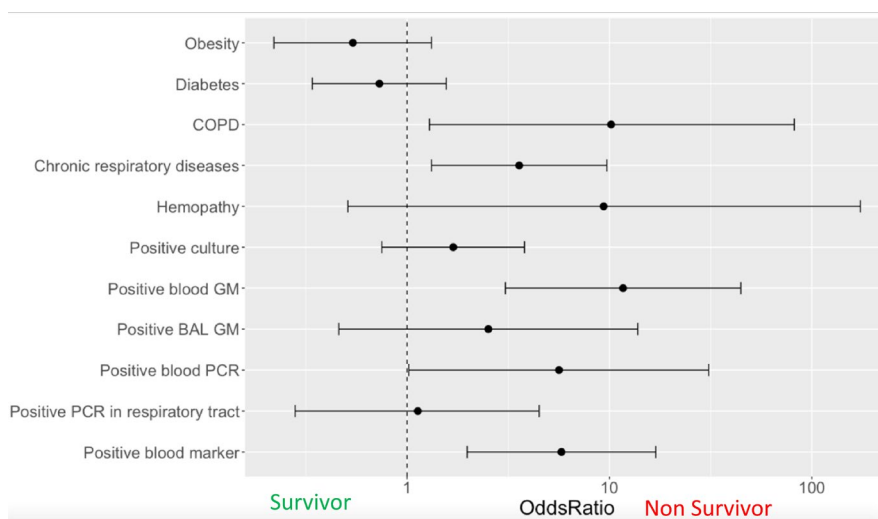


FIGURE 3 Mortality odds ratio of clinical and microbiological interest (calculated from Table 3). COPD: chronic obstructive pulmonary disease, GM, galactomannan antigen, Positive blood marker included both positive blood PCR and GM

patients.^{14,15} Another important point revealed in our analysis is that the decision not to treat was not associated with excess mortality (18.5% vs 56.3% ($p < .001$)). The lower mortality rate in non-treated patients suggests that the presence of *Aspergillus* in respiratory tract may not be sufficient to diagnose IPA in a COVID-19 patient with a non-specific pulmonary imaging. The difficulty to differentiate colonisation from invasive infection among putative CAPA cases has been reviewed recently.¹⁶ Finally, we compared the characteristics of surviving patients vs those of deceased patients. Criteria independently associated with mortality were high age, history of chronic respiratory disease and serum galactomannan index >0.5 . Interestingly, COPD, which has been reported as a risk factor for both severe COVID-19¹⁷ and IPA,¹⁸ did not appear, using the multivariate analysis, as a prognostic factor of CAPA in this review. Regarding biomarkers, a positive

galactomannan in serum seems to be a strong prognostic factor despite a low diagnostic sensitivity in ICU patients,¹⁹ thus should prompt treatment. Although a positive GM in BAL was not associated with mortality, GM test remains interesting for diagnosis of IPA.¹² GM is generally detected by ELISA which is performed only twice/week in most laboratories. Lateral flow assay (LFA) is an alternative to ELISA that could decrease the time to diagnosis of IPA but it has been poorly evaluated in CAPA patients so far. In a study of non-bronchoscopic lavage or BAL from 23 patients with CAPA, the agreement between ELISA and LFA was excellent.^{12,20} LFA has also shown good performance compared to ELISA for detecting GM in tracheal aspirates in a prospective study of patients with COVID-19-associated IPA.²¹

Before SARS-CoV-2 outbreak, influenza has been demonstrated as a risk factor of IPA in ICU patients with a reported incidence of IAPA

of 19% in a retrospective multicentre cohort study of 432 patients.¹⁰ Nevertheless, some differences appeared between IAPA and CAPA. More patients fulfilled the EORTC host factor criteria in IAPA (43% according to Schauwvlieghe et al.¹⁰) than in CAPA (6.3% in this study). The mean delay between admission in ICU and the mycological diagnosis seems to be shorter in IAPA (2–3 days²²) than in CAPA (9.5 days in this review). Finally, very few cases of tracheobronchitis form of aspergillosis⁴ were described among CAPA compared with IAPA.¹⁹ To decipher the risk factors for and characteristics of CAPA, it would be of major interest to design a study comparing COVID patients with non-COVID patients, in the same ICUs and same time period.

There are some limitations in the present review as many individual data were missing, especially concerning COVID-19 treatments that could increase the occurrence of CAPA. Particularly, corticosteroids are a known risk factor for IPA in ICU patients,¹⁸ but their implication in CAPA remains to be established. Moreover, results of biological markers were not always reported (especially when negative) possibly leading to statistical bias.

The presence of *Aspergillus* in a pulmonary sample may not be sufficient to diagnose CAPA and to guide treatment onset. It seems therefore necessary to combine several mycological diagnostic tools in order not to overestimate the cases of CAPA. Analysis of the literature showed that age, COPD and a positive GM are the main prognostic factors in CAPA.

CONFLICTS OF INTEREST

During the past 5 years, Eric Dannaoui has received research grants from MSD and Gilead, travel grants from Gilead, MSD, Pfizer and Astellas, and speaker's fee from Gilead, MSD and Astellas. Marie-Elisabeth Bougnoux has received research grants from Astellas, and speaker's fee from Pfizer, MSD, Astellas and Gilead. Other authors have no conflict of interests.

AUTHOR CONTRIBUTIONS

Grégoire Pasquier: Data curation (equal); Formal analysis (equal); Writing-original draft (equal). **Agathe Bounhiol:** Data curation (equal); Writing-original draft (equal). **Florence Robert-Gangneux:** Writing-review & editing (equal). **Jean Ralph ZAHAR:** Conceptualization (equal); Writing-review & editing (equal). **Jean-Pierre GANGNEUX:** Conceptualization (equal); Writing-review & editing (equal). **Ana NOVARA:** Writing-review & editing (equal).

DATA AVAILABILITY STATEMENT

Data sharing not applicable – no new data generated.

ORCID

Eric Dannaoui  <https://orcid.org/0000-0002-2817-3830>

REFERENCES

- Lescure F-X, Bouadma L, Nguyen D, et al. Clinical and virological data of the first cases of COVID-19 in Europe: a case series. *Lancet Infect Dis.* 2020;20:697-706.
- Crum-Cianflone NF. Invasive aspergillosis associated with severe influenza infections. *Open Forum Infect Dis.* 2016;3:ofw171, 1-8.
- Schein F, Munoz-Pons H, Mahinc C, Grange R, Cathébras P, Flori P. Fatal aspergillosis complicating severe SARS-CoV-2 infection: a case report. *J Mycol Médicale.* 2020;30(4):101039, 1-4.
- Rutsaert L, Steinfors N, Van Hunsel T, et al. COVID-19-associated invasive pulmonary aspergillosis. *Ann Intensive Care.* 2020;10:71, 1-4.
- De Pauw B, Walsh TJ, Donnelly JP, et al. Revised definitions of invasive fungal disease from the European Organization for Research and Treatment of Cancer/Invasive fungal infections cooperative group and the national institute of allergy and infectious diseases Mycoses Study Group (EORTC/MSG) Consensus Group. *Clin Infect Dis.* 2008;46:1813-1821.
- Donnelly JP, Chen SC, Kauffman CA, et al. Revision and update of the consensus definitions of invasive fungal disease from the European organization for Research and Treatment of Cancer and the Mycoses Study Group Education and Research Consortium. *Clin Infect Dis.* 2020;71:1367-1376.
- Blot SI, Taccone FS, Van den Abeele A-M, et al. A clinical algorithm to diagnose invasive pulmonary aspergillosis in critically ill patients. *Am J Respir Crit Care Med.* 2012;186:56-64.
- Bartoletti M, Pascale R, Cricca M, et al. Epidemiology of invasive pulmonary aspergillosis among COVID-19 intubated patients: a prospective study. *Clin Infect Dis.* 2020:1-31. <https://doi.org/10.1093/cid/ciaa1065>
- White PL, Dhillon R, Cordey A, et al. A national strategy to diagnose coronavirus disease 2019-associated invasive fungal disease in the intensive care unit. *Clin Infect Dis.* 2020:1-43. <https://doi.org/10.1093/cid/ciaa1298>
- Schauwvlieghe A, Rijnders B, Philips N, et al. Invasive aspergillosis in patients admitted to the intensive care unit with severe influenza: a retrospective cohort study. *Lancet Respir Med.* 2018;6:782-792.
- Kosmidis C, Denning DW. The clinical spectrum of pulmonary aspergillosis. *Thorax.* 2015;70:270-277.
- Koehler P, Bassetti M, Chakrabarti A, et al. Defining and managing COVID-19 associated pulmonary aspergillosis: the 2020 ECMM/ISHAM consensus criteria for research and clinical guidance. *Lancet Infect Dis.* 2020;14:e149-e162. [https://doi.org/10.1016/S1473-3099\(20\)30847-1](https://doi.org/10.1016/S1473-3099(20)30847-1)
- Wahidi MM, Lamb C, Murgu S, et al. American Association for Bronchology and Interventional Pulmonology (AABIP) statement on the use of bronchoscopy and respiratory specimen collection in patients with suspected or confirmed COVID-19 infection. *J Bronchol Interv Pulmonol.* 2020;27:e52-e54.
- Fekkar A, Pognon C, Blaize M, Lampros A. Fungal infection during COVID-19: does *Aspergillus* mean secondary invasive aspergillosis? *Am J Respir Crit Care Med.* 2020;202:902-903.
- Alanio A, Delliè S, Fodil S, Bretagne S, Mégarbane B. Prevalence of putative invasive pulmonary aspergillosis in critically ill patients with COVID-19. *Lancet Respir Med.* 2020;8:e48-e49.
- Lamoth F, Lewis RE, Walsh TJ, et al. Navigating the uncertainties of COVID-19 associated aspergillosis (CAPA): a comparison with influenza associated aspergillosis (IAPA). *J Infect Dis.* 2021:jjab163. <https://doi.org/10.1093/infdis/jjab163>
- Wang B, Li R, Lu Z, Huang Y. Does comorbidity increase the risk of patients with COVID-19: evidence from meta-analysis. *Aging.* 2020;12:6049-6057.
- Garnacho-Montero J, Amaya-Villar R, Ortiz-Leyba C, et al. Isolation of *Aspergillus* spp. from the respiratory tract in critically ill patients: risk factors, clinical presentation and outcome. *Crit Care.* 2005;9:191-199.
- Ullmann AJ, Aguado JM, Arikan-Akdagli S, et al. Diagnosis and management of *Aspergillus* diseases: executive summary of the 2017 ESCMID-ECMM-ERS guideline. *Clin Microbiol Infect Off Publ Eur Soc Clin Microbiol Infect Dis.* 2018;24:e1-e38.
- White PL. ECMM webinar on COVID-19 associated aspergillosis: point of care diagnosis—soon reality? <https://www.youtube.com/watch?v=xY595itDbVo> (Oct 1, 2020), Accessed 5th Nov 2020

21. Roman-Montes CM, Martínez-Gamboa A, Díaz-Lomelí P, et al. Accuracy of galactomannan testing on tracheal aspirates in COVID-19-associated pulmonary aspergillosis. *Mycoses*. 2021;64:364-371.
22. Verweij PE, Rijnders BJA, Brüggemann RJM, et al. Review of influenza-associated pulmonary aspergillosis in ICU patients and proposal for a case definition: an expert opinion. *Intensive Care Med*. 2020;46:1524-1535.
23. Abdalla S, Almaslamani MA, Hashim SM, Ibrahim AS, Omrani AS. Fatal coronavirus disease 2019-associated pulmonary aspergillosis, a report of two cases and review of the literature. *IDCases*. 2020;22:e00935, 1-3.
24. Antinori S, Rech R, Galimberti L, et al. Invasive pulmonary aspergillosis complicating SARS-CoV-2 pneumonia: a diagnostic challenge. *Travel Med Infect Dis*. 2020;38:101752, 1-2.
25. Blaize M, Mayaux J, Nabet C, et al. Fatal invasive aspergillosis and coronavirus disease in an immunocompetent patient. *Emerg Infect Dis*. 2020;26:1636-1637.
26. Brown L-AK, Ellis J, Gorton R, De S, Stone N. Surveillance for COVID-19-associated pulmonary aspergillosis. *Lancet Microbe*. 2020;1:e152.
27. Dupont D, Menotti J, Turc J, et al. Pulmonary aspergillosis in critically ill patients with Coronavirus Disease 2019 (COVID-19). *Med Mycol*. 2021;59(1):110-114.
28. Falces-Romero I, Ruiz-Bastián M, Díaz-Pollán B, Maseda E, García-Rodríguez J, SARS-CoV-2 Working Group. Isolation of *Aspergillus* spp. in respiratory samples of patients with COVID-19 in a Spanish tertiary care hospital. *Mycoses*. 2020;63:1144-1148.
29. Fernandez NB, Caceres DH, Beer KD, et al. Ventilator-associated pneumonia involving *Aspergillus flavus* in a patient with coronavirus disease 2019 (COVID-19) from Argentina. *Med Mycol Case Rep*. 2021;31:19-23. <https://doi.org/10.1016/j.mmcr.2020.07.001>
30. Flikweert AW, Grootenboers M, Yick D, et al. Late histopathologic characteristics of critically ill COVID-19 patients: different phenotypes without evidence of invasive aspergillosis, a case series. *J Crit Care*. 2020;59:149-155.
31. Gangneux JP, Reizine F, Guegan H, et al. Is the COVID-19 pandemic a good time to include *Aspergillus* molecular detection to categorize Aspergillosis in ICU patients? A monocentric experience. *J Fungi*. 2020;6:105, 1-11.
32. Ghelfenstein-Ferreira T, Saade A, Alanio A, et al. Recovery of a triazole-resistant *Aspergillus fumigatus* in respiratory specimen of COVID-19 patient in ICU - A case report. *Med Mycol Case Rep*. 2021;31:15-18. <https://doi.org/10.1016/j.mmcr.2020.06.006>
33. Helleberg M, Steensen M, Arendrup MC. Invasive aspergillosis in patients with severe COVID-19 pneumonia. *Clin Microbiol Infect*. 2021;27(1):147-148.
34. Ichai P, Saliba F, Baune P, Daoud A, Coilly A, Samuel D. Impact of negative air pressure in ICU rooms on the risk of pulmonary aspergillosis in COVID-19 patients. *Crit Care*. 2020;24:538, 1-3.
35. Koehler P, Cornely OA, Böttiger BW, et al. COVID-19 associated pulmonary aspergillosis. *Mycoses*. 2020;63:528-534.
36. Lahmer T, Rasch S, Spinner C, Geisler F, Schmid RM, Huber W. Invasive pulmonary aspergillosis in severe coronavirus disease 2019 pneumonia. *Clin Microbiol Infect*. 2020;26:1428-1429.
37. Lahmer T, Kriescher S, Herner A, et al. Invasive pulmonary aspergillosis in critically ill patients with severe COVID-19 pneumonia: results from the prospective AspCOVID-19 study. *PLoS One*. 2021;16(3):e0238825. <https://doi.org/10.1371/journal.pone.0238825>
38. Lamoth F, Glampedakis E, Boillat-Blanco N, Oddo M, Pagani JL. Incidence of invasive pulmonary aspergillosis among critically ill COVID-19 patients. *Clin Microbiol Infect*. 2020;26:1706-1708.
39. Meijer E, Dofferhoff A, Hoiting O, Buil JB, Meis JF. Azole-resistant COVID-19-associated pulmonary aspergillosis in an immunocompetent host: a case report. *J Fungi*. 2020;6:79, 1-8.
40. Mitaka H, Perlman DC, Javaid W, Salomon N. Putative invasive pulmonary aspergillosis in critically ill patients with COVID-19: an observational study from New York City. *Mycoses*. 2020;63:1368-1372.
41. Mohamed A, Hassan T, Trzos-Grzybowska M, et al. Multi-triazole-resistant *Aspergillus fumigatus* and SARS-CoV-2 co-infection: a lethal combination. *Med Mycol Case Rep*. 2021;31:11-14. <https://doi.org/10.1016/j.mmcr.2020.06.005>
42. Nasir N, Farooqi J, Mahmood SF, Jabeen K. COVID-19-associated pulmonary aspergillosis (CAPA) in patients admitted with severe COVID-19 pneumonia: an observational study from Pakistan. *Mycoses*. 2020;63:766-770.
43. Prattes J, Valentin T, Hoenigl M, Talacic E, Reisinger AC, Eller P. Invasive pulmonary aspergillosis complicating COVID-19 in the ICU - A case report. *Med Mycol Case Rep*. 2021;31:2-5. <https://doi.org/10.1016/j.mmcr.2020.05.001>
44. Santana MF, Pivoto G, Alexandre M, et al. Confirmed invasive pulmonary aspergillosis and COVID-19: the value of postmortem findings to support antemortem management. *Rev Soc Bras Med Trop*. 2020;53:e20200401, 1-4.
45. Sarrazyn C, Dhaese S, Demey B, Vandecasteele S, Reynders M, Van Praet JT. Incidence, risk factors, timing and outcome of influenza versus Covid-19 associated putative invasive aspergillosis. *Infect Control Hosp Epidemiol*. 2020;1-2. <https://doi.org/10.1017/ice.2020.460>
46. Sharma A, Hofmeyr A, Bansal A, et al. COVID-19 associated pulmonary aspergillosis (CAPA): an australian case report. *Med Mycol Case Rep*. 2021;31:6-10. <https://doi.org/10.1016/j.mmcr.2020.06.002>
47. van Arkel ALE, Rijpstra TA, Belderbos HNA, van Wijngaarden P, Verweij PE, Bentvelsen RG. COVID-19-associated pulmonary aspergillosis. *Am J Respir Crit Care Med*. 2020;202:132-135.
48. Van Biesen S, Kwa D, Bosman RJ, Juffermans NP. Detection of invasive pulmonary aspergillosis in COVID-19 with nondirected BAL. *Am J Respir Crit Care Med*. 2020;202:1171-1173.
49. Wang J, Yang Q, Zhang P, Sheng J, Zhou J, Qu T. Clinical characteristics of invasive pulmonary aspergillosis in patients with COVID-19 in Zhejiang, China: a retrospective case series. *Crit Care*. 2020;24:299, 1-4.
50. Yang X, Yu Y, Xu J, et al. Clinical course and outcomes of critically ill patients with SARS-CoV-2 pneumonia in Wuhan, China: a single-centered, retrospective, observational study. *Lancet Respir Med*. 2020;8:475-481.
51. Meersseman W, Vandecasteele SJ, Wilmer A, Verbeke E, Peetermans WE, Van Wijngaerden E. Invasive aspergillosis in critically ill patients without malignancy. *Am J Respir Crit Care Med*. 2004;170:621-625.
52. Vandewoude KH, Blot SI, Depuydt P, et al. Clinical relevance of *Aspergillus* isolation from respiratory tract samples in critically ill patients. *Crit Care*. 2006;10:R31, 1-10.
53. Wauters J, Baar I, Meersseman P, et al. Invasive pulmonary aspergillosis is a frequent complication of critically ill H1N1 patients: a retrospective study. *Intensive Care Med*. 2012;38:1761-1768.
54. van de Veerdonk FL, Kolwijck E, Lestrade PPA, et al. Influenza-associated aspergillosis in critically ill patients. *Am J Respir Crit Care Med*. 2017;196:524-527.

SUPPORTING INFORMATION

Additional supporting information may be found online in the Supporting Information section.

How to cite this article: Pasquier G, Bounhiol A, Robert Gangneux F, et al. A review of significance of *Aspergillus* detection in airways of ICU COVID-19 patients. *Mycoses*. 2021;64:980-988. <https://doi.org/10.1111/myc.13341>