



Article

# 5-Hydroxycyclopenicillone Inhibits $\beta$ -Amyloid Oligomerization and Produces Anti- $\beta$ -Amyloid Neuroprotective Effects In Vitro

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**Abstract:** The oligomer of  $\beta$ -amyloid (A $\beta$ ) is considered the main neurotoxin in Alzheimer's disease (AD). Therefore, the inhibition of the formation of A $\beta$  oligomer could be a target for AD therapy. In this study, with the help of the dot blotting assay and transmission electronic microscopy, it was have discovered that 5-hydroxycyclopenicillone, a cyclopentenone recently isolated from a sponge-associated fungus, effectively reduced the formation of A $\beta$  oligomer from A $\beta$  peptide in vitro. Molecular dynamics simulations suggested hydrophobic interactions between 5-hydroxycyclopenicillone and A $\beta$  peptide, which might prevent the conformational transition and oligomerization of A $\beta$  peptide. Moreover, A $\beta$  oligomer pre-incubated with 5-hydroxycyclopenicillone was less toxic when added to neuronal SH-SY5Y cells compared to the normal A $\beta$  oligomer. Although 5-hydroxycyclopenicillone is not bioavailable in the brain in its current form, further modification or encapsulation of this chemical might improve the penetration of 5-hydroxycyclopenicillone into the brain. Based on the current findings and the anti-oxidative stress properties of 5-hydroxycyclopenicillone, it is suggested that 5-hydroxycyclopenicillone may have potential therapeutic efficacy in treating AD.

**Keywords:** 5-hydroxycyclopenicillone; β-amyloid; Alzheimer's disease; sponge-associated fungus; oligomer

# 1. Introduction

Alzheimer's disease (AD) is characterized by the reduction of cognitive functions and the loss of neurons in the brain, and is the most prevalent neurodegenerative disorder worldwide [1]. Unfortunately, there is no effective treatment available for this disease. Although the pathogenesis of AD is still unclear, many studies have suggested that the  $\beta$ -amyloid (A $\beta$ ) oligomer, formed by the

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self-aggregation of the A $\beta$  peptide, is the main neurotoxin of AD [2,3]. The A $\beta$  oligomer can induce neuronal death, leading to the cognitive impairments in AD patients. Therefore, it is generally accepted that the A $\beta$  oligomer should be a primary target for AD therapy [4].

Previous studies have shown that many compounds can inhibit the formation of the A $\beta$  oligomer [5–7]. For example, curcumin, a natural diarylheptanoid derived from *Curcuma longa*, can block the A $\beta$  oligomer formation and increase cognition in animals with AD [5]. Brazilin, a pigment obtained from brazilwood (*Caesalpinia* sp.), inhibits A $\beta$  assembly and prevents neuronal death in vitro [6]. Ocrein, a natural dye extracted from lichen, stops the growth of the A $\beta$  oligomer and prevents the decrease of long-term potentiation in hippocampal slices [7]. These results strongly suggest that natural compounds with the ability to inhibit the formation of the A $\beta$  oligomer might prevent A $\beta$  oligomer-induced neurotoxicity, and could be developed or used for AD therapy.

Marine organisms are important sources of structurally diverse compounds with a wide variety of biological activities [8]. Interestingly, many natural compounds derived from ocean organisms can also modulate A $\beta$  oligomer formation. For instance, an aqueous extract from winged kelp (*Alaria esculenta*) inhibits the formation of amyloid aggregation [9]. Fucoxanthin is a marine carotenoid derived from edible blown algae, which inhibits A $\beta$  oligomer formation and was shown to reverse cognitive impairments in animals with AD [10,11]. These and other results highlight the possibility that marine compounds might be used in AD treatment.

A newly discovered cyclopentenone from a sponge-associated fungal strain *Trichoderma* sp. HPQJ-34, 5-hydroxycyclopenicillone, possesses anti-oxidative properties and produces neuroprotective effects in vitro against  $H_2O_2$ -induced neuronal death [12]. In this study, the effects of 5-hydroxycyclopenicillone on the formation of the  $A\beta$  oligomer were evaluated, and the interaction between 5-hydroxycyclopenicillone and  $A\beta$  peptide were explored by molecular dynamics (MD) analysis. The neurotoxicity of the 5-hydroxycyclopenicillone-modifed  $A\beta$  oligomer in SH-SY5Y cells was also investigated.

#### 2. Results

# 2.1. 5-Hydroxycyclopenicillone Inhibits $A\beta_{1-42}$ Oligomer Formation

To evaluate whether 5-hydroxycyclopenicillone affects A $\beta$  oligomerization, the in vitro dot blotting assay was used with the A $\beta_{1-42}$  peptide. In a control test, the A $\beta_{1-42}$  peptide formed the A $\beta_{1-42}$  oligomer after two days of incubation under stirring. However, co-incubation of 5-hydroxycyclopenicillone at 1 and 10  $\mu$ M significantly (p < 0.01) reduced the amounts of the A $\beta_{1-42}$  oligomer formed compared to the control condition (Figure 1).

Furthermore, transmission electron microscopy (TEM) was used to evaluate the morphology of the 5-hydroxycyclopenicillone-modified  $A\beta_{1-42}$  oligomer. The  $A\beta_{1-42}$  peptide (10  $\mu$ M) was co-incubated with or without 10  $\mu$ M 5-hydroxycyclopenicillone for 2 days. The globular  $A\beta_{1-42}$  oligomer with a diameter of about 10–80 nm was the main constituent in the control sample. These results were consistent with many previous reports [13–16]. Interestingly, small amounts of chain-like aggregates were found in the sample after co-incubation of the  $A\beta_{1-42}$  peptide with 5-hydroxycyclopenicillone, providing more evidence that 5-hydroxycyclopenicillone inhibits  $A\beta_{1-42}$  peptide oligomerization (Figure 2).

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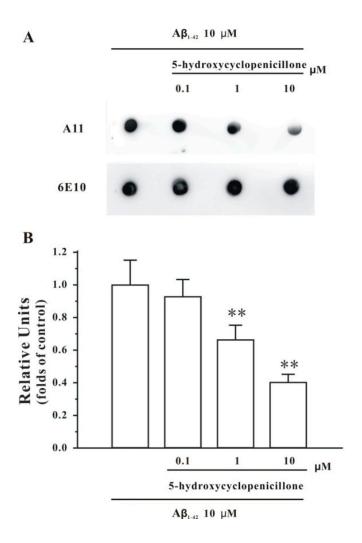


Figure 1. 5-Hydroxycyclopenicillone reduces  $A\beta_{1-42}$  oligomer formation in a dose-dependent manner. The  $A\beta_{1-42}$  peptide was co-incubated with 5-hydroxycyclopenicillone at the indicated doses for 2 days. (A) Solution was centrifuged, and the supernatants were examined via dot blotting analysis with A11, an anti-oligomer antibody, and 6E10, an anti-A $\beta$  antibody, respectively. (B) The bands from three independent experiments were quantified via densitometry and represented graphically. Data were the mean  $\pm$  SEM; \*\* p < 0.01 versus the control group (ANOVA and Tukey's test).

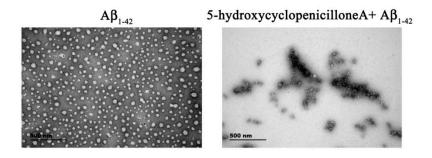
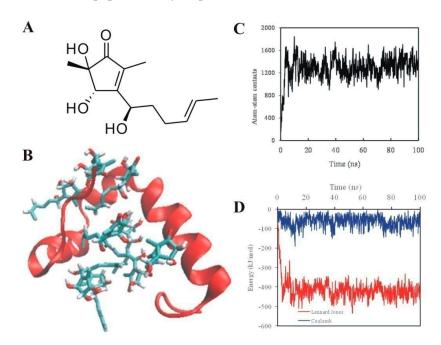


Figure 2. 5-Hydroxycyclopenicillone inhibits  $A\beta_{1-42}$  oligomer formation.  $A\beta_{1-42}$  peptide (10  $\mu$ M) was co-incubated with 10  $\mu$ M 5-hydroxycyclopenicillone for 2 days. Solution was centrifuged, and the supernatants were examined via TEM.

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#### 2.2. 5-Hydroxycyclopenicillone Likely Binds to $A\beta_{1-42}$ Peptides via Hydrophobic Interactions

To probe the interaction between 5-hydroxycyclopenicillone and A $\beta$  aggregation, all-atom molecular dynamics simulations were performed. The molecular structure of 5-hydroxycyclopenicillone is shown in Figure 3A. It was suggested that several molecules of 5-hydroxycyclopenicillone can interact with the A $\beta_{1-42}$  peptide, forming a stable conformation that may prevent the conformational transition and oligomerization of the A $\beta_{1-42}$  peptide (Figure 3B). The number of atomic contacts observed in the simulation between 5-hydroxycyclopenicillone and the A $\beta_{1-42}$  peptide increased from the initial 180 to around 1600 within the first 5 ns. Furthermore, the average number of atomic contacts between 5-hydroxycyclopenicillone and the A $\beta_{1-42}$  peptide was over 1200 during the course of the simulation. The intermolecular Lennard-Jones and electrostatic energies between 5-hydroxycyclopenicillone and the A $\beta_{1-42}$  peptide was calculated. The Lennard-Jones energy mainly contributed to the interactions between 5-hydroxycyclopenicillone and the A $\beta_{1-42}$  peptide, indicating that 5-hydroxycyclopenicillone most likely bound to A $\beta_{1-42}$  peptide via hydrophobic interactions.



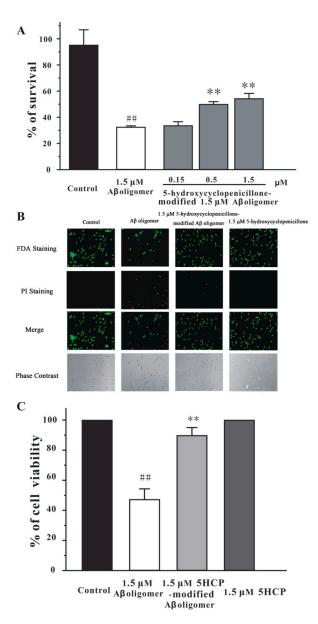
**Figure 3.** 5-Hydroxycyclopenicillone binds to the  $A\beta_{1-42}$  peptide via hydrophobic interactions in all-atom molecular dynamics simulations. **(A)** Chemical structure of 5-hydroxycyclopenicillone. **(B)** Typical binding conformations of 5-hydroxycyclopenicillone interacted with the  $A\beta_{1-42}$  peptide. The backbone of the  $A\beta_{1-42}$  peptide is shown in red. 5-Hydroxycyclopenicillone molecules are shown with a stick model. Red represents oxygen, white represents hydrogen, and green represents carbon. **(C)** Time dependence of atom contacts between the  $A\beta_{1-42}$  peptide and 5-hydroxycyclopenicillone molecules (within 0.5 nm). **(D)** Analysis of Lennard-Jones and coulomb energies between the  $A\beta_{1-42}$  peptide and 5-hydroxycyclopenicillone. The results were all averaged for three trajectories.

# 2.3. 5-Hydroxycyclopenicillone Decreased the Neurotoxicity of the $A\beta_{1-42}$ Oligomer in SH-SY5Y Cells

The  $A\beta_{1-42}$  oligomer is known to induce neuronal death in SH-SY5Y cells [17]. To further explore whether 5-hydroxycyclopenicillone could reduce the neurotoxicity of the  $A\beta_{1-42}$  oligomer, it was tested using the 3-(4,5-dimethylthiazol-2-yl)-2.5-diphenyltetrazolium bromide (MTT) assay. Treatment of 1.5  $\mu$ M  $A\beta_{1-42}$  oligomer for 24 h decreased the cell viability to around 40%, compared to the control group. Three concentrations of 5-hydroxycyclopenicillone (0.15–1.5  $\mu$ M) were co-incubated with 1.5  $\mu$ M  $A\beta_{1-42}$  peptide for 2 days to form the 5-hydroxycyclopenicillone-modified  $A\beta_{1-42}$  oligomer. Cell viability in SH-SY5Y cells treated with the 5-hydroxycyclopenicillone (0.5–1.5  $\mu$ M)-modified  $A\beta_{1-42}$  oligomer was substantially higher than that in cells treated with the normal  $A\beta_{1-42}$  oligomer

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(Figure 4A). These results suggested that 5-hydroxycyclopenicillone reduced the formation of oligomer, leading to decreased neurotoxicity. Fluorescein diacetate (FDA)/propidium iodide (PI) double staining was used to label both live cells and dead cells. The number of FDA-labeled live cells was greater in cells treated by the 1.5  $\mu$ M 5-hydroxycyclopenicillone-modified A $\beta_{1-42}$  oligomer than those treated by the normal A $\beta_{1-42}$  oligomer, and the number of PI-labeled dead cells was smaller (Figure 4B).



**Figure 4.** 5-Hydroxycyclopenicillone-modified  $A\beta_{1-42}$  oligomer is less toxic than normal oligomer to SH-SY5Y cells. (**A**)  $A\beta_{1-42}$  peptide (1.5 μM) was co-incubated with 5-hydroxycyclopenicillone at the indicated doses for 2 days. The solution was centrifuged. The supernatants were added to SH-SY5Y cells. After 24 h, the MTT assay was used to analyze cell viability. (**B**) SH-SY5Y cells were treated with the 1.5 μM 5-hydroxycyclopenicillone-modified 1.5 μM  $A\beta_{1-42}$  oligomer or the 1.5 μM  $A\beta_{1-42}$  oligomer. After 24 h, cells were examined via FDA/PI double staining. (**C**) The quantification of (**B**). 5HCP: 5-hydroxycyclopenicillone. Data, expressed as percentage of control, were the mean  $\pm$  SEM of three separate experiments; ## p < 0.01 vs. the control group, \*\* p < 0.01 vs.  $A\beta_{1-42}$  oligomer group (ANOVA and Tukey's test).

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#### 2.4. 5-Hydroxycyclopenicillone Prevents Synaptic Toxicity of $A\beta_{1-42}$ Oligomer in Primary Hippocampal Neurons

It was previously demonstrated that A $\beta$  oligomer produces neurotoxicity in primary hippocampal neurons [18,19]. Therefore, the neuroprotective effects of 5-hydroxycyclopenicillone in this model have been here evaluated. It was found that 0.5  $\mu$ M A $\beta$  oligomer significantly reduced the number of spines in the mature hippocampal neurons, which is consistence with previous reports [19,20]. However, the addition of 1.5  $\mu$ M 5-hydroxycyclopenicillone significantly prevented the A $\beta$  oligomer-induced reduction of hippocampal neuronal spine numbers, suggesting that 5-hydroxycyclopenicillone could produce neuroprotective effects in primary neurons (Figure 5).

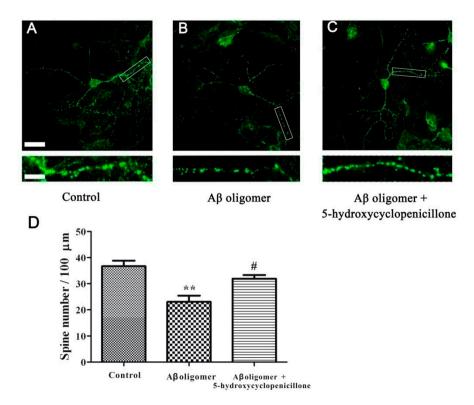


Figure 5. 5-hydroxycyclopenicillone significantly prevents Aβ oligomer-induced reduction of spine number in primary hippocampal neurons. At 15 day in vitro (DIV 15), primary hippocampal neurons were treated with 1.5 μM 5-hydroxycyclopenicillone. Two hours later, neurons were treated with 0.5 μM Aβ oligomer. Neurons were fixed and stained with anti-PSD95 antibody at 24 hours after the treatment of Aβ oligomer. The representative spine morphology in control, Aβ oligomer, and 5-hydroxycyclopenicillone + Aβ oligomer groups were shown in (A), (B) and (C), respectively. The quantitative comparison of spine numbers in various groups was demonstrated in (D). Data, expressed as the percentage of control, were the mean  $\pm$  SEM of three separate experiments; \*\* p < 0.01 vs. the control group, # p < 0.05 vs. Aβ oligomer group (ANOVA and Tukey's test).

#### 3. Discussion

Sponges are marine organisms that are prolific producers of bioactive secondary metabolites, either natively or through their associated microbiota [21]. However, the short supply of sponges and the typically low purification yield of natural products from sponges has prevented the further development of many sponge-derived compounds [22,23]. Interestingly, it has been shown that many sponge-derived bioactive compounds are produced by sponge-associated bacteria or fungi [24,25]. A recently described fungal strain, *Trichoderma* sp. HPQJ-34, was isolated from the marine sponge *Hymeniacidon perleve* [12]. This fungus, in culture, produces 5-hydroxycyclopenicillone,

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a new cyclopentenone that has in vitro anti-oxidative properties and prevents  $H_2O_2$ -induced neurotoxicity [12].

The A $\beta$  oligomer is considered the main neurotoxin to induce AD [26]. Moreover, many anti-AD drug candidates target the formation of the A $\beta$  oligomer from the A $\beta$  peptide [27]. Therefore, the potential for 5-hydroxycyclopenicillone to inhibit the formation of the A $\beta$  oligomer was evaluated. 5-Hydroxycyclopenicillone significantly decreased the amount of the A $\beta$  oligomer at 1–10  $\mu$ M based on dot blotting analysis, but not the A $\beta$  peptide. Moreover, as evidenced by the TEM assay, the quantity and morphology of 5-hydroxycyclopenicillone-modifed A $\beta$  oligomer is significantly different from that of the normal A $\beta$  oligomer. These results show that 5-hydroxycyclopenicillone manipulated the formation of the A $\beta$  oligomer from the A $\beta$  peptide. The inhibitory potency of 5-hydroxycyclopenicillone on A $\beta$  oligomer formation is similar to other marine compounds with this bioactivity, such as brown algae-derived fucoxanthin [10].

It is worthwhile to investigate how 5-hydroxycyclopenicillone interacts with the  $A\beta$  peptide to further prevent the formation of the  $A\beta$  oligomer. Results from molecular dynamics analysis suggested that 5-hydroxycyclopenicillone may directly interact with  $A\beta_{1-42}$  and  $A\beta_{1-40}$  peptides via hydrophobic interactions. The computed interaction between 5-hydroxycyclopenicillone and  $A\beta$  peptide further inhibited the conformational transition and oligomerization of the  $A\beta$  peptide. This in silico result may help to explain the observed in vitro  $A\beta$  peptide oligomerization inhibition of 5-hydroxycyclopenicillone.

Furthermore, it is important to evaluate the neurotoxicity of the 5-hydroxycyclopenicillone-modifed A $\beta$  oligomer. SH-SY5Y cells are derived from human neuroblastoma and are sensitive to neurotoxins such as H<sub>2</sub>O<sub>2</sub>, MPP<sup>+</sup>, and the A $\beta$  oligomer [28]. Therefore, SH-SY5Y cells are widely used to screen neuroprotective drug candidates and to study the molecular mechanisms underlying neurotoxin-induced neuronal death [29,30]. Neuronal death in 5-hydroxycyclopenicillone-modifed A $\beta$  oligomer-treated SH-SY5Y cells was significantly less than that in normal A $\beta$  oligomer-treated cells. Moreover, 5-hydroxycyclopenicillone prevented synaptic toxicity of A $\beta$ 1-42 oligomer in primary hippocampal neurons. These results indicated that 5-hydroxycyclopenicillone could decrease A $\beta$  oligomer neurotoxicity and may eventually be used in the treatment of AD. However, the in vivo efficacy of 5-hydroxycyclopenicillone in animals with AD remains to be determined. Preliminary results from this investigation showed that i.p. injection of 5-hydroxycyclopenicillone at 10 mg/kg could not result in the detectable 5-hydroxycyclopenicillone in the brain of mice, indicating that 5-hydroxycyclopenicillone might not readily pass the blood–brain barrier (data not shown). However, it is still possible that 5-hydroxycyclopenicillone might metabolize into active metabolites, which could cross the brain–blood barrier and act on targets of AD in the brain.

Although many sponge-derived natural products have been reported to produce neuroprotective effects, most studies have focused on the ability of these compounds to modulate neurotransmitters, reduce oxidative stress, and enhance neurite growth [23]. Comparatively few studies have investigated the anti-A $\beta$  oligomer properties of sponge-related compounds. In this study, it was found that 5-hydroxycyclopenicillone, a new cyclopentenone derived from sponge-associated fungus, effectively inhibited the formation of the A $\beta$  oligomer from the A $\beta$  peptide. When the A $\beta$  peptide did oligomerize in the presence of 5-hydroxycyclopenicillone, the resulting oligomer was significantly less toxic to SH-SY5Y cells than the normal A $\beta$  oligomer. Molecular dynamics simulations suggested that several hydrophobic interactions between 5-hydroxycyclopenicillone and the A $\beta$  peptide may inhibit the conformational transition and oligomerization of the A $\beta$  peptide. These results together help to explain the recently reported in vitro neuroprotective and A $\beta$  fibrillization inhibiting effects of 5-hydroxycyclopenicillone [12].

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#### 4. Materials and Methods

#### 4.1. Preparation of 5-Hydroxycyclopenicillone

5-Hydroxycyclopenicillone was isolated from the fungal strain *Trichoderma* sp. HPQJ-34 as previous described [12]. Briefly, a culture medium was filtered under reduced pressure to afford the filtrate and mycelia. The filtrate was further extracted by EtOAc to afford the crude extract, which was subjected to silica gel column chromatography eluted with a petroleum ether-EtOAc step gradient to yield several fractions that were combined based on TLC analysis. The 5-hydroxycyclopenicillone-rich fraction was separated by Sephadex LH-20 gel filtration chromatography, eluting with CH<sub>3</sub>OH, to yield several sub-fractions. Semi-preparative HPLC was performed on a Waters HPLC instrument equipped with a Waters RID-10A detector and a C18 column (250 mm  $\times$  20 mm ID, 5  $\mu$ m; YMC Co. Ltd. Tokyo, Japan). The subfraction containing 5-hydroxycyclopenicillone was further purified via reverse-phase semi-preparative HPLC with CH<sub>3</sub>OH/H<sub>2</sub>O (70:30, v/v) at 2 mL/min to yield 5-hydroxycyclopenicillone. The purity of 5-hydroxycyclopenicillone was greater than 95% as determined by HPLC and  $^1$ H-NMR analysis.

# 4.2. Preparation of the $A\beta_{1-42}$ Oligomer

Synthetic  $A\beta_{1-42}$  peptide was obtained from GL Biochem (Shanghai, China). Soluble  $A\beta_{1-42}$  oligomers were prepared as previously described [19]. Briefly,  $A\beta_{1-42}$  lyophilized powder was dissolved in hexafluoroisopropanol (HFIP, Sigma, St Louis, MO, USA) to form the  $A\beta_{1-42}$  peptide. The  $A\beta_{1-42}$  peptide was further spin-vacuumed in 10% HFIP/MilliQ water solution. Subsequently, HFIP was evaporated to obtain the  $A\beta_{1-42}$  solution. Next, 10  $\mu$ L of  $A\beta_{1-42}$  solution was added to 40  $\mu$ L of drug solution. The mixture was incubated at 25 °C for 2 days while stirring and then centrifuged at 14,000× g for 15 min at 4 °C. The supernatant consisting mainly of soluble  $A\beta_{1-42}$  oligomer was collected.

#### 4.3. Dot Blotting Analysis

Dot blotting analysis was performed as previously described [19]. Briefly, the nitrocellulose membrane was divided into equal grids. Subsequently, a 2  $\mu$ L sample was spotted onto the membrane and then air-dried. The membrane was blocked in a TBST (50 mM Tris, 150 mM NaCl, and 0.1% Tween-20) solution containing 10% milk overnight and then incubated with anti-oligomer antibody A11 (Thermo Fisher Scientific, Waltham, MA, USA, 1:1000) or anti-A $\beta$ 1-17 antibody 6E10 (Sigma, 1:1000) for 1 h with gentle shaking. After three washes with TBST, the membrane was incubated with secondary antibodies for 1 h and developed with an enhanced chemiluminescence plus kit.

# 4.4. TEM Analysis

TEM analysis was performed as previously described [19]. Briefly, TEM samples were prepared by placing 2  $\mu$ L of the pre-incubated solution onto a carbon-coated grid. The samples were stained with 1% uranylacetate and then placed onto a clean paper to remove excess staining solution. The grids were thoroughly examined using a TEM (JEOL, Tokyo, Japan).

# 4.5. Simulation System

The initial structure of  $A\beta_{1-42}$  was from the Protein Data Bank (PDB code: 1Z0Q) [31]. Moreover, the 3D structure of 5-hydroxycyclopenicillone was produced by the program Sybyl 6.92. The GROMOS96 53a6 force field parameters of 5-hydroxycyclopenicillone were defined by Automated Topology Builder and Repository 2.0 webserver (https://atb.uq.edu.au/). The charge groups and atomic charges of 5-hydroxycyclopenicillone were further corrected.

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#### 4.6. Molecular Dynamics Simulation

 $A\beta_{1-42}$  was placed into a 6 nm cubic box in silico. Further, 10 molecules of 5-hydroxycyclopenicillone were randomly located around the peptide. Water was also added into the box, and 2 water molecules were replaced by 2  $Na^+$  ions to neutralize the negative charge of the system. The simple point charge (SPC) model was used. An energy minimization of 1000 steps was performed to relax the system. The relaxed system was successively equilibrated for 1 ns under an isochoric-isothermal ensemble using the Berendsen weak-coupling method. Finally, three molecular dynamics simulations of 100 ns under different initial conditions were carried out by assigning different initial velocities on each atom of the system. All of the molecular dynamics were performed at physiological temperature and a pressure of 1 bar.

All-atomic molecular dynamics simulations were performed using the GROMACS 5.1.1 package together with the GROMOS96 53A6 force field. Newton's classical equations of motion were integrated using the Verlet Leapfrog algorithm with a 2 fs time step. All short-range non-bonded interactions were cut off at 1.4 nm, with dispersion correction applied to energy and pressure to determine the truncation of van der Waals interactions. Long-range electrostatic interactions were calculated with the smooth particle mesh Ewald method using cubic-spline interpolation and a Fourier grid spacing of approximately 0.12 nm. The neighbor list was updated every 10 simulation steps. All bond lengths were constrained with the LINCS algorithm with a relative geometric tolerance of 10-4. Initial velocities were assigned according to a Maxwell distribution. For all simulations, the atomic coordinates were saved every 50 ps for the following analysis.

## 4.7. Molecular Dynamics Simulation Analysis

The auxiliary programs provided by GROMACS 5.1.1 package were used to analyze the simulation trajectories. The program gmx energy was used to calculate the Lenard Jones and coulomb potential energies between 5-hydroxycyclopenicillone and A $\beta_{1-42}$ . The number of contacts between 5-hydroxycyclopenicillone and residues within 0.5 nm was calculated by the program gmx mindist. The snapshots were made by Visual Molecular Dynamics (VMD) software version 1.9.2.

#### 4.8. Culture of SH-SY5Y Cells

SH-SY5Y cells were maintained in high glucose modified Eagle's medium (DMEM) supplemented with 10% fetal bovine serum (FBS) and penicillin (100 U/mL)/streptomycin (100  $\mu$ g/mL) at 37 °C with 5% CO<sub>2</sub>. The medium was refreshed every 2 days. Before experiments, SH-SY5Y cells were seeded in DMEM supplemented with 1% FBS for 24 h.

# 4.9. Cell Viability Measurement

Cell viability was measured by MTT assay based on a published protocol [32,33]. Briefly, 10  $\mu$ L of MTT (5 mg/mL) was added to each well in 96-well plates. Subsequently, the plates were incubated at 37 °C for 4 h, and 100  $\mu$ L of solvate (0.01 N HCl in 10% SDS) was added. After 16 h, the absorbance of sample was measured at a wavelength of 570 nm using a reference wavelength of 655 nm.

## 4.10. FDA/PI Double Staining

Viable cells were visualized by the fluorescein formed from FDA by esterase activity in viable cells. Non-viable cells were analyzed by PI staining, which only penetrates the membranes of dead cells. Briefly, the cells were examined after incubation with 10  $\mu$ g/mL FDA and 5  $\mu$ g/mL PI for 15 min. Images were acquired using UV light microscopy and compared with those taken under phase-contrast microscopy. To quantitatively evaluate cell viability, images of each well were taken from five randomly selected fields, and the number of FDA-positive and PI-positive cells was counted. The percentage of cell viability was analyzed using the equation as follows: % of cell viability = [number of FDA-positive cells/(number of PI-positive cells + number of FDA-positive cells)]  $\times$  100%.

#### 4.11. Primary Hippocampal Neuronal Cultures

Use and care of animals followed the guidelines of the Ningbo University Animal Research Advisory Committee (Ningbo, Zhejiang, China). Primary neuronal cultures from postnatal 1-day-old ICR mice were prepared as a previous publication [20]. Briefly, the hippocampi were dissected and digested in 0.25% trypsin (Invitrogen, Carlsbad, CA, USA) for 15 min at 37 °C. Dissociated cells were placed on 35 mm culture dishes which were previously coated with poly-D-lysine (100  $\mu$ g/mL) at density of 7 × 10<sup>5</sup> cells/cm<sup>2</sup>. Cultures were maintained in a humidified incubator with 5% CO<sub>2</sub> at 37 °C. The plating medium was Dulbecco's Modified Eagle Media (Invitrogen) supplemented with 10% FBS, 10% F-12 (Invitrogen). The medium was changed to Neurobasal medium (Invitrogen) supplemented with 2% B27, 1% glutamine after 24 h. At DIV 5, neurons were treated with 5  $\mu$ M cytosine arabinofuranoside (Invitrogen) to reduce the growth of glials. Half of the medium was replaced twice per week with Neurobasal medium containing 2% B27 and 1% glutamine.

#### 4.12. Immunocyto Chemisty

Primary cultured hippocampal neurons were fixed with 4% paraformaldehyde in PBS for 10 min at room temperature, and permeabilized with 0.01% Triton in PBS for 10 min before treatment with 10% BSA for 1 h at room temperature. Cells were then incubated in primary PSD95 antibody (Chemicon, 1:200) in PBS containing 10% BSA overnight at 4 °C. After washing with PBS three times, cells were incubated with secondary antibody for 1 h at room temperature. Imaging of distal neuronal dendrites was performed with a Fluoview 1000 confocal microscope (Olympus, Tokyo, Japan). The background of images was subtracted, and a single threshold was chosen manually to define clusters so that clusters corresponded to puncta at least two-fold greater intensity than the diffuse fluorescence on the dendrite shaft.

## 4.13. Confocal Imaging and Analysis

After drug treatments, the neurons were maintained in a recording chamber with extracellular solution (148 mM NaCl, 3 mM KCl, 3 mM CaCl<sub>2</sub>, 10 mM HEPES and 8 mM glucose, pH 7.4) at room temperature. To measure spine density, images were acquired in 2-D stack. Spine densities were analyzed using Fluoview-1000 software (Olympus Life Science, Tokyo, Japan). All lengths of the primary and secondary dendritic branches were measured by tracing their extension and the spines were counted manually.

#### 4.14. Data Analysis and Statistics

Data were expressed as means  $\pm$  SEM. Statistical significance was determined by one-way ANOVA and Tukey's test for post-hoc multiple comparison. p < 0.05 was considered statistically significant.

#### 5. Conclusions

5-hydroxycyclopenicillone is a natural product produced by the fungus *Trichoderma* sp. HPQJ-34, which was isolated from the microbiome of the marine sponge *Hymeniacidon perleve* [12]. This molecule was reported as having "moderate anti-oxidative, anti-A $\beta$  fibrillization properties and neuroprotective effects" in vitro [12]. The results presented here, from thorough in vitro and *ex vivo* testing, provide evidence that the application of 5-hydroxycyclopenicillone produces neuroprotective effects and inhibits the formation of A $\beta$ <sub>1-42</sub> oligomer from A $\beta$ <sub>1-42</sub> peptide, and this is one key neurotoxin that is pertinent to AD. Furthermore, *in silico* modeling of the A $\beta$ <sub>1-42</sub> peptide in the presence of 5-hydroxycyclopenicillone suggested that this interaction can modulate the peptide conformation, and may furthermore prevent the conformational transition that is necessary for oligomerization. When A $\beta$  peptide did oligomerize in the presence of 5-hydroxycyclopenicillone, the resulting modified oligomer was morphologically different and was significantly less toxic to SH-SY5Y cells than the normal A $\beta$  oligomer. A preliminary in vivo test did not result in detectable levels of 5-hydroxycyclopenicillone in

murine brain cells, which may indicate a low blood-brain barrier permeability, an innate instability under physiological conditions, a high rate of metabolism, or suboptimal distribution for this molecule. The current finding that 5-hydroxycyclopenicillone inhibits  $A\beta$  oligomer formation and decreases  $A\beta$  oligomer neurotoxicity provides support for the application of this and other sponge-related compounds to treat neurodegenerative disorders, particularly including AD, and highlights the need for synthetic medicinal chemistry production and optimization, as well as in vivo validation.

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