# **Polo-like kinase 2 promotes chemoresistance and predicts limited survival benefit from adjuvant chemotherapy in colorectal cancer**

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Abstract. Colorectal cancer (CRC) is one of the most common malignances worldwide. Chemoresistance remains a major issue in the field of CRC treatment. The present study aimed to investigate the potential role of polo-like kinase 2 (Plk2) in chemoresistance in CRC. The associations between Plk2 and clinicopathological factors, as well as chemotherapeutic benefit were analyzed with a publicly available CRC dataset. The correlation between Plk2 expression and chemosensitivity was further confirmed in CRC cells. Moreover, knockdown and exogenous overexpression experiments of Plk2 were carried out to uncover the potential role of Plk2 in regulating the chemoresistance of CRC cells. We found that the expression of Plk2 was significantly associated with proximally located tumors. In addition, it was found that high expression of Plk2 was associated with deficient mismatch repair status, B-raf serine/threonine kinase proto-oncogeneand Kirsten rat sarcoma viral oncogene homolog mutations. By contrast, tumor protein 53 mutation was correlated with a low expression level of Plk2. A higher expression level of Plk2 significantly predicted a poorer outcome in patients with CRC. However, the prognostic significance was only observed in patients who received adjuvant chemotherapy. In CRC cells, higher levels of Plk2 were associated with increased resistance to chemotherapeutic agents. Knocking down the expression of Plk2 resulted in elevated cellular apoptosis induced by oxaliplatin. By contrast, exogenous overexpression of Plk2 exerted an anti-apoptotic effect and enhanced the resistance of CRC cells to chemotherapeutic agents. In conclusion, a high

*Abbreviations:* Plk2, polo-like kinase 2; CRC, colorectal cancer; dMMR, deficient mismatch repair; pMMR, proficient mismatch repair; GEO, Gene Expression Omnibus; OS, overall survival; DFS, disease-free survival;  $IC_{50}$ , half maximal inhibitory concentration; FBS, fetal bovine serum; shRNA, short hairpin RNA

*Key words:* colorectal cancer, polo-like kinase 2, outcome, adjuvant chemotherapy, chemoresistance, apoptosis

expression of Plk2 was associated with chemoresistant traits of CRC through inhibiting apoptosis. These results suggested that Plk2 may serve as a predictive marker for chemoresistance and a novel target in CRC treatment.

#### Introduction

Colorectal cancer (CRC) is the third most common malignancy among men and women in the United States (1). The 5-year survival rate for all patients with CRC was 65%, from 2006 to 2012 (2). Tumor stage significantly affects patient outcome; the 5-year survival rates for localized stage, regional and distant metastatic disease were 90, 71 and 14%, from 2006 to 2012, respectively (2). Adjuvant chemotherapy has been demonstrated to improve the outcome of patients with CRC at the late-stage(3). However, the benefit of adjuvant chemotherapy in stage II CRC to survival is controversial (4-6). The mechanism underlying the resistance/sensitivity of CRC cells to chemotherapeutic agents remains to be fully elucidated. In addition, identifying novel predictive biomarkers may assist in predicting the clinical benefit of adjuvant chemotherapy for CRC.

To date, a series of genetic alterations have been identified in CRC. The deficient DNA mismatch repair (dMMR) feature is common in CRC, and is associated with clinical benefit from postoperative chemotherapy (7). The survival rates of patients with dMMR tumors were not improved by fluorouracil (5-Fu)-based adjuvant chemotherapy, compared with those with proficient mismatch repair (pMMR) tumors (7,8). The Kirsten rat sarcoma viral oncogene homolog (KRAS) mutation occurs in ~35-40% of CRC tumors (9,10). An increased risk of recurrence is observed in CRC tumors with the KRAS mutation, compared with wild-type tumors (11). The B-raf serine/threonine kinase proto-oncogene (BRAF) mutation is preferentially observed in dMMR tumors, and is almost exclusive to the KRAS mutation in CRC (9). No significant difference in prognosis was reported between the BRAF mutation and wild-type tumors in stage II CRC (11), however, the BRAF mutation was associated with poor disease-free survival (DFS) rate of stage III CRC (12). Tumor protein 53 (TP53) is frequently mutated in several types of cancer, including CRC (13). It has been reported that TP53 mutations are more frequent in metastatic CRC, compared with primary CRC (14). However, evidence that mutations of the KRAS, BRAF or TP53 genes offer potential as a predictive marker for adjuvant chemotherapeutic benefit remains limited.

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The polo-like kinases (Plks), a family of serine/threonine protein kinases, are essential in cell cycle checkpoint and DNA damage signaling (15). Plk1 is essential for cell cycle regulation, and has been characterized with an oncogenic role in several types of cancer (16). However, the exact role of Plk2 in human cancer remains a topic of debate. A predominate suppression of the expression of Plk2 has been observed in B-cell lymphoma and ovarian cancer (17,18). Plk2 inhibited cell proliferation and promoted chemotherapeutic drug-induced apoptosis in cervical cancer (19). Furthermore, a lower expression of Plk2 was associated with poor prognosis in breast cancer treated by irradiation (20). These observations suggested that Plk2 may serve as a tumor suppressor. Plk2 has been reported to be essential for promoting survival and inhibiting apoptosis in other cancer cells, including non-small cell lung cancer, head and neck carcinoma, and osteosarcoma (21-23). Previously, Ou et al reported that the protein levels of Plk2 were significantly elevated in tumor tissues, and were associated with adverse prognosis in CRC (24). These data suggested that the mechanism of Plk2 in carcinogenesis and cancer progression is more complex than previously expected. Whether Plk2 is involved in the chemoresistance of CRC remains to be fully elucidated.

In the present study, it was hypothesized that Plk2 is involved in the regulation of chemoresistance in CRC. By analyzing a public CRC dataset, it was found that a high expression of Plk2 was associated with dMMR, KRAS and BRAF mutations, and limited survival benefit for adjuvant chemotherapy in late stage CRC. In CRC cells, a higher expression of Plk2 was correlated with increased resistance to chemotherapeutic agents. Experiments involving the knockdown and exogenous overexpression of Plk2 demonstrated that this gene was involved in regulating the chemoresistance of CRC cells.

#### Materials and methods

Gene expression analysis of Plk2 in patients with CRC. The whole genome gene expression profile and clinical information of 566 CRC cases were collected from GSE39582 in the Gene Expression Omnibus (GEO, https://www.ncbi.nlm.nih. gov/geo/) (25). The dataset included 310 men and 256 women, with a median age of 69 years. Of these, 233 patients received standard adjuvant chemotherapy following surgical resection, 316 patients received surgical treatment alone; the treatment information for the remaining 17 patients was not available. The median follow-up time for DFS and overall survival (OS) were 3.58 and 4.25 years, respectively. For some patients in the cohort, we did not have DFS or OS information. The number of patients for which DFS and OS information was available was 560 and 562, respectively for the whole cohort. Similarly, in the adjuvant chemotherapy subgroup, DFS and OS information was available for 232 and 233 patients, respectively. In the mono-surgery treatment subgroup, DFS and OS information was available for 312 and 313 patients, respectively. The detailed clinical characteristics, adjuvant chemotherapy treatment and prognostic information were downloaded from the GEO website. The clinicopathological characteristics of the patients are summarized in Table I. The present study was a retrospective study and all data corresponding to the human tissues is publicly available in the GEO.

The gene expression data and the pharmacological data of certain anticancer drugs of CRC cell lines were also collected from the Cancer Cell Line Encyclopedia (CCLE) (26). The gene expression profiles of the CCLE (GSE36133) were downloaded from the GEO database.

The raw data of gene expression profiles ('cel' files of Affymetrix Human Genome U133 Plus 2.0 microarray) of the CRC samples or cell lines were downloaded from the GEO database. The gene expression profiles were log<sub>2</sub>-transformed and normalized with the 'RMA' method. 'PMA' callings were detected using the R package 'affy' (version 1.56.0, https://www.bioconductor.org/packages/release/bioc/html/affy.html). Probes that were characterized as 'Present' ('PMA' callings) in >20% of the samples were retained. Probe annotation was performed based on the R package 'hgu133plus2.db' from Bioconductor (version 3.2.3, https://www.bioconductor.org/packages/release/data/annotation/html/hgu133plus2.db.html). The gene expression value of Plk2 (probe set no. 201939\_at) was extracted for subsequent analysis.

Cell culture and treatment. The SW620 cell line (cat. no. ATCC® CCL-227<sup>™</sup>) and RKO cell line (cat. no. ATCC<sup>®</sup> CRL-2577<sup>™</sup>) were purchased from the American Type Culture Collection (Manassas, VA, USA). The HT55 cell line (cat. no. 5061105) was purchased from the European Collection of Authenticated Cell Cultures (Sigma-Aldrich, St. Louis, MO, USA). The Colo-678 cell line (cat. no. ACC194) was purchased from the German Collection of Microorganisms and Cell Cultures (Leibniz Institute DSMZ, Braunschweig, Freistaat Sachsen, Germany). The cells were cultured in DMEM/F12 and RPMI-1640 medium with 10% fetal bovine serum (FBS) (Gibco/Thermo Fisher Scientific, Waltham, MA, USA), and incubated at 37°C with 5% CO<sub>2</sub>. For the cell viability assay, the cells were seeded in 96-well plates at 37°C at a density of 1.0x10<sup>5</sup>/ml, and treated with oxaliplatin or 5-Fu at 0, 4, 20 32, 100, 160, 500 and 800 nM (6-wells/treatment) for 72 h. Cell viability was detected with a Cell Counting kit-8 (CCK-8; Dojindo Molecular Technologies, Inc., Kumamoto, Japan) and the absorbance at 450 nm was recorded using the iMark microplate reader (Bio-Rad Laboratories, Inc., Hercules, CA, USA). Dose-response curves were fitted using the Sigmoid model. The half maximal inhibitory concentration (IC<sub>50</sub>) was calculated by the fitted models.

Cells at ~35% confluence were infected with a lentivirus packaging different plasmids, including Plk2 short hairpin RNA (shRNA), scramble control, Plk2 overexpression plasmids and vector control, and 10  $\mu$ g/ml polybrene. After 24 h, cells were selected with fresh media containing puromycin at  $2 \mu$ g/ml for 48 h. The cells were harvested and used for the cell viability assay as described above, western blot analysis and flow cytometric analysis. Lentiviral particles packaging the shRNA targeting Plk2 (5'-TAGTCAAGTGACGGTGCTG-3') and the scramble control (5'-TTCTCCGAACGTGTCACGT-3') were purchased from GeneChem Co., Ltd. (Shanghai, China). Lentiviral particles packaging the pGLV-Plk2 expression vector and the empty control vector were purchased from GenePharma Co., Ltd. (Shanghai, China).

*Flow cytometric analysis.* The cells were grown at  $5x10^{5}$ /well in 6-well plates overnight. The cells were then treated with

			Expressi		
Variable	Parameter	All, n (%)	Low, n (%)	High, n (%)	P-value
Sex					0.447
	Female	256 (45.2)	123 (43.5)	133 (47)	
	Male	310 (54.8)	160 (56.5)	150 (53)	
Аяе					0.064
	<70 years	301 (53.2)	162 (57.2)	139 (49.1)	0.001
	≥70 years	265 (46.8)	121 (42.8)	144 (50.9)	
Tumor location	, ,	× ,			3 95x 10 <sup>-7</sup>
rumor location	Distal	342 (60.4)	201 (71)	141 (49.8)	5.55X10
	Proximal	224 (39.6)	82 (29)	142 (50 2)	
<u><u>S</u>4</u>	TTOXIMU	221(33.0)	02 (2))	112 (50.2)	0.201
Stage	CIC	4 (0 7)	A(1 A)	0 (0)	0.301
	LIS	4 (0.7)	4(1.4) 20(7.1)	0(0)	
	I II	57 (0.5) 258 (45 6)	20(7.1)	17(0) 120(450)	
	11	238 (43.0)	126 (43.2)	150(43.9)	
		205(55.9)	104(30.7)	99 (55) 24 (12)	
		(10.8)	27 (9.3)	34(12)	
	INA	3 (0.3)	0(0)	5(1.1)	
MMR status					1.01x10 <sup>-8</sup>
	dMMR	75 (13.3)	15 (5.3)	60 (21.2)	
	pMMR	444 (78.4)	251 (88.7)	193 (68.2)	
	NA	47 (8.3)	17 (6)	30 (10.6)	
TP53 status					3.87x10 <sup>-7</sup>
	Wt	161 (28.4)	57 (20.1)	104 (36.7)	
	Mut	190 (33.6)	120 (42.4)	70 (24.7)	
	NA	215 (38)	106 (37.5)	109 (38.5)	
KRAS status					0.003
	Wt	328 (58)	182 (64.3)	146 (51.6)	
	Mut	217 (38.3)	91 (32.2)	126 (44.5)	
	NA	21 (3.7)	10 (3.5)	11 (3.9)	
BRAF status					$5.24 \times 10^{-7}$
DIGIT Status	Wt	461 (81 4)	248 (87.6)	213 (75 3)	5.2 IXI0
	Mut	51 (9)	8 (2 8)	43 (15 2)	
	NA	54 (9 5)	27 (9 5)	27 (9 5)	
Ad: Cham	1111	51(5.5)	21 (5.5)	21 (5.5)	0 195
Auj.Cnem.	N-	216(55.9)	152 (54 1)	162 (57.6)	0.185
	INO Val	310 (33.8) 222 (41.2)	133 (34.1)	103(3/.0) 106(27.5)	
	res	233 (41.2)	127 (44.9)	100(3/.3)	
	NA	17(3)	3 (1.1)	14 (4.9)	

Τ	ab	le	۶I	[. <i>I</i>	Associat	ion	between	exp	ressioi	ı of	' Plk	2 and	l cl	inical	l variał	ble	s in	co	lorectal	cancer.

Plk2, polo-like kinase 2; MMR, mismatch repair; dMMR, deficient MMR; pMMR, proficient MMR; Wt, wild-type; Mut, mutation; KRAS, Kirsten rat sarcoma viral oncogene homolog; BRAF, B raf serine/threonine kinase proto oncogene; TP53, tumor protein 53; Adj.Chem., adjuvant chemotherapy; NA, not available.

oxaliplatin at 1  $\mu$ M (SW620 cells), or 4  $\mu$ M (Colo-678 cells), or dimethyl sulfoxide (DMSO) at a final concentration of 0.1% as a control for 16 h. The cells were washed twice with phosphate-buffered saline. The cells were resuspended in binding buffer at 1x10<sup>6</sup> cells/ml. APC-conjugated Annexin V (5  $\mu$ l) and propidium iodide (5  $\mu$ l) were added to 100  $\mu$ l of cell suspension. The samples were incubated for 10 min at room temperature. Binding buffer (400  $\mu$ l) was added and the samples were analyzed using a BD FACSCanto II flow cytometer (BD Biosciences, Franklin Lakes, NJ, USA).

RNA extraction and reverse transcription-quantitative polymerase chain reaction (RT-qPCR) analysis. Total RNA from the CRC cell lines was isolated using an RNeasy Mini kit (Qiagen GmbH, Düsseldorf, Germany), and then quantified using a NanoDrop 2000 spectrophotometer (Thermo Fisher Scientific, Inc., Waltham, MA, USA). The total RNA (100 ng) was subjected to RT-qPCR analysis with the iTaq Universal SYBR One-Step kit on the CFX-Connect Real-Time PCR detection system (both from Bio-Rad Laboratories, Inc., Hercules, CA, USA) following the manufacturer's instructions. The thermocycling conditions were as follows: 10 min at 50°C for reverse transcription reaction, 1 min at 95°C for polymerase activation and DNA denaturation (10 sec at 95°C for denaturation, 30 sec at 60°C for annealing/extension and plate read) for 35 cycles, and a 0.5°C increment from 65 to 95°C for melt-curve analysis. The primers used were as follows: Plk2 forward, 5'-GCTGATGTCTGGCTGTTCAT CAG-3' and reverse sequence, 5'-CTTCCCTGTAGATCTCACA GTG-3'; GAPDH (endogenous control) forward, 5'-ACCCAG AAGACTGTGGATGG-3' and reverse sequence, 5'-TTCAGC TCAGGGATGACCTT-3'. The average quantification cycle (Cq) of the triplicate experiments for each sample was used for the subsequent analysis. The gene expression was calculated using the  $2^{-\Delta\Delta Cq}$  method (27), where  $\Delta Cq = Cq_{\text{target gene}} - Ct_{\text{endogenous}}$ , and  $\Delta\Delta Cq = \Delta Ct_{individual sample} - \Delta Cq_{reference sample}$ .

Western blot analysis. The cells were lysed with cell lysis buffer (Cell Signaling Technology, Inc., Danvers, MA, USA) in the presence of protease inhibitors. Total protein (40  $\mu$ g) was electrophoresed on 12% SDS-PAGE and electrophoretically transferred onto a PVDF membrane, and blocked with 5% skim milk at room temperature for 1 h. The membranes were then probed with different primary antibodies overnight at 4°C. The membranes were washed for 5 min three times in TBS with 0.1% Tween-20 and then incubated with horseradish peroxidase-conjugated mouse (1:10,000; cat. no. 1706516) or rabbit (1:10,000; cat. no. 1706515) (both from Bio-Rad, Laboratories, Inc.) secondary antibodies at room temperature for 1 h. The membranes were washed 3 times for 5 min in TBS with 0.1% Tween-20, and then visualized with the Lumi-Light western blotting substrate (Roche Diagnostics, Basel, Switzerland) on the 5200 Chemiluminescence Imager (Tanon Science & Technology Co., Ltd., Shanghai, China). The following primary antibodies were used: Mouse anti-Plk2 (1:1,000; cat. no. ab137539; Abcam, Cambridge, MA, USA), mouse anti-cleaved poly(ADP-ribose) polymerase-1 (PARP-1; 1:200; cat. no. sc56196; Santa Cruz Biotechnology, Inc., Dallas, TX, USA), mouse anti-GAPDH (1:5,000; cat. no. TA-08; ZSGB-BIO, Beijing, China).

Statistical analysis. All patients with CRC were grouped into Plk2-low and Plk2-high expression subgroups by the median Plk2 expression value. The association between Plk2 subgroups and the clinical/genetic variables was tested using Pearson's  $\chi^2$  test with Yates' continuity correction or Fisher's exact test. The Kaplan-Meier curve was applied to compare the DFS and OS of patients between different Plk2 subgroups, and the log-rank test was used to estimate the significance. Cox's univariate proportional hazards model was used for Plk2 subgroups and different clinical variables, based on the DFS and OS. Multivariate Cox analysis was performed for all clinical variables initially. The final model was selected by a stepwise selection process with the Akaike information criterion. To analyze the clinical benefit of adjuvant chemotherapy, the Kaplan-Meier curve and log-rank test were performed for DFS and OS between patients with and without adjuvant chemotherapy. The P-value of the RT-qPCR data of the four cell lines was calculated using one-way analysis of variance and multiple comparisons using Tukey's 'Honest Significant Difference' method. The difference in cell apoptotic rate, determined by flow cytometry, was calculated using Student's t-test (unpaired). All of these statistical tests were two-sided, and performed using R software (version 3.3.0, https://www.r-project.org/). P<0.05 was considered to indicate a statistically significant difference.

#### Results

Association between the expression of Plk2 and clinical/ genetic parameters in patients with CRC. The present study investigated the association between the gene expression of Plk2 and clinical or genetic variables in the CRC cohort. As shown in Table I, a high expression of Plk2 was significantly correlated with the proximal location of tumors ( $P=3.95 \times 10^{-7}$ ). A significant association was also found between the high expression of Plk2 and dMMR (P=1.0x10<sup>-8</sup>), wild-type TP53 (P=3.87x10<sup>-7</sup>), mutant KRAS (P=0.003) and mutant BRAF  $(P=5.24 \times 10^{-7})$ . There was a trend of significance between the expression of Plk2 and patient age (P=0.064). No statistically significant association was found between the expression of Plk2 and sex (P=0.447) or tumor-node-metastasis stage (P=0.301). There was also no statistically significant difference in the expression of Plk2 between patients who received adjuvant chemotherapy and those who did not receive adjuvant chemotherapy (P=0.185).

Prognostic value of Plk2 in patients with CRC who received adjuvant chemotherapy. To evaluate the prognostic value of Plk2, all patients were grouped into Plk2-high and Plk2-low subgroups by the median expression value. Kaplan-Meier analysis revealed that patients with a high expression of Plk2 had a poorer DFS, compared with those with a low expression (log-rank test, P=7.0x10<sup>-4</sup>) (Fig. 1, upper left). Additionally, a high expression of Plk2 was associated with a shorter OS (log-rank test, P=0.031) (Fig. 1, upper right). However, the prognostic significance of Plk2 was not observed in the subgroup of patients who did not receive adjuvant chemotherapy, based on either DFS or OS (log-rank test, P>0.1) (Fig. 1, middle panel). When the patients who received adjuvant chemotherapy were analyzed, a high expression of Plk2 was significantly correlated with poorer DFS (log-rank test, P=1.8x10<sup>-5</sup>) (Fig. 1, bottom left) and shorter OS (log-rank test, P=0.002) (Fig. 1, bottom right), respectively.

Subsequently, the present study investigated whether Plk2 was an independent prognostic factor in patients with CRC who received adjuvant chemotherapy. For DFS (Table II), stage IV (HR=3.81, 95% CI: 1.89-7.71, P=0.0002) and a high expression of Plk2 (HR=2.46, 95% CI: 1.61-3.76, P=3.3x10<sup>-5</sup>) were significant prognostic factors, according to univariate Cox analysis. The multivariate Cox model showed that a high expression of Plk2 (HR=3.18, 95% CI: 1.88-5.35, P=1.43x10<sup>-5</sup>) was an independent prognostic factor for DFS (Table II). Additionally, univariate Cox analysis revealed that stage IV (HR=9.4, 95% CI: 4.58-19.29, P=1.0x10<sup>-9</sup>), mutant KRAS

		Univariate	Cox	Multivariate Cox		
Variable	Parameter	HR (95% CI)	P-value	HR (95% CI)	P-value	
Sex	Female Male	1 1.01 (0.67-1.53)	0.959			
Age	<70 years ≥70 years	1 0.91 (0.57-1.43)	0.669	0.60 (0.32-1.12)	0.111	
Tumor location	Distal Proximal	1 0.68 (0.43-1.08)	0.104	0.56 (0.31-1.01)	0.055	
Stage	II III IV	1 1.32 (0.78-2.25) 3.81 (1.89-7.71)	0.304 2.0x10 <sup>-4</sup>	1 1.61 (0.84-3.12) 4.67 (2.02-10.81)	0.154 3.1x10 <sup>-4</sup>	
MMR status	pMMR dMMR	1 0.67 (0.25-1.84)	0.441	0.30 (0.07-1.26)	0.101	
TP53 status	Wt Mut	1 1.10 (0.62-1.95)	0.736			
KRAS status	Wt Mut	1.27 (0.82-1.96)	0.278			
BRAF status	Wt Mut	1 0.60 (0.15-2.45)	0.476			
Expression of Plk2	Low High	1 2.46 (1.61-3.76)	3.27x10 <sup>-5</sup>	1 3.18 (1.88-5.35)	1.43x10 <sup>-5</sup>	

Table II. Cox proportional hazards regression for DFS of patients with colorectal cancer who received adjuvant chemotherapy.

Plk2, polo-like kinase 2; DFS, disease-free survival; MMR, mismatch repair; dMMR, deficient MMR; pMMR, proficient MMR; KRAS, Kirsten rat sarcoma viral oncogene homolog; BRAF, B raf serine/threonine kinase proto oncogene; TP53, tumor protein 53;Wt, wild-type; Mut, muta-tion; HR, hazard ratio; CI, confidence interval.

(HR=1.86, 95% CI: 1.17-2.97, P=0.009) and a high expression of Plk2 (HR=2.07, 95% CI: 1.31-3.29, P=0.002) were high risk factors for poor OS (Table III).Multivariate analysis showed that a high expression of Plk2 (HR=1.75, 95% CI: 1.08-2.84, P=0.024) was an independent prognostic factor for OS in the final model (Table III).

Plk2 as a potential predictor of survival benefit from adjuvant chemotherapy for late stage CRC. Adjuvant chemotherapy was routinely used for the majority of the late stage CRC cases. The present study aimed to determine whether the expression of Plk2 affects the survival benefit of adjuvant chemotherapy in stage III/IV CRC. For all patients with stage III/IVCRC, adjuvant chemotherapy did not improve DFS in this cohort (log-rank test, P=0.52, Fig. 2A, upper left panel). A trend of improved DFS for adjuvant chemotherapy was observed in the Plk2-low subgroup (log-rank test, P=0.14, Fig. 2A, upper middle panel), but not in the Plk2-high subgroup (log-rank test, P=0.41) (Fig. 2A, upper right panel). For OS, patients who received adjuvant chemotherapy had significantly improved prognosis, compared with those without adjuvant chemotherapy when considering all stage III/IV patients (log-rank test, P=0.0009) (Fig. 2A, bottom left panel) or the Plk2-low subgroup (log-rank test, P=0.001) (Fig. 2A, bottom middle panel). However, adjuvant chemotherapy did not significantly improve OS in the Plk2-high subgroup (log-rank test, P=0.18) (Fig. 2A, bottom right panel). The dMMR status is associated with limited chemotherapeutic benefit in CRC. The present study evaluated the survival effect of Plk2 in the sub-population with pMMR, which included the majority of the patients. Similarly, the survival benefit from adjuvant chemotherapy was preferentially observed in patients with tumors expressing a low level of Plk2 (Fig. 2B). These data suggested that a high expression of Plk2 may be associated with limited clinical benefit from adjuvant chemotherapy in late stage CRC. In addition, the association may be independent of MMR status.

High expression of Plk2 is associated with low sensitivity to chemotherapeutic agents in CRC cells. To examine the association between Plk2 and chemotherapy, the present study detected the expression levels of Plk2 in four CRC cell lines. The mRNA level of Plk2 was significantly higher in the HT-55 and Colo-678 cells, compared with that in the SW620 and RKO cells (Fig. 3A). In addition, western blot analysis showed elevated protein levels of Plk2 in the HT-55 and Colo-678 cells, compared with levels in the SW620 and RKO cells (Fig. 3B). The cell viability assay revealed that IC<sub>50</sub> values to oxaliplatin for the HT-55, Colo-678, SW620 and RKO cells were 7.32  $\mu$ M (95% CI: 6.5-8.25), 26.4  $\mu$ M (95% CI: 24.1-28.91), 1.22  $\mu$ M (95% CI: 1.07-1.4) and 2.0  $\mu$ M (95% CI: 1.67-2.39),



Figure 1. Survival analysis of the expression of Plk2 in patients withcolorectal cancer. All patients were divided into Plk2-low and Plk2-high subgroups by the median expression levels of Plk2. Kaplan-Meier curves showed the survival differences of Plk2 subgroups based on DFS (left column) and OS (right column). Survival analysis was performed in all patients (upper panel), patients without adjuvant chemotherapy (middle panel) and patients who received adjuvant chemotherapy (bottom panel), respectively. The P-value was calculated by the log-rank test. Plk2, polo-like kinase 2; DFS, disease-free survival; OS, overall survival; non-Adj., without adjuvant chemotherapy.

respectively (Fig. 3C). Accordingly, the IC<sub>50</sub> values to 5-Fu, another chemotherapeutic agent used in CRC treatment, for the HT-55, Colo-678, SW620 and RKO cells were 38.85  $\mu$ M (95% CI: 32.51-46.42), 54.81  $\mu$ M (95% CI: 46.36-64.8), 5.55  $\mu$ M (95% CI: 4.68-6.58) and 3.63  $\mu$ M (95% CI: 2.77-4.77), respectively (Fig. 3D). These findings were confirmed using the CCLE dataset. Consistent with the results of the RT-qPCR analysis, the mRNA level of Plk2 was higher in the Colo-678 and HT-55 cells, compared with that in the SW620 and RKO cells in the microarray (Fig. 3E). The pharmacological results indicated that Colo-678 cells were more resistant to certain chemotherapeutic agents, compared with the SW620 and RKO cells (Fig. 3F). The pharmacological results of the HT-55 cell line were not available in the CCLE database. These data suggested that a higher expression level of Plk2 was associated with increased resistance to chemotherapeutic agents in the CRC cells.

*Plk2 contributes to anti-apoptosis and chemoresistance in CRC cells.* Subsequently, the present study examined whether the dysregulation of Plk2 affects chemoresistance in CRC cells. The Colo-678 cells were infected with lentivirus-encoded scramble or Plk2 shRNA for 48 h to deplete the expression of Plk2. The Colo-678 cells expressing Plk2-shRNA exhibited decreased IC<sub>50</sub> to oxaliplatin (shRNA, vs. scramble, 4.57  $\mu$ M, 95% CI: 4.03-5.18, vs. 23.11  $\mu$ M, 95% CI: 21.41-24.95) and decreased IC<sub>50</sub> to 5-Fu (shRNA, vs. scramble, 9.69  $\mu$ M, 95% CI: 8.43-11.13, vs. 48.02  $\mu$ M, 95% CI: 41.1-56.11), as shown in Fig. 4A and B. Similarly, the sensitivity to oxaliplatin of the HT55 cells was increased when the expression of Plk2 was



Figure 2. Survival benefit of adjuvant chemotherapy in late stage colorectal cancer. The results of (A) all stage III/IV patients and (B) all stage III/IV patients with pMMR status are shown. All patients (left column), the Plk2-low subgroup (middle column) and the Plk2-high subgroup (right column) were analyzed. The upper and lower panels show DFS and OS benefit from adjuvant chemotherapy respectively. The Kaplan-Meier curves show DFS/OS differences in patients with or without adjuvant chemotherapy. The P-value is calculated by the log-rank test. Plk2, polo-like kinase 2; DFS, disease-free survival; OS, overall survival; non-Adj., without adjuvant chemotherapy; Adj.Chemo., with adjuvant chemotherapy; pMMR, proficient mismatch repair.

depleted by shRNA (Fig. 4C,  $IC_{50}$  Plk2-shRNA, vs. scramble, 2.0  $\mu$ M, 95% CI: 1.8-2.24, vs. 6.82  $\mu$ M, 95% CI: 6.05-7.69). Western blot analysis demonstrated a marked decrease in the protein level of Plk2 in the Plk2-shRNA cells (Fig. 4D). In addition, an apoptotic assay was performed to determine whether the decrease in the expression of Plk2 led to increased apoptosis

in response to chemotherapeutic agents. As shown in Fig. 4E, following treatment with 4  $\mu$ M oxaliplatin for 16 h, the scramble cells did not show increased levels of cleaved PARP (a marker of apoptosis). However, oxaliplatin treatment induced a significant elevation of cleaved PARP in the Plk2-knockdown cells. Flow cytometric analysis showed that Colo-678 cells with Plk2

		Univariate (	Cox	Multivariate Cox		
Variable	Parameter	HR (95% CI)	P-value	HR (95% CI)	P-value	
Sex	Female	1				
	Male	1.22 (0.77-1.94)	0.397			
Age	<70 years	1				
	≥70 years	1.18 (0.72-1.93)	0.504			
Tumor location	Distal	1				
	Proximal	1.31 (0.81-2.1)	0.271			
Stage	II	1		1		
C	III	1.14 (0.63-2.06)	0.675	1.07 (0.58-1.98)	0.819	
	IV	9.40 (4.58-19.29)	1.04x10 <sup>-9</sup>	9.75 (4.66-20.39)	1.48x10-9	
MMR status	pMMR	1				
	dMMR	0.94 (0.34-2.59)	0.906			
TP53 status	Wt	1				
	Mut	1.06 (0.56-2.02)	0.852			
KRAS status	Wt	1		1		
	Mut	1.86 (1.17-2.97)	0.009	1.71 (1.06-2.76)	0.029	
BRAF status	Wt	1				
	Mut	0.38 (0.05-2.73)	0.335			
Expression of Plk2	Low	1		1		
-	High	2.07 (1.31-3.29)	0.002	1.75 (1.08-2.84)	0.024	

Table III. Cox pr	oportional haz	zards regression	1 for OS of	patients with colorect	tal carcinoma wh	o received adjuvant	chemotherapy.
-		0					

OS, overall survival; MMR, mismatch repair; dMMR, deficient MMR; pMMR, proficient MMR; KRAS, Kirsten rat sarcoma viral oncogene homolog; BRAF, B raf serine/threonine kinase proto oncogene; TP53, tumor protein 53; Wt, wild-type; Mut, mutation; HR, hazard ratio; CI, confidence interval.

Table IV. Univariate Cox proportional hazards regres	ession analysis of Plk family in patients with colorectal cancer.
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		DFS		OS	OS	
Patient group	Plk family	HR (95% CI)	P-value	HR (95% CI)	P-value	
All	Plk1 (high vs. low)	0.65 (0.48-0.87)	0.004	0.66 (0.5-0.88)	0.005	
	Plk2 (high vs. low)	1.68 (1.24-2.27)	0.0008	1.37 (1.03-1.82)	0.032	
	Plk3 (high vs. low)	1.54 (1.14-2.08)	0.005	1.37 (1.03-1.83)	0.031	
	Plk4 (high vs. low)	0.50 (0.36-0.68)	8.67x10 <sup>-6</sup>	0.67 (0.5-0.9)	0.007	
Adj.Chemo	Plk1 (high vs. low)	0.64 (0.43-0.98)	0.039	0.45 (0.28-0.72)	0.0009	
-	Plk2 (high vs. low)	2.46 (1.61-3.76)	3.27x10 <sup>-5</sup>	2.07 (1.31-3.29)	0.002	
	Plk3 (high vs. low)	1.26 (0.83-1.9)	0.28	1.5 (0.95-2.37)	0.085	
	Plk4 (high vs. low)	0.68 (0.45-1.03)	0.066	0.72 (0.46-1.13)	0.156	

Plk, polo-like kinase 2; Adj.Chemo. with adjuvant chemotherapy; DFS, disease-free survival; OS, overall survival; HR, hazard ratio; CI, confidence interval.

knockdown exhibited increased apoptosis, compared with the scramble control cells in DMSO. Oxaliplatin treatment (4  $\mu$ M for 16 h) induced apoptosis to a higher level, compared with that in the DMSO control in the Plk2-knockdown cells. By contrast, oxaliplatin only induced minor apoptosis over DMSO treatment in the scramble control Colo-678 cells (Fig. 4F and G).

Subsequently, the effect of the overexpression of Plk2 on chemosensitivity was examined in CRC cells. The Plk2-overexpressing SW620 cells had an increased IC<sub>50</sub> to oxaliplatin (Plk2, vs. vector, 3.39  $\mu$ M, 95% CI: 2.97-3.88, vs. 1.08  $\mu$ M, 95% CI: 0.94-1.24), and were more resistant to 5-Fu (Plk2, vs. vector, IC<sub>50</sub>, 12.92  $\mu$ M,



Figure 3. Correlation between the expression of Plk2 and chemosensitivity in CRC cell lines. (A) Gene expression of Plk2 in four CRC cell lines by RT-qPCR analysis. Relative expression (FC) of Plk2was calculated with GAPDH as a reference gene, and normalized to that of SW620 cells. Data are presented as the mean  $\pm$  standard deviation from three independent experiments, with triplicate amplifications for each experiment. The overall difference in the expression of Plk2 among the four cell lines was calculated using one-way analysis variance (P=2.82x10<sup>-5</sup>). Multiple comparisons were calculated by Tukey's 'Honest Significant Difference' method. The P-value of multiple comparisons between SW620 and other three cells is shown. (B) Western blot analysis of protein levels of Plk2 in the four CRC cell lines. GAPDH shows equal sample loading. Dose-response to (C) oxaliplatin and (D) 5-Fu in the four CRC cell lines. Cells were treated with DMSO or different concentrations of chemotherapeutic drugs for 72 h. The viable cell number was determined by cell viability assay. Data is plotted as the mean  $\pm$  standard deviation of three independent experiments with six repeats for each experiment. (E) Gene expression of Plk2 in four CRC cell lines, determined by a microarray from the CCLE database. Relative expression (FC) of Plk2 was normalized to that of SW620 cells. (F) Pharmacological data of three CRC cells from CCLE database. IC<sub>50</sub> values of three chemotherapeutic drugs for different CRC cell lines are presented. Plk2, polo-like kinase 2; RT-qPCR, reverse transcription-quantitative polymerase chain reaction; CRC, colorectal carcinoma; FC, fold change; CCLE, Cancer Cell Line Encyclopedia; IC<sub>50</sub>, half maximal inhibitory concentration.

95% CI: 11.21-14.89, vs. 5.13  $\mu$ M, 95% CI: 4.49-5.86), as shown in Fig. 5A and B. Similar results were observed for RKO cells with exogenous overexpression of Plk2 (Fig. 5C, IC<sub>50</sub> to oxaliplatin, Plk2, vs. vector, 4.82  $\mu$ M, 95% CI: 4.23-5.49, vs. 1.64  $\mu$ M, 95% CI: 1.41-1.9). The results of the western blot analysis confirmed the overexpression of Plk2 protein in the Plk2-transfected cells, compared with that in the vector control cells (Fig. 5D). As expected, following treatment with 1  $\mu$ M oxaliplatin for 16 h, the control cells exhibited increased the levels of cleaved PARP (Fig. 5E). However, the overexpression of Plk2 in SW620 cells inhibited the upregulation of cleaved PARP in response to oxaliplatin (Fig. 5E). Flow cytometric



Figure 4. Effect of Plk2 knockdown on chemosensitivity and apoptosis of CRC cells. Dose-response of chemotherapeautic agents on the growth effect of CRC cells transfected with Plk2-shRNA and scrambled control. Colo-6678 cells were treated with DMSO or different concentrations of (A) oxaliplatin or (B) 5-Fu for 72 h. HT-55 cells were treated with different concentrations of (C) oxaliplatin. The viable cell number was determined using an MTT assay. Data are plotted as the mean  $\pm$  standard deviation of three independent experiments with six repeats for each experiment. (D) Western blot analysis indicated the knocked down expression of Plk2, with GAPDH as an endogenous control. Effect of Plk2 knockdown on apoptosis. Cells infected with lentiviruses encoding Plk2-targeting or scrambled shRNAs were treated with oxaliplatin (4  $\mu$ M) or DMSO for 16 h. (E) Cell lysates were subjected to western blot analysis for cleaved PARP. (F) Cells were stained with Annexin V and PI and analyzed using flow cytometry. (G) Apoptotic cell rates are shown as a bar plot. Data are representative of three independent experiments. The P-value was calculated using Student's t-test (unpaired). \*P<0.01. CRC, colorectal carcinoma; Plk2, polo-like kinase 2; PARP, poly(ADP-ribose) polymerase 1; shRNA, short hairpin RNA; 5-Fu, fluorouracil; DMSO, dimethyl sulfoxide; PI, propidiumiodide; IC<sub>50</sub>, half maximal inhibitory concentration.

analysis demonstrated that the Plk2-overexpressing SW620 cells exhibited decreased apoptosis, compared with the vector control cells in the DMSO and oxaliplatin treatment conditions. Oxaliplatin treatment (1  $\mu$ M for 16 h) induced a higher level of apoptosis in the vector control cells, compared with the DMSO control cells. However, oxaliplatin induced only minor apoptosis in the Plk2-overexpressing SW620 cells over DMSO treatment (Fig. 5F and G).

#### Discussion

In the present study, the clinical association of Plk2 in patients with CRC was examined and its role in chemoresistance in CRC cells was investigated. A higher expression of Plk2 was more frequently observed in proximally located tumors, and was correlated with pMMR status, BRAF mutation, KRAS mutation and TP53 wild-type. The expression of Plk2 was a



Figure 5. Effect of the overexpression of Plk2 on chemosensitivity and apoptosis of CRC cells. Dose-response of chemotherapeutic agents on the growth effect of Plk2 and vector control CRC cells. Colo-678 cells were treated with DMSO or different concentration of (A) oxaliplatin or (B) 5-Fu for 72 h. HT-55 cells were treated with DMSO or different concentration of (C) oxaliplatin. The viable cell number was determined using an MTT assay. Data are plotted as the mean  $\pm$  standard deviation of three independent experiments with six repeats for each experiment. (D) Western blot indicating the overexpression of Plk2, with GAPDH as endogenous control. Effects of the overexpression of Plk2 on apoptosis were examined. Cells infected with lentiviruses encoding Plk2 or vector control were treated with oxaliplatin (1  $\mu$ M) or DMSO for 16 h. (E) Cell lysates were subjected to western blot analysis for cleaved PARP. (F) Cells were stained with Annexin V and PI, and analyzed using flow cytometry. (G) Apoptotic cell rates are shown as a bar plot. Data are representative of three independent experiments. The P-value was calculated using Student's t-test (unpaired). \*P<0.05; \*\*P<0.01. CRC, colorectal carcinoma; Plk2, polo-like kinase 2; PARP, poly(ADP-ribose) polymerase 1; shRNA; 5-Fu, fluorouracil; DMSO, dimethyl sulfoxide; PI, propidium iodide; IC<sub>50</sub>, half maximal inhibitory concentration.

prognostic factor for an unfavorable outcome in patients who received adjuvant chemotherapy, but not in those without. The higher expression of Plk2 was correlated with increased resistance to chemotherapeutic agents in CRC cells. The knockdown of Plk2 in cells expressing a high level of Plk2 resulted in enhanced apoptosis and sensitized cells to chemotherapeutic drugs. By contrast, the exogenous expression of Plk2 in cells expressing a low level of Plk2 inhibited the apoptosis induced by chemotherapeutic agents. These results suggested that Plk2 may be involved in chemoresistance in CRC through inhibiting apoptosis. Plk2 has been documented as a tumor suppressor in B-cell lymphoma and ovarian cancer, and is transcriptionally silenced in these tumors as a result (17,18). However, another study demonstrated that Plk2 was significantly overexpressed in CRC tissues (24); elevated protein levels of Plk2 were associated with tumor metastasis and poor prognosis for OS and DFS (24). Consistently, the results of the present study showed that a higher gene expression of Plk2 in CRC was associated with shorter OS and DFS of patients. It was also revealed that a higher expression of Plk2 was more likely to be associated with proximally located tumors, which are reported to have poorer clinical outcome, compared with distal tumors (28,29). The present study found that Plk2 was only of prognostic value in the patients who received adjuvant chemotherapy, but not in those without adjuvant chemotherapy. However, this finding was not reported in the previous studies. Multivariate Cox regression revealed that the expression of Plk2wasan independent prognostic factor for OS and DFS in patients who received adjuvant chemotherapy. In III/IV stage CRC, adjuvant chemotherapy significantly improved the OS of patients. However, the improvement of OS by adjuvant chemotherapy was observed only in the Plk2-low subgroup and not in the Plk2-high subgroup. For stage I/II CRC, the expression of Plk2 was not able to predict patient outcome in patients, whether they received adjuvant chemotherapy or not (data not shown). This was possibly due to only a small number of patients at the early stage (n=55) receiving adjuvant chemotherapy. These findings suggested that Plk2 serves as a promising biomarker for predicting the outcome benefit from adjuvant chemotherapy in late stage CRC.

The Plk family is essential in cell cycle checkpoint and DNA damage signaling (15). Four of the family members (Plk1-4) were present in the CRC dataset analyzed in the present study. Further investigation found that high expression levels of Plk1 and Plk4 were protective factors for favorable prognosis, whereas the overexpression of Plk2 and Plk3 was associated with unfavorable patient outcome (Table IV). Additionally, for patients who received adjuvant chemotherapy, only Plk1 and Plk2 significantly affected the prediction of DFS and OS (Table IV). These findings suggested that the Plk family members may have different roles in the carcinogenesis of CRC.

dMMR is a common genetic alteration in CRCs. A previous study demonstrated that dMMR tumors were resistant to 5-Fu-based therapy and that the patients benefitted less from adjuvant chemotherapy (7). The results of the present study indicated that the tumors with a higher expression of Plk2 had a higher frequency of dMMR (21.2%), compared with those with a lower expression of Plk2 (5.3%). It has been reported that the BRAF mutation is associated with dMMR status in CRC (10,30). Accordingly, the present study found that the upregulation of Plk2 was associated with a higher frequency of BRAF mutation, compared with the low expression of Plk2 (15.2 vs. 2.8%, respectively). Although the KRAS mutation is almost exclusive to the BRAF mutation in CRC (9,10), the present study found that the expression of Plk2 was also positively correlated with the KRAS mutation rate (Plk2-high vs. low: 44.5 vs. 32.2%). These findings suggested that the upregulation of Plk2 may be more likely to be observed in CRC with oncogenic mutations. In addition, according to previous reports, dMMR, BRAF and KRAS mutations are more frequently detected in proximal/rightsided tumors (9,10). Consistently, the present study revealed that the proximally located tumors were associated with a higher expression of Plk2. In addition, the TP53 mutation was inversely correlated with the expression of Plk2, which may due to the expression of Plk2 being induced by wildtype TP53 (31,32). From the GDSC database (33), the present study found that TP53 was mutated in SW620 and HT55 cells, KRAS mutations were found in SW620 and Colo-678 cells, and BRAF mutations were observed in RKO cells. Therefore, there was no marked correlation between the chemosensitivity

and the mutant status of TP53, KRAS and BRAF in the four CRC cells.

A previous study demonstrated that a high expression of Plk2 was associated with an anti-apoptotic effect in CRC cells under normal tissue culture conditions (24). However, the downregulation of Plk2 mediated by microRNA-27b has been shown to be associated with accelerated proliferation and inhibited paclitaxel-induced cell apoptosis in cervical cancer (19). These results suggest that Plk2 may have bidirectional effects in the regulation of apoptosis based on different cell types. In the present study, it was found that a higher expression of Plk2 indicated a poorer outcome in patients with CRC who received adjuvant chemotherapy, suggesting an anti-chemotherapeutic effect of Plk2. A higher expression level of Plk2 was observed in CRC cells with increased resistance to chemothereapeutic agents. In line with previous results (24), the present study observed that the knockdown of Plk2 in Colo-678 cells resulted in increased apoptosis, whereas the exogenous expression of Plk2 decreased apoptosis in SW620 cells. It was also found that Plk2 was important in the response of CRC cells to chemotherapeutic agents. Apoptosis induced by oxaliplatin was markedly enhanced in the cells with depressed expression of Plk2. The observation of decreased apoptosis induced by oxaliplatin in Plk2-overexpressing cells confirmed the chemoresistant feature of Plk2. Taken together, these data suggested that a high expression of Plk2 may contribute to chemoresistance by inhibiting the apoptosis of CRC cells.

There were several possible mechanisms underlying the anti-chemotherapeutic role of Plk2. The expression of Plk2 is transcriptionally regulated by TP53, and is involved in DNA replication and the S-phase checkpoint (21,31). Plk2 depletion deficiency results in enhanced phosphorylation of H2AX and DNA damage in response to replication stress (21). The mutant TP53 can be phosphorylated by Plk2, to result in an improved oncogenic effect and enhanced chemoresistance in cancer cells (34). However, the TP53 mutation was identified in the chemoresistant HT-55 cells and the sensitive SW620 cells, suggesting other mechanisms are involved in the chemoresistant effect of Plk2.TAp73 is a known tumor suppressor with a similar structure top 53. The function of TAp73 can be inhibited by Plk2, which phosphorylates TAp73 at Ser48 and prevents its nuclear translocation (22). Suppressing the expression of Plk2 enhances DNA-damaging drug induced apoptosis through a TAp73-dependent manner (22). In addition, previous studies have found that TAp73 is involved in the drug-induced apoptosis of CRC cells (35,36), particularly in p53-deficient cells (35). Another downstream target of Plk2 is F-box and WD repeat domain containing 7 (Fbxw7). It is reported that Plk2 binds to Fbxw7 and mediates its subsequent degradation, resulting an in anti-apoptotic effect in CRC cells (24). Accordingly, a low expression of Fbxw7 was associated with poor patient prognosis and increased resistance to chemotherapy (37). Collectively, these previous results indicated that TAp73 and Fbxw7 may serve as the important downstream targets of Plk2 in the chemoresistance of CRC cells.

In conclusion, the present study found that a high expression of Plk2 was significantly associated with reduced benefit from adjuvant chemotherapy in late stage CRC. Furthermore, experiments involving the knockdown and exogenous overexpression of Plk2 demonstrated that Plk2 is important in the chemoresistance of CRC cells. These results suggest that Plk2 may serve as a predictor marker for the clinical benefit of adjuvant chemotherapy, and that targeting Plk2 offers a promising novel strategy in CRC therapy.

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## Availability of data and materials

The datasets analyzed in the present study are publicly available in Gene Expression Omnibus (accession nos. GSE39582 and GSE36133).

### **Authors' contributions**

The contributions of the authors were as follows: Conception and design: JC; development of methodology: YX, YL and QL; acquisition of data: YX, YL and QL; analysis and interpretation of data: YX and YL; writing, review, and/or revision of the manuscript: YX and JC; administrative, technical or material support: JC and QL; study supervision: YX and YL. All authors read and approved the final manuscript.

#### Ethics approval and consent to participate

Not applicable.

## **Consent for publication**

Not applicable.

# **Competing interests**

The authors declare that there have no competing interests.

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