

# High nitrous oxide fluxes from rice indicate the need to manage water for both long- and short-term climate impacts

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Edited by Paul G. Falkowski, Rutgers, The State University of New Jersey, New Brunswick, NJ, and approved August 3, 2018 (received for review June 11, 2018)

Global rice cultivation is estimated to account for 2.5% of current anthropogenic warming because of emissions of methane (CH<sub>4</sub>), a short-lived greenhouse gas. This estimate assumes a widespread prevalence of continuous flooding of most rice fields and hence does not include emissions of nitrous oxide (N<sub>2</sub>O), a long-lived greenhouse gas. Based on the belief that minimizing CH<sub>4</sub> from rice cultivation is always climate beneficial, current mitigation policies promote increased use of intermittent flooding. However, results from five intermittently flooded rice farms across three agroecological regions in India indicate that N<sub>2</sub>O emissions per hectare can be three times higher (33 kg-N<sub>2</sub>O-ha<sup>-1</sup>·season<sup>-1</sup>) than the maximum previously reported. Correlations between N<sub>2</sub>O emissions and management parameters suggest that N<sub>2</sub>O emissions from rice across the Indian subcontinent might be 30–45 times higher under intensified use of intermittent flooding than under continuous flooding. Our data further indicate that comanagement of water with inorganic nitrogen and/or organic matter inputs can decrease climate impacts caused by greenhouse gas emissions up to 90% and nitrogen management might not be central to N<sub>2</sub>O reduction. An understanding of climate benefits/drawbacks over time of different flooding regimes because of differences in N<sub>2</sub>O and CH<sub>4</sub> emissions can help select the most climate-friendly water management regimes for a given area. Region-specific studies of rice farming practices that map flooding regimes and measure effects of multiple comanaged variables on N<sub>2</sub>O and CH<sub>4</sub> emissions are necessary to determine and minimize the climate impacts of rice cultivation over both the short term and long term.

rice | nitrous oxide | methane | alternate wetting and drying | water

Rice (*Oryza sativa*) is a staple for nearly one-half of the world's seven billion people (1) and thus deserves special attention with respect to interactions with a changing climate. Rice farming provides a livelihood to ~145 million households (1), who in turn utilize for 11% of arable land, one-third of irrigation water (1), and at least one-seventh of fertilizers globally (2). Rice cultivation results in enhanced methane (CH<sub>4</sub>) and nitrous oxide (N<sub>2</sub>O) emissions (hereafter, rice-CH<sub>4</sub> and rice-N<sub>2</sub>O, respectively), both potent greenhouse gases (GHGs) that contribute to climate change.

Rice cultivation is currently estimated to emit ~36 MMT CH<sub>4</sub> and contribute 2.5% (~0.1 W·m<sup>-2</sup>) to radiative forcing (3–7). These climate impacts of rice-CH<sub>4</sub> are projected to double by 2100 (8). Nitrous oxide (N<sub>2</sub>O) traps more heat over all time frames compared with CH<sub>4</sub> on a weight basis [100-y global warming potential (GWP<sub>100</sub>) of 298 vs. 34; GWP<sub>20</sub> of 268 vs. 86] and has a longer atmospheric lifetime (121 vs. 12 y) (9). While recent scientific research recognizes that rice-N<sub>2</sub>O needs to be addressed (3, 7, 10–12), policies on climate impacts of rice continue to assume that rice-N<sub>2</sub>O is negligible or small at <10%

of the total CO<sub>2</sub>e<sub>100y</sub> even under intermittently flooded conditions (13–15). None of the major rice-producing countries, including the two leading rice producers, China and India (16, 17), officially report rice-N<sub>2</sub>O or related emission factors in their national GHG inventories submitted to the United Nations (3). Crucially, most policy recommendations on rice management that include consideration of climate impacts focus on reducing rice-CH<sub>4</sub> by alternate wetting and drying (AWD), also called intermittent flooding. Water levels during intermittent flooding are typically allowed to fall to 15 cm below the soil surface before another round of irrigation (13–15). The only notable global policy guidance document to recognize rice-N<sub>2</sub>O is a recent modeling-based report (18), which suggested that, globally, neglecting contribution of soil carbon, rice-N<sub>2</sub>O contributes 25% to the GHG impact of rice cultivation on a CO<sub>2</sub>e<sub>100y</sub> basis (9).

Many factors including redox, bioavailable N, and organic C affect the extent of N<sub>2</sub>O formation that occurs primarily due to microbial nitrification–denitrification. Most research done to capture rice-N<sub>2</sub>O to date has been performed at farms with

## Significance

Methane from global rice cultivation currently accounts for one-half of all crop-related greenhouse gas emissions. Several international organizations are advocating reductions in methane emissions from rice by promoting intermittent flooding without accounting for the possibility of large emissions of nitrous oxide (N<sub>2</sub>O), a long-lived greenhouse gas. Our experimental results suggest that the Indian subcontinent's N<sub>2</sub>O emissions from intermittently flooded rice fields could be 30–45 times higher than reported under continuous flooding. Net climate impacts of rice cultivation could be reduced by up to 90% through comanagement of water, nitrogen, and carbon. To do this effectively will require a careful ongoing global assessment of N<sub>2</sub>O emissions from rice, or we will risk ignoring a very large source of climate impact.

Author contributions: K.K., T.K.A., T.L., D. Anandaraj, D. Athiyaman, M.R., and R.A. designed research; D.N., S.B., O.D., K.R., A.S.R., M.M., and R.V.D. performed research; K.K., D.Z.-A., and J.P. contributed new reagents/analytic tools; K.K., D.N., D.Z.-A., J.P., T.L., T.E., S.B., O.D., K.R., and A.S.R. analyzed data; and K.K., D.Z.-A., J.P., J.R., R.A., and S.P.H. wrote the paper.

The authors declare no conflict of interest.

This article is a PNAS Direct Submission.

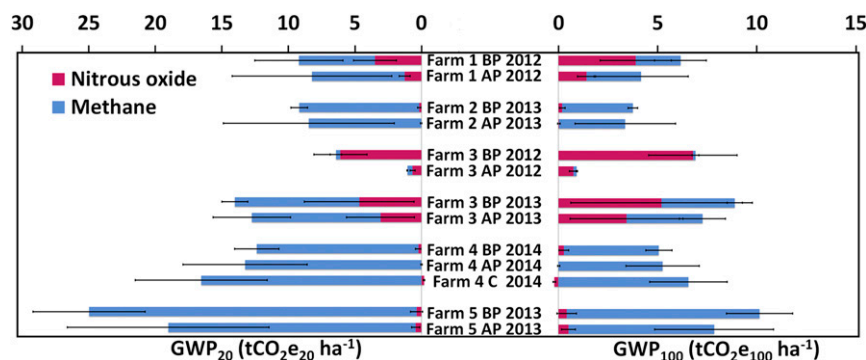
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This article contains supporting information online at [www.pnas.org/lookup/suppl/doi:10.1073/pnas.1809276115/-DCSupplemental](https://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1809276115/-DCSupplemental).

Published online September 10, 2018.





**Fig. 1.** Average  $\text{N}_2\text{O}$  and  $\text{CH}_4$  fluxes. The GWP of  $\text{N}_2\text{O}$  is three and nine times higher than  $\text{CH}_4$  over 20 and 100 y, respectively. Therefore, the climate impacts of  $\text{N}_2\text{O}$  are more dominant than those of  $\text{CH}_4$  in the longer term (i.e., 100 vs. 20 y). The error bars represent the  $\pm 95\%$  confidence interval.

cumulative flooding as observed using field-water tubes (*Methods*, *SI Appendix*, *SI Text*, section 3, and Figs. S3–S14, and *Dataset S1*, Table 32). Our high-intensity sampling allowed us to see “delayed”  $\text{N}_2\text{O}$  peaks even 30 d after N addition (*SI Appendix*, *SI Text*, section 4) potentially caused by N made bioavailable via mineralization through successive aeration events.

### Parameters Affecting Rice- $\text{N}_2\text{O}$

When individual management and soil characteristics were considered, rice- $\text{N}_2\text{O}$  was positively correlated with added inorganic N and soil texture, and negatively correlated with extent of flooding and added OM (two variables usually positively correlated with rice- $\text{CH}_4$ ) (11, 27) (*SI Appendix*, Figs. S17–S22 and *Dataset S1*, Tables 32 and 34). However, the following multiple-regression model explained most of the observed variability in seasonal rice- $\text{N}_2\text{O}$  ( $P$  value  $< 0.001$ , adjusted  $R^2 = 0.80$ ; *SI Appendix*, *SI Text*, section 5, and Fig. S32):

$$\text{N}_2\text{O} = -0.01 * (\text{water index}) - 0.91 * (\text{flood events}_{>3 \text{ days}}) + 0.02 * \text{N}_{\text{inorganic}} + \epsilon_1 \quad [1]$$

Here,  $\text{N}_2\text{O}$  represents emissions in kilograms-N-hectare $^{-1}$ ·season $^{-1}$ , flood events $_{>3 \text{ d}}$  is the number of times a plot had flooding ( $>0$ -cm water level) for  $>3$  d,  $\text{N}_{\text{inorganic}}$  is inorganic N input in kilograms-hectare $^{-1}$ , and  $\epsilon_1$  is statistical error (*SI Appendix*, Fig. S29 and *Dataset S1*, Table 35). Water index, a measure of cumulative extent of flooding and the sum of daily water levels in a vertical field water tube (FWT), emerged as the most significant predictor of  $\text{N}_2\text{O}$ . Flood events $_{>3 \text{ d}}$ , another water use variable, described the number of multiple aeration events for a given water index. When there were frequent long ( $>3$  d) flood events but lesser short ( $<3$  d) flood events, there was a reduction in aeration events and rice- $\text{N}_2\text{O}$ . The variable flood events $_{>3 \text{ d}}$  is noncorrelated with water index (*SI Appendix*, Fig. S23). Given the focal importance of water management regimes to rice- $\text{N}_2\text{O}$ , we are introducing definitions of mild-, medium-, and intense-intermittent flooding regimes based on the ranges of water indices and number of flood events in *SI Appendix*, Fig. S1.

Data from individual farms clearly indicate that OM addition suppresses and/or delays the emergence of a  $\text{N}_2\text{O}$  peak despite low water index (*SI Appendix*, *SI Text*, section 5, and Fig. S20 and *Dataset S1*, Table 30). In addition, many  $\text{N}_2\text{O}$  and  $\text{CH}_4$  peaks were associated with drainage events (*Dataset S1*, Tables 30 and 31), but  $\text{N}_2\text{O}$  flux at some farms with high OM inputs did not increase with drainage. However, added OM was not included in our final model because it did not add any additional statistical power to the best-fit multiple regression model (*Methods*). Organic inputs are known to decrease  $\text{N}_2\text{O}$  flux for both rice and nonrice farms under N-limitation by delaying mineralization of mineral-N when the C/N ratio of OM is high, improving either N-incorporation in microbial biomass or promoting conversion of  $\text{N}_2\text{O}$  to  $\text{N}_2$  (29–31).

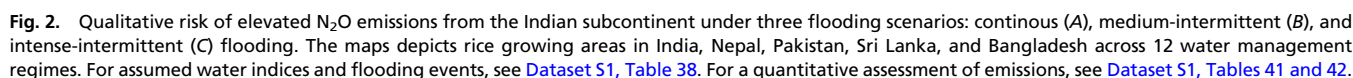
### The Risk of Enhanced Rice- $\text{N}_2\text{O}$ in the Indian Subcontinent

Because intermittent flooding is being actively promoted to reduce rice- $\text{CH}_4$  through policy frameworks at national and international levels (13–15), our research should be replicated in other regions to determine the implications of our findings on the potential magnitude of global rice- $\text{N}_2\text{O}$ . While extrapolation of region-specific findings to additional agroecological regions should be done with caution (*SI Appendix*, *SI Text*, section 8), we examine the potential implications of policies which ignore large rice- $\text{N}_2\text{O}$  emissions from intermittently flooded farms on the Indian subcontinent.

We investigated potential rice- $\text{N}_2\text{O}$  by exploring the impact of deploying three hypothetical flooding scenarios (continuous, medium-intermittent, and intense-intermittent flooding for irrigated farms; *SI Appendix*, Fig. S1) on the Indian subcontinent using our multiple-regression model (Eq. 1). We explored the climate implications among 12 classes of water management regimes in the subcontinent (*SI Appendix*, Fig. S36) (32) using spatially explicit data detailing rice-specific inorganic fertilizer use (33). *Dataset S1*, Table 38 presents water index and flooding events $_{>3 \text{ d}}$  assumptions for each management class and each flooding scenario.

As expected, our results suggest that rainfed and upland farms are at risk for elevated rice- $\text{N}_2\text{O}$ , while deepwater and wetland rice cropping systems are much less susceptible to such emissions (Fig. 2). Two recent modeling studies of India suggest emissions of 18,000 tons  $\text{N}_2\text{O}$ ·y $^{-1}$ , assuming 90% of rice production is under continuous flooding (34), and 250,000 tons  $\text{N}_2\text{O}$ ·y $^{-1}$ , assuming 70% is under midseason drainage (18). When we use the same rate of N addition (69 kg N·ha $^{-1}$ ) and similar water management (i.e., mild-intermittent flooding; *Dataset S1*, Table 38) as used by the earlier model-based study (18), our model suggests Indian rice- $\text{N}_2\text{O}$  at  $\sim 230,000$  tons  $\text{N}_2\text{O}$ ·y $^{-1}$  close to the estimate of 250,000 tons  $\text{N}_2\text{O}$ ·y $^{-1}$  under midseason drainage. However, under medium- or intense-intermittent flooding regimes, which are more common than previously acknowledged and might be becoming more frequent due to water stress and AWD guidelines, our model predicts a higher range of 530,000–790,000 tons  $\text{N}_2\text{O}$ ·y $^{-1}$  for rice- $\text{N}_2\text{O}$  in India (*Methods* and *Dataset S1*, Tables 12 and 13). Similarly, our estimates of rice- $\text{N}_2\text{O}$  for the entire Indian subcontinent under more intensely intermittent flooding conditions are 1.5–2 times higher than under mild-intermittent flooding (18) and 30–45 times higher than under continuous flooding (34) (*Dataset S1*, Tables 39 and 40). Rice- $\text{N}_2\text{O}$  from the Indian subcontinent according to our model is higher than previously reported as a result of (i) high  $\text{N}_2\text{O}$  fluxes under intensely intermittent flooding, (ii) higher number of water management classes (32) that assume intense forms of intermittent flooding compared with an assumption of continuous flooding (34) or midseason drainage (18), and (iii) a higher and geospatially variable inorganic N addition rate of  $102 \pm 48$  (SD) kg N·ha $^{-1}$  based on more up-to-date data (33) compared with a fixed quantity of 69 kg N·ha $^{-1}$  (18). Even without any geospatial modeling, the emission factors for intermittently flooded farms developed in this study





Given the International Rice Research Institute's latest global estimate that ~60% of global rice area is irrigated (36) and thus susceptible to high rice-N<sub>2</sub>O under intensely intermittent flooding regimes, there is a need for further research to fully understand the net climate benefits of promoting intermittent flooding for short-term climate mitigation.

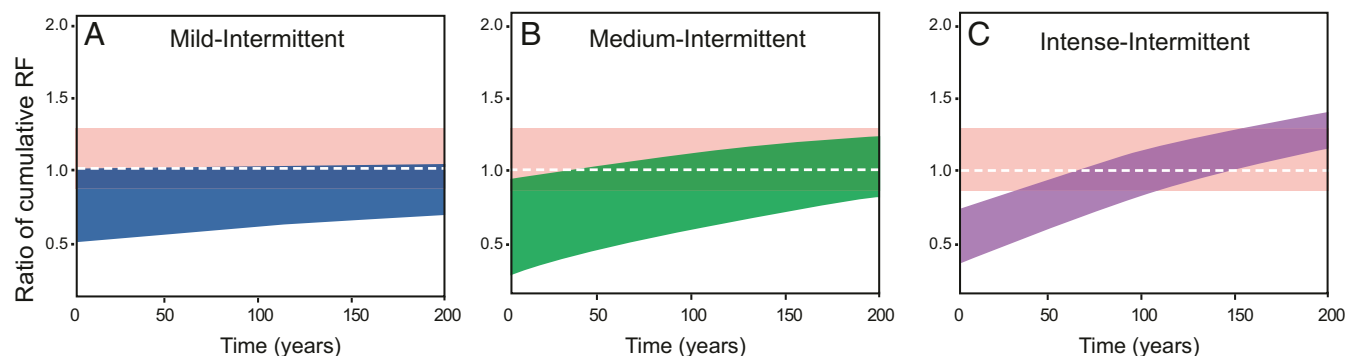
In contrast to rice-N<sub>2</sub>O, rice-CH<sub>4</sub> was positively correlated with parameters that reflect flooding extent and amount of soil OM (Dataset S1, Table 36), consistent with past findings that the lowest CH<sub>4</sub> fluxes are recorded on farms with multiple aeration events and poor soils (37). The following best-fit model explained our seasonal rice-CH<sub>4</sub> data ( $P$  value < 0.001, adjusted  $R^2$  = 0.91):

Here,  $\text{CH}_4$  represents emissions in kilograms  $\text{CH}_4 \cdot \text{hectare}^{-1} \cdot \text{season}^{-1}$ ,  $\text{flood events}_{>3 \text{ d}}$  is the number of times a plot had  $>0\text{-cm}$  water level for  $>3 \text{ d}$ , SOM is soil OM in percentage, and  $\epsilon_2$  is statistical error (*SI Appendix*, Fig. S30 and Dataset S1, Table 37). Unlike SOM, we did not observe a consistently positive correlation of rice- $\text{CH}_4$  with organic inputs corroborating previous studies on intermittently flooded farms (27) (*SI Appendix*, *SI Text*, section 6, and Fig. S28).

An analysis based on Eqs. 1 and 2 shows that when water management shifts from continuous to mild-intermittent flooding and N is reduced from 250 to 150 kg-ha<sup>-1</sup>, a 60% reduction in net climate impacts can be achieved ([Dataset S1, Tables 39 and 40](#)). Compared with BPs, APs provided a 10–90% (0.4–6.0

Notably, existing AWD-based guidelines to mitigate climate impacts of rice assume that rice- $\text{N}_2\text{O}$  can be controlled primarily by efficient fertilizer use (3, 15). Our data, however, show that reducing fertilizer use might not be central to managing rice- $\text{N}_2\text{O}$  (*SI Appendix, Figs. S18, S21, and S24*). Our model suggests that, as the extent of intermittent flooding increases (i.e., water index and flood events<sub>3d</sub> decrease), the contribution of fertilizer-N to  $\text{N}_2\text{O}$  decreases (Eq. 1, Compare *Dataset S1, Tables 39 and 40*). In farms with very high N use, reducing N bioavailability by decreasing N or increasing OM use will still be crucial to reducing rice- $\text{N}_2\text{O}$  (*SI Appendix, SI Text, section 7*). Previous work shows that addition of N right before prolonged flooding can significantly reduce rice- $\text{N}_2\text{O}$  (38), but the prolonged flooding option is not easily available in water-stressed areas. With respect to OM addition, recommendations are frequently based on the well-documented impact of OM on rice- $\text{CH}_4$  under continuous flooding (27). Our results provide a basis for developing OM management recommendations to limit rice- $\text{N}_2\text{O}$  under intermittently flooded conditions (*SI Appendix, SI Text, section 7*).

Moving beyond the evaluation of climate impacts at two distinct times, the technology warming potential (TWP) framework (39) integrates GWPs over time and allows an easy way to visualize trade-offs between GHGs with different radiative forcing and residence times. Here, we extend the use of the TWP framework to rice cultivation. Fig. 3 presents the relative cumulative climate impacts of four hypothetical flooding regimes compared with a



**Fig. 3.** Temporal analysis of climate impacts of four hypothetical irrigated water management classes. Each water management regime is represented by a fixed water index and range of flood events<sub>>3 d</sub> and is presented relative to a fixed “base case” (continuous flooding, water index = 500, flood events<sub>>3 d</sub> = 6; represented by the red line). The ratios of cumulative radiative forcing relative to the base case are shown on the y axis, and continuous flooding regimes (red band; water index = 500, flood event 5–8) are compared with mild (blue band; water index = −100, flood events 2–6), medium (green band; water index = −600, flood events 0–5), and intense (purple band; water index = −1,200, flood events 0–3) intermittent regimes in A–C, respectively. The ratio of cumulative radiative forcing values below 1 (red line) represent climate benefit relative to the fixed base case with the width of the shaded regions reflecting the variability in climate impacts for a given water index depending on the number of flood events. The lowest number of flood events are at the lower band edge, and the highest number of flood events at the top edge, because the less flood events<sub>>3 d</sub> cause net lower GWP (Eqs. 1 and 2). These ratios of cumulative radiative forcings change with time on x axis. Intense intermittent regimes cross over and have more cumulative climate impact than our base case within 60–100 y. Medium intermittent regimes with many flood events<sub>>3 d</sub> could cross over as early as 40 y. However, medium intermittent scenarios with very few flood events<sub>>3 d</sub> or mild intermittent scenarios might never have more climate impact than the chosen base case.

continuous-flooding “base case,” assuming a constant and continuous flux of both N<sub>2</sub>O and CH<sub>4</sub> for 200 y (*SI Appendix, SI Text, section 9*, and *Dataset S1, Tables 39 and 40*). For each flooding regime, the climate impacts of N<sub>2</sub>O continue to add to the long-term radiative forcing because it is a long-term climate forcer as opposed to CH<sub>4</sub> whose climate impacts are predominately in the short term.

The extent of climate impacts of different flooding regimes compared with the base case of continuous flooding varies over time and with water management. The comparison of continuous flooding regimes with different intermittent flooding regimes shows that, in general, relatively shallow (e.g., mild-intermittent, water index  $\sim -100$ ) flooding can reduce the long- and short-term climate impacts of rice cultivation compared with continuous flooding regimes (Fig. 3A). At lower water indices (Fig. 3B and C), however, the climate impacts of reducing CH<sub>4</sub> through water management could be more than offset by N<sub>2</sub>O fluxes within 30 y, especially if the number of flood-events<sub>>3 d</sub> are high.

Regardless of the relative importance of water, nitrogen, and carbon in impacting rice-N<sub>2</sub>O, a temporal analysis of management options for each region can be a powerful tool to visualize climate impacts over both the short term and long term.

## Implications

**Intensive Mapping of Flooding Regimes and Measurement of Rice-N<sub>2</sub>O Is Critical.** Our empirical data show high N<sub>2</sub>O fluxes at medium- and intense-intermittently flooded rice farms, and extrapolation of these observations suggests that many, but not all, rice-growing regions in the Indian subcontinent (and potentially globally) could potentially be experiencing significant rice-N<sub>2</sub>O and concomitant climate impacts (Figs. 1 and 2 and *Dataset S1, Tables 41, 42, and 44*). Increasing pressure on limited water resources, AWD water management, and a changing climate (i.e., higher temperatures and evapo-transpiration rates) could make additional regions susceptible to high N<sub>2</sub>O fluxes. Thus, if we are to understand the climate implications and realistic mitigation potential of climate-smart rice production practices, it is important that rice-N<sub>2</sub>O be intensively measured (*Dataset S1, Table 43*) along with the mapping of actual flooding regimes. We expect rice-N<sub>2</sub>O to be significantly higher than present estimates.

**AWD Is Not Always Climate Beneficial, Especially in the Long Term.** While multiple parameters including carbon and fertilizer use influenced GHG emissions, flooding regimes emerged as the

strongest predictor of the net climate impacts of farm-specific BPs and APs in our study (Eqs. 1 and 2). Two key strategies often proposed to reduce rice-CH<sub>4</sub> [i.e., limiting water and C input (11, 40)] could stimulate N<sub>2</sub>O production (*SI Appendix, Figs. S17–S22 and Dataset S1, Tables 30 and 34*). It is crucial to understand under what conditions this disbenefit of water and C input reduction is important. The assumption by policymakers that AWD with some adjustments in fertilizer use will significantly reduce the net climate impact of rice farms will not always be true (Fig. 3). We need to intensify the study of farm-specific integrated management of inorganic N, OM, and water use with a focus on maximizing rice yields and farm profits while minimizing short- and long-term climate impacts (*SI Appendix, SI Text, section 11*). Based on these data, policies can be adopted that allow robust large-scale implementation of integrated climate-protecting and production-maximizing practices (11, 27, 38).

## Methods

**BPs and APs.** Both baseline and alternative treatments were farm and year specific (Table 1 and *SI Appendix, SI Text, sections 1 and 2*, and *Dataset S1, Tables 4 and 9*). BPs represented management practices implemented by the majority of conventional small-holder rice farmers in the previous year as determined by region-specific farmer surveys before the beginning of each season (*Dataset S1, Tables 3 and 10–23*). The surveys indicated that the farmers were using fertilizer at rates significantly different from those recommended by the local governments and/or academic institutions. The APs were chosen by a consortium of local agronomists, farmers, and nongovernmental organization partners as previously described and has been previously described (41).

**Measurement of GHG Emissions.** Samples collected through a modified manual chamber were analyzed by gas chromatograph to measure N<sub>2</sub>O and CH<sub>4</sub> on 35–65% of days in a growing season with an average minimum detection limit of 18 mg N<sub>2</sub>O·h<sup>−1</sup>·m<sup>−2</sup> and 37 mg CH<sub>4</sub>·h<sup>−1</sup>·m<sup>−2</sup> (*SI Appendix, SI Text, section 2*, and *Dataset S1, Tables 4–9 and 24–29*). The complete methodology including details of unique vertically stacked chambers, access bridges, and temperature and volume corrections is summarized in *SI Appendix, SI Text* (41).

**Water Index.** Water index is the sum of daily water levels (in centimeters) in a FWT in a growing season relative to the soil surface. Water levels were observed between 8 and 11 AM once a day (sampling intensity, 55–100% days in a season; *Dataset S1, Table 2*). The daily water levels represent a snapshot because they dropped quickly (4–15 cm within 24 h) after irrigation (*SI Appendix, SI Text, section 3*).

