

Citation: Huang BY, Zhan YP, Zong WJ, Yu CJ, Li JF, Qu YM, et al. (2015) The PD-1/B7-H1 Pathway Modulates the Natural Killer Cells versus Mouse Glioma Stem Cells. PLoS ONE 10(8): e0134715. doi:10.1371/journal.pone.0134715

Editor: Ilya Ulasov, Swedish Neuroscience Institute, UNITED STATES

Received: April 24, 2015

Accepted: July 13, 2015

Published: August 12, 2015

Copyright: © 2015 Huang et al. This is an open access article distributed under the terms of the <u>Creative Commons Attribution License</u>, which permits unrestricted use, distribution, and reproduction in any medium, provided the original author and source are credited.

Data Availability Statement: All relevant data are within the paper.

Funding: This research was funded by National Natural Science Foundation of China. The author Chun Jiang Yu received the funding. This research is funded by the National Nature Fundation of China. Project name: Role played by the PD-1/B7-HI pathway modulates the natural killer cells against human glioma stem cells. Project number: 81372694. The funders had no role in study design, data collection and analysis, decision to publish, or preparation of the manuscript. **RESEARCH ARTICLE**

The PD-1/B7-H1 Pathway Modulates the Natural Killer Cells versus Mouse Glioma Stem Cells

Bo Yuan Huang¹°, Yi Ping Zhan¹°, Wen Jing Zong², Chun Jiang Yu¹*, Jun Fa Li³*, Yan Ming Qu¹, Song Han³

Department of Neurosurgery, Beijing San Bo Brain Hospital, Capital Medical University, Beijing, China,
College of traditional Chinese Medicine, Capital Medical University, Beijing, China,
Department of Neurosurgery, Brain Sciences Institute of Beijing, Capital Medical University, Beijing, China

• These authors contributed equally to this work.

* cjyu1955@sina.com (CJY); junfali@ccmu.edu.cn (JFL)

Abstract

Purpose

Glioblastoma multiforme (GBM) is the most malignant primary type of brain tumor in adults. There has been increased focus on the immunotherapies to treat GBM patients, the therapeutic value of natural killer (NK) cells is still unknown. Programmed death-1 (PD-1) is a major immunological checkpoint that can negatively regulate the T-cell-mediated immune response. We tested the combination of the inhibiting the PD-1/B7H1 pathway with a NKcell mediated immune response in an orthotopic mouse model of GBM.

Methods and Materials

Mouse glioma stem cells (GL261GSCs) and mouse NK cells were isolated and identified. A lactate dehydrogenase (LDH) assay was perfomed to detect the cytotoxicity of NK cells against GL261GSCs. GL261GSCs were intracranially implanted into mice, and the mice were stratified into 3 treatment groups: 1) control, 2) NK cells treatment, and 3) PD-1 inhibited NK cells treatment group. Overall survival was quantified, and animal magnetic resonance imaging (MRI) was performed to determine tumor growth. The brains were harvested after the mice were euthanized, and immunohistochemistry against CD45 and PCNA was performed.

Results

The mouse NK cells were identified as $90\% \text{ CD3}^{-} \text{NK1.1}^{+} \text{CD335}^{+}$ by flow cytometric analysis. In the LDH assay, the ratios of the damaged GL261GSCs, with the E:T ratios of 2.5:1, 5:1, and 10:1, were as follows: 1) non-inhibited group: 7.42%, 11.31%, and 15.1%, 2) B7H1 inhibited group: 14.75%, 18.25% and 29.1%, 3) PD-1 inhibited group: 15.53%, 19.21% and 29.93%, 4) double inhibited group: 33.24%, 42.86% and 54.91%. In the in vivo experiments, the mice in the PD-1 inhibited NK cells treatment group and IL-2-stimulated-NK cells treatment group displayed a slowest tumor growth (F = 308.5, P<0.01) and a slower tumor



Competing Interests: The authors have read the journal's policy and have declared that no competing interests exist.

growth compared with control group (F = 118.9, P<0.01), respectively. The median survival of the mice in the three groups were as follows: 1) conrol group: 29 days, 2) NK cells treatment group: 35 days (P = 0.0012), 3) PD-1 inhibited NK cells treatment group: 44 days (P = 0.0024). Immunologic data of PCNA-positive cell ratios and CD45-positive cell ratios of the tumor specimens in the three groups were as follows: 1) control group: 65.72% (PCNA) and 0.92% (CD45), 2) NK treatment group: 27.66% (PCNA) and 13.46% (CD45), and 3) PD-1 inhibited NK cells treatment group: 13.66% (PCNA) and 23.66% (CD45) (P<0.001).

Conclusion

The results demonstrated that blockade of PD-1/B7H1 pathway could promote mouse NK cells to kill the GL261GSCs, and the PD-1-inhibited NK cells could be a feasible immune therapeutic approach against GBM.

Introduction

Glioblastoma multiforme (GBM) is the most common and aggressive type of primary malignant tumor of the central nervous system [1]. Despite multiple therapeutic approaches, including surgery, radiotherapy and chemotherapy, the prognosis for patients remains dismal, with a median survival of 14.6 months [2]. Traditionally, the main challenges for successfully curing GBM are overcoming the ability of tumor cells to invade the adjacent brain parenchyma as well as the molecular and cellular heterogeneity that underlie their inherent resistance to radiotherapy and chemotherapy. Indeed, there is a growing interest in establishing an effective immunotherapy for GBM by the stimulated immune cells expanded in vitro. Multifarious studies have researched potential candidates for effective immunotherapy of immune cells, such as cytotoxic T lymphocytes (CTLs), dendritic cells (DC), and natural killer (NK) cells [3–5]. A growing number of early clinical trials focused on the CTL-mediated immune response to treat malignancies, such as melanoma [6]. However, these clinical trials required a common precondition, the activation of the T cells, with a prerequisite of the presentation of an antigen to the T-cell receptor (TCR) via the antigen-presenting cell (APC) with a major histocompatibility complex (MHC) molecule.

Among the cytotoxic immune cells, NK cells are the first line of defense in the innate immune system and are supposed to be the most efficient effectors against tumors and pathogens [7]. Tumors and virus-infected cells can usually evade the recognition of CTLs by down-regulating the expression of class I MHC (MHC-I) molecules. However, NK cells, which are stimulated by either altered or lost MHC-I molecules [8], can overcome this "immunologic Achilles' heel". Moreover, unlike CTLs, NK cells can be directly stimulated without of the requirement of an indispensable antigen presentation via APC. Thus, NK cells are potential candidates as an adaptive immune treatment against malignancies. Moreover, the activation of NK cells is directly and solely regulated and balanced by the stimulatory signals and inhibitory signals [8,9]. It was only after the identification of the co-inhibitory molecules, such as the programmed death-1 (PD-1), that co-inhibitory molecules have come the forefront of the immunological research [10].

PD-1, also called CD279, is a major immunological checkpoint that belongs to the CD28 family. It has an extracellular IgV domain and intracellular tail containing two motifs: an immunoreceptor tyrosine-based inhibitory motif (ITIM) and an immunoreceptor tyrosine-

based switch motif (ITSM) [11,12]. The ITIM is thought to mediate inhibitory signals, while the ITSM is responsible for signaling after PD-1 ligation [13]. PD-1 is expressed on various immune cells, such as activated T cells, thymocytes, NK cells and myeloid cells [13–16]. The PD-L1 and PD-L2 ligands are expressed by various tumor cells, including breast cancer, thyroid carcinomas, and GBM [17–19]. Clinical trials have suggested that the expression of PD-L1 (B7H1, also called CD274) by tumors may be an important biomarker of anti-PD-1 efficiency [20]. PD-L1 expressed by tumor cells can engage the PD-1 receptor and induce T-cell exhaustion, and eventually inhibit T-cell activation and proliferation [21]. Thus, the interaction between PD-1 and its ligands, especially PD-L1, may contribute to the immune suppression of the tumor microenvironment, and therefore, blockade of this pathway is a potential approach to promising immunotherapy for cancers [22].

Several previous studies have demonstrated that activated NK cells have significant therapeutic effects against GBM in vivo [23]. However, results from the injection of NK cells into the subcutaneous and intracranial GBM xenograft animal models [23–24] is difficult to apply to the clinical practice. In this study, we investigated whether the blockade of the PD-1/B7H1 immune checkpoint can enhance the activated mouse NK cell-mediated killing of mouse glioma stem-like cells (GL261GSCs) isolated from the GL261 cell line in in vitro experiments. Moreover, with a GL*261GSCs homograft intracranial animal model, we evaluated the antitumor effects of PD-1-inhibited mouse NK cells using intravenous injection. The allogeneic immune treatment of PD-1 inhibited mouse NK cells demonstrated a significant therapeutic effect compared to normally activated NK cells.

Materials and Methods

Isolation of the GL261GSCs and mouse NK cells

The protocol was approved by the Committee on the Ethics of Capital Medical University Animal Experiments and Experimental Animals Management Committee (permit number: SCXK $(\bar{\pi})$ 2012-0001). The YAC-1 and the GL261 cell lines (ATCC) were cultured in RPMI1640 (Life Technologies, USA) containing 10% fetal bovine serum (FBS, Gibco, USA). The GL261GSCs were obtained by the clonal formation method as previously described [25]. Briefly, single-cell suspensions were adjusted to 10⁴ cells/ml and serially diluted to one cell/ 200 µl. The cells were transferred into a 96-well plate to form clonal spheres. The GL261GSCs were cultured as spheres in serum-free DMEM/F12 medium supplemented with 2% B-27 (Life Technologies, USA), 20 ng/ml epidermal growth factor (EGF, R&D Inc), and 10 ng/ml basic fibroblast growth factor (bFGF, R&D Inc).

Resting mouse NK cells (CD49b⁺, CD3⁻) were gained from C57BL/6 mouse spleens as previously described [26]. Briefly, mouse spleens were aseptically separated, cut into pieces, ground in a cell sieve with phosphate buffered saline (PBS), and washed at intervals. Then, a single-cell suspension was generated. The cells were incubated in a red cell lysis buffer to remove the red cells. After the depletion of CD3⁺ T cells by a CD3 Microbead Kit (Miltenyi Biotec, Germany), the NK cells were positively selected with a CD49b (DX5) Microbead Kit ((Miltenyi Biotec, Germany) according to the manufacturer's protocol. Then the celld were stimulated for 4–8 days in the presence of mouse IL-2 (1000 IU/ml) in RPMI1640 supplemented with 10% inactivated FBS.

Flow Cytometry

Cells were stained with the following mAbs for phenotypic analysis: FITC anti-mouse CD3 (clone 17A2, Biolegend), APC anti-mouse NKp46 (CD335, clone 29A1.4, Biolegend), and PE anti-mouse NK1.1 (clone PK136, Biolegend). The isotype control IgG was obtained from the

Biolegend. Flow cytometry analysis was performed on a BD Biosciences FACSCanto, and the data were analyzed using FlowJo software.

Immunofluorescence

GL261GSCs spheres were dissociated using Accutise (EMD Millipore, USA) and then seeded into the a laser scanning confocal Petri dish with the RPMI1640 containing 10% FBS. After 6 hours, the 1640RPMI was removed and the cells were washed with the PBS for three times. Then the cells were fixed with 4% paraformaldehyde and blocked with goat serum. Three primary antibodies, CD133 (Miltenyi Biotec, Germany), nestin (R&D system, USA), and B7H1 (CD274, Biolegend, USA) were incubated for 24 h at 4°C followed by detection with the corresponding fluorescent secondary antibodies. The nuclei were counterstained with Hoechst34589 (Santa Cruz Biotechnology, USA). The samples were observed with a confocal laser scanning microscope.

Cytotoxicity assays

Single-cell suspensions of GL261GSCs and IL-2 stimulated NK cells were prepared at a density of 2.5×10^5 cells/ml. The Purified anti-mouse CD279 (clone 29F.1A12, Biolegend) and Purified anti-mouse CD274 (clone 10F.9G2, Biolegend) were added into the cell cultures for 24 hours to block the PD-1 on NK cells and B7H1 on GL261GSCs, respectively. Then the cells were plated at specified effector-to-target (E:T) ratios (2.5:1, 5:1, 10:1) into 96-well plates as the following groups: NK cells and GSCs (non-inhibited group), NK cells and anti-B7H1-GSCs (B7H1 inhibited group), anti-PD-1-NK cells and GSCs (PD-1 inhibited group), and anti-PD-1-NK cells and anti-B7H1-GSCs (double inhibited group). The cells were co-cultured for 6 hours in a 37°C incubator. Then, GL261GSCs lysis was detected by the release of lactate dehydrogenase (LDH) using an LDH cytotoxicity assay kit according to the manufacturer's instructions (Promega, USA). The YAC-1 cells, which are the specific targets of mouse NK cells cytotoxicity, were used as a positive control. After a 6 hours incubation, the absorbance was measured at 490 nm with a Multiscan MS microplate reader. Specific lysis of GL261GSCs was calculated as described previously [27]: specific lysis ratio = (experimental release-spontaneous release)/(maximum release-spontaneous release)×100%.

GL261GSCs mouse model

Seven-week-old wild type (WT) C57BL/6 female mice were maintained in a specific pathogenfree facility. GL261GSCs (5×10^5 in 5 µl PBS) were intracranially injected into the right hemisphere of mice, using a mouse stereotaxic apparatus at coordinates 1 mm anterior from the bregma, 1 mm lateral, and 3 mm ventral to the surface of the brain and delivered at a rate of 0.2 µl/min over 5 min [28]. Tumor growth was determined with an animal magnetic resonance imaging (MRI) system (PharmaScan 7T, Bruker, Germany) on days 7, 14, 21 and 28. When the first MRI scanning confirmed the tumor formation on the 7th day after the tumor cells implantation, the PD-1 inhibited and non-inhibihted IL-2-stimulated NK cells (5×10^6 , in 100µl PBS) and PBS (negative control) were intravenously injected into the mice containing GL261GSCs tumors once a week for four weeks [29]. The tumor volume was calculated by measuring the MRI of the largest tumor portion and applying the following formula: (width)² x length x 0.5. The mice were euthanized by subcutaneously injected excessive 5% chloral hydrate when they displayed obvious symptoms, such as weight loss > 20% body mass, limbs paralysis or movement disorder, lethargy, and a hunched posture.

Histology and Immunohistochemistry

Following euthanasia of the mice, brains were harvested and processed for paraffin embedding. Immunohistochemistry against CD45 (Abcam, USA) and PCNA (Abcam, USA) was performed as described previously [<u>30</u>]. The quantification was performed by counting the number of stained cells in ten random fields for each animal.

Statistical Analyses

The comparisons of GL261GSCs lysis percentage between the groups were performed using one-way ANOVA comparison tests with a Bonferroni correction. The tumor volumes between groups were compared with one-way ANOVA comparison tests. Mouse survival was analyzed by Kaplan-Meier survival curves, and the comparisons of the curves were performed with the log-rank Mantel-Cox test using GraphPad Prism. P values<0.05 were considered statistically significant.

Results

Isolation and identification of GL261GSCs stem-like cells

Using a stringent dilution cloning formation method, GL261GSCs were isolated from the mouse glioma cell line GL261. To identify the immunophenotype of the GL261GSCs, immuno-fluorescence was performed to analyze the expression of CD133 and nestin. Notably, the GL261GSCs showed statistically significant expression of CD133 and nestin (Fig 1A). To confirm that the PD-1/B7H1 pathway exists in the interaction between the GL261GSCs and mouse activated NK cells, the expression of B7H1 (PD-L1, CD274) was also detected by flow cytometric analysis and immunofluorescence. Compared with GL261 cells, GL261GSCs showed a statistically lower level of B7H1 expression (Fig 1C).

Isolation of muose NK cells and cytotoxicity against GL261GSCs

The NK cells of C57BL/6 mice were characterized by the expression of the NK cell receptors NK1.1, CD49b, and NKp46 and the absence of CD3. As cultured NK cells are no longer recognized by DX5 antibodies in vitro [31], we chose the CD49b receptor to isolate the NK cells and used the other receptors as identifying markers. The NK cells isolated from mouse spleens were 90% CD3⁻ NK1.1⁺CD335⁺ based on flow cytometric analysis (Fig 2), which was consistent with a previous study [32].

In vitro cytotoxicity of NK cells against GL261GSCs

PD-1 inhibited and non-inhibited NK cells and B7H1 inhibited and non-inhibited GL261GSCs were combined into 4 groups at three specific E:T ratios (2.5:1, 5:1, and 10:1). After a 6-hour incubation, the NK-cell-mediated cytotoxicity against GL261GSCs was detected by an LDH assay. In the non-inhibited group, NK cells damaged 7.42%, 11.31%, and 15.1% of GL261GSCs at the following E:T ratios 2.5:1, 5:1, and 10:1, respectively. In the B7H1 inhibited group, the lysis percentages of GL261GSCs were 14.75%, 18.25% and 29.1%. In PD-1 inhibited group, the NK cells respectively destroyed 15.53%, 19.21% and 29.93% of GL261GSCs. Finally, in the double inhibited group, the lysis percentages were 33.24%, 42.86% and 54.91%. Despite whether the PD-1/B7H1 pathway was inhibited, the lysis percentage of GL261GSCs cells increased along with the increase of the E:T ratio. Furthermore, considering the same E:T ratio, the lysis percentage of the 4 groups were significantly different (E: T = 2.5:1, ANOVA F = 62.235, df = 3, P<0.001; E:T = 5:1, ANOVA F = 103.835, df = 3,



Fig 1. Expression of CD133, nestin and B7H1 on GL261GSCs. (A) GL261GSCs were stained with CD133 and nestin, nuclei were stained with DAPI, positively stained single cell and cell sphere were shown. (B) GL261GSCs stained with B7H1(GFP) were detected by Immunofluorescence. (C) GL261 cells and GL261GSCs were stained with PE-anti-CD274 and detected by flow cytometry.

P<0.001; E:T = 10:1, ANOVA F = 120.657, df = 3, P<0.001, Fig.3)). Next, we used the Bonferroni correction to compare the lysis percentages of the GL261GSCs between each group at the same E:T ratio. However, apart from the comparison between the B7H1 inhibited group and the PD-1 inhibited group, the lysis percentages of the 4 groups were significantly different from each other (Table 1).



Fig 2. Analysis of mouse NK cells phenotype by flow cytometry. (A) Cells were stained with CD3-FITC, NK1.1-PE and NKp46-APC. The NK cells isolated from mouse spleens were 90% CD3⁻ NK1.1⁺ NKp46⁺. (B) Expression of PD-1(CD279) on resting NK cells, IL-2 activated NKcells and PD-1 inhibited NK cells were detected by flow cytometry. Left figure: Expression of PD-1 on different processed NK cells. Red curve: NK cells with IL-2activated for 7 days; Blue curve: NK cells with PD-1 antibody inhibited for 24h. Green curve: resting NK cells; Brown curve: isotype. Right figure: Data were expressed as the mean ±SE.

PLOS ONE



Fig 3. In vitro cytotoxicity of NK cells against GL261GSCs. Results of PD-1 blockaded and non-blockaded NK cells and B7H1 blockaded and nonblockaded GL261GSCs were combined into 4 groups. Cells were co-cultured for 6h. NK cells cytotoxicities against GL261GSCs in different groups were compaired by LDH assays. NK cells cytotoxity against YAC-1 were shown as a positive control. Data were expressed as the mean ±SE.

Therapeutic effect of NK cells in a GL261GSCs mouse model

We used an anti-PD-1 antibody to verify the hypothesis that inhibition of the PD-1/B7H1 pathway can mediate a therapeutic effect in an orthotopic glioma stem-like cells mouse model. After implantation of 5×10^5 GL261GSCs, tumor engraftment was confirmed with craniocerebral MRI scanning (on the 7th day after engraftment). Next, the mice bearing GL261GSCsGSCs were intravenously injected with PBS (negative control), 5×10⁶ IL-2-stimulated NK cells, or 5×10⁶ PD-1-inhibited IL-2-stimulated NK cells once a week for four weeks. MRI scanning was also performed after each injection. Representative images are shown for 3 distinct mice on the day 7 (before treatment) and day 28 (after treatment), fastest tumor growth was observed in the negative control group, while the mice in the PD-1-inhibited group had the slowest tumor growth. Treatment with non-PD-1-inhibited NK cells significantly inhibited GL261GSCs tumor growth compared with the control group (F = 118.9, P<0.01, Fig 4A). However, the tumor volumes showed a more obvious inhibition in the PD-1-inhibited NK cells treatment group (F = 308.5, P<0.01, Fig 4B). Additionally, the survival data substantiated the tumor growth patterns observed by MRI scans. The mice in the negative control group had a median survival of 29 days, and treatment with the non-PD-1-inhibited NK cells improved the median survival to 35 days (P = 0.0012, compared by log-rank survival curves). The median survival of the mice in the PD-1-inhibited group was prolonged to 44 days (P = 0.0024), with 1/6 of the mice achieving long-term survival (≥ 60 days after implantation, Fig 4C).

Table 1.	Comparison of I	lysis percentag	ge between each	group	(Bonferroni	correction).
				-	•	,

E:T ratio	Group 1vs 2	Group 2 vs 3	Group 1vs3	Group 2vs4	Group 1vs 4	Group 3vs4
2.5:1	P = 0.033	P = 1.0	P = 0.017	P<0.001	P<0.001	P<0.001
5:1	P = 0.04	P = 1.0	P = 0.019	P<0.001	P<0.001	P<0.001
10:1	P = 0.001	P = 1.0	P = 0.001	P<0.001	P<0.001	P<0.001

Group 1: non-inhibited group. Group 2: B7H1 inhibited group. Group 3: PD-1 inhibited group. Group 4: double inhibited group.

doi:10.1371/journal.pone.0134715.t001





PLOS ONE

In vivo treatment of NK cells decreased tumor cell proliferation and increased lymphocyte infiltration

We examined cell proliferation and lymphocyte infiltrating levels by immunohistochemical staining of PCNA and CD45, respectively (Fig 5). Compared with the PCNA-positive percentage of the control group (65.72%), the ratios in the PD-1 inhibited and non-inhibited NK cell treatment groups were 13.66% and 27.66% (F = 84.548, P<0.001), respectively. The two



Fig 5. In vivo treatment of NK cells decreased tumor cell proliferation (PCNA) and increased lymphocytes infiltration (CD45). (A) Proliferating cells and tumor microenvironmet infiltrating lymphocytes were analyzed by anti-PCNA antibody and anti-CD45 antibody in tumor masses. (B) Percentage of PCNA positive and CD45 positive cells were compared (n = 5). Data were epressed as the mean \pm SE.

doi:10.1371/journal.pone.0134715.g005

treatment groups were also significantly different (P = 0.016). Additionally, the number of CD45⁺ cells in the NK cell treatment group was 13.46% compared with 0.92% in the control group and 23.66% for the anti-PD-1 NK cell treatment group (F = 31.132, P<0.001). The two treatment groups were also significantly different (P = 0.012).

Discussion

Traditionally, the PD-1/B7H1 pathway was thought to be a checkpoint to negatively regulate T-cell-mediated immune responses [33]. Tumor cells, which express PD-1 ligands, were thought to engage with PD-1 receptors, leading to T-cell exhaustion and tumor cells evaded immune response [21]. Accordingly, a series of clinical trials have been focused on using a PD-1 blocking antibody to enhance immunity in solid tumors [34-36] and hematologic cancers [37]. Alternatively, stimulated NK cells were reported to inhibit GBM in both clinical trials [24] and orthotopic GBM xenograft models [23,38]. Indeed, stimulated NK cells alone have not demonstrated efficacy as a primary therapy for GBM. In other words, glioma stem cells (GSCs) were a subset of glioma cells that possess the neural stem cells properties of self-renewal and multi-lineage differentiation which were supposed to play an essential role in in tumor initiation, metastasis, and recurrence. Accordingly, GSCs have become the most important target for GBM therapy. Tony Avril found that human GSCs could expressed lower level of the PD-L1 compared with the differentiated cells. And human GSCs were more sensitive to the cytotoxity of the IL-activated NK cells [39]. Our flow cytometry results of the B7H1 expression on the GL261GSCs and differentiated GL261 cells were in accord with Tony's research. Accordingly, we belived that the lower level of the B7H1 expression may contribute to the more sensitivity of GSCs to cytotoxity of the IL-activated NK cells, and thus make GSCs as a more suitble target for immunotherapy. Combining the negative immune regulation of the PD-1/B7H1 pathway [33] and the anti-GSCs effect of stimulated NK cells, we hypothesized that blockade of the PD-1/B7H1 pathway between NK and GSCs might interrupt immunosuppression and promote NK cells killing GSCs. Our hypothesis was substantiated by the cytotoxicity assay. Notably, simultaneous inhibition of PD-1 and B7H1 achieved the most efficient cotoxicity of NK cells against GL261GSCs. Although a single blockade of the receptor (PD-1) or the ligand (B7H1) demonstrated no significant difference in the cytotoxicity assay, the effect was still more potent than that observed in the non-inhibited group.

Previous studies have shown that the inhibition of PD-1 on CTLA-4 promote tumor regression and prolong survival in both extracranial cancer models [40, 41] and clinical trials [37, 42]. For the first time, we demonstrated that inhibition of the PD-1/B7H1 pathway promotes the co-toxicity of NK cells against GSCs in vitro. In the intracranial GSCs model, mice that received PD-1-inhibited NK cell treatment showed both longer survival and slower tumor growth. Furthermore, the mouse that achieved long-term survival showed neither obvious body weight loss nor distinct neurological deficiencies in movement or feeding. Considering the mortality and morbidity of GBMs, these results demonstrate that PD-1-inhibited NK cells may be an effective and promising approach for GBM immunotherapy. Moreover, in our vivo experiments, we confirmed that not only could the anti-PD-1 NK cell treatment inhibit the proliferation of GBMs, but more importantly, the intravenous injection of PD-1-inhibited NK cells could promote the infiltration of lymphocytes into the intracranial tumor microenvironment. Notablely, these infiltrating lymphocytes may be derived from the PD-1-inhibited NK cells injected into the mice blood circulation. Another possible source is the immune regulation of the PD-1-inhibited NK cells that promoted other lymphocytes to accumulate in the brain. Thus, further studies need to be done to investigate the specific constitute and source of the infiltrating lymphocytes.

Traditionally, studies have focused on regulating the function of CTLs to act as an immunotherapy against GBM. However, although CTLs have specific anti-tumor effects, the tumor cells can still evade recognition by down-regulating the expression of MHC-I. Adoptive immunotherapy is dedicated to taking advantage of cytokine-induced killer cells (CIK cells) and lymphokine-activated killer cells (LAK cells) to treat GBM. For CIK cells, human PBMCs are traditionally required to be incubated for 4 weeks with numerous cytokines to generate CIK cells [43]. Moreover, LAK cells, as immunotherapeutic agents, also have some disadvantages, such as a short in vivo lifespan, poor cytotoxicity, and numerous side effects [44–45]. Accordingly, the PD-1-inhibited NK cells, with direct activation and potent cytotoxicity, lack of MHC-restriction, and most importantly, no PD-1-mediated immunosuppression, could be a more feasible immune therapeutic approach against GBM.

Acknowledgments

We acknowledged Pr. Sheng Dian. Wang of Chinese Academy of Sciences for his help to our study. We acknowledged Dr. Zeng jin. Liu and Dr Xin. He for their help to our study. We acknowledged Ya Fei. Chi of the capital medica university for her help to perform the mouse-tail-intravenous injection.

Author Contributions

Conceived and designed the experiments: YPZ BYH CJY JFL. Performed the experiments: YPZ BYH. Analyzed the data: BYH YPZ WJZ YMQ. Contributed reagents/materials/analysis tools: JFL CJY SH. Wrote the paper: BYH.

References

- Louis DN, Ohgaki H, Wiestler OD, Cavenee WK, Burger PC, Jouvet A, et al. The 2007 WHO classifiation of tumours of the central nervous system. Acta Neuropathol. 2007; 114(2):97–109. PMID: <u>17618441</u>
- Stupp R1, Hegi ME, Mason WP, van den Bent MJ, Taphoorn MJ, Janzer RC, et al. Effects of radiotherapy with concomitant and adjuvant temozolomide versus radiotherapy alone on survival in glioblastoma in a randomised phase III study: 5-year analysis of the EORTC-NCIC trial. Lancet Oncol. 2009; 10(5): 459–466. doi: 10.1016/S1470-2045(09)70025-7 PMID: 19269895
- Baker J, Verneris MR, Ito M, Shizuru JA, Negrin RS. Expansion of cytolytic CD8(+) natural killer T cells with limited capacity for graft-versus-host disease induction due to interferon gamma production. Blood 97: 2923–2931, 2001. PMID: <u>11342413</u>
- Lu PH, Negrin RS: A novel population of expanded human CD3+CD56+ cells derived from T cells with potent in vivo antitumor activity in mice with severe combined immuno-deficiency. J Immunol 153: 1687–1696, 1994. PMID: <u>7519209</u>
- Alvarez IB, Pasquinelli V, Jurado JO, Abbate E, Musella RM, de la Barrera SS. Role played by the programmed death-1-programmed death ligand pathway during innate immunity against Mycobacterium tuberculosis. J Infect Dis. 2010 Aug 15; 202(4):524–32. doi: <u>10.1086/654932</u> PMID: <u>20617899</u>
- Marcus A, Eshhar Z. Allogeneic adoptive cell transfer therapy as a potent universal treatment for cancer. Oncotarget. 2011; 2(7):525–526. PMID: 21719916
- 7. Miller JS. Biology of natural killer cells in cancer and infection. Cancer Invest. 2002; 20(3):405–419.
- 8. French AR, Yokoyama WM. Natural killer cells and autoimmunity. Arthritis Res Ther 2004; 6:8–14. PMID: <u>14979926</u>
- 9. Ljunggren HG, Malmberg KJ. Prospects for the use of NK cells in immunotherapy of human cancer. Nat Rev Immunol. 2007 May; 7(5):329–39. PMID: <u>17438573</u>
- Moretta A, Bottino C, Vitale M, Pende D, Cantoni C, Mingari MC. Activating receptors and coreceptors involved in human natural killer cell-mediated cytolysis. Annu Rev Immunol 2001; 19:197–223. PMID: <u>11244035</u>
- Ishida Y, Agata Y, Shibahara K, Honjo T. Induced expression of PD-1, a novel member of the immunoglobulin gene superfamily, upon programmed cell death. EMBO J 1992; 11:3887–95. PMID: <u>1396582</u>

- Riella LV, Paterson AM, Sharpe AH, Chandraker A. Role of the PD-1 pathway in the immune response. Am J Transplant: Off J Am Soc Transplant Am Soc Transplant Surg 2012; 12:2575–87.
- Chemnitz JM, Parry RV, Nichols KE, June CH, Riley JL. SHP-1 and SHP-2 associate with immunoreceptor tyrosine-based switch motif of programmed death 1 upon primary human T-cell stimulation, but only receptor ligation prevents T-cell activation. J Immunol 2004; 173: 945–954. PMID: <u>15240681</u>
- Cheng X, Veverka V, Radhakrishnan A, Waters LC, Muskett FW, Morgan SH. Structure and interactions of the human programmed cell death 1 receptor. J Biol Chem 2013; 288: 11771–11785. doi: <u>10.1074/jbc.M112.448126</u> PMID: <u>23417675</u>
- Ishida Y, Agata Y, Shibahara K, Honjo T: Induced expression of PD-1, a novel member of the immunoglobulin gene superfamily, upon programmed cell death. EMBO J 1992; 11:3887–3895. PMID: 1396582
- Nishimura H, Honjo T. PD-1: an inhibitory immunoreceptor involved in peripheral tolerance. Trends Immunol 2001; 22: 265–268. PMID: <u>11323285</u>
- Engel JB, Honig A, Kapp M, Hahne JC, Meyer SR, Dietl J. Mechanisms of tumor immune escape in triple-negative breast cancers (TNBC) with and without mutated BRCA 1. Arch Gynecol Obstet. 2014 Jan; 289(1):141–7. doi: <u>10.1007/s00404-013-2922-9</u> PMID: <u>23828443</u>
- Cunha LL, Marcello MA, Vassallo J, Ward LS. Differentiated thyroid carcinomas and their B7H1 shield. Future Oncol. 2013 Oct; 9(10):1417–9. doi: <u>10.2217/fon.13.89</u> PMID: <u>23651132</u>
- Parsa AT, Waldron JS, Panner A, Crane CA, Parney IF, Barry JJ. Loss of tumor suppressor PTEN function increases B7-H1 expression and immunoresistance in glioma. Nat Med 2007; 13:84–88. PMID: 17159987
- Brahmer JR, Drake CG, Wollner I, Powderly JD, Picus J, Sharfman WH. Phase I study of single-agent anti-programmed death-1 (MDX-1106) in refractory solid tumors: safety, clinical activity, pharmacodynamics, and immunologic correlates. J Clin Oncol 2010; 28:3167–3175. doi: <u>10.1200/JCO.2009.26</u>. 7609 PMID: 20516446
- Keir ME, Butte MJ, Freeman GJ, Sharpe AH. PD-1 and its ligands in tolerance and immunity. Annu Rev Immunol 2008; 26:677–704. doi: 10.1146/annurev.immunol.26.021607.090331 PMID: 18173375
- Topalian SL, Drake CG, Pardoll DM. Targeting the PD-1/B7-H1(PD-L1) pathway to activate anti-tumor immunity. Curr Opin Immunol 2012; 24:207–12. doi: 10.1016/j.coi.2011.12.009 PMID: 22236695
- Ogborno H, Zemp FJ, Lun X, Zhang J, Stack D, Rahman MM, et al. Myxoma virus infection promotes NK lysis of malignant gliomas in vitro and in vivo. PLoS One. 2013 Jun 10; 8(6):e66825. doi: <u>10.1371/</u> journal.pone.0066825 PMID: <u>23762498</u>
- Ishikawa E, Tsuboi K, Saijo K, Harada H, Takano S, Nose T. Autologous natural killer cell therapy forhuman recurrent malignant glioma. Anticancer Res. 2004 May-Jun; 24(3b):1861–71. PMID: <u>15274367</u>
- Zhang S, Xie R, Wan F, Ye F, Guo D, Lei T. Identifiation of U251 glioma stem cells and their heterogeneous stem-like phenotypes. Oncol Lett. 2013 Dec; 6(6):1649–1655. PMID: 24260059
- Pak-Wittel MA, Piersma SJ, Plougastel BF, Poursine-Laurent J, Yokoyama WM.Isolation of murine natural killer cells.Curr Protoc Immunol. 2014 Apr 2; 105:3.22.1–9.
- Mou X, Zhou Y, Jiang P, Zhou T, Jiang Q, Xu C. The regulatory effect of UL-16 binding protein-3 expression on the cytotoxicity of NK cells in cancer patients. Sci Rep. 2014 Aug 20; 4:6138. doi: <u>10.</u> <u>1038/srep06138</u> PMID: <u>25138242</u>
- Wu A, Oh S, Gharagozlou S, Vedi RN, Ericson K, Low WC. In vivo vaccination with tumor cell lysate plus CpG oligodeoxynucleotides eradicates murine glioblastoma. J. Immunother. 30: 789–797. PMID: 18049330
- Jin J, Joo KM, Lee SJ, Jo MY, Kim Y, Jin Y. Synergistic therapeutic effects of cytokine-induced killer cells and temozolomide against glioblastoma. Oncol Rep. 2011 Jan; 25(1):33–9. PMID: <u>21109954</u>
- Nam DH, Park K, Park C, Im YH, Kim MH, Lee S: Intracranial inhibition of glioma cell growth by cyclooxygenase-2 inhibitor celecoxib. Oncol Rep 11: 263–268, 2004. PMID: <u>14719052</u>
- Arase H., Saito T., Phillips JH, Lanier LL. 2001. Cutting edge: the mouse NK cell-associated antigen recognized by DX5 monoclonal antibody is CD49b (alpha 2 integrin, very late antigen-2). J. Immunol. 167, 1141. PMID: <u>11466327</u>
- Meinhardt K, Kroeger I, Abendroth A, Müller S, Mackensen A, Ullrich E. Influence of NK cell magnetic bead isolation methods on phenotype and function of murine NK cells. J Immunol Methods. 2012 Apr 30; 378(1–2):1–10. doi: 10.1016/j.jim.2012.01.008 PMID: 22306357
- Kedmi M, Avigdor A, Nagler A. Anti-PD-1-Targeted Therapies Focusing on Lymphatic Malignancies: Biological Rationale, Clinical Challenges and Opportunities. Acta Haematol. 2014 Sep 20; 133(2): 129–135. doi: 10.1159/000362151 PMID: 25247668

- 34. Weber J. Immune checkpoint proteins: a new therapeutic paradigm for cancer—preclinical background: CTLA-4 and PD-1 blockade. Semin Oncol 2010; 37:430–9. doi: <u>10.1053/j.seminoncol.2010.09.005</u> PMID: <u>21074057</u>
- 35. Brahmer JR, Tykodi SS, Chow LQ, Hwu WJ, Topalian SL, Hwu P. Safety and activity of anti—PD-L1 antibody in patients with advanced cancer. N Engl J Med 2012; 366:2455–65. doi: <u>10.1056/</u> NEJMoa1200694 PMID: 22658128
- Topalian SL, Hodi FS, Brahmer JR, Gettinger SN, Smith DC, McDermott DF. Safety, activity, and immune correlates of anti—PD-1 antibody in cancer. N Engl J Med 2012; 366:2443–54. doi: <u>10.1056/</u> <u>NEJMoa1200690</u> PMID: <u>22658127</u>
- Ansell SM, Lesokhin AM, Borrello I, Halwani A, Scott EC, Gutierrez M. PD-1 Blockade with Nivolumab in Relapsed or Refractory Hodgkin's Lymphoma. N Engl J Med. 2014 Dec 6.
- Poli A, Wang J, Domingues O, Planagumà J, Yan T, Rygh CB. Targeting glioblastoma with NK cells and mAb against NG2/CSPG4 prolongs animal survival. Oncotarget. 2013 Sep; 4(9):1527–46. PMID: 24127551
- Avril T, Vauleon E, Hamlat A, Saikali S, Etcheverry A, Delmas C: Human glioblastoma stem-like cells are more sensitive to allogeneic NK and T cell-mediated killing compared with serum-cultured glioblastoma cells. Brain Pathol. 2012 Mar; 22(2):159–74. doi: <u>10.1111/j.1750-3639.2011.00515.x</u> PMID: 21790828
- Demaria S, Kawashima N, Yang AM, Devitt ML, Babb JS, Allison JP. Immune-mediated inhibition of metastases after treatment with local radiation and CTLA-4 blockade in a mouse model of breast cancer. Clin Cancer Res 2005; 11:728–734. PMID: <u>15701862</u>
- Dewan MZ, Galloway AE, Kawashima N, Dewyngaert JK, Babb JS, Formenti SC. Fractionated but not single-dose radiotherapy induces an immune-mediated abscopal effect when combined with anti-CTLA-4 antibody. Clin Cancer Res 2009; 15:5379–5388. doi: <u>10.1158/1078-0432.CCR-09-0265</u> PMID: <u>19706802</u>
- 42. Ansell SM, Lesokhin AM, Borrello I, Halwani A, Scott EC, Gutierrez M. PD-1 Blockade with Nivolumab in Relapsed or Refractory Hodgkin's Lymphoma. N Engl J Med. 2014 Dec 6.
- Jin J, Joo KM, Lee SJ, Jo MY, Kim Y, Jin Y. Synergistic therapeutic effects of cytokine-induced killer cells and temozolomide against glioblastoma. Oncol Rep. 2011 Jan; 25(1):33–9. PMID: 21109954
- Dillman RO, Duma CM, Schiltz PM, DePriest C, Ellis RA, Okamoto K: Intracavitary placement of autologous lymphokine-activated killer (LAK) cells after resection of recurrent glioblastoma. J Immunother 27: 398–404, 2004. PMID: <u>15314549</u>
- 45. Hayes RL, Koslow M, Hiesiger EM, Hymes KB, Hochster HS, Moore EJ: Improved long term survival after intracavitary interleukin-2 and lymphokine-activated killer cells for adults with recurrent malignant glioma. Cancer 76: 840–852, 1995. PMID: <u>8625188</u>