

CASE REPORT

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Allogenic V γ 9V δ 2 T cell as new potential immunotherapy drug for solid tumor: a case study for cholangiocarcinoma

Mohammed Alnaggar^{1,2†}, Yan Xu^{1†}, Jingxia Li¹, Junyi He¹, Jibing Chen^{2,3}, Man Li¹, Qingling Wu¹, Li Lin¹, Yingqing Liang³, Xiaohua Wang³, Jiawei Li¹, Yi Hu¹, Yan Chen¹, Kecheng Xu^{2,3*†}, Yangzhe Wu^{1*†} and Zhinan Yin^{1*†}

Abstract

Background: Cholangiocarcinoma (CCA) is a highly aggressive and fatal tumor. CCA occurs in the epithelial cells of bile ducts. Due to increasing incidences, CCA accounts for 3% of all gastrointestinal malignancies. In addition to comprehensive treatments for cancer, such as surgery, chemotherapy, and radiotherapy, during the past few years, cellular immunotherapy has played an increasingly important role. As a result of our research, we have discovered the $\gamma\delta$ T cell-based immunotherapy for CCA.

Case presentation: A 30-year-old male (<https://www.clinicaltrials.gov/> ID: NCT02425735) was diagnosed with recurrent mediastinal lymph node metastasis after liver transplantation because of Cholangiocarcinoma (stage IV). In the course of his therapy sessions, he only received allogenic $\gamma\delta$ T cell immunotherapy from August, 2017 through February, 2018 (8 infusions in total). $\gamma\delta$ T cells were expanded from peripheral blood mononuclear cells (PBMCs) of healthy donor, and $\sim 4 \times 10^8$ cells were adoptive transferred to the patient.

Conclusion: In the above case report of the Cholangiocarcinoma (stage IV) patient who had received liver transplantation and afterward was diagnosed with recurrent mediastinal lymph node metastasis, we clinically proved that allogenic $\gamma\delta$ T cell treatment had no adverse effects. We observed that allogenic $\gamma\delta$ T cell treatments positively regulated peripheral immune functions of the patient, depleted tumor activity, improved quality of life, and prolonged his life span. After 8 $\gamma\delta$ T cell treatments, the size of lymph nodes was remarkably reduced with activity depletion. This clinical work suggested that allogenic $\gamma\delta$ T cell immunotherapy could be developed into a promising therapy drug for CCA.

Keywords: Gamma delta ($\gamma\delta$) T cells, Immunotherapy, Cholangiocarcinoma, Clinical trial

Introduction

Cholangiocarcinoma (CCA) is the most common malignancy of the biliary tree; it may cause fatal consequences in a short period of time [1–3]. Currently, the pathogenesis of this disease has not yet been clearly defined, although high-risk factors, such as Primary Sclerosing Cholangitis (PSC), fibrous polycystic liver, intrahepatic bile

duct stones, parasitic infections, hepatitis B virus infection, chemical carcinogen exposure, diabetes, and smoking were reported to be probably related to CCA incidences [4, 5]. CCA is highly aggressive and metastatic; statistics have shown an approximate median survival of 24 months [6, 7].

For recurrent CCA, however, the median survival is only 9 months, and the five-year survival is less than 5% [8].

Because of poor efficacy results and prognoses of existing treatments for malignant cancer, the most up-to-date treatments are continually being researched, or under clinical trials. Among new developing therapeutics, immune cell therapy is emerging as an important alternative for malignant cancer treatment, particularly after the success of

* Correspondence: xukc@vip.163.com; tyzww@jnu.edu.cn; zhinan.yin@yale.edu

[†]Kecheng Xu, Yangzhe Wu and Zhinan Yin contributed equally to this work.

[†]Mohammed Alnaggar and Yan Xu share the first authorship.

²Department of Oncology, Fuda Cancer Hospital, School of Medicine, Jinan University, Guangzhou 510665, Guangdong, People's Republic of China

¹Biomedical Translational Research Institute and The First Affiliated Hospital, Jinan University, 601 W Ave Huangpu, Guangzhou 510632, Guangdong, People's Republic of China

Full list of author information is available at the end of the article



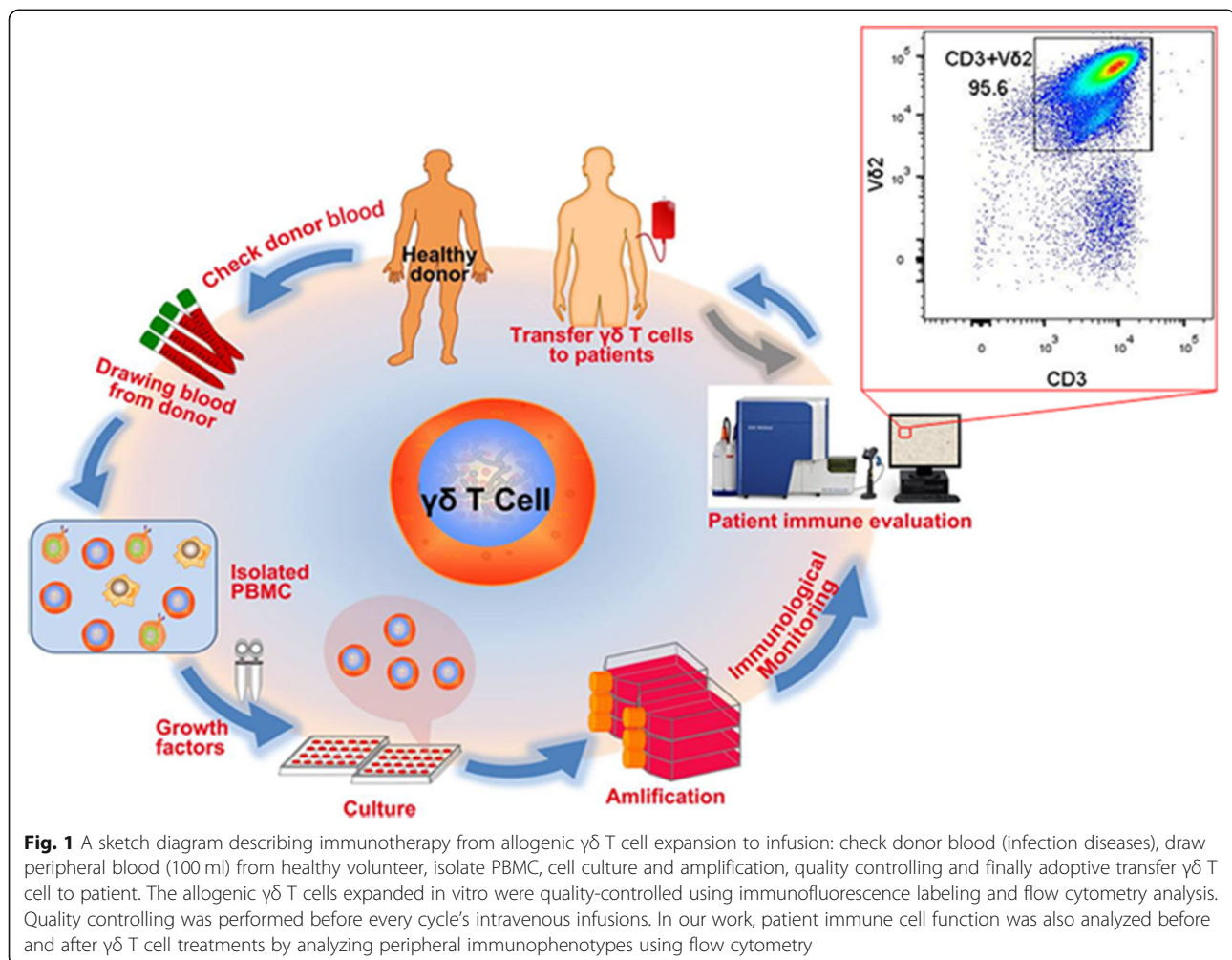
CD19 CAR-T [9, 10]. However, for all existing adoptive immune cell therapy, autologous T cells were applied because of MHC restriction. Until present, there have been no reports concerning allogenic T cell applications regarding clinical safety or efficacy. As for $\gamma\delta$ T cells, all previous reported works only focused on autologous cells (in vitro or in vivo expansion strategy) as well [11–20].

In this report, we applied allogenic $\gamma\delta$ T cells (V γ 9V δ 2 subsets) as a new type of immune cell therapy to treat CCA. To our knowledge, our work provided the first paradigm on using allogenic $\gamma\delta$ T cells to treat cancer. Previously, literatures have demonstrated that $\gamma\delta$ T cells are the “first line of defense” as an antitumor effector cell [21, 22], for instance, $\gamma\delta$ T cells provide an early source of IFN- γ in the tumor microenvironment [23]. Unlike $\alpha\beta$ T cells, $\gamma\delta$ T cells recognize antigens in a non-MHC restriction manner. Molecules like LFA, NKG2D, CD16, and others play key roles in $\gamma\delta$ T cell recognition and killing of cancer cells. Altogether, $\gamma\delta$ T cells could be a promising candidate for cancer immunotherapy [24–26].

In addition, for the first time via this clinical trial study for CCA, we discovered evidence that allogenic $\gamma\delta$ T cells in immunotherapy are clinically safe and risk-free. In this case, the patient only received allogenic $\gamma\delta$ T cell treatments. We did not observe any side effects after cell infusions, and more strikingly, peritoneal lymph node metastasis was depleted. Currently, the patient's condition is completely released and stable. The Regional Ethics Committee of Guangzhou Fuda Cancer Hospital approved the study protocol (Approval ID 2017–02). Written informed consent was obtained from the participant, in accordance with the *Declaration of Helsinki*. And [ClinicalTrials.gov](https://clinicaltrials.gov) ID: NCT02425735.

Case report and methods

A 30-year-old man was diagnosed as Cholangiocarcinoma with mediastinal lymph node metastasis stage IV. In July 2013, he received treatment at a local hospital for Crohn's disease. In Nov. 2014, he received a liver transplantation; a huge tumor at hepatic portal



was intraoperatively resected. The postoperative pathology report revealed a liver and hepatic portal poorly-differentiated adenocarcinoma with unresectable Cholangiocarcinoma metastasized to lymph nodes.

The MRI scan performed on Feb. 24th, 2015 showed a lesion in patient's liver, therefore, he received lymph node resection on Apr. 13th, 2015. From Jun. 13th, 2015 to Aug. 14th, 2015, the patient received radiotherapy for hepatic portal and the area adjacent to inferior vena cava, with a total dosage of 45Gy. Afterward, the patient did not receive any further anti-cancer treatments, except follow-up visits. The PET/CT collected on Apr. 15th, 2016, showed lesions in mediastinum and liver. On Jun. 29th, 2016, the patient came to the Fuda Cancer Hospital. Firstly, aspiration biopsy was conducted and 10 I¹²⁵ was seeded into the mediastinal tumor. On June 2017, when the patient came back the Fuda Cancer Hospital for follow-up check-up, biopsy result showed recurrent abdominal lymph node metastasis by experts' consultation, therefore starting from June 2017, the patient only received $\gamma\delta$ T cell immunotherapy to control his lesions, and the first $\gamma\delta$ T cell infusion was scheduled on August 2017.

Immunotherapy

100 ml of blood was donated by a donor who had passed a health examination that included a check

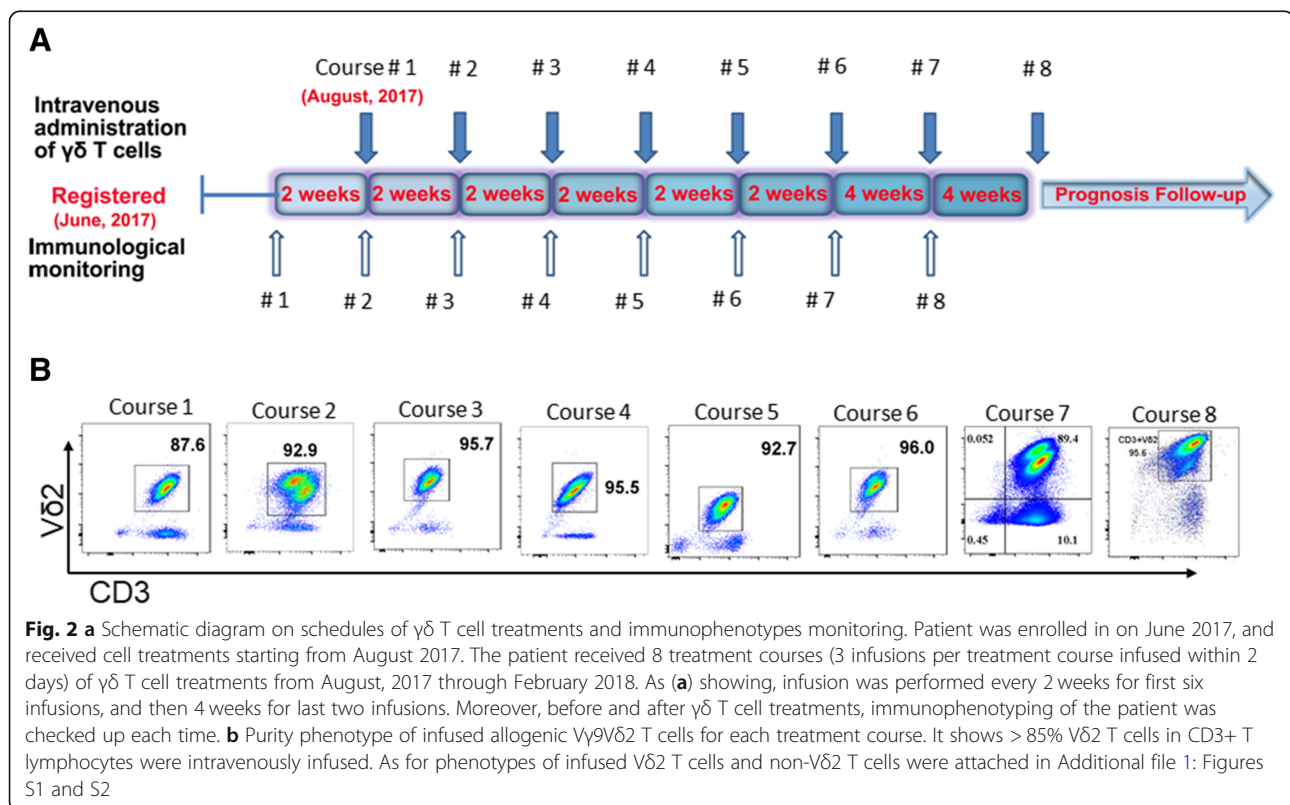
for infectious diseases. Following this procedure, a cell culture formula, which we developed (patent pending) that included zoledronic acid and a variety of interleukin was applied specifically to expand V γ 9V δ 2 T cells in vitro (culture media components and mechanism will be discussed in detail in our preparing article). With this formula, we can generally obtain 300–400 million of V δ 2 T cells at ~12 days. Figure 1 shows a brief illustration on cell expansion and cell quality control as well as cell reinfusion, and Fig. 2 indicates schedules of $\gamma\delta$ T cell treatments and immunophenotypes monitoring (Additional file 1: Figure S1 and S2).

Immunophenotype evaluation

5 mL of peripheral blood was extracted from the patient each time, 1–3 days before receiving V δ 2 T cell treatment. Peripheral blood monocyte cells (PBMC) were isolated using the Ficoll recipe. Then immunofluorescence labeled cells were analyzed using flow cytometry (FACSanto™ II; BD Biosciences, San Jose, CA, USA). The analyzed immune cells mainly included T lymphocytes, NK cells, and $\gamma\delta$ T cells.

Tumor monitoring by MRI imaging and follow-up

During V δ 2 T cell treatment, tumor was routinely evaluated by using MRI imaging to monitor tumor size/area



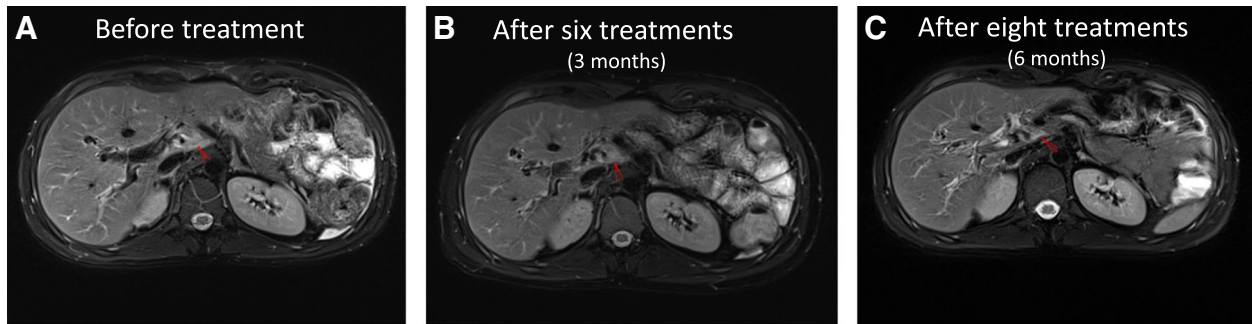


Fig. 3 Upper abdominal MRI examinations were taken at 3 time points, **a** 2 weeks before treatment, **b** 3 month's clinical effect post treatment and **c** 6 months clinical effect post treatment. In this figure, we show representative MRI images obtained before entry into the clinical trial and after the 8th treatment course

changes by the largest transverse diameter, particularly before and after treatment. The patient received plain and enhanced MRI 2 weeks before treatment, and then scanned periodically at the 3rd and 6th months after treatment.

Results

Firstly, from the MRI images (Fig. 3), we can see that the size of the lymph nodes is markedly reduced, visualizing that lymph nodes metastases of the patient were gradually eliminated with increasing infusion times of

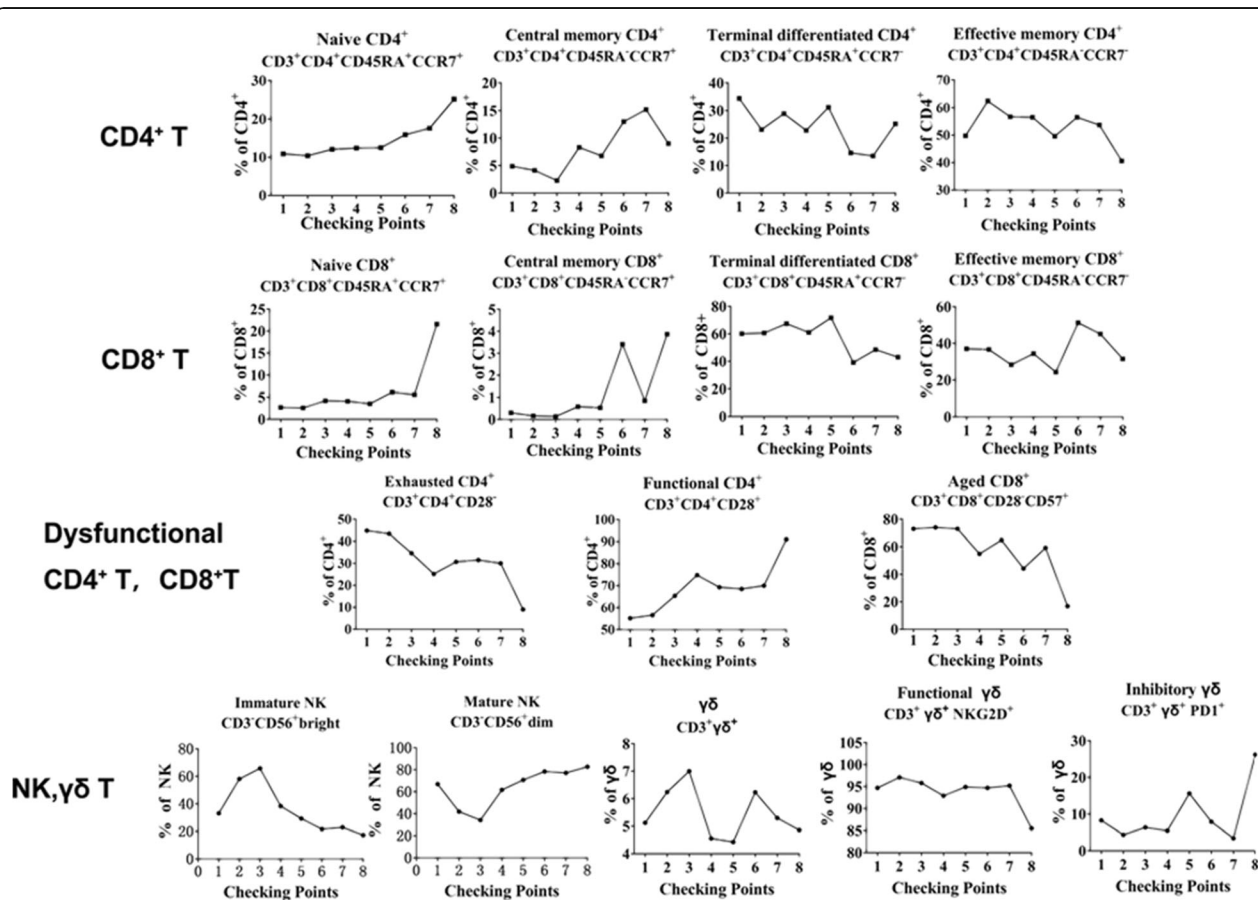


Fig. 4 The changes in immunophenotyping before and after ('1' - '8') $\gamma\delta$ T cell treatments. The results showed that $\gamma\delta$ T cell therapy could greatly improve immunity by regulating the immunological functions of peripheral immune cells, as the administration of $\gamma\delta$ T cells was associated with an increase of the functional CD3 + CD4 + CD28+ T cells and CD3 + CD8 + CD28+ T cells, and with a decrease of CD3 + CD4 + CD28- T cells and CD3 + CD4 + CD28-CD57+ T cells. In these graphs, checking point '1' means immunophenotyping without $\gamma\delta$ T cell treatment, while checking points '2' - '8' stand for immunophenotyping from the first time to the seventh $\gamma\delta$ T cell treatments

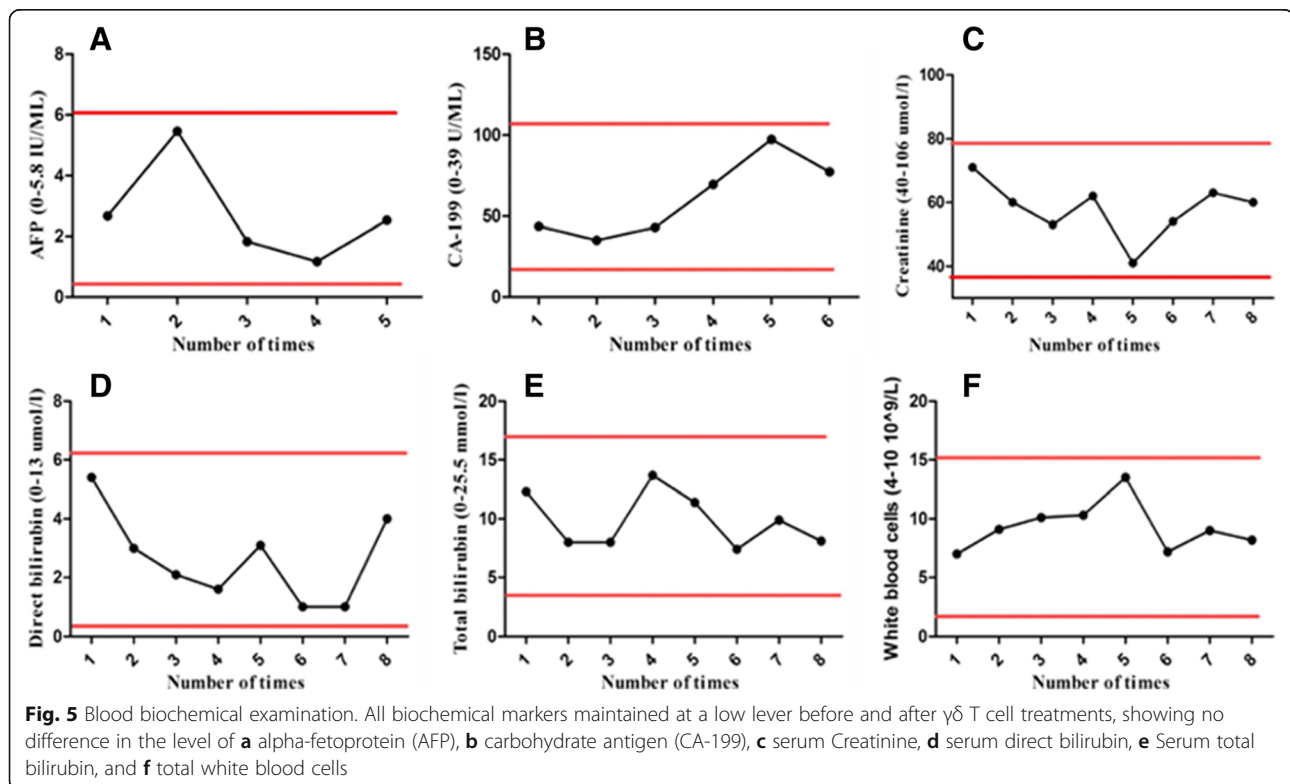
V δ 2 T cells. Such visual images indicated that the patient greatly benefited from allogenic V γ 9V δ 2 T cell treatment in this case. Then, the immunophenotypes of the patient before and after $\gamma\delta$ T cell treatment were analyzed (Fig. 4). We evaluated immunophenotype variations of CD4+, CD8+, NK, and $\gamma\delta$ T cells using immunofluorescence labeling and flow cytometry. The results showed that $\gamma\delta$ T cell therapy could greatly improve immunity by regulating the immunological functions of these immune cells, as the administration of $\gamma\delta$ T cells was associated with an increase of the functional CD3 + CD4 + CD28+ T cells and CD3 + CD8 + CD28+ T cells, and decrease of CD3 + CD4 + CD28- T cells and CD3 + CD4 + CD28-CD57+ T cells. It should be mentioned that, the patient was oroling Rapamune 2 mg, Ursofalk 500 mg once a day, these two drugs serve as anti-transplant rejection since the patient received liver transplantation.

Biochemical examination results clearly demonstrated that allogenic V γ 9V δ 2 T cells were safe for immunotherapeutic application (Fig. 5). We noticed that the expression of tumor marker molecule was maintained at a low level during $\gamma\delta$ T cell treatment, and there was no liver function impairment. This was consistent with the stable physical condition and sound prognosis of the patient. Altogether, this clinical trial study clearly evidenced that there were no observed complications related to $\gamma\delta$ T cell infusion.

Discussion

Because $\gamma\delta$ T cells can bridge the gap between innate and adaptive immune systems and are critical in surveillance and defense of tumorigenesis and infection, $\gamma\delta$ T cell-based immunotherapy could be developed into a promising treatment for tumor control or elimination [24–30], particularly for diseases refractory to traditional treatments (surgery, chemotherapy and radiotherapy). It's known that $\gamma\delta$ T cells can recognize target cells (cancer cells or pathogen-infected cells) in a MHC independent way, which implicates with the immunological mechanism of high allogeneic safety of $\gamma\delta$ T cells [31]. This clinical trial study also clearly evidenced that there were no observed complications related to $\gamma\delta$ T cell infusion.

In this report, we evaluated the safety and efficacy of allogenic V γ 9V δ 2 T cells for the first time as a new type of immunotherapy to treat a patient (stage IV Cholangiocarcinoma and liver transplanted) with recurrent mediastinal lymph node metastasis. The patient received $\gamma\delta$ T cell treatment every 2 weeks for the first six treatments, and every 4 weeks for the last two treatments (between August, 2017 and February, 2018) (Fig. 2). Clinical results clearly demonstrated that allogenic V γ 9V δ 2 T cells were safe for immunotherapeutic application, and that allogenic V γ 9V δ 2 T cell treatment eliminated tumor metastases in this case (Fig. 3). Firstly, from



the MRI images (Fig. 3), we can see that the size of the lymph nodes is markedly reduced, visualizing that lymph nodes metastases of the patient were gradually eliminated with increased infusion times of V δ 2 T cells. Then, the immunophenotypes of the patient before and after $\gamma\delta$ T cell treatment were analyzed (Fig. 4). We evaluated immunophenotypes of CD4+, CD8+, NK, and $\gamma\delta$ T cells using immunofluorescence labeling and flow cytometry. We found that $\gamma\delta$ T cell therapy could greatly improve immunity by regulating $\alpha\beta$ T cells and NK cells. For instance, it could elevate ratio of naïve, functional CD4+, and CD8+ T cells, and reduce exhausted and aged CD4+, CD8+ T cells, and so on (Fig. 4). Previous literatures [21, 26, 32, 33] proposed that $\gamma\delta$ T cells can regulate other immune cells including potentiating functions of CD4+, CD8+ T cells, maturing dendritic cells and activate neutrophils. As a further step, our work here revealed that V δ 2 subpopulation transfer therapy can affect $\alpha\beta$ T cell differentiation and NK maturation, particularly, for example, by reducing exhausted and aged $\alpha\beta$ T cells and elevating functional $\alpha\beta$ T cells. Additionally, according to Fig. 5, we noticed that the expression of tumor marker molecules AFP and CA-199 was maintained at a low level during $\gamma\delta$ T cell treatment, with no observed impaired liver functions. This is consistent with the stable physical condition and sound prognosis of the patient.

In conclusion, in this case report, we conducted allogenic $\gamma\delta$ T cell immunotherapy of Cholangiocarcinoma for the first time. The clinical outcome evidenced that allogenic $\gamma\delta$ T cell therapy was very safe and displayed reliable efficacy in liver cancer treatment. This exciting trial opened a new window for cancer immunotherapy and could inspire more clinical trial studies, based upon allogenic $\gamma\delta$ T cell. Allogenic $\gamma\delta$ T cells could be developed into a very promising ‘immune drug’ for malignant tumor therapy. Our report will undoubtedly represent the next frontier for immunotherapeutic innovations in cancer research and treatment.

Additional file

Additional file 1: Figure S1. Purity of infused allogenic V γ 9V δ 2 T cells of all 8 treatment courses is > 85%. According to flow cytometry data, rest non V δ 2 T cells including V δ 1 T cells, NK cells, B cells, NKT cells, CD8⁺T cells, CD4⁺T cells, CD4⁺CD8⁺T cells, and CD4⁺CD8⁺T cells.
Figure S2. Molecular phenotypes of allogenic V γ 9V δ 2 T cells cultured using our developed specific culture formula, showing high expression of killing related molecules (like NKG2D, IFN- γ , TNF- α , CD107a) and low expression of inhibitory molecules like PD-1. (PPTX 255 kb)

Abbreviations

CCA: Cholangiocarcinoma; I¹²⁵: Iodine-125; PBMCs: Peripheral blood mononuclear cells; PSC: Primary Sclerosing Cholangitis; $\gamma\delta$: Gamma delta

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Availability of data and materials

No data sets were generated or analyzed for inclusion in this report.

Authors’ contributions

Protocol design: ZNY, YZW, KCX, MA, and JBC. Clinical therapy of the patient: KCX, MA, and JBC. Immuno-function testing and statistics analysis: YX, JXL, JYH, QLW, LL, ML, JWL, YC, and YH. Cell culture and quality control: YQL and XHW. Manuscript drafting: MA. Manuscript writing, revision and proof-reading: YZW, ZNY. All authors contributed to results discussion and confirmation of clinical protocol, and approved manuscript submission.

Ethics approval and consent to participate

The study protocol received ethical approval from the Regional Ethics Committee of Guangzhou Fuda Cancer Hospital, China. Written informed consent was obtained from participant in accordance with the Declaration of Helsinki, and [ClinicalTrials.gov](https://clinicaltrials.gov) ID: NCT02425735.

Consent for publication

Not applicable.

Competing interests

The IND for allogenic $\gamma\delta$ T cell application in clinical therapy is filling in both PR China and USA.

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Author details

¹Biomedical Translational Research Institute and The First Affiliated Hospital, Jinan University, 601 W Ave Huangpu, Guangzhou 510632, Guangdong, People’s Republic of China. ²Department of Oncology, Fuda Cancer Hospital, School of Medicine, Jinan University, Guangzhou 510665, Guangdong, People’s Republic of China. ³Department of Biological Treatment Center, Fuda Cancer Hospital, School of Medicine, Jinan University, Guangzhou 510665, Guangdong, People’s Republic of China.

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