

# SYSTEMATIC REVIEW AND META-ANALYSIS

## Association Between Frailty or Sarcopenia and Adverse Outcomes in Inflammatory Bowel Disease: A Systematic Review



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**BACKGROUND AND AIMS:** Chronological age often guides the management of patients with inflammatory bowel disease (IBD). Frailty and sarcopenia, which are related but distinct entities that become increasingly prevalent with age, better predict nonsurgical and surgical outcomes in various chronic illnesses. We conducted a systematic review to assess the association between frailty or sarcopenia and adverse nonsurgical outcomes in adult patients with IBD. **METHODS:** Through a systematic literature review of 4 online databases (MEDLINE, EMBASE, Scopus, and CINAHL Plus), we identified 16 studies that focused on frailty or sarcopenia and nonsurgical outcomes in IBD. The Newcastle-Ottawa Scale was used to determine the quality of included studies. **RESULTS:** We identified 16 studies: 8 frailty-based and 8 sarcopenia-based studies (14 high-quality and 2 low-quality studies). All results were presented in a summarized narrative format. Frailty predicted all hospitalization-related outcomes (hospitalization, readmission, and length of stay) and mortality-related outcomes. The outcomes of therapeutic efficacy, need for therapy escalation, and infections had mixed results in relation to their association with frailty or sarcopenia. The data regarding sarcopenia and hospitalizations were also equivocal. **CONCLUSION:** This systematic review supports the use of frailty indices to predict hospitalization- and mortality-related outcomes in adult patients with IBD. Future research should focus on identifying and validating frailty and sarcopenia tools in IBD to better help predict adverse clinical outcomes and response to therapy.

**Keywords:** Crohn's Disease; Ulcerative Colitis; Myopenia; Reduced Function

### Introduction

Inflammatory bowel disease (IBD), which includes Crohn's disease (CD) and ulcerative colitis (UC), is an autoimmune condition of the gastrointestinal tract that likely results from an interplay between environmental triggers and an aberrant immune response in a genetically predisposed individual.<sup>1</sup> IBD has a bimodal distribution of incidence, with 10%–15% of new diagnoses occurring after the age of 60 years. Although older patients with IBD

generally have less complicated disease behavior, they have similar or even higher rates of surgery compared with younger-onset patients with IBD which may relate to decreased utilization of immunosuppression or even lower rates of response to antitumor necrosis factor (anti-TNF) therapies.<sup>2</sup> The management of IBD based on chronological age alone is inadvisable given that chronological age does not reflect “biological age” or the extent of physiological reserve an individual may have to endure stress from both the disease and various treatment strategies.<sup>3</sup> Emerging data suggest that measures reflecting biological age, such as frailty and sarcopenia, can act as prognosticating markers and provide nuanced information required for optimal clinical decision-making.<sup>4</sup>

Frailty is a term that represents a decline in multiple physiological systems that results in vulnerability after a stressor event,<sup>5</sup> whereas sarcopenia is defined by low muscle strength and low muscle quantity or quality.<sup>6</sup> Although frailty and sarcopenia are distinct concepts, sarcopenia represents the physical phenotype of frailty.<sup>7</sup> In the non-IBD patient population, frailty is associated with outcomes including falls, disability, hospitalizations, increased care requirements, and mortality.<sup>5</sup> Within the field of IBD, frailty and sarcopenia have primarily been evaluated in the context of surgery and postoperative complications.<sup>8</sup> In light of the increasing prevalence of elderly patients living with IBD,<sup>9</sup> there has been a recent interest in exploring the impact of frailty and sarcopenia on nonsurgical outcomes in

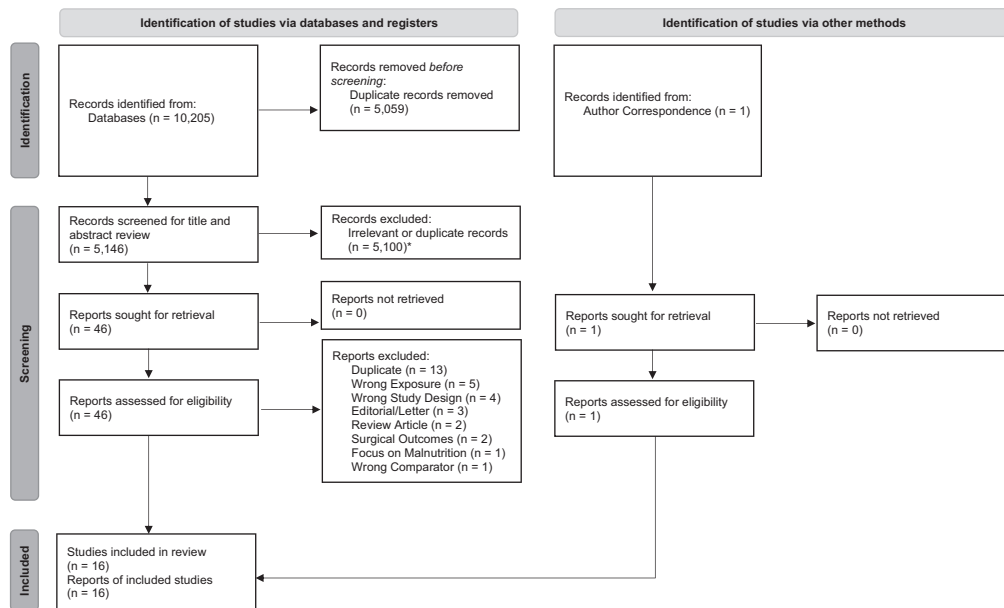
**Abbreviations used in this paper:** aHR, adjusted hazard ratio; anti-TNF, antitumor necrosis factor; aOR, adjusted odds ratio; ARR, adjusted risk ratio; CCI, Charlson comorbidity index; CD, Crohn's disease; CI, confidence interval; HR, hazard ratio; IBD, inflammatory bowel disease; ICD, International Classification of Disease; IQR, interquartile range; L3, third lumbar spine vertebra; LOS, length of stay; OR, odds ratio; PMI, psoas muscle index; RR, risk ratio; SD, standard deviation; SMI, skeletal muscle index; T3, triiodothyronine; T4, thyroxine; UC, ulcerative colitis.

Most current article

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**Figure.** PRISMA flowchart demonstrating search results and the study selection process. \*Focus on nutritional exposure (n = 12); focus on surgical outcomes (n = 16); focus on both nutritional exposure and surgical outcomes (n = 5). PRISMA, Preferred Reporting Items for Systematic Reviews and Meta-Analyses.

IBD.<sup>10–13</sup> Therefore, we aimed to summarize the existing literature to help inform the clinical care of all adult patients with IBD and guide future prospective studies in frailty and sarcopenia.

## Materials and Methods

This systematic review adhered to the Meta-analyses of Observational Studies in Epidemiology reporting guidelines<sup>14</sup> when applicable and an a priori published protocol.<sup>15</sup> A Preferred Reporting Items for Systematic Reviews and Meta-analyses flowchart is provided in Figure, which demonstrates the manuscript selection process for this review.<sup>16</sup>

### Search Strategy

We searched 4 online databases through to June 18, 2021 (MEDLINE [1946 Onward], EMBASE, Scopus, and CINAHL Plus [with Full Text]) using synonyms of IBD alongside search terms for both frailty and sarcopenia. While MEDLINE (1946 Onward) and EMBASE were accessed through Ovid, Scopus was accessed through Elsevier, and CINAHL Plus (with Full Text) was accessed through EBSCOhost. The following search terms were utilized: (IBD OR Crohn\* OR inflammatory bowel disease\* OR ulcerative colitis) AND (frail\* OR sarcopenia OR comorbid\* OR Karnofsky OR Charlson OR Edmonton Frailty OR Fried\* OR accumulation of deficits OR comprehensive geriatric assessment) AND (infection\* OR mortality OR morbidity OR hospital\* OR readmission\* OR complication\* OR thromb\* OR outcome\* OR cancer OR malignan\* OR death\* OR fatal\*). Comorbidities were included as a measurement of frailty if they were reported as a Charlson comorbidity index (CCI) score or were included as part of a validated frailty index. Furthermore, only studies in which the assessment of frailty or sarcopenia was specified as the primary aim of the study were included because the reported effect estimates of frailty and sarcopenia when these were not the primary exposure do not have a direct interpretation (i.e., the concept of “Table 2 fallacy”).<sup>28</sup> No

restrictions were put on language or publication date, and both full articles and abstracts were included. Conference abstracts published between 2015 and 2020 from the European Crohn’s and Colitis Organization, Advances in IBD, and Digestive Disease Week were searched for using the respective journals and conference archives. The references of systematic reviews on the topic published within the last 5 years and the references of included articles were also manually searched. Two reviewers (K.B. and F.P.) independently screened the title and abstract of studies identified in the primary search after duplicates were removed. The full texts of remaining manuscripts and abstracts were then assessed to determine if they met inclusion criteria. Any disagreement was resolved by consensus with a third reviewer (J.G.A.).

### Inclusion and Exclusion Criteria

Inclusion criteria were as follows: (1) peer-reviewed observational case-controlled, retrospective, or prospective studies and randomized controlled trials including IBD participants and published in full manuscript or abstract form, (2) articles that assessed frailty or sarcopenia where frailty was defined by either an aspect of the Fried phenotype model<sup>7</sup> of frailty or the cumulative deficit model<sup>5,29</sup> of frailty and where sarcopenia was defined by low muscle quantity or quality,<sup>6</sup> (3) articles that focused on the impact of frailty or sarcopenia on nonsurgical outcomes, (4) articles where frailty or sarcopenia were identified as the primary aim of the study, and (5) articles that included a control cohort of patients with IBD without frailty or sarcopenia. We excluded studies that were (1) cross-sectional observational studies, (2) secondary articles, letters to the editor, or case reports, (3) studies that focused on pediatric (<18 years) patients with IBD or non-IBD patients, and (4) studies that primarily focused on the impact of malnutrition.

### Outcomes

We analyzed adverse nonsurgical outcomes in this systematic review including infections, mortality rates,

**Table 1.** Characteristics of the Frailty-based Eligible Studies

Reference	Type of study	Number, type of participants	IBD type	Frailty tool	Outcome(s)	Follow-up duration (IQR)
Asscher et al, 2020 <sup>31</sup>	Multicenter prospective cohort	410, outpatients	UC, CD, IBD-U	CCI <sup>17</sup>	Infections, hospitalizations, medication-related adverse events, discontinuation of IBD therapy, clinical effectiveness outcomes	Median of 102.40 wk (52–104 wk)
Bertani et al, 2020 <sup>33</sup>	Multicenter prospective cohort	80, inpatients and outpatients	UC, CD	Reduced serum T3/T4 ratio <sup>18</sup>	Mucosal healing, clinical remission	54 wk
Faye et al, 2021 <sup>11</sup>	Multicenter retrospective cohort	1,405,529, inpatients	UC, CD	Presence of at least 1 ICD-9-CM code derived from Johns Hopkins Adjusted Clinical Groups frailty-defining diagnoses <sup>19</sup>	30-d hospital readmission, 30-d readmission mortality, length of stay	30 d after index admission
Gondal et al, 2020 <sup>32</sup>	Single-center retrospective cohort	2978, unknown	UC, CD, IBD-U	7-factor IBD frailty index >0.27 (derived from CSHA frailty index) <sup>20</sup>	Mortality, frequency of flares	Unknown
Kochar et al, 2020 <sup>34</sup>	Multicenter retrospective cohort	3975, inpatients and outpatients	UC, CD	Adaptation of the Hospital Frailty Risk Score <sup>21</sup> (presence of at least 1 frailty-related ICD-9 code)	Infections, infection-related hospitalizations	Anti-TNF cohort: Median for frail 12 mo (7–17 mo), Median for fit 7 mo (4–14 mo); immunomodulator cohort: median for frail 11 mo (6–18 mo), Median for fit 8 mo (4–14 mo)
Kochar et al, 2020 <sup>36</sup>	Multicenter retrospective cohort	11,001, inpatients and outpatients	UC, CD	Adaptation of the Hospital Frailty Risk Score <sup>21</sup> (presence of at least 1 frailty-related ICD-9 code)	Mortality	Median for frail 10.90 y (5.10–17.90 y), Median for fit 7.70 y (3.10–14.40 y)
Qian et al, 2020 <sup>35</sup>	Multicenter retrospective cohort	47,402, inpatients	UC, CD	Hospital Frailty Risk Score <sup>21</sup> ≥5	Inpatient mortality, readmissions, unplanned hospitalizations	Median for frail 10 mo (8–11 mo), Median for fit 10 mo (7–11 mo)
Singh et al, 2020 <sup>13</sup>	Multicenter retrospective cohort	5987, inpatients and outpatients	UC, CD	Hospital Frailty Risk Score <sup>21</sup> ≥5	Infections requiring hospitalization	Mean for frail 11.60 ± SD 10.20 mo, Mean for fit 16.30 ± SD 14.70 mo

CSHA, Canadian Study of Health and Aging; IBD-U, inflammatory bowel disease type unclassified; ICD-9-CM, International Classification of Diseases, Ninth Revision, Clinical Modification.

hospitalizations, hospital readmissions, length of hospital stays, frequency of flares, therapeutic response, clinical remission, mucosal healing, and addition or modification of IBD-related medications. For studies that reported on both nonsurgical and surgical outcomes, only nonsurgical outcomes were assessed.

### Data Extraction and Quality Assessment

The following information was extracted: (1) study characteristics: publication date and journal, lead author contact details, funding sources, conflicts of interest for authors, location of study, period during which data were collected, aim of study, study design, follow-up duration; (2) patient characteristics: IBD subtype, mean age, sample size, inclusion and exclusion criteria, inpatient or outpatient; (3) exposure: method(s) used for frailty or sarcopenia detection; and (4) outcomes: measurement(s) and description of nonsurgical outcomes. A narrative synthesis method was used to summarize the results. The heterogeneity of the outcomes and the respective analyses performed prevented us from conducting a meta-analysis. The Newcastle-Ottawa Scale was utilized to assess study quality, with the results of these assessments displayed in [Table A1](#).<sup>30</sup> Studies earning a score  $\geq 6$  were considered to be high quality. Both data extraction and quality assessment were completed by 2 reviewers (K.B. and F.P.) using a standardized data collection form. When data were unclear or missing, the reviewer (K.B.) contacted the primary study authors for clarification.

## Results

We identified 16 studies: 8 frailty-based and 8 sarcopenia-based studies ([Figure](#)). Two were prospective and 14 were retrospective observational studies including 9 North American cohorts, 3 Asian cohorts, 3 European cohorts, and one Australian cohort. Additional characteristics of the studies are displayed in [Tables 1](#) and [2](#), as well as [Tables A2](#) and [A3](#). Study sample sizes ranged between 23 and 1,405,529 patients with all but 2 studies being rated as high quality ([Table A3](#)). With respect to study selection, there were no disagreements between the primary 2 reviewers, which required consulting a third reviewer.

### Frailty-based

**Therapeutic Efficacy and Escalation.** Asscher et al<sup>31</sup> conducted clinical efficacy analyses only on patients with active IBD at baseline defined by either a Harvey Bradshaw Index  $>4$  or a Simple Clinical Colitis Activity Index  $>2$ . CCI scores were not associated with clinical remission, clinical response, corticosteroid-free clinical remission, combined biochemical and clinical remission, or biologic treatment persistence. Gondal et al<sup>32</sup> focused specifically on disease flares as an adverse outcome. Multivariable regression analysis revealed that frailty did not predict the risk of patients experiencing  $>5$  IBD flares or relapses (odds ratio [OR] 1.20, 95% confidence interval [CI]

0.99–1.45,  $P = .05$ ). In contrast to these findings, Bertani et al,<sup>33</sup> who defined frailty as a reduction in the triiodothyronine/thyroxine (T3/T4) ratio of individuals, demonstrated that a higher T3/T4 ratio was associated with a higher likelihood of mucosal healing (OR per unit increase: 6.4, 95% CI 2.9–14.3,  $P < .0001$ ). Furthermore, the T3/T4 ratio was effective in predicting clinical remission, and for each unit increase from the baseline T3/T4 ratio, the OR was 8.80 (95% CI 3.5–22.0,  $P < .0001$ ).

**Infections.** The risk of developing serious infections in patients on anti-TNF and vedolizumab was studied by Singh et al<sup>13</sup> who defined frailty using the Hospital Frailty Risk Score. Although frail patients were 1.9-times more likely to be at risk of serious infections (hazard ratio [HR] 1.90, 95% CI 1.60–2.27,  $P < .01$ ), after adjusting for potential confounders, frailty was not independently associated with an increased risk of developing serious infections (adjusted HR [aHR] 1.12, 95% CI 0.93–1.36,  $P = .23$ ). Age greater than 60 years was, however, independently associated with serious infections (aHR 2.24, 95% CI 1.72–2.90,  $P < .01$ ). When patients were stratified by exposure to biologic medications, those with frailty on vedolizumab had a 1.7-times higher risk of developing serious infections (aHR 1.69, 95% CI 1.03–1.79,  $P = .039$ ), whereas those with frailty on anti-TNF therapy were not at increased risk of serious infections (aHR 1.03, 95% CI 0.83–1.27,  $P = .81$ ). Asscher et al had similar findings where CCI scores were not found to be associated with the occurrence of infections during treatment in the global series (OR 1.277, 95% CI 0.998–1.634,  $P = .052$ ). However, in the subgroup of patients treated with vedolizumab (in comparison with ustekinumab), the CCI was found to be independently associated with the development of any infection during biologic treatment (OR 1.387, 95% CI 1.022–1.883,  $P = .032$ ).<sup>31</sup> Furthermore, the CCI was not associated with adverse events (OR 1.228, 95% CI 0.963–1.567,  $P = .098$ ) or treatment discontinuation (OR 1.444, 95% CI 0.920–2.267,  $P = .110$ ).

Finally, Kochar et al<sup>34</sup> explored the development of infections in relation to the presence of frailty as measured by the Hospital Frailty Risk Score. Contrary to the results published by Asscher et al and Singh et al, those who were frail before the initiation of anti-TNF therapy had a higher risk of infection (adjusted OR [aOR] 2.05, 95% CI 1.07–3.93). The presence of at least one comorbidity was also found to be an independent predictor of infections in the frail population before initiating anti-TNF medication (aOR 3.21, 95% CI 1.79–5.76). There were too few patients in the anti-TNF cohort to perform a sound analysis; however, a difference was still reported between the infection-related hospitalization rates of frail and fit patients (9% vs 5%, aOR 1.51, 95% CI 0.62–3.66,  $P = .19$ ). Similarly, patients with IBD who were frail before immunomodulator initiation had an increased risk of infection (aOR 1.81, 95% CI 1.22–2.70) with the presence of

**Table 2.** Characteristics of the Sarcopenia-based Eligible Studies

Reference	Type of study	Number, type of participants	IBD type	Sarcopenia tool	Outcome(s)	Follow-up duration
Adams et al, 2017 <sup>38</sup>	Single-center retrospective cohort	90, unknown	UC, CD	CT image at L3 (cutoff points <38.5 cm <sup>2</sup> /m <sup>2</sup> for women and <52.4 cm <sup>2</sup> /m <sup>2</sup> for men <sup>22</sup> ; skeletal muscle tissue density of -29 to +150 HU)	Hospital admissions, need for new biologics	24 wk
Bamba et al, 2020 <sup>12</sup>	Single-center retrospective cohort	187, inpatients	UC, CD	CT image at L3 (cutoff points <38 cm <sup>2</sup> /m <sup>2</sup> for women and <42 cm <sup>2</sup> /m <sup>2</sup> for men; skeletal muscle tissue density of -29 to +150 HU) <sup>23</sup>	Prolonged LOS (≥30 d)	61–1503 d
Campbell et al, 2020 <sup>40</sup>	Single-center retrospective cohort	98, inpatients and outpatients	UC, CD, IBD-U	CT or MRI scans at L3 (cutoff points <38.5 cm <sup>2</sup> /m <sup>2</sup> for women and <52.4 cm <sup>2</sup> /m <sup>2</sup> for men)	Infections, hospitalizations, clinical response	Unknown (within 1 y of biologic initiation)
Cushing et al, 2018 <sup>39</sup>	Single-center retrospective cohort	89, inpatients	UC	CT images at L3 (cutoff points <39 cm <sup>2</sup> /m <sup>2</sup> for women and <55 cm <sup>2</sup> /m <sup>2</sup> for men <sup>24</sup> ; skeletal muscle tissue density of -30 to +150 HU)	Failure to respond to IVS	Unknown (however, based on outcome likely 3–7 d from time of index hospitalization)
Ge et al, 2021 <sup>10</sup>	Single-center retrospective cohort	23, unknown	UC	CT images at L3 (cutoff point SMI < the lowest sex-specific quartile) <sup>25</sup>	Failure to respond to IVS	5 d
Grillot et al, 2020 <sup>41</sup>	Single-center retrospective cohort	88, inpatients	CD	CT images at L3 (cutoff points <38.5 cm <sup>2</sup> /m <sup>2</sup> for women and <52.4 cm <sup>2</sup> /m <sup>2</sup> for men) <sup>26</sup>	Recurrent hospitalizations, abscess(es), use of anti-TNF $\alpha$ therapy, change or dose optimization of anti-TNF $\alpha$ therapy	Median for sarcopenic, 25.20 $\pm$ SD 21.60 mo; Median for nonsarcopenic, 18.00 $\pm$ SD 17.20 mo
Holt et al, 2017 <sup>37</sup>	Single-center retrospective cohort	68, unknown	UC, CD	CT or MRI images at L3 (cutoff point < gender-specific median skeletal muscle area)	Treatment failure (postinduction hospital admission for IBD, escalation of anti-TNF $\alpha$ dose or immunosuppressants, emergence of a new fistula, rising CDAI >150)	Mean 809.80 $\pm$ SD 664.30 d
Lee et al, 2020 <sup>42</sup>	Single-center retrospective cohort	79, unknown	CD	CT images at L3 (cutoff points <31 cm <sup>2</sup> /m <sup>2</sup> for women and <49 cm <sup>2</sup> /m <sup>2</sup> for men) <sup>27</sup>	Hospitalizations, first prescription of biologics, immunomodulators, or corticosteroids	Median 34.80 mo

CDAI, Crohn's Disease Activity Index; HU, Hounsfield unit; IBD-U, inflammatory bowel disease unclassified; IVS, intravenous corticosteroids; L3, third lumbar spine vertebra; SD, standard deviation.



comorbidity also independently predicting infection risk (aOR 7.26, 95% CI 3.79–13.91). Infection-related hospitalization rates after immunomodulator initiation were found to be significantly higher in frail patients than those in fit patients (13% vs 5%, aOR 2.08, 95% CI 1.33–3.26,  $P < .01$ ).

**Hospitalizations.** Asscher et al<sup>31</sup> determined that CCI scores were independently associated with an increased risk of at least one hospitalization during treatment in both the vedolizumab (aOR 1.586, 95% CI 1.127–2.231,  $P = .008$ ) and ustekinumab groups (aOR 1.623, 95% CI 1.035–2.546,  $P = .035$ ). Conversely, age at baseline was not associated with hospitalizations in either vedolizumab- (aOR 0.986, 95% CI 0.958–1.014,  $P = .313$ ) or ustekinumab-treated patients (aOR 0.986, 95% CI 0.951–1.021,  $P = .418$ ). A subgroup analysis revealed that although the CCI was associated with IBD, infection, or malignancy-related hospitalizations in the ustekinumab group (aOR 1.625, 95% CI 1.002–2.634,  $P = .049$ ), there was no association in the vedolizumab group (aOR 1.388, 95% CI 0.933–2.066,  $P = .105$ ).

Qian et al<sup>35</sup> found that frailty, as defined by the Hospital Frailty Risk Score, remained an independent predictor of both 6-month readmissions (aHR 1.21, 95% CI 1.17–1.25,  $P < .01$ ) and 6-month severe IBD-related hospitalizations (aHR 1.22, 95% CI 1.16–1.29). Annually, frail patients also spent more time in the hospital than nonfrail patients (9 days [interquartile range {IQR} 4–18 days] vs 5 days [IQR 3–10 days],  $P < .01$ ). Similarly, Faye et al<sup>11</sup> found an association between frailty and an increased risk of 30-day hospital readmissions (adjusted risk ratio [aRR] 1.16, 95% CI 1.14–1.17,  $P < .01$ ). After malnutrition, weight loss, and fecal incontinence were removed from the frailty-defining International Classification of Disease (ICD) 9 codes, frailty remained an independent predictor of hospital readmissions (aRR 1.07, 95% CI 1.04–1.10). Finally, the presence of any number of comorbidities was found to be independently associated with an increase in the 30-day readmission risk (aRR 1.10, 95% CI 1.09–1.12,  $P < .01$ ).

**Mortality.** Gondal et al<sup>32</sup> reported that frail patients possessed a higher risk of mortality than nonfrail patients (OR 1.52, 95% CI 1.07–2.16,  $P = .01$ ). Similarly, Qian et al<sup>35</sup> demonstrated that frailty, as defined by the Hospital Frailty Risk Score, remained an independent predictor of inpatient mortality (aHR 1.57, 95% CI 1.34–1.83,  $P < .01$ ). Next, Kochar et al<sup>36</sup> reported that the mortality rate of frail patients was nearly 3 times higher than nonfrail patients (aOR 2.90, 95% CI 2.29–3.68).

Finally, the results by Faye et al<sup>11</sup> supported the findings from the abovementioned studies, where frailty was found to be associated with the 30-day readmission mortality rate of patients (aRR 1.12, 95% CI 1.02–1.23,  $P = .02$ ). Interestingly, when stratified by IBD subtype, this association remained for frail patients with UC (aRR 1.32, 95% CI 1.13–1.55,  $P < .01$ ), but not frail patients with CD (aRR 1.09, 95% CI 0.97–1.22,  $P = .14$ ). On multivariable analysis (aRR

1.26, 95% CI 1.07–1.49,  $P < .01$ ), the presence of at least 2 comorbidities was also an independent predictor of the 30-day readmission mortality rate of all patients.

### Sarcopenia-based

**Therapeutic Efficacy and Escalation.** Holt et al<sup>37</sup> found that sarcopenic patients, defined as those with skeletal muscle areas less than the gender-specific median, had a shorter median time to therapy failure (520 ± standard deviation 135 days) than nonsarcopenic patients (1100 ± standard deviation 151 days), HR 2.062, 95% CI 1.068–3.980,  $P = .031$ . This trend extended to 24 months where 61.7% of sarcopenic patients lost response compared with 27.6% of nonsarcopenic patients (OR 0.25, 95% CI 0.09–0.70,  $P = .014$ ). Failure of therapy was defined by a composite outcome including postinduction hospitalization or surgery for IBD, escalation of the anti-TNF dose or immunomodulator for clinical loss of response, presence of a new fistula, or a CD Activity Index >150. Similarly, Adams et al<sup>38</sup> determined that the cohort of patients without sarcopenia saw a reduction in Harvey Bradshaw Index scores (−2.3,  $P = .004$ ), whereas the sarcopenic cohort did not experience this reduction (+0.4,  $P = .80$ ). In the study conducted by Ge et al,<sup>10</sup> an independent association was reported between the presence of sarcopenia, as determined through the use of previously defined muscle mass cutoff points specific to the level of the third lumbar spine vertebra (L3), and the failure of intravenous corticosteroid therapy for the hospitalized patients with acute severe UC (OR 3.130, 95% CI 1.609–6.087,  $P = .001$ ). Similarly Cushing et al<sup>39</sup> demonstrated that sarcopenia independently predicted failure of intravenous corticosteroid therapy for hospitalized patients with acute severe UC (OR 3.98, 95% CI 1.12–14.1,  $P = .033$ ). In contrast to these findings, Campbell et al<sup>40</sup> failed to find any association between sarcopenia and the clinical response to therapy in those over 50 years of age.

With respect to therapy escalation, Grillot et al<sup>41</sup> also failed to find any difference between sarcopenic and nonsarcopenic patients in relation to the need to start (54% vs 41.7%,  $P = .259$ ), switch (16.3% vs 33.3%,  $P = .067$ ), or optimize (45.8% vs 38.9%,  $P = .524$ ) anti-TNF medications. Similarly, Lee et al<sup>42</sup> determined that there was no association between sarcopenia, defined using Korean-specific skeletal muscle index (SMI) cutoff values, and the first prescription of biologics (infliximab or adalimumab,  $P = .481$ ), immunomodulators ( $P = .370$ ), or corticosteroids ( $P = .842$ ). Finally, univariable analysis in the study by Adams et al<sup>38</sup> failed to reveal an association between sarcopenia and the need for new biologic medication after anti-TNF initiation.

**Infections.** Two studies focused specifically on the relationship between sarcopenia and the presence of infections. Grillot et al<sup>41</sup> determined through univariable

analysis that sarcopenic patients more frequently developed abscesses than nonsarcopenic patients (51.0% vs 16.7%,  $P = .001$ ); however, this was not significant after multivariable analysis. In contrast, although Campbell et al<sup>40</sup> did not find an association between the presence of sarcopenia in the entire cohort and an increased risk of developing infections (HR 1.42, 95% CI 0.75–2.68,  $P = .278$ ), when patients were stratified by age, those  $\geq 50$  years with sarcopenia were 6 times more likely to have infections (HR 5.78, 95% CI 1.27–26.37,  $P = .023$ ). This association remained when disease duration and concomitant steroid use were controlled for (HR 6.90, 95% CI 1.34–35.54,  $P = .021$ ).

**Hospitalizations.** Bamba et al<sup>12</sup> explored prolonged hospital length of stay (LOS) in relation to sarcopenia, where multivariable analysis showed that a low psoas muscle index (PMI) on admission to the hospital was found to be associated with a prolonged LOS (HR 0.662, 95% CI 0.480–0.883,  $P = .004$ ). When stratified by IBD subtype in univariable analysis, both a low SMI and a low PMI were associated with an increased LOS in patients with CD (SMI: HR 0.942, 95% CI 0.894–0.992,  $P = .020$ ; PMI: HR 0.648, 95% CI 0.489–0.858,  $P < .001$ ), whereas only a low PMI was predictive of a prolonged LOS in patients with UC (HR 0.707, 95% CI 0.505–0.989,  $P = .028$ ). Although Grillot et al<sup>41</sup> demonstrated that sarcopenic patients experienced a higher number of hospitalizations than those without sarcopenia (61.2% vs 36.1%,  $P = .022$ ), this association was not significant on multivariable analysis.

Similarly, Adams et al<sup>38</sup> used univariable analysis and found no significant difference between the hospitalization rates of sarcopenic and nonsarcopenic patients ( $P = .60$ ). Finally, Lee et al<sup>42</sup> replicated these findings, as no association was found between sarcopenia and cumulative hospitalization-free survival ( $P = .772$ ).

## Discussion

In this systematic review, we summarized the findings from 16 studies that explored the impact of frailty or sarcopenia on nonsurgical outcomes in patients with IBD. Although the frailty and sarcopenia tools were diverse and the clinical outcomes varied, some meaningful observations were made. Although frailty was measured by the Canadian Study of Health and Aging Frailty Index,<sup>20</sup> the Hospital Frailty Risk Score,<sup>21</sup> the CCI,<sup>17</sup> the Johns Hopkins Adjusted Clinical Groups Frailty Indicator,<sup>19</sup> and the T3/T4 ratio,<sup>18</sup> sarcopenia was primarily defined by SMI cutoffs at the L3 vertebral level on CT or MRI.<sup>22,27,43</sup> Frailty predicted hospitalization, readmission, LOS, and mortality, whereas the data regarding sarcopenia and hospitalizations were equivocal. Moreover, the outcomes of therapeutic efficacy, need for therapy escalation, and infections had mixed results in relation to their association with frailty or sarcopenia. Summarizing the current knowledge makes it evident that frailty and sarcopenia are important yet understudied

prognostic tools within IBD that have thus far been primarily investigated to predict surgical outcomes.<sup>8</sup>

Although there exist 2 main conceptual models for frailty—the phenotype model and cumulative deficit model—there is no gold standard to define frailty. The current available literature concerning frailty in IBD and nonsurgical outcomes utilizes frailty tools that most closely resemble the cumulative deficit model either directly or indirectly (ie, the T3/T4 ratio correlates with the Multidimensional Geriatric Assessment and Multi Prognostic Index)<sup>18</sup> as well as sarcopenia measurements that reflect the physical phenotype of frailty. Other facets of frailty including malnutrition, mental health, and cognitive impairments were not specifically explored in this systematic review unless they were included in a frailty tool that incorporated the cumulative deficit model. Two recent systematic reviews have explored components of the comprehensive geriatric assessment<sup>44</sup> and malnutrition<sup>45</sup> on IBD outcomes, and both call for further research given the heterogeneity of the studies. Finally, although the CCI was not originally developed as a frailty index, we included the CCI as a frailty tool as other validated frailty indices incorporate comorbidities as a major component of their score.<sup>5</sup>

Most frailty-based articles used a modified version of frailty indices originally developed using elderly participants, and none have been validated for the patient population with IBD.<sup>13,32,34–36</sup> While the Hospital Frailty Risk Score was developed based on ICD-10 codes in hospitalized patients aged 75 years or older,<sup>21</sup> the Johns Hopkins Adjusted Clinical Groups Frailty Indicator used ICD-10 codes to predict health care utilization and costs,<sup>19</sup> and the Canadian Study of Health and Aging Frailty Index was derived from a prospective cohort of patients aged 65 years or older focusing on 70 frailty items.<sup>20</sup> Unfortunately, Gondal et al<sup>32</sup> did not clarify how they modified the Canadian Study of Health and Aging Frailty Index. Furthermore, the Hospital Frailty Risk Score was the most commonly included frailty tool; however, its use was not standardized across the studies. For example, it was adapted by all 3 authors using ICD-9 codes instead of ICD-10 codes used in the original score. While 2 studies by Kochar et al classified frailty based on the presence of any ICD defining the frailty code,<sup>34,36</sup> Qian et al and Singh et al both modified the score and assigned patients a designation of being fit (frailty risk score  $< 5$ ) or frail (frailty risk score  $\geq 5$ ).<sup>13,35</sup> Furthermore, the most common ICD frailty codes captured across the studies include diagnoses that may more accurately reflect manifestations of active IBD rather than frailty (“malnutrition”, “weight loss”, “hypokalemia”, “dehydration”, “joint pain”, “disorders of fluid electrolyte and acid-base balance”, “other and unspecified anemias”, “acute renal failure”, “protein energy malnutrition”). While Faye et al and Kochar et al conducted sensitivity analyses to control for this, Singh et al and Qian et al did not.<sup>11,13,34–36</sup>

Similar to the frailty tools, there are limitations to the sarcopenia measurements used as they do not truly reflect

the definition of sarcopenia as espoused by the European Working Group on Sarcopenia in Older People 2. European Working Group on Sarcopenia in Older People 2 defines sarcopenia as low muscle quantity or quality along with a measure of muscle function or strength, whereas all sarcopenia studies lacked a component of muscle function or strength. The most readily available screening tool to assess for sarcopenia in patients with IBD was derived from analyzing the lumbar muscle cross-sectional area at L3 by CT. Only one study utilized the cross-sectional area at L3 by MRI, and another study adopted the PMI. Moreover, the cutoffs used to define sarcopenia also varied among studies. Although 4 articles reported either identical or very similar definitions for sarcopenia ( $<38.5 \text{ cm}^2/\text{m}^2$  for women and  $<52.4 \text{ cm}^2/\text{m}^2$  for men<sup>38,40,41</sup> or  $<39 \text{ cm}^2/\text{m}^2$  for women and  $<55 \text{ cm}^2/\text{m}^2$  for men),<sup>39</sup> the remaining 4 articles used quite variable definitions. One article cited  $<38 \text{ cm}^2/\text{m}^2$  for women and  $<42 \text{ cm}^2/\text{m}^2$  for men,<sup>12</sup> another specified  $<31 \text{ cm}^2/\text{m}^2$  for women and  $<49 \text{ cm}^2/\text{m}^2$  for men,<sup>42</sup> a third determined the appendicular SMI of each individual comparing them to the gender-specific SMI medians,<sup>37</sup> and a fourth stated an SMI less than that of the lowest sex-specific quartile, with no values given.<sup>10</sup> These definitions were based on SMI values from multiple different populations; however, none were specific to patients with IBD. Finally, there was a lack of standardized protocols for scan acquisition with 6 different software types being utilized,<sup>10,12,37,39,41,42</sup> and one study failed to disclose which software was used.<sup>40</sup>

In spite of the limitations in the current literature, our systematic review identified knowledge gaps that can be addressed in the future. First, most studies that evaluated sarcopenia or frailty in the context of immunosuppression focused on anti-TNF therapy: 5 with anti-TNF,<sup>13,33,34,37,38</sup> 3 with vedolizumab,<sup>13,31,33</sup> and 1 with ustekinumab.<sup>31</sup> Clinical outcomes in patients on biologic and small-molecule therapies may be differentially affected by sarcopenia or frailty. For example, Asscher et al<sup>31</sup> demonstrated that the CCI was significantly associated with any infection during treatment in vedolizumab-treated patients (OR 1.387, 95% CI 1.022–1.883,  $P = .036$ ) but not with ustekinumab-treated patients (OR 1.134, 95% CI 0.720–1.788,  $P = .587$ ). Similarly, Singh et al<sup>13</sup> showed that frailty was associated with a 1.7-fold increased rate of serious infections in vedolizumab-treated patients (HR 1.69, 95% CI 1.03–1.79,  $P = .039$ ), but not with anti-TNF-treated patients (HR 1.03, 95% CI 0.83–1.27,  $P = .81$ ). The authors postulate that this association may relate to selection bias in terms of which patients are preferentially placed on vedolizumab. Second, the effect of sarcopenia or frailty on nonsurgical IBD outcomes may differ depending on the IBD subtype. Bamba et al<sup>12</sup> reported that although a low SMI was associated with prolonged LOS in patients with CD (HR 0.942, 95% CI 0.894–0.992,  $P = .02$ ), it was not associated with prolonged LOS in patient with UC (HR 0.958, 95% CI 0.905–1.015,  $P = .121$ ). Furthermore, Faye et al<sup>11</sup> illustrated that frailty increased

the risk of readmission mortality in patients with UC (aRR 1.32, 95% CI 1.13–1.55,  $P < .01$ ) but not in patients with CD (aRR 1.09, 95% CI 0.97–1.22,  $P = .14$ ). Third, no study adequately controlled for disease severity or phenotype, but rather surrogate markers of disease activity were used such as the need for endoscopy. It is evident that disease severity and phenotype affect the natural history of IBD as well as response to therapy,<sup>46,47</sup> and this can only be captured in prospective cohorts with well-defined phenotypes.

In summary, although the effect of sarcopenia and frailty on most adverse nonsurgical outcomes in patients with IBD is equivocal, frailty predicts hospitalizations and mortality mirroring other chronic disease states.<sup>48–50</sup> Building on the clinical review published recently by Faye and Colombel<sup>51</sup> as well as the systematic review by Asscher et al,<sup>44</sup> our systematic review summarizes the outcomes on therapeutic efficacy, contrasts and compares in greater detail the frailty measures utilized, and describes the impact of sarcopenia on IBD outcomes. Admittedly, frailty and sarcopenia are challenging concepts to study as they overlap with various entities including immunosenescence, malnutrition, comorbidities, social determinants of health, cognition, and mental health. Furthermore, retrospective studies of frailty based on administrative health databases are potentially limited by misclassification bias as well the fact that diagnostic coding does not take into account how a clinician weighs the various aspects of frailty for an individual patient.<sup>52,53</sup> Prospective studies utilizing standardized definitions of frailty and sarcopenia are needed with well-defined clinical outcomes such as response to therapy, adverse events, and hospitalizations. Future prospective studies could consider incorporating the Clinical Frailty Scale as it is easy to administer and relies on clinical judgment, potentially increasing its usability.<sup>54,55</sup> Furthermore, sarcopenia studies would benefit from including not only a measure of muscle quantity or quality but also a measure of muscle strength or function such as handgrip strength or gait speed assessments.<sup>56,57</sup> The ultimate goal is to identify complete measures or markers of biological age or physiological reserve, while validating existing measures such as frailty and sarcopenia tools in the patient population with IBD to tailor care not only for the elderly, but for all patients with IBD.

## Supplementary Materials

Material associated with this article can be found in the online version at <https://doi.org/10.1016/j.gastha.2021.11.009>.

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All authors were involved in the study concept and design, critical revision of the manuscript for important intellectual content, and approval of the final manuscript. Katherine Bedard, Farhad Peerani, and Juan G. Abalde were involved in acquisition of data and analysis and interpretation of data. Katherine Bedard and Farhad Peerani were responsible for drafting the manuscript, and Farhad Peerani is the guarantor of the manuscript.

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The corresponding author, on behalf of all authors, jointly and severally, certifies that their institution has approved the protocol for any investigation involving humans or animals and that all experimentation was conducted in conformity with ethical and humane principles of research.

**Data Transparency Statement:**

Additional study materials will be made available to other researchers through contact with the corresponding author.