

The Journal of Biomedical Research, 2014, 28(5):396-405

Research Paper

# Easily manageable prognostic factors in 152 Chinese elderly acute myeloid leukemia patients: a single-center retrospective study

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# Abstract

We retrospectively investigated the prognostic factors of acute myeloid leukemia (AML) in 152 Chinese patients with de novo AML who were older than 60 years of age and who received treatment at our hospital. Log-rank test showed that 6 parameters including older age, higher white blood cell (WBC) counts, lactate dehydrogenase (LDH) and bone marrow (BM) blasts at diagnosis, unfavorable risk cytogenetics, and non-mutated  $CEBP\alpha$  were significant adverse prognostic factors of overall survival (OS) for elderly AML patients (P = 0.0013, 0.0358, 0.0132, 0.0242, 0.0236 and 0.0130, respectively). Moreover, older age and higher LDH were significant adverse predictors for relapse-free survival (RFS) (P = 0.0447 and 0.0470, respectively). Univariate analysis revealed similar results for OS to those of the log-rank test and only higher LDH at diagnosis was a significant adverse predictor for RFS (P = 0.028, HR: 1.979, 95% CI: 1.075–3.644). In multivariate analysis, we identified 2 trends towards independent prognostic factors for OS, including BM blasts at diagnosis (P = 0.057, HR: 1.676, 95%CI: 0.984–2.854) and mutation status of CEBP $\alpha$  (P = 0.064, HR: 4.173, 95%CI: 0.918–18.966). Our data indicated that older age, gender and a previous history of hematologic diseases resulted in lower complete remission rate (P = 0.012, 0.051and 0.086, respectively). We further developed an easy scoring system for predicting prognosis and response to induction therapy in older AML patients. Patients who had lower scores showed significantly longer OS and RFS (P = 0.0006 and 0.1001, respectively) and higher CR rate (P = 0.014). Our research is limited by its retrospective nature and the results from our study need to be further validated by prospective randomized clinical trials.

Keywords: acute myeloid leukemia, elderly patients, prognosis factors

# **INTRODUCTION**

Acute myeloid leukemia (AML) results from abnormal self-renewal and suppressed differentiation of hematopoietic progenitor cells, which leads to replacement of normal marrow elements<sup>[1]</sup>. AML usually

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afflicts elderly people with a median age of 67 years. Actually, patients older than 60 years represent the majority of patients with AML<sup>[2]</sup>. According to Brincker et al., the annual incidence of AML patients at 50 years is 4.1 cases per 10,000 and increases progressively into 14.9 cases per 10,000 at 80 years<sup>[3]</sup>.

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This work was supported by National Natural Science Foundation of China (No. 81170486, 81170485 and 81101498), Natural Science Fund for Distinguished Young Scholars of Jiangsu Province (BK20130049), Scientific Research Foundation from the Ministry of Education of China (43-NJYKDX-3), Jiangsu Province's Medical Elite Program (RC2011168), Key Project of Jiangsu Province Health Agency (K201107), "Liu Da Ren Cai Gao Feng" Project (2012-WS-017), A

Project funded by the Priority Academic Program Development of Jiangsu Higher Education Institute (JX10231801).

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Currently, the world population is aging at an accelerated pace; therefore, the number of elderly patients presenting with AML are expected to continue to rise. However, elderly AML patients usually show a much worse prognosis than younger patients, and more than 50% of them die in the first year of diagnosis<sup>[4]</sup>. Clinical outcomes in this population remain dismal and have not made much progress over the previous three decades<sup>[5]</sup>.

According to the World Health Organization (WHO) categorization of AML, cytogenetic and molecular analyses play an important role in predicting the remission and survival rates of AML patients. Unfortunately, the unfavorable characteristics are often amplified in elderly AML patients, such as a higher incidence of complex cytogenetics or a multidrug resistance phenotype<sup>[6,7]</sup>. Meanwhile, a higher proportion of secondary AML arising from myelodysplastic syndrome (MDS) or other previous hematological diseases also leads to poor survival of elderly AML patients<sup>[8]</sup>. Moreover, the decreased physiologic reserve and functional impairment of elderly AML patients always contribute to a diminished response to chemotherapy and less tolerance of complications related to chemotherapy. Many previous studies reported numerous prognostic factors for these patients, such as age, relevant comorbidity, Eastern Cooperative Oncology Group (ECOG) performance status, serum lactate dehydrogenase (sLDH) at diagnosis, cytogenetics, gene mutations, immunophenotypes, and the French-American-British (FAB) subtypes<sup>[7,9-12]</sup>.

In the current study, we retrospectively analyzed 152 elderly de novo AML patients treated at a single tertiary care center. We also developed an easily manageable scoring system combining five host- or disease-related factors (age, sex, white blood cell (WBC) at diagnosis, LDH at diagnosis and bone marrow (BM) blasts at diagnosis) to classify elderly AML patients into groups with variable prognosis.

# **PATIENTS AND METHODS**

## Patients

From January 2006 to May 2013, 152 patients older than 60 years of age (median age: 68 years, range: 60–94) years with newly diagnosed AML (other than M3 subtypes) who were treated at the authors' affiliated institution were included in this retrospective cohort study. The study was conducted according to institutional guidelines and the Declaration of Helsinki. All data were collected with approval by the local institutional review board. All patients were unrelated ethnic Han Chinese and all of them dwell in mainland China. The diagnosis of AML was made according to the morphologic and cytochemical criteria of the FAB classification<sup>[13]</sup>. Follow-up information was obtained from the patient records at the hospital. The number of patients given hematopoietic stem cell transplantation was not clear as some patients were not treated in our hospital from beginning to end and they may have received transplantation in other hospitals.

# Cytogenetic analysis

Conventional cytogenetic analysis was performed using non-stimulated short-term cultures according to the recommendations of the International System for Human Cytogenetic Nomenclature (ISCN) and at least 20 bone marrow metaphase cells were analyzed. According to the National Comprehensive Cancer Network (NCCN) guidelines of AML (version 1, 2012), the favorable risk cytogenetic group was defined as patients with inv16 or t (16; 16), t (8; 21), or t (15; 17). Patients with -5/5q-, -7/7q-, t (6, 9), t (9, 22), inv (3), t (3; 3), 11q23-non t (9; 11) or complex aberrations ( $\geq$ 3 independent clonal chromosomal abnormalities) were categorized as poor risk. Patients with +8, t (9; 11), normal or other non-defined cytoge– netics were defined as the intermediate risk group.

## **Molecular analysis**

Internal tandem duplications of FMS-like tyrosine kinase-3 (*FLT3-ITD*), mutation status of the nucleo–phosmin 1 gene (*NPM1*) and CCAAT/enhancer-bind–ing protein alpha gene (*CEBP* $\alpha$ ) were evaluated as previously described<sup>[14,15]</sup>.

#### Treatment

In each case, treatment choice was based on physician recommendation and patient preference. Based on investigational protocol availability, although the induction therapy was not uniform, they always included cytosine arabinoside (Ara-C). Among the 152 elderly patients with AML, 23 patients only received palliative care and the remaining 129 patients received various standard-intensity or low-intensity induction regimens according to their performance status.

# **Endpoints and definitions**

Complete remission (CR) was defined by the presence of normal cellular BM with less than 5% blasts along with a neutrophil count  $\ge 1x10^{9}/L$ , a platelet count  $\ge 100 \times 10^{9}/L$  in peripheral blood, and the patient was independent with transfusion<sup>[16]</sup>. Relapse was defined as the reappearance of more than 5% leukemic blasts in the BM or presence of blast infiltration

in extramedullary organs such as the central nervous system. The period from the time of documented CR until relapse or death in CR (failure), or alive in CR until last follow-up (censored) was defined as relapse-free survival (RFS). Overall survival (OS) was defined as the period from the time of first diagnosis to death (failure) or censored on the last known alive date if the patients were still alive.

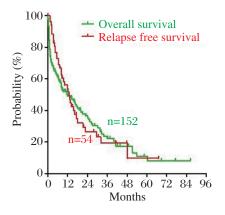
#### Statistical analysis

Clinical characteristics were described in numbers and frequency for qualitative variables, median and range for quantitative factors. Qualitative parameters were evaluated by  $\chi^2$  test or Fisher's exact test. The cumulative survival rate was calculated by Kaplan-Meier method, and statistical significance was analyzed by log-rank test. Univariate and multivariate cox proportional hazard models were used for exploring various significant prognostic clinical variables. Two-sided *P*value less than 0.05 was considered statistically significant. All statistical analyses were performed using the Statistical Program for Social Sciences (SPSS) 13.0. Graphpad Prism 5.0 was used for plotting graphs.

# RESULTS

#### Survival

The baseline characteristics of the 152 patients are listed in **Table 1**. The 152 patients included 97 death cases and 55 censored cases. The median OS was 6.2 months (range: 0.07 to 86.33 months). The median OS for patients receiving induction therapy was 7.9 months. The estimated 1-, 3-, and 5-year survival rates of the patients older than 60 years were 49.1%, 22.2% and 8.2%, respectively (**Fig. 1**). In patients receiving chemotherapy, CR was achieved in 69 of 115 cases (60.0%). Among 69 patients who achieved CR, 15 were lost to follow up. In the remaining 54 patients, 37 (68.5%)



*Fig.* 1 The overall survival (OS) (green line) and relapse free survival (RFS) (red line) of 152 elderly patients with AML.

relapsed. The RFS of the 54 patients ranged from 3 days to 5.6 years. The median RFS was 6.2 months (*Fig. 1*).

#### Kaplan-Meier method and log-rank test

To identify the clinical prognostic factors for elderly AML patients, we performed survival analysis of 152 AML patients. We examined prognostic factors involved in OS and RFS by Kaplan-Meier method and log-rank test. As shown in Fig. 2A-2F, older age, higher level of WBC, LDH and BM blasts at diagnosis, the poor risk group of cytogenetics, and non-mutated CEBPa were significant adverse prognostic factors of OS for elderly AML patients (P =0.0013, 0.0358, 0.0132, 0.0242, 0.0236 and 0.0130, respectively). Meanwhile, there was a trend toward unfavorable OS in male patients (P = 0.0594) (Fig. 2G). Older age and higher LDH at diagnosis were significant adverse predictors for RFS (P = 0.0447 and 0.0470, respectively) (Fig. 3A and 3B). Previous hematologic diseases, hemoglobin and platelet count and mutation of NPM1 and FLT3-ITD had no significant impact on OS and RFS in elderly AML patients (all P > 0.05).

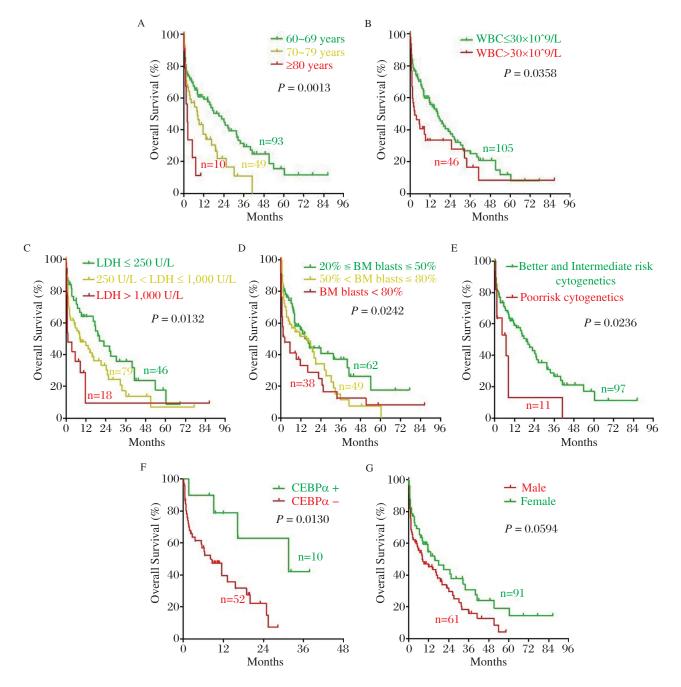
#### Univariate and multivariate analyses

In univariate analysis, the results were similar to those of the log-rank test, as shown in **Table 2**. Older age (P = 0.000, HR: 1.842, 95%CI: 1.321-2.568), higher WBC (P = 0.038, HR: 1.588, 95%CI: 1.027-2.455), higher LDH (P = 0.004, HR: 1.598, 95%CI: 1.164-2.193) and higher BM blasts (P = 0.007, HR: 1.419, 95%CI: 1.101-1.830), the poor risk group of cytogenetics (P = 0.028, HR: 1.496, 95%CI: 1.045-2.141)and non-mutated  $CEBP\alpha$  (P = 0.021, HR: 4.084, 95%CI: 1.233-13.524) at diagnosis were shown to be significant poor prognostic factors of OS for elderly AML patients. Moreover, there was a trend toward unfavorable OS in male patients (P = 0.061). Only higher LDH at diagnosis was a significant poor predictor for RFS (P = 0.028, HR: 1.979, 95%CI: 1.075-3.644).

In multivariate analysis, we constructed a model to evaluate the prognostic significance of age, WBC, LDH, BM blasts, group of cytogenetics and mutation status of *CEBP* $\alpha$  at diagnosis. However, our multivari– able analysis failed to define any independent signifi– cant prognostic parameters for OS. Nevertheless, we identified two trends towards independent prognostic factors for OS, including BM blasts at diagnosis (P = 0.057, HR: 1.676, 95%CI: 0.984–2.854) and mutation status of *CEBP* $\alpha$  (P=0.064, HR: 4.173, 95%CI: 0.918–18.966) (*Table 3*). Additionally, higher BM blasts at diagnosis was a significant inde– Easily manageable prognostic factors in elderly AML *Table 1* Demographic and baseline characteristics of 152 elderly patients with AML

Characteristic	Value
Age, year (median, range)	68, 60–94
60–69 years, n (%)	93(61.2)
70-79 years, n (%)	49(32.2)
>80 years, n (%)	10(6.6)
Male gender, n (%)	91(59.9)
Previous hematologic diseases, n (%)	20(13.2)
MDS	14(9.2)
CMML	2(1.3)
ITP	1(0.7)
PV	1(0.7)
IMF	1(0.7)
NHL	1(0.7)
Previous tumors of other systems, n (%)	8(5.3)
Extramedullary presentation, n (%)	39(25.7)
WBC at diagnosis, $\times 10^{9}$ /L (median, range) <sup>#</sup>	7.60, 0.41–272.3
$\leq 30, n$ (%)	105(69.5)
Hemoglobin at diagnosis, g/L (median, range) #	76.0, 34.0–131.0
Normal (male 120–160, female 110–150), n (%)	5(3.3)
Anemia (male < 120, female < 110), n (%)	146(96.7)
Platelet at diagnosis, $\times 10^{\circ}/L$ (median, range) <sup>#</sup>	46.0, 2.0-463.0
Normal (100–300), n (%)	25(16.6)
Thrombocytopenia (< 100), n (%)	124(82.1)
Thrombocythemia (> 300), n (%)	2(1.3)
Missing data, n	1
LDH at diagnosis, U/L (median, range) <sup>s</sup>	329, 92–2899
≤ 250 U/L, n (%)	46(32.2)
$> 250 \text{ U/L}, \le 1000 \text{ U/L}, n (\%)$	79(55.2)
> 1,000 U/L, n (%)	18(12.6)
BM blasts at diagnosis, % (median, range) *	59.0, 20.0–96.2
≥ 20%, ≤ 50%, n (%)	62(41.6)
$> 50\%, \le 80\%, n (\%)$	49(32.9)
> 80%, n (%)	38(25.5)
FAB subtype, n (%)	00(200)
MO	6(3.9)
M1	24(15.8)
M2	73(48.0)
M4	15(9.9)
M5	18(11.8)
M6	10(6.6)
M7	2(1.3)
Unclassified	4(2.6)
FLT3-ITD mutation status, mutated +/total (%)	7/72(9.7)
NPM1 mutation status, initiated +/total (%)	16/67(23.9)
$CEBP\alpha$ mutation status, mutated +/total (%)*	10/62(16.1)
Cytogenetics, n (%)	10/02(10.1)
Favorable	5(4.6)
Intermediate	92(85.2)
Unfavorable	11(10.2)

AML, acute myeloid leukemia; MDS: myelodysplastic syndromes; CMML: Chronic myelomonocytic leukemia; ITP: Idiopathic thrombocytopenic purpura; PV: polycythemia vera; IMF: idiopathic myelofibrosis; NHL: non-Hodgkin's lymphoma; WBC: white blood cell, normal range:  $4-10 \times 10^{\circ}/L$ ; LDH: lactate dehydrogenase, normal range: 110-250 U/L; BM: bone marrow; FAB: the French American British; FLT3-ITD: internal tandem duplications of FMS-like tyrosine kinase-3; NPM1: nucleophosmin 1; CEBP $\alpha$ : CCAAT/enhancer-binding protein alpha; Missing data: \* n=1; \* n=9; \* n=3; \* n=44.



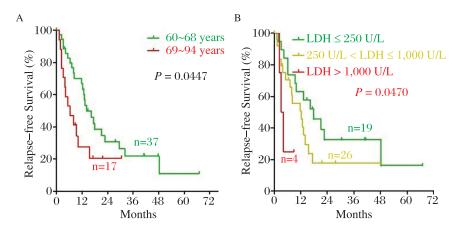
*Fig. 2* Significant prognostic factors of OS for elderly AML patients. Older age (green line: 60-69 years, yellow line: 70-79 years, red line:  $\geq 80$  years), higher level of WBC (green line:  $\leq 30 \times 10^{\circ}/L$ , red line:  $\geq 30 \times 10^{\circ}/L$ ), LDH (green line:  $\leq 250U/L$ , yellow line:  $\geq 250U/L$ ,  $\leq 1000U/L$ , red line:  $\geq 1000U/L$ ) and BM blasts (green line:  $\geq 20\%$ ,  $\leq 50\%$ , yellow line:  $\geq 50\%$ ,  $\leq 80\%$ , red line: < 80%) at diagnosis, poor-risk group of cytogenetics (green line: better- and intermediated-risk, red line: poor-risk), non-mutated CEBP $\alpha$  (green line: mutated; red line: non-mutated) were significant adverse prognostic factors of OS for elderly AML patients. Meanwhile, there was a trend toward unfavorable OS in male patients (green line: male; red line: female). A: Age; B: WBC at diagnosis; C: LDH at diagnosis; D: BM blast at diagnosis; E: Cytogenetic; F: CEBP $\alpha$ ; G: Sex.

pendent adverse predictor for RFS (*P*=0.045, HR: 3.747, 95%CI: 1.028–13.662).

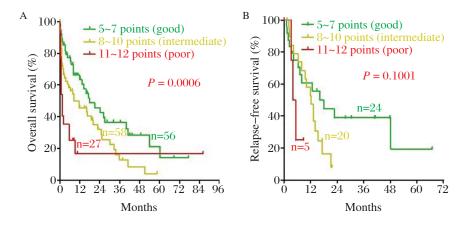
# A new prognostic scoring system for stratifying elderly AML patients into three risk groups

According to the results of log-rank test, univariate and multivariate analysis, we further developed a con-

venient five-factor scoring system. A score of 1 was assigned to female sex, age from 60 to 69 years, WBC at diagnosis  $\leq 30 \times 10^{\circ}$ /L, LDH at diagnosis  $\leq 250$  U/L, or BM blasts at diagnosis at 20%–50%. A score of 2 was assigned to male sex, age from 70 to 79 years, LDH at diagnosis at 250–1,000 U/L, or BM blasts at diagnosis at 50%–80%. A score of 3 was assigned to age older than 80 years, WBC at diagnosis



*Fig.* 3 Significant prognostic factors of RFS for elderly AML patients. Older age (green line: 60-68 years, red line: 69-94 years) and higher level of LDH at diagnosis (green line:  $\leq 250$  U/L, yellow line:  $\geq 250$  U/L,  $\leq 1000$ U/L, red line:  $\geq 1000$  U/L) were shown to be significant poor prognostic factors of RFS. A: Age; B: LDH at diagnosis.



*Fig.* **4** The novel scoring system performed well in stratifying elderly AML patients into three risk groups (green line: good, yellow line: intermediated, red line: poor). A: OS; B: RFS.

more than  $30 \times 10^{\circ}$ /L, LDH at diagnosis more than 1,000 U/L, or BM blasts at diagnosis more than 80%. **Table 4** shows this scoring system in a more intuitive way. As shown in **Fig. 4**, the novel scoring system stratified the patients into three risk groups: a score of 5 to 7,

goodrisk (n = 56); a score of 8 to 10, intermediaterisk (n = 58); a score of 11 to 12, poorrisk (n = 27). The median OS was 9.48 months (range, 0.13-77.30 months) for the goodrisk group, 5.30 months (0.07-58.40 months) for the intermediate risk group and 0.8

Table 2 Univariate analysis in the primary cohort of 152 AML patients

Variable	RFS $(n = 38)$		OS $(n = 151)$	
	HR (95%CI)	Р	HR (95%CI)	Р
Age (60–69 years vs. 70–79 years vs. $\ge$ 80 years)	1.488 (0.711-3.114)	0.292	1.842 (1.321-2.568)	0.000
Sex (male vs. female)	0.773 (0.403-1.486)	0.441	0.670 (0.441-1.019)	0.061
WBC at diagnosis ( $\leq 30 \times 10^{\circ}$ /L vs. > $30 \times 10^{\circ}$ /L)	$1.507 \ (0.680 - 3.343)$	0.313	$1.588\ (1.027 - 2.455)$	0.038
LDH at diagnosis ( $\leq 250$ U/L vs. $>250$ U/L, $\leq 1{,}000$ U/L vs. $>{1{,}000}$ U/L)	1.979 (1.075-3.644)	0.028	1.598 (1.164-2.193)	0.004
BM blasts at diagnosis ( $\geq 20\%$ , $\leq 50\%$ vs. $> 50\%$ , $\leq 80\%$ vs. $> 80\%$ )	$1.536\ (0.994-2.373)$	0.054	1.419 (1.101-1.830)	0.007
Cytogenetics (good and intermediate vs. poor)	1.265 (0.612-2.613)	0.526	1.496 (1.045-2.141)	0.028
CEBPa (mutated vs. unmutated)	1.803 (0.402-8.086)	0.441	4.084 (1.233-13.524)	0.021
Type of AML (primary vs. secondary)	0.472 (0.165-1.354)	0.163	1.044 (0.617-1.766)	0.873

BM: bone marrow; HR: hazards ratio; LDH: lactate dehydrogenase; OS: overall survival; RFS: relapse free survival; WBC: white blood cell.

Table 3 Multivariate analysis of clinicopathologic factors in the primary cohort of 152 AML patients

Variable	RFS (n=38)		OS $(n = 151)$	
	HR (95%CI)	Р	HR (95%CI)	Р
Age (60–69 years vs. 70–79 years vs. $\ge 80$ years)	0.631 (0.176-2.266)	0.480	0.779 (0.342-1.773)	0.552
WBC at diagnosis ( $\leq 30 \times 10^{\circ}$ /L vs. $>30 \times 10^{\circ}$ /L)	0.226 (0.025-2.015)	0.183	0.971 (0.353-2.677)	0.955
LDH at diagnosis ( $\leq 250$ U/L vs. $>\!250$ U/L, $\leq 1{,}000$ U/L vs. $>1{,}000$ U/L)	1.338 (0.421-4.248)	0.621	1.078 (0.535-2.172)	0.833
BM blasts at diagnosis ( $\geq 20\%$ , $\leq 50\%$ vs. $>50\%$ , $\leq 80\%$ vs. $> 80\%$ )	3.747,5 (1.028–13.662)	0.045	1.676(0.984 - 2.854)	0.057
Cytogenetics (good and intermediate vs. poor)	n.d.*		$1.879\ (0.842 - 4.195)$	0.124
CEBPa (mutated vs. unmutated)	5.084 (0.385-67.147)	0.217	4.173 (0.918-18.966)	0.064

\*Due to the high number of missing values, this variable could not be included in the model for RFS.

#### Table 4 The prognostic scoring system

Factors	1	2	3
Sex	Female	Male	
Age	60-69 years	70-79 years	$\geq 80$ years
WBC at diagnosis	$\leq 30 \times 10^{\circ}$ /L		$> 30 \times 10^{\circ}$ /L
LDH at diagnosis	$\leq 250$ U/L	$>\!\!250$ U/L, $\leq$ 1,000 U/L	>1,000 U/L
BM blasts at diagnosis	$\geq 20\%, \leq 50\%$	$>50\%, \le 80\%$	> 80%

5-7 points = good points = intermediate risk, 11-12 points = poor risk.

month (0.10–86.33 months) for the poor risk group, respectively. Our scoring system was shown to be a significant prognostic factor of OS for elderly AML patients (P = 0.0006), but not for RFS (P = 0.1001). To validate our risk score model, we tested three independent samples from other hospitals to avoid overfit and the results turned out to be good (**Table 5**).

# Factors associated with response to induction treatment

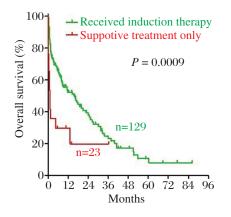
Among the 152 patients, 129 (84.9%) were treated with induction chemotherapy while the remaining 23 (15.1%) received only supportive management. There was a statistically significant difference in OS between the two groups (P = 0.0009, **Fig. 5**). Among the 129 patients who received chemotherapy, the CR rate was 60.0%. As shown in **Table 6**, our prognostic scoring system predicted response to induction therapy successfully in the current cohort of patients.

# DISCUSSION

AML is predominantly a disease of elderly people. Collaborative group study and large center experience have rarely proved an increase in the cure rate for elderly AML patients over the recent three decades<sup>[5]</sup>. During the last 15 years, only slight improvement on CR rates has been made. According to the previous reports, the 2-year survival rate of elderly AML patients was approximately 20%<sup>[17,18]</sup>. In the study by Iwakiri et al.<sup>[19]</sup>, the 3-year survival rate was 28%, which is close to our data (22.2%). The poor outcomes of elderly AML were attributed to patient and disease

Patients	1	2	3
Sex	Female	Female	Male
Age	61 years	65 years	69 years
WBC at diagnosis	$2.10 \times 10^{\circ}/L$	$65.70 \times 10^{\circ}/L$	$239.24 \times 10^{\circ}/L$
LDH at diagnosis	154 U/L	630 U/L	736 U/L
BM blasts at diagnosis	44.00%	52.80%	90.80%
Score	5 points	9 points	11 points
OS	68.73 months	32.67 months	5.6 months
RFS	66.97 months	12.33 months	4.01 months

Table 5 Characteristics of three representative patients



*Fig.* **5** There was a statistically significant difference in OS between patients treated with induction chemotherapy (green line) and patients received only supportive management (red line).

*Table 6* CR rate in the 129 elderly patients who received induction treatment

	Achieved CR	
Characteristic	n/all (%)	P value
All patients	69/115 (60.0)	
Age		
60-69 years	50/76 (65.8)	0.012
70-79 years	19/34 (55.9)	
>80 years	0/5 (0.0)	
Sex		
Male	37/70 (52.9)	0.051
Previous hematologic diseases		
Yes	7/17 (41.2)	0.086
WBC at diagnosis, $\times 10^{\circ}$ /L		
$\leq 30$	54/83 (65.1)	0.105
LDH at diagnosis, U/L		
$\leq 250$	27/39 (69.2)	0.266
$> 250, \le 1,000$	31/54 (57.4)	
> 1,000	7/15 (46.7)	
BM blasts at diagnosis, %		
$\geq 20\%, \le 50\%$	31/49 (63.3)	0.235
$> 50\%, \le 80\%$	25/38 (65.8)	
> 80%	13/28 (46.4)	
Cytogenetics		
Favorable	3/3 (100.0)	0.528
Intermediate	47/68 (69.1)	
Unfavorable	4/7 (57.1)	
$CEBP\alpha$ mutation status		
mutated	4/6 (66.7)	1.000
unmutated	18/27 (66.7)	
The scoring system		
5-7, good risk	33/47 (70.2)	0.014
8-10, intermediate risk	26/42 (61.9)	
11–12, poor risk	6/19 (31.6)	

factors including poor physical status, decreased organ functional reserve, poor tolerance for chemotherapy drug toxicity, more occurrence of drug resistance, more comorbidities, and more chance to get poor risk cytogenetics<sup>[20,21]</sup>. For elderly AML patients, treatment choices include standard dose regimen, reduced dose chemotherapy, and palliative care. The selection mechanism of treatment remains controversial. Our results showed that people treated with chemotherapy for remission induction have significantly longer OS than those who received only supportive treatment. The EORTC leukemia cooperative group also indicated that in AML patients older than 65 years, the wait-and-see treatment leads to a shorter median OS comparing with the group of immediate induction (11 weeks vs. 21 weeks)<sup>[22]</sup>.

In a long-term follow-up from five hematological intensive care centers, age was one of the most important prognostic factors for overall AML patients<sup>[23,24]</sup>. Our data from 152 elderly patients also confirmed that older age predicts shorter OS and RFS and lower CR rate in elderly AML patients. In nine patients older than 80 years who received induction therapy, OS ranged from 0.17 to 10.17 months. Besides age, higher WBC at diagnosis (> $30 \times 10^{9}$ /L) also infers shorter OS, which is in accordance with the previous studies<sup>[6,25,26]</sup>. Moreover, the results showed that higher LDH at initial diagnosis was associated with shorter OS and RFS in our cohort. As a marker of tumor burden and cell turnover, LDH is an acknowledged prognostic element in AML<sup>[6,7]</sup>. Behringer et al. also demonstrated this finding in their single-center retrospective study<sup>[11]</sup>. In our retrospective study, both univariate and multivariate analysis identified lower BM blasts at diagnosis as a significantly favorable prognostic factor for elderly patients with AML.

According to the current WHO categorization of AML, cytogenetic and molecular analyses play a more important role in predicting remission rate and survival outcome for AML patients. Since elderly patients are more likely to carry poor risk cytogenetics at diagnosis<sup>[27]</sup>, one may question the availability of these prognostic factors in this population of patients. We proved that patients with poor risk cytogenetics presented statistically significant shorter survival time in comparison to those with better or intermediate risk cytogenetics. However, we failed to find differences in survival and CR rates between patients with favorable and intermediate risk cytogenetics. In molecular analysis, only  $CEBP\alpha$  mutation turned out to have good influence on survival of elderly AML patients. There is a general consensus now that only patients with biallelic *CEBP* $\alpha$  mutations have a favorable outcome, with limited or no impact for monoallelic  $CEBP\alpha$ . However, our research failed to assess with each mutant patterns caused by the limitation of its retrospective nature and our clinical lab.

Although cytogenetic and molecular evaluations play key roles in predicting prognosis for AML patients, the entire information from those laboratory tests is usually not available until 1-2 weeks following diagnosis. In the current study, we developed a novel scoring system for elderly AML patients based on five clinicopathologic characteristics including age, sex, WBC, LDH and BM blasts at initial diagnosis, which could be collected easily within several hours after diagnosis. De novo elderly AML patients may be categorized into three groups according to this scoring system. As mentioned above, our system performed well in stratifying elderly AML patients into groups with variable treatment response and survival. To validate our risk score model, we tested three independent samples to avoid overfit and the results turned out to be good. However, three cases are too small to be sufficient and the scoring system needs to be validated by more cases in the future. Some authors have succeeded in establishing a prognostic scoring system for adult and elderly AML patients based on different clinical factors. Malagola et al.<sup>[28]</sup> developed a prognostic index score to stratify adult patients ( $\leq 65$  years) with cytogenetically normal AML into three prognostic groups using three independent adverse prognostic parameters, including age  $\geq 50$  years, secondary AML and WBC  $\geq 20 \times 10^{9}$ /L. Wheatley et al.<sup>[29]</sup> cre– ated a risk score system for survival of elderly AML, which contained five prognostic factors: the cytogenetic group, WBC, performance status, age and AML type (primary or secondary). Similarly, our scoring system contains two well-known prognostic clinical variables: age and WBC at diagnosis, and our approach may be novel due to the combination of LDH and BM blasts at diagnosis for elderly AML. As to the type of AML, we only found that previous history of hematologic diseases resulted in lower CR rate in our cohort.

Our research is limited by its retrospective nature and lack of unified treatment principles. The results from our study including the scoring system need to be validated by prospective randomized clinical trials.

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