ORIGINAL RESEARCH

Multiplex Polymerase Chain Reaction/Pooled Antibiotic Susceptibility Testing Was Not Associated with Increased Antibiotic Resistance in Management of Complicated Urinary Tract Infections

Howard J Korman¹, Mohit Mathur², Natalie Luke², Dakun Wang³, Xihua Zhao⁴, Michael Levin¹, David L Wenzler¹, David Baunoch⁵

¹Comprehensive Urology Division, Michigan Healthcare Professionals, Royal Oak, MI, USA; ²Department of Medical Affairs, Pathnostics, Irvine, CA, USA; ³Department of Writing, Stat4Ward, Pittsburgh, PA, USA; ⁴Department of Statistical Analysis, Stat4Ward, Pittsburgh, PA, USA; ⁵Department of Research and Development, Pathnostics, Irvine, CA, USA

Correspondence: Mohit Mathur, Pathnostics, 15545 Sand Canyon Suite 100, Irvine, CA, 92618, USA, Email mmathur@pathnostics.com

Objective: To compare antibiotic resistance results at different time points in patients with urinary tract infections (UTIs), who were either treated based upon a combined multiplex polymerase chain reaction (M-PCR) and pooled antibiotic susceptibility test (P-AST) or were not treated.

Methods: The M-PCR/P-AST test utilized here detects 30 UTI pathogens or group of pathogens, 32 antibiotic resistance (ABR) genes, and phenotypic susceptibility to 19 antibiotics. We compared the presence or absence of ABR genes and the number of resistant antibiotics, at baseline (Day 0) and 5–28 days (Day 5–28) after clinical management in the antibiotic-treated (n = 52) and untreated groups (n = 12).

Results: Our results demonstrated that higher percentage of patients had a reduction in ABR gene detection in the treated compared to the untreated group (38.5% reduction vs 0%, p = 0.01). Similarly, significantly more patients had reduced numbers of resistant antibiotics, as measured by the phenotypic P-AST component of the test, in the treated than in the untreated group (42.3% reduction vs 8.3%, p = 0.04).

Conclusion: Our results with both resistance gene and phenotypic antibiotic susceptibility results demonstrated that treatment based upon rapid and sensitive M-PCR/P-AST resulted in reduction rather than induction of antibiotic resistance in symptomatic patients with suspected complicated UTI (cUTI) in an urology setting, indicating this type of test is valuable in the management of these types of patients. Further studies of the causes of gene reduction, including elimination of ABR gene-carrying bacteria and loss of ABR gene(s), are warranted.

Keywords: urinary tract infection, complicated urinary tract infection, antibiotic resistance, antibiotic resistance genes, diagnostic testing, polymerase chain reaction

Introduction

PCR-based diagnostic tests can reduce the time to results and improve sensitivity, but there are concerns that the increased sensitivity may lead to overtreatment and increase in antibiotic resistance, potentially preventing its wide clinical use for the diagnosis of complicated UTIs (cUTIs).¹⁻⁵

The rapid increase of resistant bacterial strains due to the overuse of antibiotics is one of the most serious health threats worldwide.^{6–8} The bacterial population can resist antibiotics through a variety of mechanisms, including acquiring antibiotic resistance (ABR) genes, mutations to chromosomal target genes, active removal of drugs by efflux pumps, inactivation, or degradation of antibiotics, modifying bacterial cell wall to prevent antibiotics from reaching their target sites, and building biofilms.⁹ To combat this health challenge, antimicrobial stewardship programs endorsed by the

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UTIs are a leading cause for antibiotic prescriptions and a significant healthcare problem in the US, accounting for 10.5 million office visits and over \$3.5 billion in healthcare costs per year.^{12–16} While simple UTIs can be managed successfully with outpatient antibiotics, patients with complicated UTIs (cUTIs) have higher treatment failure rates and poor outcomes, including UTI recurrence.

A typical initial diagnostic for UTIs is dipstick urinalysis, which provides rapid results and in conjunction with clinical findings can inform management decisions. In many cases, empiric broad spectrum antimicrobial therapy is initiated.¹⁷ The selection of empiric antibiotics depends on susceptibility of prior urinary isolates, patient clinical circumstances (such as allergy, drug interaction, expected compliance, and tolerability), hospital and local community antibiotic resistance patterns, and other factors.¹⁸ For cUTIs in the outpatient setting, current guidelines (Infectious Diseases Society of America and European Society of Clinical Microbiology and Infectious Diseases) recommend the use of oral fluoroquinolones (such as ciprofloxacin), and oral amoxicillin-clavulanate potassium (AMC), cephalosporin, and trimethoprim-sulfamethoxazole (TMP-SMX) as alternatives.¹⁹ Nitrofurantoin, is restricted to cUTIs that only involve the lower genitourinary tract.²⁰ Increased resistance to all of these antibiotics has been observed, raising the risk of choosing an empirical antibiotic to which an infecting pathogen is resistant.^{20,21}

For many cUTI patients, physicians will also order a standard urine culture (SUC) with antibiotic susceptibility testing (AST). SUC is the accepted standard method to identify UTI pathogens, but it is time-consuming, with identification of pathogens taking 24 to 48 hours and AST needing an additional 18 to 24 hours. SUC also has significant limitations including poor growth of fastidious organisms and inability to grow out polymicrobial sets of organisms.^{22–24} This results in limited sensitivity and a high frequency of false negative and inconclusive results for patient management.^{24,25}

A focus on improving how physicians diagnose UTIs is integral to improving UTI antibiotic stewardship. Recently more advanced tests have emerged with the potential to aid UTI diagnosis and make bacterial identification and antibiotic susceptibility evaluation faster and simpler. The M-PCR/P-AST test studied here is one such test, using both multiplexed PCR technology for detecting and quantifying 23 bacteria and 4 yeast organisms, 3 bacterial groups, and 32 resistance genes and Pooled Antibiotic Susceptibility Testing (P-AST), to provide phenotypic susceptibility results for organisms present in the urine sample. Results of the test are routinely available within 24 hours thus can decrease the need for empirical antibiotic treatment. Clinical studies have demonstrated its superiority in detecting specific bacteria, including *E. coli* and other Gram-negative bacteria, Gram-positive bacteria, and polymicrobial infections, capturing the impacts of bacterial interactions for more reliable susceptibility results and with a faster turnaround time,^{21,26,27} compared with SUC. In addition, Daly et al showed that the patients treated based on the M-PCR/P-AST test results were associated with a 13.7% decrease in emergency department (ED) visits and/or hospital admissions compared to the patients based on the SUC results.²⁸

The inherent sensitivity of PCR for identification of organisms raises the potential for overtreatment leading to increased antibiotic resistance instead of reducing it. Some important factors to consider are the selection of organisms in the PCR panel corresponding to known UTI pathogens, and the use of the test in a specialist setting where management of cUTIs is standard. This study compares the detections of ABR genes and the number of resistant antibiotics for cUTI cases in an urology setting using the M-PCR/P-AST test, at baseline and after clinical management with or without antibiotics.

Materials and Methods

Study Design and Participants. This is an interim analysis of an ongoing observational, prospective study that aims to investigate the impact of an M-PCR/P-AST test (Guidance[®] UTI, performed by Pathnostics, Irvine) in the clinical management of cUTI by measuring ABR gene and phenotypic resistance changes with and without treatment. Study subject inclusion criteria included 18 years or older, male or female patients presenting to urology clinics with symptoms

and clinical presentations highly suspicious of cUTI (see <u>Supplemental Table 1</u> for full Inclusion and Exclusion Criteria). Exclusion criteria included lack of UTI symptoms, antibiotics use for any reason other than UTI at the time of enrollment, urinary diversion, presence of chronic (≥ 10 days) indwelling catheters, and self-catheterization at the time of consultation. This study was conducted in accordance with the Declaration of Helsinki. The study protocol was reviewed and approved by WCG IRB (20214705) Trial registration: NCT05091931. Registered 25 October 2021, <u>https://clinicaltrials.gov/ct2/show/NCT05091931</u>. All subjects gave verbal informed consent prior to enrollment because the WCG IRB determined the study protocol satisfied three requirements for partial waiver of authorization under 45 CFR 164.512 including the use of protected health information (PHI) involved no more than minimal risk to the study participants, the research could not be practicably conducted without access to PHI, and the research could not practicably be conducted without the waiver.

Subject urine samples were collected via midstream clean catch or in-and-out catheterization for the M-PCR/P-AST testing on the day of enrollment. (Day 0) The clinical treatment plan for each subject was determined by the clinicians with the knowledge of the M-PCR/P-AST testing results and was recorded as part of the study. A second urine sample was collected between Days 5 and 28 (Day 5–28) and tested again with M-PCR/P-AST. In this interim analysis, only subjects with M-PCR/P-AST test results on both Day 0 and Day 5–28 AND positive uropathogen detection on Day 0 were included (N = 64). Patient's treatment was at the discretion of the clinician. Treatment status was determined based on the clinical evaluation form completed by physicians, patients' daily surveys, and medical records. The terms "treated" and "untreated" indicate whether or not the patient was treated with antibiotics between Day 0 and Day 2. M-PCR/P-AST test results were compared between Day 0 and Day 5–28 in these patients in treated (with antibiotics) (n = 52), and untreated (without antibiotics) (n = 12) groups, respectively.

M-PCR/P-AST. The uropathogen detection by M-PCR was performed as previously described, with the exceptions of the use of *Bacillus atrophaeus* as an inhibition control and inclusion of probes and primers to detect *Gardnerella vaginalis*.^{20,28,29}

Bacterial positive samples were analyzed for their presence of ABR genes by M-PCR, including 32 ABR genes in 6 classes: ampicillin resistance genes (*AmpC, FOX, ACC, DHA, MOX/CMY*, and *BIL/LAT/CMY*), 2) extended-spectrum beta-lactamases (ESBL) resistance genes (*CTX-M* group 1, *CTX-M* group 2, *CTX-M* group 8/25, *CTX-M* group 9, *OXA-1, SHV, TEM, VEB, GES, PER-1* and *PER-2*), 3) Methicillin resistance genes (*mecA*), 4) quinolone and fluoroquinolone resistance genes (*QnrA* and *QnrS*), 5) vancomycin resistance genes (*vanA1, vanA2,* and *vanB*), and 6) carbapenems resistance genes (*IMP-1* group, *KPC, OXA-23, OXA-40, blaOXA-48, VIM, IMP-7, IMP-16*, and *OXA-72*).

The P-AST component was performed, as described previously.²⁰ Briefly, the cell pellet from a 1 mL aliquot of patient urine sample was suspended in 1 mL of Mueller Hinton Growth Media and incubated at 35°C in a non-CO2 incubator for 6 h. Samples reaching a minimum threshold of 10,000 cells/mL were diluted 1:100 with growth media and used to inoculate 96-well plates preloaded with antibiotics then incubated for 12–16 hours at 35°C in a single layer. The optical density of samples was read on a DensiCHEK plate reader™ (BioMerieux, Marcy-l'Étoile, France).

Statistical Analysis. Subject demographics, including age and sex, and clinical information, including urine collection method, days between baseline (Day 0) and second collection (Day 5–28), and treatment status, were summarized using mean (SD) for continuous variables and frequency (proportion) for dichotomized variables. Changes in ABR gene presence were classified as reduced (number of ABR gene detected on Day 5–28 < number of ABR gene detected on Day 0), no change (number of ABR gene detected on Day 5–28 = number of ABR gene detected on Day 0), or gained (number of ABR gene detected on Day 5–28 > number of ABR gene detected on Day 0) between the treated and untreated groups. The above analyses were replicated for numbers of antibiotics reported as resistant on Day 0 and Day 5–28 by P-AST and compared between the treated and untreated groups. Changes were classified as reduced (number of resistant antibiotics detected on Day 5–28 = number of resistant antibiotics detected on Day 5–28 = number of resistant antibiotics detected on Day 5–28 = number of resistant antibiotics detected on Day 5–28 = number of resistant antibiotics detected on Day 0), no change (number of resistant antibiotics detected on Day 5–28 = number of resistant antibiotics detected on Day 5–28 = number of resistant antibiotics detected on Day 5–28 = number of resistant antibiotics detected on Day 5–28 = number of resistant antibiotics detected on Day 0). The Fisher's Exact test was used to determine if the presence of ABR genes and the number of resistant antibiotics, respectively, differed statistically (p < 0.05) between the treated and untreated groups. The population size was not large enough to perform

a multivariate analysis. However, we have compared the age, sex, and days between baseline and second collection, and found that the treated and untreated groups are similar.

Results

Patient Demographics. A total of 64 subjects (65.6% female and 34.4% male) enrolled between 06/13/2022 and 8/16/2022 were included in this interim analysis. The average age of these patients was 70.1 years. The urine samples were collected via midstream clean catch. The length between the initial and the second urine collection ranged between 5 and 28 days, with an average length of 15.1 days and the majority (64.1%) between 7 and 15 days. Most patients (52, 81.3%) were treated, while 12 (18.8%) were not treated with antibiotics as part of the clinical management plan (Table 1).

Overall changes of ABR gene detection from Day 0 to Day 5–28 in treated and untreated patients. We evaluated the overall presentation of any of the 32 ABR genes and the presentation of each of the six classes of ABR genes. Among the 64 symptomatic patients highly suspicious of cUTI, we detected no carbapenem or vancomycin resistance genes and only two patients with quinolone/fluoroquinolone resistance genes. For each patient, we compared the ABR genes detection status (unchanged, reduced, or gained) between the initial and second collections for the treated (n = 52) and untreated (n = 12) group, respectively (Table 2). In the untreated group the number of ABR genes was unchanged in 9 (75.0%), reduced in 0 (0%), and gained in 3 (25.0%) cases. In the treated group, the number of resistance genes were unchanged in 21 (40.4%), reduced in 20 (38.5%), and gained in 11 (21.2%) cases. The status of the ABR genes in the treated and the untreated group differ significantly in the overall distribution of the three categories (p = 0.01), with higher percent of patients with ABR genes reduced in the treated than untreated group (38.5% vs 0%, p = 0.01) and similar distribution in the unchanged (p = 0.05) and the gained category (p = 0.72) (Table 2).

Overall changes of the numbers of antibiotics reported by Guidance[®] UTI as resistant on Day 0 and Day 5–28 in treated and untreated patients. In addition to detecting microbes and ABR gene by M-PCR, the test evaluated phenotypic antibiotic susceptibilities using P-AST (reported as resistant or sensitive) to 19 common UTI antibiotics. We compared

Patient Demographics (N = 64)	Total	Untreated n = 12 (18.8%)	Treated n = 52 (81.3%)	p value
Age, mean (SD), range	70.1 (19.2) range (20 – 122)	60.7 (16.2) range (34 – 84)	72.3 (19.3) range (20 – 122)	0.058
Sex: n, (%)				0.56
Female	42 (65.6%)	7 (58.3%)	35 (67.3%)	
Male	22 (34.4%)	5 (41.7%)	17 (32.7%)	
Collection Method				
Voided	64 (100%)	12 (100%)	52 (100%)	
Days between baseline and 2nd collection, mean (SD), [range]	15.1 (4.9), [5 – 28]	15.1 (4.6), [6 – 21]	15.1 (5.0), [5 – 28]	0.98
Days n, (%)				
< 7	2 (3.1%)	I (8.3%)	l (l.9%)	0.15
7 – 15	41 (64.1%)	5 (41.7%)	36 (69.2%)	
16 – 30	21 (32.8%)	6 (50.0%)	15 (28.9%)	

 Table I Patient Demographic and Clinical Information

Table 2 ABR Gene Detection Status, Unchanged, Reduced, or Gained After Clinical Management in Treated andUntreated Groups, Compared to the Baseline

N = 64	Unchanged n (%)		Reduced n (%)		Gained n (%)		
Treated (n = 52) Untreated (n = 12)	21 9	40.4% 75.0%	20 0	38.5% 0	 3	221.2% 25.0%	p = 0.01 overall
	p = 0.05		p = 0.01		p = 0.72		

N = 64	Unchanged n (%)		Reduced n (%)		Gained n (%)		
Treated (n = 52) Untreated (n = 12)	9 3	17.3% 25%	22 I	42.3% 8.3%	21 8	40.4% 66.7%	p = 0.07 overall
	p = 0.68		p = 0.04		p = 0.12		

Table 3 The Numbers of Antibiotics Reported as Resistant by P-AST per Patient (Unchanged, Reduced orGained) After Clinical Management in Treated and Untreated Groups, Compared to the Baseline

the numbers of antibiotics reported as resistant by P-AST on Day 0 and Day 5–28 (unchanged, reduced, or gained) for patients in the treated (n = 52) and untreated (n = 12) groups (Table 3). In the treated group, most patients (22, 42.3%) illustrated a reduction in the number of resistant antibiotics, with 9 (17.3%) and 21 (40.4%) patients showing an unchanged and increased number of resistant antibiotics, respectively. In the untreated group, most patients (8, 66.7%) increased numbers of resistant antibiotics, with 1 (8.3%) and 3 (25.0%) patients showing a reduced and unchanged number of resistant antibiotics, respectively. The number of resistant antibiotics in the treated and untreated cohorts was similar in the overall distribution of the three categories (p = 0.07); however, significantly more patients had reduced numbers of resistant antibiotics in the treated than in the untreated group (42.3% vs 8.3%, p = 0.04), with no significant difference detected between the unchanged and gained groups (Table 3).

Discussion

Culture-independent molecular diagnostics tests are revolutionizing the clinical practice of infectious disease, especially in specialty outpatient settings, where timely and accurate diagnostic tools are critical for patient therapeutic decisions and outcomes.¹ Compared with SUC, PCR-based testing is better able to detect polymicrobial UTI infections and non-*E. coli* Gram-negative and Gram-positive bacteria, which have been shown to cause UTIs.²⁵ In addition to providing organism identification and genetic resistance gene information, by M-PCR/P-AST, evaluates phenotypic antibiotic susceptibility of the detected uropathogens. Both genotypic results with PCR (ID and resistance genes) plus phenotypic P-AST results are important to guide management decisions for patients. Clinicians need to both know which organisms are present, with cell density, and which antibiotics the pool of organisms in the urine sample are sensitive to. Identification of the organism by M-PCR is essential to the success of P-AST because the organism identity determines the concentration of antibiotic used in susceptibility testing. Additionally, organism identity informs the clinical application of susceptibility results. Since there is a 40% discordance between resistance gene and phenotypic information, P-AST is a critical component of the test.²⁶

This comprehensive information, provided by M-PCR/P-AST testing, usually available within 24 hours, allows for the timely selection of directed antibiotic treatment, reducing the need for empiric treatment with broad-spectrum antibiotics, which may be ineffective and increases antibiotic resistance over time. Indeed, Daly et alshowed that patients treated based on M-PCR/P-AST were associated with better outcomes, including fewer ED visits and/or hospital admissions compared to patients treated based on SUC.²⁰ The aim of this analysis was to address the concern that the sensitivity of M-PCR/P-AST could result in increased antibiotic resistance. The power level was sufficient for composite analysis, but not for individual gene analysis.

The status of the ABR genes in the treated and the untreated group differed significantly in the overall distribution between reduced, unchanged, and gained. The treated patients had more cases end up with reduced ABR genes after treatment at Day 5–28 than the untreated patients at Day 5–28. This reduction may result from of the elimination of ABR gene-carrying bacteria and/or loss of the gene(s).

The presence or absence of genes does not always indicate sensitivity or resistance. Several other mechanisms are involved in manifesting resistance in a pooled population of bacteria. One of our previous studies demonstrated 40% discordance between ABR gene detection and antibiotic susceptibility.²⁹ The test used in this study detects phenotypic antibiotic susceptibility component with P-AST, which allowed comparison of the numbers of resistant antibiotics in the treated and the untreated group. Similarly to the ABR findings, the number of resistant antibiotics in the treated group

was also reduced compared to the untreated group. Overall, both ABR gene detection and antibiotic susceptibility level demonstrated that treating patients based on M-PCR/P-AST results was not associated with an increase of resistance, and indeed demonstrated a reduction in antibiotic resistance in treated patients as compared to untreated patients. These results indicate that utilization of this type of advanced UTI test, which incorporates both genotypic and pooled susceptibility results, can be beneficial when used by specialists for complicated UTI infections, instead of being harmful.

Study limitations include a small sample size, resulting in an inability to study the relationship between classes of antibiotics or comparing the effects of empirical treatment vs directed treatment on ABR gene detection. In addition, even though the 32 ABR genes included in the evaluation in our study are among the most well studied ABR genes associated with resistance to common antibiotics used to treat UTI, other potentially important ABR genes exist. A more extensive study with an increased number of patients is underway to better decipher the changes in the detection of specific ABR genes and susceptibility to individual antibiotics and their relationships with treatment responses.

Conclusion

In summary, utilizing an advanced diagnostic, which includes M-PCR and P-AST components for management of cUTIs was not associated with increased antibiotic resistance after treatment compared to patients who were not treated. In fact, these results also show an increased fraction of cases that had decreased resistance after treatment compared to the untreated patients. Utilization of the M-PCR/P-AST test was beneficial in these cases, by reducing instead of increasing resistance, indicating its use should be considered for complicated UTIs.

Data Sharing Statement

All relevant data are present within the manuscript text and tables.

Ethics Approval and Consent to Participate

All patients provided verbal informed consent (Western IRB 20214705) prior to enrollment.

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Disclosure

D.B., N.L., and M.M. are employees of Pathnostics, and HJK, D. Wa. and X.Z. are paid consultants for Pathnostics. Dr Natalie Luke reports grants from Thermofisher, during the conduct of the study. In addition, Dr Natalie Luke has a patent US 10,160,991 issued to Pathnostics, a patent US 11,053,532 issued to Pathnostics, a patent US 17/178,091 pending to Pathnostics, a patent US 17/335,767 pending to Pathnostics, a patent US 17/830,227 pending to Pathnostics, a patent PCT/US22/16816 pending to Pathnostics, a patent PCT/US22/77477 pending to Pathnostics, a patent AU 2018254514 B2 issued to Pathnostics, a patent BR112019021943-9 B1 issued to Pathnostics, a patent NZ 759292 issued to Pathnostics, a patent EP 3612638 pending to Pathnostics, a patent JP 2020-507493 pending to Pathnostics, a patent JP 2022-042545 pending to Pathnostics, a patent CA 3,175,879 pending to Pathnostics, a patent CA 3,176,586 pending to Pathnostics, a patent CA 3,061,015 pending to Pathnostics, a patent HK 62020014337.3 pending to Pathnostics, a patent CN 201880039956.9 pending to Pathnostics, a patent IL 294577 pending to Pathnostics. Dr David L Wenzler reports grants, personal fees from Pathnostics, during the conduct of the study. Dr David Baunoch reports a patent US 10,160,991 issued to PATHNOSTICS, a patent US 11,053,532 issued to PATHNOSTICS, a patent US 17/178,091 pending to PATHNOSTICS, a patent US 17/830,227 pending to PATHNOSTICS, a patent US 17/335/767 pending to PATHNOSTICS, a patent PCT/US22/16816 pending to PATHNOSTICS, a patent PCT/US22/77477 pending to PATHNOSTICS, a patent AU 2018254514 B2 issued to PATHNOSTICS, a patent BR112019021943-9 B1 issued to PATHNOSTICS. The authors report no other conflicts of interest in this work.

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