

## Research Article

# Retinal Electrophysiology Is a Viable Preclinical Biomarker for Drug Penetration into the Central Nervous System

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**Objective.** To examine whether retinal electrophysiology is a useful surrogate marker of drug penetration into the central nervous system (CNS). **Materials and Methods.** Brain and retinal electrophysiology were assessed with full-field visually evoked potentials and electroretinograms in conscious and anaesthetised rats following systemic or local administrations of centrally penetrant (muscimol) or nonpenetrant (isoguvacine) compounds. **Results.** Local injections into the eye/brain bypassed the blood neural barriers and produced changes in retinal/brain responses for both drugs. In conscious animals, systemic administration of muscimol resulted in retinal and brain biopotential changes, whereas systemic delivery of isoguvacine did not. General anaesthesia confounded these outcomes. **Conclusions.** Retinal electrophysiology, when recorded in conscious animals, shows promise as a viable biomarker of drug penetration into the CNS. In contrast, when conducted under anaesthetised conditions confounds can be induced in both cortical and retinal electrophysiological recordings.

## 1. Introduction

The annual cost of treating central nervous system (CNS) diseases in the United States has grown rapidly from US\$250 billion in 2007 [1] to more than US\$750 billion in 2014 [2]. This growth is expected to further accelerate as longer life expectancy [3] increases the incidence of age-related neurodegenerative disorders [4, 5]. One strategy to reduce the cost involved in CNS drug development is to create preclinical biomarkers that help to triage centrally penetrant compounds in the animal testing phase [6]. Ideally these surrogate measures should be translatable into the clinic to confirm efficacy of compounds in future human trials.

The brain shares many similarities with the retina, the sensory lining of the eye. Both organs are derived from the same tissue during foetal development [7] and show similar blood neural barriers (blood retinal barrier, BRB, and blood-brain barrier, BBB) comprised of comparable tight junctions [8–10]. Blood vessels in the retina and brain are similarly affected by ageing and by many diseases, including diabetes

and high blood pressure [11–14]. Indeed, changes to the retinal vasculature are associated with increased risk of stroke in patients with hypertension [14]. Furthermore, the major neurotransmitters (i.e., glutamate,  $\gamma$ -aminobutyric acid, and glycine) and their associated receptors involved in neural signal transmission are found in both the brain and the retina [15–17]. This raises the possibility that the retina, which is a more accessible organ than the cortex, may provide a viable brain biomarker [18, 19] for testing drug penetration through the BBB.

One way to confirm central penetration is to measure electrophysiological responses from locations of interest in the brain. One example is the electroencephalogram, which has been utilised to test activity of candidate compounds in preclinical and clinical settings [20–22]. Other well-defined electrophysiological measures may also be useful in this regard, including evoked potentials. One evoked potential that may be particularly useful is the electroretinogram (ERG), which is the combined light evoked electrical activity from a range of retinal neurons. Decomposition of the ERG

into its constituent parts provides an index of the function of specific retinal cell classes [23, 24]. Moreover, specific receptor classes produce well-defined and repeatable changes to various components of the ERG response. For these reasons, centrally penetrant CNS drugs that target a receptor type found in both the eye and the brain should produce measurable and specific changes in the ERG. Interestingly, a recent report by Lavoie et al. [25] suggests the possibility of using the ERG as a biomarker of central dopamine and serotonin levels. In addition to the ERG, the visually evoked potential (VEP) may also be useful for this purpose. The VEP is a summation of light evoked excitatory and inhibitory postsynaptic potentials in the visual cortex [26], arising from cortical neurons whose apical dendrites are perpendicularly orientated relative to the scalp [27]. It provides a measure of cortical responsiveness to light originating from the retina serially transmitted via the optic nerve, optic tract, thalamus (lateral geniculate nucleus, LGN), and optic radiation to cortical area VI [28].

Unlike clinical settings, preclinical ERG and VEP recordings are conventionally performed under anaesthesia, which ensures stable placement of electrodes in an animal model. However, anaesthesia can alter neuronal responses [29–32], by acting directly or indirectly on receptor systems [33, 34]. Thus anaesthesia may present a significant confound to drug penetrance testing. To overcome the need for anaesthesia we have developed a platform for wireless ERG and VEP recordings in conscious rats [32]. This recording platform will be used to determine if systemic administration of a centrally penetrant drug, targeting receptors known to exist in both the eye and the brain, produces measurable changes to the ERG and VEP. If so, this would provide evidence that the centrally penetrant drug has crossed both the blood-brain and blood retinal barriers.

To test the above hypothesis, isoguvacine and muscimol are employed. Both compounds are predominantly GABA<sub>A</sub> ( $\gamma$ -aminobutyric acid type a) receptor agonists with one key difference being that isoguvacine does not cross the blood neural barriers readily, whereas muscimol easily traverses these barriers [35, 36]. In rats, GABA<sub>A</sub> receptors are found in both the retina [37, 38] and the visual cortex [39]. Thus systemic (i.e., intramuscular, IM) application of muscimol should result in ERG and VEP changes similar to those seen following direct injection of the drug (i.e., intravitreal, IV, or intracerebroventricular, ICV), whereas little change should be seen following intramuscular injection of isoguvacine. Furthermore, if the findings in the eye (ERG) mirror those found in the brain (VEP) following systemic administration of drugs then the BRB and BBB exhibit similar penetrance characteristics. Such a finding would support the notion of using the retina as a biomarker for drug penetrance into the CNS. To determine how a commonly used laboratory anaesthesia might influence drug testing, the same dosing experiments were repeated in rats anaesthetised with ketamine : xylazine. To complement the functional data, pharmacokinetic (PK) analysis was conducted to determine whether the presence of anaesthesia could alter drug penetrance through the blood neural barriers.

## 2. Material and Methods

**2.1. Ethics Statement.** All experiments were conducted in accordance with the Australian Code of Practice for the Care and Use of Animals for Scientific Purposes set out by the National Health and Medical Research Council. Animal ethics approval was obtained from the Animal Ethics Committee of the Faculty of Science, The University of Melbourne.

**2.2. Animal Preparations.** Long-Evans rats (male, 3 to 4 months old) were raised in a controlled environment, with ambient temperature maintained at 21°C and a 12-hour light/dark cycle (on at 8 am, maximum illuminance < 50 lux at the top of the cage). Animals were randomised into those used for recordings under anaesthetised or conscious states. For ERG/VEP recordings in conscious animals, two cohorts of rats ( $n = 7$  for each group) were used, one for muscimol and the other for isoguvacine injections. All injection routes (i.e., intramuscular, IM; intravitreal, IV; intracerebroventricular, ICV) were performed in the same rats with at least 3 days of recovery between each injection protocol. The order in which drug injections were performed was determined via a pseudorandom block design. To ensure that there was no cumulative effect of isoguvacine or muscimol, ERG was measured at  $-2.3 \log \text{cd}\cdot\text{s}\cdot\text{m}^{-2}$  prior to each injection and the same was done for VEPs (measured at  $1.52 \log \text{cd}\cdot\text{s}\cdot\text{m}^{-2}$ ). Unpaired *t*-tests of the ERG or VEP measured before drug application on the day and sham baseline returned no significant differences (ERG  $p = 0.31$  to  $0.72$ , VEP  $p = 0.11$  to  $0.66$ ), suggesting that the 3-day interval between each injection route allowed adequate recovery.

For recordings under anaesthetised conditions (intramuscular ketamine : xylazine, 60 : 5 mg/kg, Troy Laboratories Pty Ltd., Smithfield, NSW, Australia), each injection route was performed in a separate cohort ( $n = 5$  per group) as lengthy and/or repeated anaesthesia could lead to complication such as perioperative respiratory distress [40].

Prior to electrophysiological recordings rats were dark-adapted overnight. Animals were prepared for recordings with the aid of a dim red light (LED 22 lux @ 10 cm,  $\lambda_{\text{max}} = 650 \text{ nm}$ ). Mydriasis was induced with one drop of tropicamide (0.5%, Alcon Laboratories, Frenchs Forest, NSW, Australia) and topical anaesthesia with one drop of proxymetacaine (0.5%, Alcon Laboratories) prior to recordings.

**2.3. ERG and VEP Recordings in Conscious Rats.** For recordings in conscious animals, rats were implanted with telemetry transmitters (F50-EEE, Data Sciences International, St. Paul, MN, USA). The surgical techniques and assessment of implant stability have previously been reported [32]. Briefly, the F50-EEE transmitter (bandwidth: 1–100 Hz) has three recording channels (for more details please see Charng et al. [32] for signal amplification): two channels were used to record ERG from each eye while the third channel was fixed over one randomly chosen visual cortex via a stainless screw (diameter 0.7 mm, length 3 mm, Micro Fasteners Pty Ltd., Thomastown, VIC, Australia) for VEP recording. All active electrodes were referenced to an inactive stainless steel screw electrode secured on the skull midline, 5 mm rostral

to bregma. This electrode arrangement allowed simultaneous assessment of retinal and cortical responses to light in the same animal. As 90% of the ganglion cell axons synapsing at the lateral geniculate nucleus decussate to the contralateral hemisphere in rats [41], it is possible to measure VEPs dominated by one eye without occluding the other eye [42]. Rats recovered for a week following surgery before overnight dark-adaptation and recording.

**2.4. ERG and VEP Recordings in Anaesthetised Rats.** Rats were anaesthetised with intramuscular injection of ketamine:xylazine and body temperature was maintained at  $37.5 \pm 0.5^\circ\text{C}$  by placing the animal on a platform with circulating heated water (Techne Inc. Temperature Junior TE-8J, Burlington, NJ, USA). Anaesthetised ERGs were recorded by placing custom-made chlorided silver electrodes on the eyes (active electrode on the corneal apex and inactive electrode ring around the sclera behind the limbus) and a stainless steel needle inserted into the tail which served as the ground electrode. See He et al. [43] for details.

Anaesthetised VEPs were measured from stainless steel screw electrodes implanted at the same stereotaxic coordinates as the conscious preparation (active electrode 7 mm caudal to bregma, 3 mm lateral to midline, and inactive electrode 5 mm rostral to bregma on midline). The ground was a stainless steel needle electrode (F-E2-30 Grass Telefactor, West Warwick, RI, USA) inserted into the tail. The procedure for implanting the skull electrodes in the anaesthetised preparation replicated that used for conscious implantation of electrodes. See Tsai et al. [42] for further details regarding electrode implantation.

**2.5. Light Stimulus.** The stimulus system consists of light-emitting diodes embedded into a Ganzfeld integrating sphere (Photometric Solutions International, Huntingdale, VIC, Australia), which delivered even illumination to the retina. ERG signals were collected from low to high luminous energies ( $-5.6$  to  $1.52 \log \text{cd}\cdot\text{s}\cdot\text{m}^{-2}$ ), with progressively fewer signals averaged and longer interstimulus intervals at higher luminous energies. A twin-flash paradigm [44], employing an interstimulus interval of 500 ms, is shorter than the refractory time of the rod pathway at  $1.52 \log \text{cd}\cdot\text{s}\cdot\text{m}^{-2}$ , allowing isolation of the cone response [24]. Finally, a VEP signal was returned from the average of 20 flashes at  $1.52 \log \text{cd}\cdot\text{s}\cdot\text{m}^{-2}$  with an interstimulus interval of 5 seconds. For conscious recordings, rats were placed in a custom-made clear container which allows the eyes to face the opening of the Ganzfeld sphere [32].

**2.6. Drug Delivery.** The same concentration of isoguvacine (Tocris Biosciences, Ellisville, MO, USA) or muscimol (Bachem, Bubendorf, Switzerland) was injected in both anaesthetised and conscious rats. The following doses were used: isoguvacine IM (30 mg/kg), IV (12 mM at the vitreous), and ICV (3 mM at the lateral ventricle) and muscimol IM (6 mg/kg), IV (0.2 mM at the vitreous), and ICV (0.02 nM at the lateral ventricle), assuming  $45 \mu\text{L}$  vitreous volume [45] and  $90 \mu\text{L}$  cerebrospinal fluid volume [46].

The control signals and amplitudes reported in this study were determined from the combination of recordings made following vehicle sham injections (Milli-Q water, Merck Millipore, Billerica, MA, USA) into the muscle (IM), vitreous (IV), or brain (ICV) of rats. Note that the conscious and anaesthetised control cohorts formed two different groups and thus were not combined.

**2.6.1. Systemic Dosing.** All systemic drugs were delivered via intramuscular injections. Isoguvacine or muscimol was administered at  $0.5 \text{ mL/kg}$ . A high intramuscular dosage was chosen to increase the likelihood of the drug reaching the retina and/or brain thus producing a robust signature.

**2.6.2. Intravitreal Dosing.** Drugs were introduced into the vitreous to bypass the blood-retina barrier. As previously described [47], a 30 G needle was connected via a length of polyethylene tubing (inner diameter 0.38 mm, Portex Limited, Kent, UK) to a Hamilton syringe (SGE® Analytical Sciences Pty Ltd., Ringwood, VIC, Australia). The needle was inserted into the vitreal chamber 2 mm behind the limbus at a  $45^\circ$  angle to a depth of 2.5 mm. For recordings in anaesthetised rats, injection was undertaken following placement of the inactive ring electrode. For recordings in conscious rats, intravitreal injections were performed under topical anaesthesia (proxymetacaine 0.5%, Alcon Laboratories) with one experimenter gently retracting the eyelids and the second performing the injection.

**2.6.3. Intracerebroventricular Dosing.** Drugs were introduced directly into the lateral ventricle to bypass the blood-brain barrier. This was achieved by injecting the drug using a needle to a depth of 3.5 mm through a small hole drilled 2 mm caudal to bregma and 2 mm lateral to midline. For recordings in anaesthetised rats the skin and periosteum overlying the skull were removed, a hole was drilled over the lateral ventricle coordinates, and the drug was delivered via a 30 G needle connected to polyethylene tubing and a Hamilton syringe. For recordings in conscious rats a cannulation port was implanted on the skull (C313GFL4/SP, Plastics One, Roanoke, VA, USA) during telemetry transmitter implantation. This infusion system allows direct injection of isoguvacine or muscimol into the lateral ventricle in conscious rats, with one experimenter stabilising the animal while the other injecting the compound.

**2.7. Pharmacokinetics Study.** Pharmacokinetic analysis was performed to investigate whether anaesthesia altered the CNS penetrance of the systemically delivered compounds. For isoguvacine and muscimol age- and sex-matched rats ( $n = 5$  for each group) underwent intramuscular dosing under conscious or anaesthetised (ketamine:xylazine) conditions. Tissue was harvested 90 minutes after drug administration to match the end point of electrophysiology measurements. Brain, retina, and vitreous tissues were collected immediately after stunning and decapitation.

Isoguvacine and muscimol concentrations in each tissue were analysed with a liquid chromatography-tandem mass

spectrometer (API5000, Sciex, Framingham, MA, USA) and compared against precalibrated measures for these compounds [48, 49].

**2.8. Analysis of Electretinogram Signals.** The ERG procedure has been described in detail by Weymouth and Vingrys [24]. Below is a summary of the analytical approaches.

**2.8.1. Photoreceptor Response.** The leading edge of the scotopic a-wave can be described by a delayed Gaussian [22] as formulated by Hood and Birch [50] and based on the model of Lamb and Pugh Jr. [51]:

$$P3(i, t) = Rm_{p3} \cdot \left[ 1 - \exp\left(-i \cdot S \cdot (t - t_d)^2\right) \right], \quad (1)$$

$$t > t_d.$$

Equation (1) gives the photoreceptor response ( $P3, \mu V$ ) for a given luminous energy ( $i, \log \text{cd}\cdot\text{s}\cdot\text{m}^{-2}$ ) as a function of time after flash onset ( $t, \text{ms}$ ) by its saturated amplitude ( $Rm_{p3}, \text{mV}$ ) and sensitivity ( $S, \log \text{m}^2\cdot\text{cd}^{-1}\cdot\text{s}^{-3}$ ). The delay ( $t_d, \text{s}$ ) term largely reflects delays in the recording equipment [52, 53]. Given that different hardware is used for recordings from conscious and anaesthetised rats,  $t_d$  was fixed to the average delay for the specific recording hardware (7.40 ms for conscious recordings and 4.75 ms for anaesthetised recordings) determined from control eyes [43]. The model was optimised to the leading edge of the raw ERG a-wave amplitude by floating  $Rm_{p3}$  and  $S$  to minimise the sum-of-square error using the Solver module (Microsoft™, Redmond, WA, USA) across an ensemble response to the two highest energies (1.20, 1.52  $\log \text{cd}\cdot\text{s}\cdot\text{m}^{-2}$ ).

**2.8.2. Rod Bipolar Cell Response.** The putative rod bipolar cell response ( $P2$ ) was isolated by subtracting the cone bipolar response (from twin-flash paradigm) and the photoreceptor model (see (1)) from the raw ERG at the highest luminous energy (1.52  $\log \text{cd}\cdot\text{s}\cdot\text{m}^{-2}$ ). Luminous energies below  $-1.38 \log \text{cd}\cdot\text{s}\cdot\text{m}^{-2}$  have previously been shown to contain minimal cone input [24, 54]; hence the waveforms returned at these luminous energies can be considered to be rod dominant.

A saturating hyperbolic function [55] was modelled across these rod-dominant responses:

$$V(i) = V_{\max} \frac{i}{i + k}, \quad (2)$$

where the  $P2$  amplitude ( $V, \mu V$ ) as a function of luminous energy ( $i, \log \text{cd}\cdot\text{s}\cdot\text{m}^{-2}$ ) is given by its saturated amplitude ( $V_{\max}, \mu V$ ) and semisaturation constant ( $k, \log \text{cd}\cdot\text{s}\cdot\text{m}^{-2}$ ). The Solver module was used to minimise the sum-of-square error term by floating  $V_{\max}$  and  $k$ .

**2.8.3. Cone Response.** The cone b-wave returned from the twin-flash paradigm was analysed by taking its peak amplitude (mV) and implicit time (ms).

**2.9. Analysis of Visually Evoked Potentials.**  $P1, N1,$  and  $P2$  were extracted from each VEP waveform. These three landmarks are defined as the first three distinct features of the VEP waveform consistent with the literature [28, 42, 56].

**2.10. Statistical Comparisons.** All group data were summarised as average  $\pm$  SEM. The data for all sham injections (IM, IV, and ICV routes in conscious animals) were pooled into a single control group, to maximise sensitivity to detect drug effects. The same was done for all sham injections in the anaesthetised cohort. All data were expressed as a percentage change relative to the conscious or anaesthetised sham average ( $\pm$ SEM, %). Unpaired  $t$ -tests were performed between drug injections and the control cohort for ERG/VEP parameters, with an alpha value of 0.05 for statistical significance.

### 3. Results

**3.1. ERG Changes following Drugs Injections in Conscious Rats.** Figure 1 shows that in conscious rats the ERG b-wave can be reliably detected at  $-3.51 \log \text{cd}\cdot\text{s}\cdot\text{m}^{-2}$ . At the brightest light level the ERG shows the expected profile, with a corneal negative a-wave followed by the rod b-wave. The b-wave shows two distinctive peaks: one at 45 ms and a second between 60 and 90 ms after flash onset. The rat cone waveform (top most waveform) contains a single broad b-wave, which peaks at approximately 65 ms.

Intramuscular isoguvacine injection in conscious rats produced little effect on the ERG (Figure 1(a)), as confirmed in the summary of key parameters (Figures 1(e)–1(h), filled red circles within 95% confidence interval of sham treatment shaded). Intravitreal injection of isoguvacine resulted in a slight reduction of the rod b-wave at moderate luminous energies (Figure 1(b),  $-3.51$  to  $-1.38 \log \text{cd}\cdot\text{s}\cdot\text{m}^{-2}$ ). At the highest luminous energy, the early peak of the b-wave appeared unchanged, whereas the slower peak was smaller. These effects did not reach statistical significance (Figures 1(e) and 1(f),  $p = 0.39$  to  $0.96$ ), with the exception of the cone b-wave, which was significantly reduced following IV injection of isoguvacine (Figure 1(g),  $-51 \pm 11\%$ ,  $p < 0.05$ ).

Figure 1(c) shows that IM injection of muscimol produced a marked b-wave double peak at low and moderate light levels ( $-3.03$  to  $-1.38 \log \text{cd}\cdot\text{s}\cdot\text{m}^{-2}$ ). At high luminous energies the first peak appeared smaller and faster, whereas the second b-wave peak was larger than in controls. This accounts for the significant increase in rod  $P2$  sensitivity (Figure 1(h),  $81.0 \pm 32.6\%$ ,  $p < 0.05$ ), with no change in rod  $P2$  amplitude (Figure 1(f),  $37 \pm 29\%$ ,  $p = 0.23$ ). There was a marked decrease in cone amplitude following IM injection of muscimol (Figure 1(g),  $-55 \pm 8\%$ ,  $p < 0.05$ ).

Changes to the ERG seen following IV injection of muscimol in conscious rats (Figure 1(d)) were similar to those observed after IV isoguvacine injection (Figure 1(b)). There was no change to photoreceptor (Figure 1(e),  $-10 \pm 12\%$ ,  $p = 0.67$ ) and rod bipolar (Figure 1(f),  $-24 \pm 18\%$ ,  $p = 0.37$ ) amplitudes. Cone bipolar cell amplitude was smaller (Figure 1(g),  $-47 \pm 7\%$ ,  $p < 0.05$ ). There was a significant

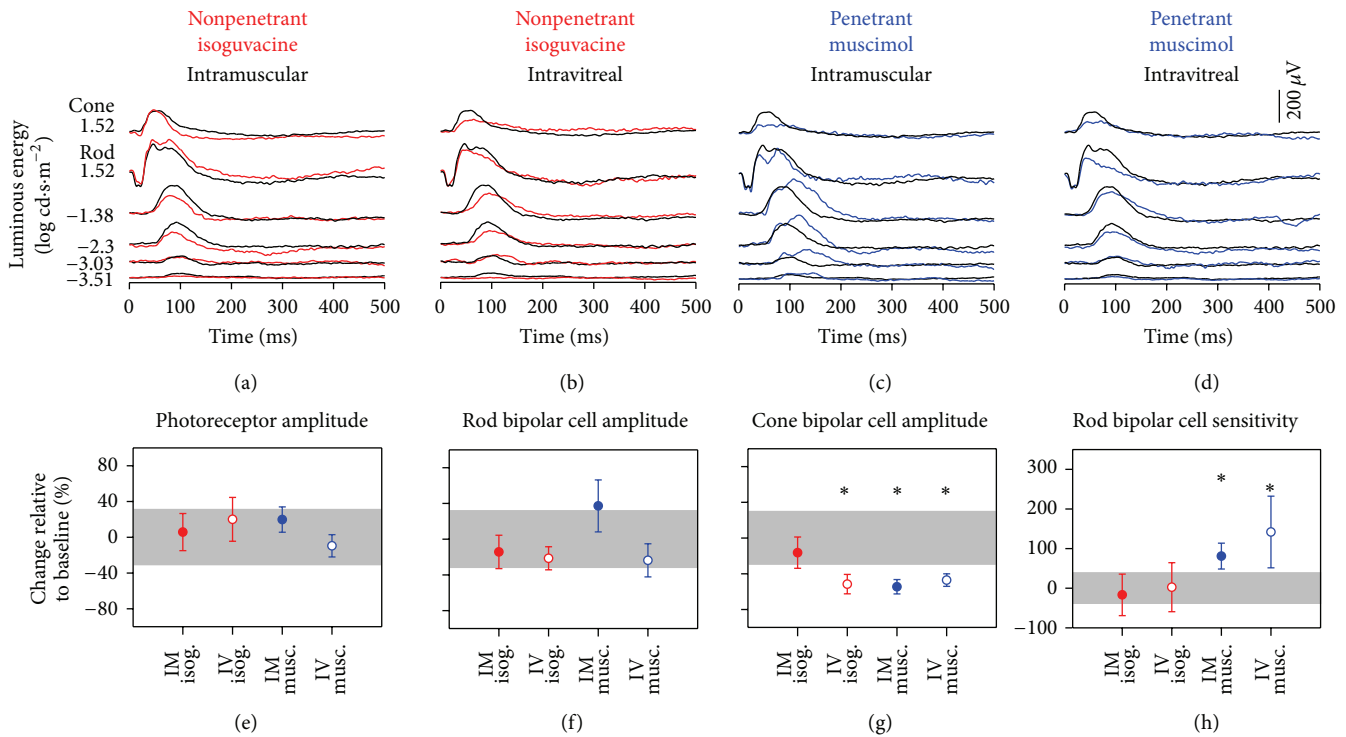


FIGURE 1: Retinal electrophysiology following conscious isoguvacine/muscimol dosing. ERG responses in conscious animals ((a)–(d),  $n = 7$  for each drug group) at baseline (black lines, average of vehicle injections in both conscious groups) compared to (a) intramuscular and (b) intravitreal injection of isoguvacine (red) and (c) intramuscular and (d) intravitreal injection of muscimol (blue). (e)–(h) summarise ERG parameters (average  $\pm$  SEM) following isoguvacine or muscimol injections via the different delivery routes (see method for details). Grey areas indicate 95% CI of the particular ERG parameter in all conscious baseline recordings. \*  $p < 0.05$ .

increase in rod bipolar cell sensitivity following IV muscimol (Figure 1(h),  $142 \pm 90\%$ ,  $p < 0.05$ ).

**3.2. ERG Changes following Drug Injections in Anaesthetised Rats.** Administration of isoguvacine and muscimol in anaesthetised rats (Figure 2) produced the following ERG changes that were different from those seen in conscious rats (Figure 1). Firstly, there was a decrease in rod photoreceptor amplitude following IM injection of isoguvacine (Figure 2(e),  $-25 \pm 10\%$ ,  $p < 0.05$ ) and muscimol ( $-27 \pm 10\%$ ,  $p < 0.05$ ). Secondly, rod bipolar cell amplitude was smaller following IM injection of isoguvacine (Figure 2(f),  $-21 \pm 10\%$ ,  $p < 0.05$ ). Lastly, IV injection of isoguvacine in anaesthetised rats (Figure 2(b)) produced faster and larger rod bipolar responses (Figure 2(f),  $21 \pm 3\%$ ,  $p < 0.05$ ) as well as increased sensitivity (Figure 2(h),  $136 \pm 25\%$ ,  $p < 0.05$ ).

Figure 2(c) shows that intramuscular injection of centrally penetrant muscimol produced a reduction in the photoreceptor a-wave, a slowing of the b-wave at low to moderate light levels ( $< -2.3 \log \text{cd}\cdot\text{s}\cdot\text{m}^{-2}$ ), and a faster but smaller b-wave at higher light levels ( $> -1.38 \log \text{cd}\cdot\text{s}\cdot\text{m}^{-2}$ ). The cone ERG was reduced and prolonged. This pattern of change is similar to that seen with IV injection of the same drug (Figure 2(d)). Specifically, intravitreal injection of muscimol in anaesthetised rats did not affect photoreceptor output but there was a decrease in rod b-wave amplitude

(Figure 2(f),  $-16 \pm 6\%$ ,  $p < 0.05$ ) with increased sensitivity (Figure 2(h),  $198 \pm 23\%$ ,  $p < 0.05$ ). Cone b-wave amplitudes were smaller when drugs were injected in anaesthetised rats (Figure 2(g), IM isoguvacine  $-16 \pm 7\%$ , IV isoguvacine  $-28 \pm 1\%$ , IM muscimol  $-49 \pm 8\%$ , and IV muscimol  $-13 \pm 5\%$ , all  $p < 0.05$ ).

### 3.3. VEP Response following Drug Administration in Conscious and Anaesthetised Rats

**3.3.1. VEP Changes following GABA Agonist Injection in Conscious Rats.** Intramuscular injection of isoguvacine in conscious rats had little effect on the VEP waveform (Figure 3(a)) or its parameters as shown in Figures 3(e)–3(h) ( $p = 0.14$  to  $0.74$ ). Direct ICV injection of isoguvacine (Figure 3(b)) significantly delayed the P2 component of the VEP (Figure 3(h),  $17 \pm 1\%$ ,  $p < 0.05$ ). P2-N1 amplitude was not significantly affected (Figure 3(f),  $-20 \pm 19\%$ ,  $p = 0.21$ ).

Intramuscular injection of centrally penetrant muscimol in conscious rats (Figure 3(c)) substantially changed the VEP. Both P1-N1 (Figure 3(e),  $134 \pm 26\%$ ,  $p < 0.05$ ) and P2-N1 amplitudes (Figure 3(f),  $117 \pm 20\%$ ,  $p < 0.05$ ) were increased and N1 was significantly delayed (Figure 3(g),  $13 \pm 4\%$ ,  $p < 0.05$ ) compared to sham. ICV injection of muscimol affected the VEP waveform in a way similar to IM muscimol (Figure 3(d)). Specifically, P1-N1 amplitude (Figure 3(e),

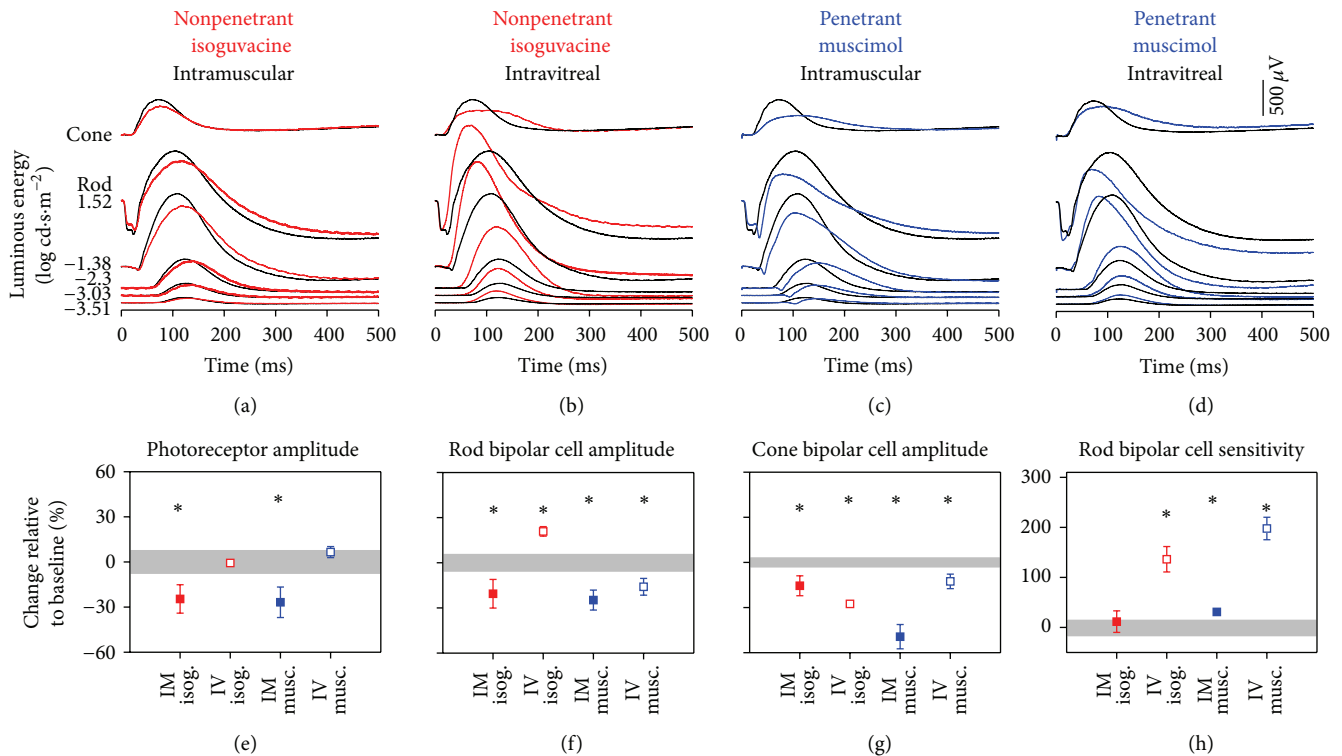


FIGURE 2: Retinal electrophysiology following anaesthetised isoguvacine/muscimol dosing. ERG responses in anaesthetised animals ((a)–(d),  $n = 5$  for each drug group) at baseline (black lines, average anaesthetised groups) compared to (a) intramuscular and (b) intravitreal isoguvacine (red) and (c) intramuscular and (d) intravitreal muscimol (blue). (e)–(h) summarise ERG parameters (average  $\pm$  SEM) following isoguvacine or muscimol injections via different routes (see method for details). Grey areas indicate 95% CI of the particular ERG parameter in all anaesthetised baseline recordings. Note the different scale bar in (h). \* $p < 0.05$ .

$134 \pm 26\%$ ,  $p < 0.05$ ) and P2-N1 (Figure 3(f),  $117 \pm 20\%$ ,  $p < 0.05$ ) were both larger; however N1 timing was not significantly affected (Figure 3(g),  $1 \pm 2\%$ ,  $p = 0.61$ ).

**3.3.2. VEP Changes following GABA Agonist Injection in Anaesthetised Rats.** Intramuscular injection of isoguvacine had little effect on the VEP (Figure 4(a)), whereas ICV injection (Figure 4(b)) resulted in smaller amplitudes (Figures 4(e)–4(h), P1-N1  $-49 \pm 4\%$ , P2-N1  $-62 \pm 6\%$ , both  $p < 0.05$ ) and delayed N1 implicit time (Figure 4(g),  $19 \pm 2\%$ ,  $p < 0.05$ ). IM and ICV administration of muscimol significantly delayed N1 implicit times (IM,  $31 \pm 2\%$ , ICV,  $22 \pm 3\%$ , both  $p < 0.05$ ). Other VEP parameters were not affected.

**3.4. Pharmacokinetics (PK) Analysis.** Figure 5 compares isoguvacine concentration in the vitreous (white bars), brain (black bars), and retina (grey bars) following IM injection of isoguvacine in conscious and anaesthetised (ketamine : xylazine) rats. There was no significant difference in isoguvacine concentration between tissues collected following drug dosing in conscious or anaesthetised animals (vitreous: conscious  $2107 \pm 332$ , ket : xyl  $2736 \pm 619$ ,  $p = 0.40$ ; brain: conscious  $1760 \pm 310$ , ket : xyl  $1442 \pm 143$ ,  $p = 0.38$ ; retina: conscious  $16525 \pm 1386$ , ket : xyl  $29080 \pm 8870$ ,  $p = 0.26$ , all ng/g).

PK analysis was also undertaken for groups of rats dosed with different concentrations of muscimol. However, as the concentrations of muscimol injected were much less than isoguvacine (see Section 2.6), the results were not significantly above the spectrometer's noise level (data not shown).

## 4. Discussion

**4.1. Visual Electrophysiology in Conscious Rats for CNS Drug Penetration Testing.** We show proof-of-principle evidence indicating that light evoked ERG and/or VEP responses from conscious rats can be used to detect CNS drug penetration. Consistent with previous findings that isoguvacine does not readily cross the blood neural barriers [35], IM injection of isoguvacine did not affect the ERG nor VEP in awake rats. When we deliberately bypassed the blood neural barriers (IV or ICV injections) isoguvacine produced significant ERG (Figure 1(b)) and VEP (Figure 3(b)) changes. On the other hand, muscimol produced ERG (Figures 1(c) and 1(d)) and VEP (Figures 3(c) and 3(d)) changes regardless of the route of injection, consistent with its greater capacity for CNS penetration [35]. Table 1 summarises all the electrophysiology findings in this study. One factor to consider in intravitreal administration of the compounds is the possible effect of increased intraocular pressure (IOP) on retinal function. However, it has been shown that a temporary spike in IOP

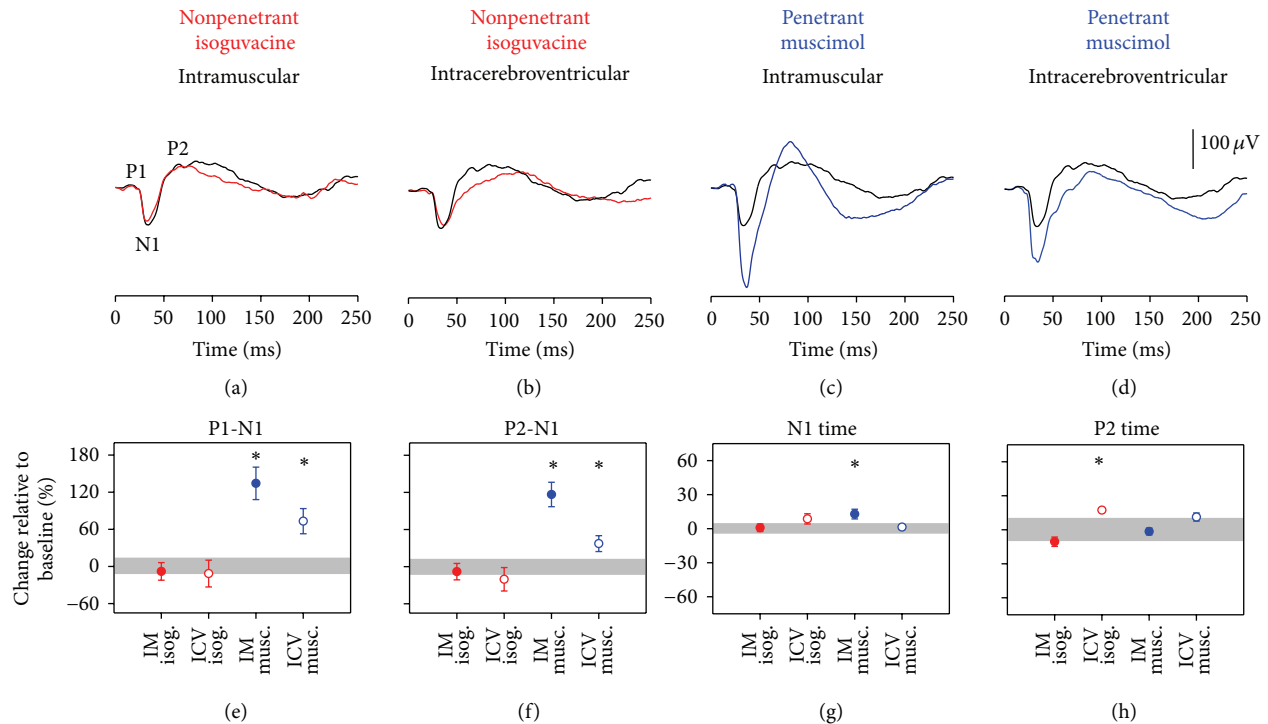


FIGURE 3: Cortical electrophysiology following conscious isoguvacine/muscimol injections. VEP responses in animals ((a)–(d),  $n = 7$  for each drug group) at conscious baseline (black lines, average of vehicle injections in conscious groups) compared to (a) intramuscular and (b) intravitreal injection of isoguvacine (red) and (c) intramuscular and (d) intravitreal injection of muscimol (blue). (e)–(h) summarise VEP parameters (average  $\pm$  SEM) following isoguvacine or muscimol injections via different routes (see method for details). Grey areas indicate 95% CI of the particular VEP parameter in all conscious baseline recordings. P1, P2, and N1 are marked in (a), with P2 taken as the first positive inflection following N1. \*  $p < 0.05$ .

results in transient global depression of the ERG waveform [57], which is not seen in our data (Table 1). Therefore the findings reported here are most likely due to drug-driven responses.

In conscious rats, the presence of GABA<sub>A</sub> agonists in the retina did not affect photoreceptor and rod bipolar amplitudes (Figures 1(e) and 1(f)) but consistently decreased amplitudes of cone-mediated responses (Figure 1(g)). Kapousta-Bruneau [58] reported that bicuculline, a GABA<sub>A</sub> antagonist, increased the rat ERG b-wave, which is consistent with the b-wave reduction that we see following administration of GABA<sub>A</sub> agonists (Figure 1(f)). The Kapousta-Bruneau study [58] measured a change in mixed (rod and cone) driven b-waves. Our data would suggest that the amplitude attenuation reflects loss of cone-mediated bipolar cell responses (Figure 1(g)).

This preferential reduction in cone bipolar cell output may be explained by differences in the extent to which GABA<sub>A</sub> and GABA<sub>C</sub> receptors modulate rod and cone bipolar cell currents. Euler and Wässle [59] puffed GABA onto bipolar cells in isolated rat retina and reported that approximately 70% of the GABA current in rod bipolar cells was mediated by GABA<sub>C</sub> receptors (30% GABA<sub>A</sub>) as opposed to 20% in cone bipolar cells. Given this finding, GABA<sub>A</sub> agonists would be expected to produce greater changes in cone-mediated ERG (Figure 1(g)). However from the literature, it

is not completely clear why rod bipolar sensitivity changes arise following administration of muscimol administration but not isoguvacine (Figure 1(h)). The literature reports that muscimol has high affinity for GABA<sub>A</sub> as well as GABA<sub>C</sub> receptors, whereas isoguvacine acts primarily as a GABA<sub>A</sub> receptor agonist [35, 36]. If muscimol modulates both GABA<sub>A</sub> and GABA<sub>C</sub> receptors then this would have a bigger effect on the modulatory effect of inhibition in rod bipolar cell currents where GABA<sub>C</sub> makes a proportionately larger contribution. Thus isoguvacine with its weaker agonism of GABA<sub>C</sub> receptors would be less likely to influence rod bipolar cell sensitivity.

Local isoguvacine and local/systemic muscimol administration resulted in timing delays in the VEP. In contrast systemic isoguvacine produced no significant changes to the waveform. These findings are consistent with the notion that isoguvacine has poor CNS penetrance compared with muscimol. It is worth noting that the rod pathway dominates the ERG response, whereas the cone pathway largely drives the VEPs returned by the current protocol. Nevertheless, the fact that the ERG and VEP show similar patterns of changes to these drug changes suggests that retinal electrophysiology in conscious rats may be a useful way to test for cortical drug penetrance.

In terms of the mechanisms for the slower VEPs, isoguvacine [60–62] and muscimol [63, 64] have been shown to

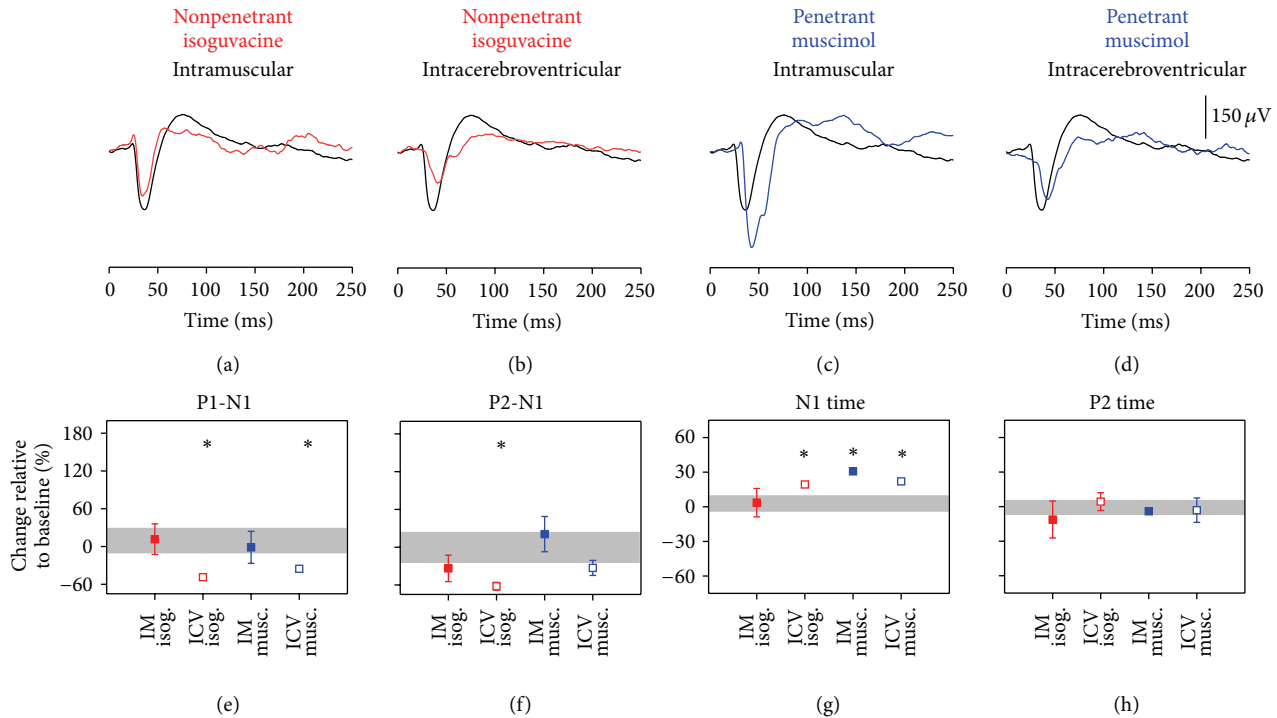


FIGURE 4: Cortical electrophysiology following anaesthetised isoguvacine/muscimol dosing. VEP responses in animals ((a)–(d),  $n = 5$  for each drug group) at anaesthetised baseline (black lines, average of anaesthetised groups) compared to (a) intramuscular and (b) intravitreal isoguvacine (red) and (c) intramuscular and (d) intravitreal muscimol (blue). (e)–(h) summarise VEP parameters (average  $\pm$  SEM) following isoguvacine or muscimol injections via different routes (see method for details). Grey areas indicate 95% CI of the particular VEP parameter in all anaesthetised baseline recordings. \*  $p < 0.05$ .

decrease neuronal-firing rates by modulating N-methyl-D-aspartate pathways, which can induce a slowing of the waveform. However, GABAergic inhibition in the CNS also has complex interactions with other neurotransmitter systems such as acetylcholine, norepinephrine, and serotonin [65], all of which can affect the VEP [66]. We also saw an increase in VEP amplitude following muscimol administration. This may arise from altered inhibitory modulation that will result in an increase in brain activity consistent with Lancel et al. [67] who observed larger electroencephalograms in rats following systemic muscimol administration.

**4.2. Ketamine: Xylazine Anaesthesia Confounds the Interpretation of Central Penetrance.** Figures 2(a) and 2(c) show that IM injection of isoguvacine and muscimol in anaesthetised rats produced ERG waveforms quite different from those recorded following IM dosing in conscious rats. First, there was a reduction in photoreceptor amplitude with both isoguvacine and muscimol IM injections in anaesthetised rats, whereas in conscious rats there was no change. The pharmacokinetic data (Figure 5) shows that tissue concentration of isoguvacine was similar in conscious and anaesthetised rats, which argues against any anaesthesia-mediated increase in the permeability of blood neural barriers for isoguvacine. Furthermore, immunohistochemistry studies [37, 38] have localised GABA<sub>A</sub> receptors to cholinergic amacrine cells, dopaminergic amacrine cells, and bipolar cells but not photoreceptors. Thus the reduction in the a-wave is unlikely to be

a direct effect of isoguvacine or muscimol on photoreceptors. Consistent with this hypothesis, we find that intravitreal injection of isoguvacine or muscimol (Figures 2(b) and 2(d)) did not produce a greater a-wave reduction. An alternative explanation is that systemic changes arising from IM administration of muscimol or isoguvacine in anaesthetised rats may contribute to a smaller photoreceptor signal. A number of systemic changes that can accompany general anaesthesia include a reduction in blood pressure [68] and temperature [24] which could confound expression of drug effects. It may be possible that the combination of ketamine, xylazine, and the inhibitory effect of GABA<sub>A</sub> agonists could depress general systemic function to a point that compromises photoreceptor function.

In order to consider postphotoreceptor drug effects, ERG responses were normalised to a-wave output for each animal (see Supplementary Material, Figure S1) (see Supplementary Material available online at <http://dx.doi.org/10.1155/2016/5801826>). This approach shows that, for IM injection of isoguvacine in anaesthetised rats, the reduction in a-wave output can account for the subsequent b-wave attenuation. However, decreased a-wave output does not account for the paradoxical increase in rod-b-wave amplitude seen following IV injection of isoguvacine (larger, faster b-wave, Figure 2(b)). This is in stark contrast to the effect seen in conscious rats, where IV isoguvacine produced more subtle ERG changes. This unusual outcome is difficult to explain. Given that activation of GABA<sub>A</sub> and GABA<sub>C</sub> receptors leads



TABLE 1: Summary of GABA<sub>A</sub> agonist effects on the ERG and VEP in conscious and anaesthetised rats.

	IM isoguvacine		IV isoguvacine		ICV isoguvacine		IM muscimol		IV muscimol		ICV muscimol	
	Conscious	ket : xyl	Conscious	ket : xyl	Conscious	ket : xyl	Conscious	ket : xyl	Conscious	ket : xyl	Conscious	ket : xyl
<b>(A) ERG parameters</b>												
Photoreceptor amplitude	—	↓	—	—	—	—	—	↓	—	—	—	—
Rod bipolar cell amplitude	—	↓	—	↑	—	—	—	↓	—	—	—	↓
Cone amplitude	—	↓	↓	↓	—	—	↓	↓	↓	↓	↓	↓
Photoreceptor sensitivity	—	—	—	—	—	—	—	↓	—	—	—	—
Rod bipolar cell sensitivity	—	—	—	↑	—	—	↑	↑	↑	↑	↑	↑
Cone bipolar implicit time	—	—	—	↑	—	—	—	↑	—	—	—	↑
<b>(B) VEP parameters</b>												
P1-N1 amplitude	—	—	—	—	—	↓	↑	↑	—	—	↑	↓
P2-N1 amplitude	—	—	—	—	—	↓	↑	—	—	—	↑	—
N1 time	—	—	—	—	—	↑	↑	↑	—	—	—	↑
P2 time	—	—	—	—	↑	—	—	—	—	—	—	—

Arrows indicate the direction of effect compared to relevant baseline (conscious or anaesthetised); dashes indicate no significant effect. IM: intramuscular; IV: intravitreal; ICV: intracerebroventricular.

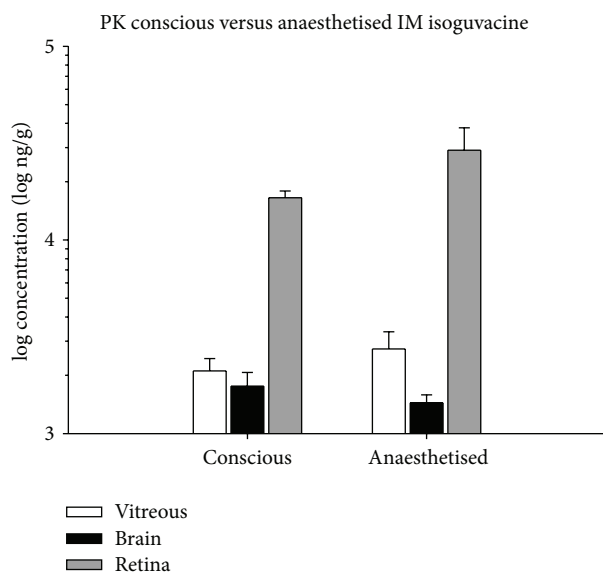


FIGURE 5: Pharmacokinetic analysis for IM injection of isoguvacine in conscious versus anaesthetised rats. Average  $\pm$  SEM concentration (log ng/g) of isoguvacine in the vitreous (unfilled), brain (black), and retina (grey) 1.5 hours following IM administration of 30 mg/kg isoguvacine in conscious versus anaesthetised (ket : xyl) rats ( $n = 5$  for each group).

to opposite effects on the ERG b-wave amplitude [69, 70] one could speculate that the presence of ketamine : xylazine modifies the effect of GABA<sub>A</sub> agonists employed here to be more GABA<sub>C</sub> active. Moreover, the different affinity of muscimol and isoguvacine for GABA<sub>A</sub> and GABA<sub>C</sub> receptors [35, 36] has the potential to change the balance of GABA<sub>A</sub> and GABA<sub>C</sub> mediated modulation of bipolar cell currents under anaesthetised conditions.

Similar to the ERG changes, isoguvacine and muscimol when injected into anaesthetised rats produced VEPs changes different from those seen in conscious rats (Figure 4). In particular, in anaesthetised rats, direct delivery of isoguvacine or muscimol produced smaller waveforms, an effect not seen in conscious rats. Further work is needed to understand the mechanism by which ketamine and xylazine modify the effect of GABA agonists on both the ERG and VEP.

## 5. Conclusions

Recording of visually evoked responses from conscious rats can help us determine whether a drug has the ability to cross from the blood stream into the CNS. We provide proof-of-principle data in support for this idea using GABA agonists. The presence of ketamine : xylazine anaesthesia changes

electrophysiology findings such that altered ERG waveforms are seen even with IM injection of a drug that is not centrally penetrant. Thus anaesthesia can lead to erroneous conclusions regarding a drug's central penetrance. These findings suggest that visual electrophysiology in conscious rats can be a useful method for CNS drug testing. The fact that the pattern of functional changes seen in the eye parallels those in the brain supports the notion that the retina may be a useful CNS biomarker.

Increased efficiency in preclinical drug testing is desperately needed for the drug industry to cope with the demands associated with longer life expectancy, and viable neurological biomarkers are a key factor to address this challenge. The retina, being a more accessible organ than the brain, may provide new avenues to service these needs.

## Disclosure

The funders had no role in study design, data collection and analysis, decision to publish, or preparation of the paper.

## Competing Interests

Rachel Gurrell and Rebecca L. Fish are employees of Pfizer Research & Development. Christine T. Nguyen, Zheng He, Algis J. Vingrys, and Bang V. Bui are employees of University of Melbourne, supported by Australia Research Council Linkage Project Grant (100200129).

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