

BMJ Open Assessing potential population impact of statin treatment for primary prevention of atherosclerotic cardiovascular diseases in the USA: population-based modelling study

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ABSTRACT

Objective: New cholesterol treatment guidelines from American College of Cardiology/American Heart Association recommend statin treatment for more of US population to prevent atherosclerotic cardiovascular disease (ASCVD). It is important to assess how new guidelines may affect population-level health. This study assessed the impact of statin use for primary prevention of ASCVD under the new guidelines.

Methods: We used data from 2010 US Multiple Cause Mortality, Third National Health and Nutrition Examination Survey (NHANES III) Linked Mortality File (1988–2006, n=8941) and NHANES 2005–2010 (n=3178) participants 40–75 years of age for the present study.

Results: Among 33.0 million adults meeting new guidelines for primary prevention of ASCVD, 8.8 million were taking statins; 24.2 million, including 7.7 million with diabetes, are eligible for statin treatment. If all those with diabetes used a statin, 2514 (95% CI 592 to 4142) predicted ASCVD deaths would be prevented annually with 482 (0 to 2239) predicted annual additional cases of myopathy based on randomised clinical trials (RCTs), and 11 801 (9251 to 14 916) using population-based study. Among 16.5 million without diabetes, 5425 (1276 to 8935) ASCVD deaths would be prevented annually with 16 406 (4922 to 26 250) predicted annual additional cases of diabetes and between 1030 (0 to 4791) and 24 302 (19 363 to 30 292) additional cases of myopathy based on RCTs and population-based study. Assuming 80% eligible population take statins with 80% medication adherence, among those without diabetes, the corresponding numbers were 3472 (817 to 5718) deaths, 10 500 (3150 to 16 800) diabetes, 660 (0 to 3066) myopathy (RCTs), and 15 554 (12 392 to 19 387) myopathy (population-based). The estimated total annual cost of statins use ranged from US\$1.65 to US\$6.5 billion if 100% of eligible population take statins.

Conclusions: This population-based modelling study focused on impact of statin use on ASCVD mortality. Under the new guidelines, if all those eligible for primary prevention of ASCVD take statins, up to 12.6%

Strengths and limitations of this study

- This study uses national data and nationally representative surveys to estimate the age-sex-race/ethnicity-specific numbers of those who are eligible for statin treatment for primary prevention of atherosclerotic cardiovascular disease (ASCVD) under the 2013 American College of Cardiology/American Heart Association Guidelines.
- This study uses the best available data from National Health and Nutrition Examination Survey (NHANES) 2005–2010, the National Vital Statistics System, NHANES Linked Mortality File (through 2010) to estimate the age-sex-race/ethnicity-specific ASCVD mortality rates by the statin treatment groups, and the number of ASCVD deaths prevented annually under the 2013 Guidelines.
- This study uses the most updated randomised clinical trials or population-based cohort study to calculate the excess incidence of diabetes and myopathy for estimating the additional cases of diabetes and myopathy associated with statins use.
- The main limitation of this study is that it focuses on annual ASCVD deaths prevented by statin use, rather than on nonfatal ASCVD events due to a lack of baseline treatment group-specific ASCVD incidence rates at the national level.

of annual ASCVD deaths might be prevented, though additional cases of diabetes and myopathy likely occur.

Disclaimer: The findings and conclusions in this report are those of the authors and do not necessarily represent the official position of the Centers for Disease Control and Prevention.

INTRODUCTION

The 2013 American College of Cardiology/American Heart Association (ACC/AHA)

Guidelines (2013 Guidelines) for the treatment of blood cholesterol to reduce atherosclerotic cardiovascular disease (ASCVD) in adults recommend statin therapy for primary prevention in (1) individuals with low-density lipoprotein (LDL) cholesterol ≥ 190 mg/dL; (2) individuals aged 40–75 years with diabetes; (3) 40–75 years without diabetes but with LDL ≥ 70 mg/dL to ≤ 189 mg/dL and with $\geq 7.5\%$ estimated 10-year risk of developing ASCVD.¹

Recent studies suggest that under these new guidelines, more than 45 million middle-aged Americans without a history of ASCVD are eligible for statin therapy—13 million more than were eligible under the ATP III Guidelines.^{2 3} This increase in the statin-eligible population is at least partly the result of new Pooled Cohort Equations that were developed to predict ASCVD risk and determine who might require statin therapy for primary prevention.^{1 3} Although statins are well tolerated, generally safe, and effective for the prevention of ASCVD,^{1 4 5} a number of studies—especially population-based cohort studies—have identified side effects associated with statin use, including diabetes, myopathy and liver enzyme abnormalities.^{4 6–16}

To the best of our knowledge, no study has assessed the potential impact of the new guidelines on the annual number of ASCVD deaths prevented and number of adverse side effects that might occur among US adults. For this study, we use national data and representative surveys to estimate the age-sex-race/ethnicity-specific statin-eligible population and prevalence of current statin use among US adults aged 40–75 years. We estimate the annual number of ASCVD deaths prevented, and the predicted additional cases of diabetes and myopathy, if 100% of those who met the criteria for statin therapy for primary prevention of ASCVD were to use statins. We focus on the annual ASCVD deaths prevented by statins use, due to the lack of nationally representative ASCVD incidence rates for the US adults.

METHODS

National health and nutrition examination survey (NHANES)

NHANES is a continuous series of cross-sectional, stratified, multistage probability surveys of the civilian, non-institutionalised US population. Each survey participant completes a household interview and undergoes a physical examination at a Mobile Examination Center (MEC). Detailed descriptions of NHANES methods are published elsewhere.^{17 18}

We used data from NHANES 2005–2010 to estimate the percentage of participants aged 40–75 years who are eligible for statin therapy for primary prevention of ASCVD, and the prevalence of current statin use. We restricted our analysis to two treatment groups: (1) those aged 40–75 years with diabetes, and (2) those aged 40–75 years without diabetes but with LDL cholesterol ≥ 70 mg/dL to ≤ 189 mg/dL and an estimated 10-year

risk of developing ASCVD $\geq 7.5\%$. We did not include participants with LDL ≥ 190 mg/dL due to small sample size in that group. The two treatment groups were labelled as treatment eligibility group 1 and 2, respectively. Diabetes status was determined using either self-report, fasting glucose or glycated haemoglobin (HbA1c). Diagnosed diabetes was defined as participants who answered ‘yes’ when asked whether a physician or other healthcare professional had ever told them that they had diabetes (excluding gestational diabetes) or taking antidiabetic medications. Undiagnosed diabetes was defined as a fasting glucose level ≥ 126 mg/dL or HbA1c level $\geq 6.5\%$.

We used ACC/AHA Pooled Cohort Equations to estimate the 10-year risk of ASCVD.¹ These equations included modifiable risk factors (systolic blood pressure, antihypertensive medication use, smoking status, total cholesterol and high-density lipoprotein (HDL) cholesterol) and non-modifiable risk factors (age, sex and race). In NHANES 2005–2010, blood pressure was measured using a standardised mercury sphygmomanometer after participants rested quietly for 5 min; we calculated the average of up to three blood pressure readings. Participants who reported that they smoked at least 100 cigarettes in their lifetime and also currently smoked every day or some days were considered current smokers. Total cholesterol was measured using enzymatic reactions, and HDL cholesterol was measured by the direct immunoassay method.^{19 20} LDL cholesterol was calculated among participants who fasted from 8 to <24 hours and had serum triglycerides ≤ 400 mg/dL, using the Friedewald formula: LDL cholesterol = total cholesterol – HDL cholesterol – (triglyceride/5).²¹

Participants were asked to report prescription medications taken in the past 30 days and to bring medication bottles to the MEC where they were recorded. We identified statins using the prescription medication data files and the included Multum Lexicon drug classification scheme.²² Race/ethnicity was categorised as non-Hispanic white, non-Hispanic black, Hispanic and other. For NHANES 2005–2010, from a total of 3909 non-pregnant adults aged 40–75 years with fasting measurements, this study excluded 136 participants with triglycerides > 400 mg/dL and deleted 77 participants with missing values on covariates, leaving 3696 adults for prevalence analysis, including 518 adults with ASCVD at baseline and 3178 for primary prevention analysis. National Center for Health Statistics Research Ethics Review Board approved NHANES. Written consent was obtained for all adults aged ≥ 18 years.

Multiple cause of death

To estimate the annual number of ASCVD deaths prevented by statin use, we used the 2010 Multiple Cause of Death files from the National Vital Statistics System (NVSS) to determine the baseline age-sex-race/ethnicity-specific ASCVD death rates for US population aged 40–75 years.²³ The population denominators for ASCVD mortality were from the 2010 US Census.

The *International Classification of Diseases, Tenth Revision* was used to identify participants for whom ASCVD was listed as the underlying cause of death (codes I20–I25; I63–I69; I70 and I73.9).

Statistical analysis

Statin treatment groups for primary prevention

To estimate the prevalence of statin use by treatment groups, we divided NHANES 2005–2010 participants aged 40–75 years ($n=3696$) into (1) treatment eligibility group 1 (Diabetes); (2) treatment eligibility group 2 (10-year ASCVD risk $\geq 7.5\%$); (3) participants with ASCVD and (4) the remainder. For analysis of primary prevention of ASCVD by statin use, we focused on the first two groups. We calculated the prevalence and 95% CI of current statin use by age group (40 to 49, 50 to 59, 60 to 69 and 70 to 75 years), sex and race/ethnicity (non-Hispanic white, non-Hispanic black, Hispanic and other).

Age-sex-race/ethnicity-specific ASCVD death rates by treatment groups

The 2010 Multiple Cause of Death data that we use to estimate the baseline ASCVD death rates lacks the information necessary to calculate ASCVD death rates by statin treatment groups at the population level. To estimate the treatment group-specific ASCVD death rates, we used information derived from the 2010 NVSS, the 2010 US Census, NHANES III Linked Mortality Files (1988–2006), and NHANES 2005–2010. Detailed steps of calculation are presented in appendix.

Estimating the population eligible for statin treatment and annual ASCVD prevented

We estimated the age-sex-race/ethnicity-specific population eligible for statin treatment by multiplying the prevalence of non-statin use by the population, denoting it as POP_{ij} . We calculated the age-sex-race/ethnicity-specific number of ASCVD deaths prevented annually for treatment group i as follows:

$$\sum [POP_{ij} \times DD_{ij} - ((1 - P_i) \times POP_{ij} \times DD_{ij} + P_i \times POP_{ij} \times DD_{ij} \times RR)],$$

where, POP_{ij} = age-race/ethnicity-specific eligible population (non-current statin users); DD_{ij} = treatment group-specific ASCVD death rates, $i=1$ to 4 groups, and $j=1$ to 16 age and race/ethnicity groups (see online supplementary appendix); P_i = proportion of eligible population for statin treatment complied with the statin therapy in treatment group i (assuming 100% statin use in primary analysis); RR = estimated relative risk of 0.83 (95% CI 0.72 to 0.96) for ASCVD mortality among statin users compared with non-statin users.⁴ We used the 95% CI for the RR of ASCVD mortality to estimate the upper and lower limits of the annual number of ASCVD deaths prevented.

Predicted annual number of additional cases of diabetes and myopathy

We used the results from a meta-analysis of randomised clinical trials (RCTs) on statin use and risk of incident diabetes to estimate the annual number of additional cases of diabetes. This meta-analysis reported an excess incidence of diabetes of 1 per 1000 patient-years (95% CI 0.3 to 1.6).⁸

For myopathy, we used the results from two types of studies to estimate the predicted annual number of additional cases of myopathy: a meta-analysis of RCTs and a population-based cohort study of >2 million patients (QResearch).^{6,7} The RCT-based estimate of excess incidence of myopathy or rhabdomyolysis was 0.063 (95% CI -0.167 to 0.292) per 1000 patient-years.⁷ The population-based estimate of myopathy included diagnosed myopathy, rhabdomyolysis, or a raised upper limit of normal creatine kinase concentration ≥ 4 .⁶ For treatment group 1, the excess incidence of myopathy was 2.176 (95% CI 1.769 to 2.655) and 0.791 (95% CI, 0.545 to 1.100) per 1000 patient-years for men and women, respectively. For treatment group 2, the corresponding rates were 1.864 (95% CI 1.515 to 2.282) and 0.632 (95% CI 0.436 to 0.880), respectively.

We calculated the annual number of adverse side effects as follows:

$$\sum [POP_{ij} \times (1 - ((All_D_{ij}/POP_{ij})/2)) \times Ex_ICD_{ij}],$$

where, All_D_{ij} = the number of all-cause deaths, assuming that those who died within a year contributed 0.5 person-year, $i=1$ to 4 groups, and $j=1$ to 16 age and race/ethnicity groups, and Ex_ICD_{ij} = the excess incidence of adverse side effects. The upper and lower limits of the annual number of adverse side effects were obtained by using the 95% CI of excess incidence. Online supplementary table A1 lists the data sources and provides the calculations for the population impact of statin use in primary prevention of ASCVD deaths. Online supplementary table A2 shows the estimated population eligible for statin treatment and ASCVD death rates by age, sex, race/ethnicity, and treatment eligibility groups.

We conducted a sensitivity analyses assuming that 80% of the treatment eligible population would take a statin with a drug adherence rate of 80% (see online supplementary tables A3 and A4). All analyses were conducted using SAS V.9.3 (SAS Institute, Cary, North Carolina, USA) and SUDAAN V.11 (RTI International, Research Triangle Park, North Carolina, USA) taking into account the complex sampling design. All tests were 2-sided and considered statistically significant at $p<0.05$. Data analysis was completed in February 2015.

RESULTS

NHANES 2005–2010 participants included non-Hispanic whites (75.0%), non-Hispanic blacks (9.9%), Hispanics (10.0%) and others (5.1%). The estimated total

non-institutionalised population aged 40–75 years was 118.6 million, including 105.2 million without ASCVD. Of those, 10.5% (12.4 million) had diabetes, and 37.7% (95% CI 32.3% to 43.4%) were current statin users. About 17.7% (20.6 million) of those without diabetes would be eligible for primary prevention of ASCVD by statin therapy and 20.5% were already on a statin (table 1). The prevalence of current statin use increased with age, and was higher among non-Hispanic whites and those who had health insurance.

Among 12.4 million adults with diabetes; 7.7 million were not taking statins. If 100% of the 7.7 million were taking statins, 2514 (95% CI 592 to 4142) ASCVD deaths could be prevented annually. However, 482 (95% CI 0 to 2239) additional cases of myopathy could occur using the RCT-based estimate, and 11 801 (95% CI 9251 to 14 916) additional cases of myopathy could occur using the population-based estimate (table 2 and figure 1).

The annual number of ASCVD deaths prevented increased with age, and there are about 1.5 times more deaths among men than among women (figure 2A, B). On average, about five additional cases of myopathy are predicted for every ASCVD death prevented using the population-based estimate.

Among 20.6 million adults in treatment group 2, 4.1 million were taking statins and 16.5 million were eligible for statin treatment. The predicted annual number of ASCVD deaths prevented is 5425 (95% CI 1276 to 8935). The predicted additional cases of diabetes are 16 406 (95% CI 4922 to 26 250). Using the RCT-based estimate, the predicted number of additional myopathy cases is 1030 (95% CI 0 to 4791), and 24 302 (95% CI 19 363 to 30 292) using the population-based estimate (table 3 and figure 1). The annual number of ASCVD deaths prevented increased with age and were ~2.3 times greater among men than among women (figure 2C, D).

Assuming 80% of the eligible population were to take statins with a drug adherence rate of 80%, 1609 (95% CI 379 to 2651) ASCVD deaths would be prevented annually and the predicted annual additional cases of myopathy would be 7553 (95% CI 5921 to 9546) using population-based estimate, and 308 (95% CI 0 to 1433) using RCT-based estimate for treatment group 1 (see online supplementary table A3). For treatment group 2, the predicted annual number of ASCVD deaths prevented would be 3472 (95% CI 817 to 5718), the predicted annual additional cases of diabetes would be 10 500 (95% CI 3150 to 16 800), the predicted annual additional cases of myopathy would be 15 554 (95% CI 12 392 to 19 387) using population-based estimate and 660 (95% CI 0 to 3066) using RCT-based estimate (see online supplementary table A4).

DISCUSSION

In the USA, nearly 800 000 people die each year from cardiovascular disease (CVD), making it the leading

cause of death.²⁴ High blood cholesterol levels are associated with an increased risk of CVD events and deaths, and the use of statins is associated with a significant reduction in that risk.²⁵ A substantial body of evidence has demonstrated a reduction in incidence and mortality from ASCVD with a good margin of safety from statin therapy,^{4 5 25} however, other studies, especially population-based cohort studies, have identified several adverse side effects associated with statins use.^{4–10 13}

Under the 2013 Guidelines, many US adults are potentially eligible for statin treatment for primary prevention of ASCVD.^{2 3} In addition, compared with the ATP III guidelines and current guidelines from other authorities, such as European Society of Cardiology and the European Atherosclerosis Society,^{26 27} the ACC/AHA 2013 Guidelines have eliminated LDL-C treatment target values and focused on the specific statin regimens that produce a percentage reduction in LDL-C. One study has summarised the similarities and differences between the ACC/AHA Guidelines and other current guidelines.²⁸ Using national data and nationally representative sample surveys, we estimated that among 24.2 million American adults aged 40–75 years eligible for, but not taking a statin, primary prevention, 7939 ASCVD deaths could be prevented if 100% of those eligible were on statin treatment, which represent ~13% of the total number of annual ASCVD deaths among the two primary treatment groups. The number of ASCVD deaths prevented increases with age, and >70% of ASCVD deaths prevented would occur among those aged 60–75 years. It is important to point out that our study focused on ASCVD deaths prevented by statin use, rather than non-fatal ASCVD events due to lack of nationally representative ASCVD incidence rates by the treatment groups we examined. Although the national vital statistics system is the best data available for tracking mortality, recommended statin use under the 2013 Guidelines would prevent a larger number of non-fatal ASCVD events annually, given there were about 915 000 CHD events versus about 380 000 CHD deaths and 610 000 stroke events vs 130 000 stroke deaths in 2010 in the USA.²⁹ A recent meta-analysis of RCTs on statins and primary prevention of CVD indicated that 18 out of every 1000 people treated with a statin for five years would avoid a major CVD event.⁴ If this absolute risk reduction applied across all age-sex-race/ethnicity-strata, ~87 000 ASCVD events would be prevented annually, if all 24.2 million of the eligible population under the 2013 Guidelines were to take a statin. It is apparent that the ASCVD deaths rates were considerably lower than the ASCVD events rates, statin use would prevent a larger number of non-fatal ASCVD events annually, and our findings should not be misinterpreted in terms of benefits and side effects associated with statin use. However, despite of the limitations, our results provide a comprehensive assessment of the overall impact of statins use in terms of annual ASCVD deaths prevented and additional annual cases of adverse events that could

Table 1 Prevalence of statin use (95% CI) among adults aged 40–75 years, by ACC/AHA cholesterol treatment groups of primary prevention of ASCVD—NHANES 2005–2010

Characteristics	Diabetes (n=520)		Estimated ASCVD risk \geq 7.5% (n=784)		Remainder of population aged 40–75 years (n=1874)	
	Prevalence*	Prevalence of statin use	Prevalence*	Prevalence of statin use	Prevalence*	Prevalence of statin use
All	10.5 (9.3 to 11.8)	37.7 (32.3 to 43.4)	17.7 (16.3 to 19.2)	20.5 (17.3 to 24.0)	60.4 (58.2 to 62.6)	12.6 (10.6 to 14.9)
Age, years						
40–49	6.3 (4.7 to 8.4)	23.3 (14.5 to 35.3)	3.1 (2.3 to 4.3)	0.0	84.0 (81.3 to 86.4)	7.8 (5.4 to 11.0)
50–59	11.4 (9.1 to 14.2)	36.0 (25.0 to 48.6)	13.3 (11.1 to 16.0)	11.9 (6.0 to 22.4)	66.8 (63.1 to 70.4)	13.8 (10.8 to 17.4)
60–69	13.0 (10.9 to 15.5)	49.1 (37.9 to 60.4)	35.2 (31.4 to 39.2)	22.1 (17.2 to 28.0)	32.1 (28.6 to 35.8)	25.6 (19.6 to 32.7)
70–75	18.0 (14.2 to 22.5)	42.7 (31.9 to 54.2)	49.4 (43.7 to 55.1)	30.5 (22.2 to 40.2)	10.6 (8.1 to 13.6)	47.5 (32.1 to 63.4)
p Value	<0.001	0.002	<0.001	<0.001	<0.001	<0.001
Sex						
Men	11.6 (9.6 to 14.0)	37.2 (29.8 to 45.3)	24.5 (22.4 to 26.7)	18.8 (15.0 to 23.4)	51.0 (48.1 to 53.9)	14.1 (11.1 to 17.7)
Women	9.5 (8.0 to 11.2)	38.3 (30.1 to 47.2)	11.4 (10.0 to 13.0)	23.6 (17.8 to 30.6)	69.1 (66.2 to 71.8)	11.6 (9.6 to 13.9)
p Value	0.155	0.858	<0.001	0.235	<0.001	0.124
Race/ethnicity						
Non-Hispanic white (1)	8.7 (7.3 to 10.3)	41.2 (33.2 to 49.8)	18.4 (16.5 to 20.4)	22.3 (18.6 to 26.5)	62.2 (59.2 to 65.1)	13.4 (11.0 to 16.3)
Non-Hispanic black (2)	17.1 (14.8 to 19.6)	30.7 (23.6 to 38.8)	19.1 (16.0 to 22.7)	13.3 (7.9 to 21.6)	48.2 (44.0 to 52.3)	8.4 (5.0 to 13.7)
Hispanics (3)	15.3 (12.9 to 18.0)	29.3 (22.2 to 37.6)	13.2 (10.7 to 16.2)	17.3 (11.0 to 26.1)	60.7 (56.3 to 65.0)	5.7 (4.7 to 6.9)
p Value (1) vs (2)	<0.001	0.055	0.708	0.020	<0.001	0.041
p Value (1) vs (3)	<0.001	0.049	0.005	0.225	0.624	<0.001
Educational attainment, years						
<12	14.8 (12.3 to 17.7)	31.7 (23.8 to 40.8)	21.2 (18.1 to 24.7)	17.8 (11.8 to 26.0)	45.5 (41.7 to 49.4)	8.8 (5.0 to 15.0)
\geq 12	9.6 (8.3 to 11.1)	39.7 (33.3 to 46.4)	16.9 (15.4 to 18.6)	20.8 (17.1 to 2.9)	63.6 (61.0 to 66.0)	13.2 (10.9 to 15.9)
p Value	0.002	0.115	0.023	0.417	<0.001	0.146
Health insurance status						
Yes	10.5 (9.2 to 12.1)	39.5 (33.4 to 46.1)	18.0 (16.3 to 19.8)	22.1 (18.7 to 25.9)	59.8 (57.2 to 62.3)	14.2 (12.0 to 16.9)
No	10.2 (7.5 to 13.9)	26.4 (16.4 to 39.6)	15.8 (13.3 to 18.6)	9.2 (5.0 to 16.3)	64.4 (61.0 to 67.6)	3.6 (1.8 to 6.9)
p Value	0.736	0.033	0.101	<0.001	0.132	<0.001

*Weighted prevalence of participants with diabetes, without diabetes but with estimated ASCVD risk \geq 7.5%, and remainder of population aged 40–75 years. ACC/AHA, American College of Cardiology/American Heart Association; ASCVD, atherosclerotic cardiovascular disease; NHANES, National Health and Nutrition Examination Survey.

Table 2 Predicted annual ASCVD deaths prevented and additional cases of myopathy from statin use among participants aged 40–75 years with diabetes—NHANES 2005–2010

Characteristic	Population with diabetes* (100 000)	Eligible population† (100 000)	Estimated annual ASCVD deaths	ASCVD deaths prevented (95% CI)	RCT-based additional cases of myopathy (95% CI)‡	Population-based additional cases of myopathy (95% CI)§
Total	123.5	77.0	23 380	2514 (592 to 4142)	482 (0 to 2239)	11 801 (9251 to 14 916)
Women	57.2	35.4	9150	973 (229 to 1602)	221 (to 1029)	2787 (1923 to 3878)
Age group						
40–49	10.3	8.6	410	59 (14 to 98)	54 (0 to 249)	675 (466 to 940)
50–59	19.0	11.5	1930	213 (50 to 351)	72 (0 to 335)	908 (626 to 1263)
60–69	16.5	8.6	3050	294 (69 to 485)	54 (0 to 250)	677 (467 to 943)
70–75	11.3	6.7	3760	406 (95 to 668)	42 (0 to 193)	523 (361 to 727)
Race/ethnicity						
Non-Hispanic white	42.5	26.1	6660	707 (166 to 1164)	163 (0 to 759)	2055 (1418 to 2859)
Non-Hispanic black	6.3	3.9	1660	178 (42 to 293)	25 (0 to 114)	309 (213 to 430)
Hispanic	5.3	3.3	561	59 (14 to 98)	21 (0 to 97)	264 (182 to 367)
Men	66.3	41.6	14 230	1542 (363 to 2540)	260 (0 to 1210)	9014 (7328 to 11 038)
Age group						
40–49	17.2	12.6	1600	209 (49 to 343)	79 (0 to 367)	2734 (2222 to 3348)
50–59	25.8	17.2	5130	616 (145 to 1014)	107 (0 to 499)	3718 (3022 to 4552)
60–69	16.6	8.2	4250	392 (92 to 646)	51 (0 to 238)	1775 (1443 to 2173)
70–75	6.7	3.7	3240	325 (77 to 536)	23 (0 to 105)	781 (635 to 956)
Race/ethnicity						
Non-Hispanic white	49.7	31.0	10 950	1184 (278 to 1949)	193 (0 to 899)	6701 (5447 to 8205)
Non-Hispanic black	6.4	4.1	1950	214 (50 to 352)	25 (0 to 118)	882 (717 to 1080)
Hispanic	6.6	4.3	880	96 (23 to 158)	27 (0 to 124)	926 (753 to 1134)

*Population = number of adults aged 40–75 years with diabetes in 100 000.

†Eligible population = number of adults aged 40–75 years with diabetes multiplied by (1-prevalence of current statin use).

‡The estimated excess number of myopathy cases was based on a meta-analysis of RCTs estimate of the excessive incidence of myopathy, 0.0628 per 1000 patient-years.⁷

§The estimated excess number of myopathy cases was based on a population-based cohort study with over 2 million patients.⁶ The excess incidence of myopathy per 1000 patient-years was 2.176 (95% CI 1.769 to 2.655) for men and 0.791 (95% CI 0.545 to 1.100) for women.

ASCVD, atherosclerotic cardiovascular diseases; NHANES, National Health and Nutrition Examination Survey; RCT, randomised clinical trial.

occur from population perspective. The findings of our study might also provide useful information for clinicians and potentially patients to discuss the benefit-risk profile of statin use at the individual level, and help them to make the informed decision.

The 2013 Guidelines emphasise the importance of lifestyle modification (ie, regular exercise, having heart healthy diet, avoiding tobacco products and maintaining a healthy weight) for ASCVD risk reduction and improving overall health. Lifestyle modification is recommended prior to and in concert with statin therapy.^{25–30} Previous studies have suggested that >75% of CVD and stroke incidence might be attributable to modifiable risk factors, making lifestyle modifications the foundation of primary and secondary prevention of ASCVD.^{30–32} Implementation of individual and population-level strategies to reduce modifiable CVD lifestyle risk factors could play an important role in reducing incidence and mortality of CVD.³³ Such lifestyle modifications would improve individual cardiovascular outcomes and overall health, regardless of risk factor profiles.

Among the 16.5 million individuals with a predicted 10-year ASCVD risk of $\geq 7.5\%$ (treatment eligibility group 2), statin therapy would result in ~16 406 additional cases of diabetes annually, or three additional cases of diabetes per ASCVD death prevented. Several meta-analyses of RCTs have documented an increased risk of diabetes associated with statin use, with estimated RRs ranging from 1.09 (95% CI 1.02 to 1.16) to 1.18 (95% CI 1.01 to 1.39), and excess incident diabetes ranging from about one to three cases per 1000 patient-years.^{4 5 8 11 12 34} For primary prevention of CVD with statins, the excess incidence of diabetes was 1.5 to 2.0 per 1000 patient-years from the meta-analyses of RCTs.^{4 5 11} However, because the estimated excess incidence of diabetes was dominated by one trial that used a higher statin dose, we used a conservative estimate of 1 per 1000 patient-years in our analysis.^{4 5 8}

Diabetes is a major risk factor for CVD. Studies suggest that adults with diabetes are 2–4 times more likely to experience CVD or stroke, and that >65% of them will die from CVD or stroke (<http://www.ndep.nih.gov>).

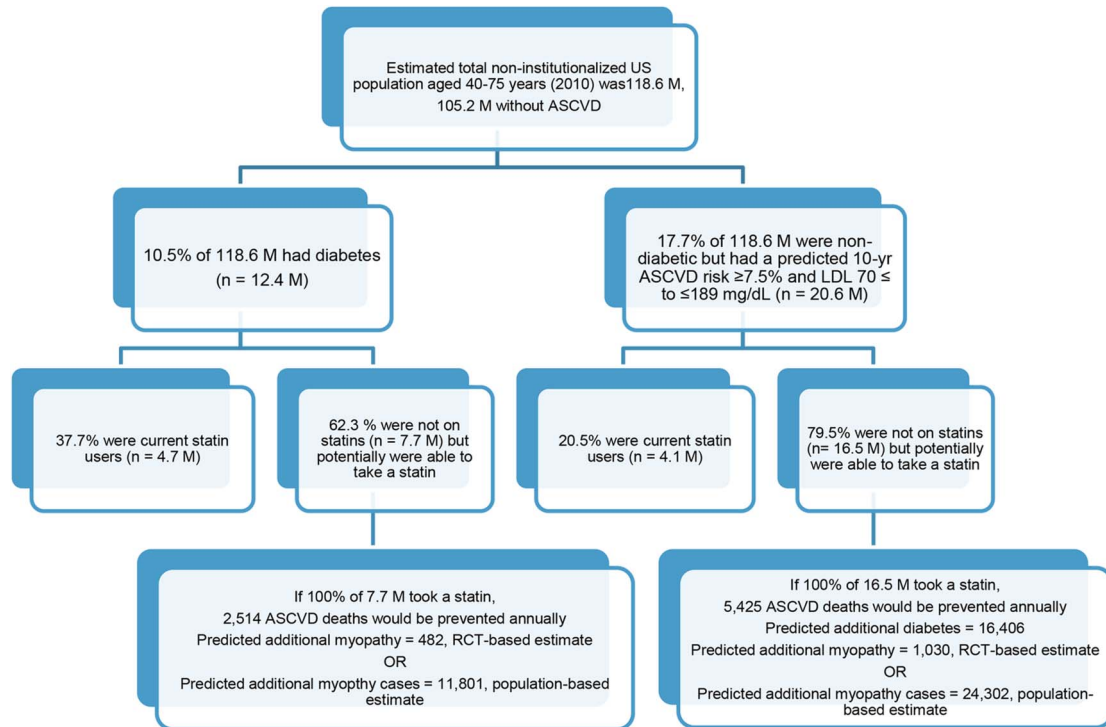


Figure 1 Predicted annual ASCVD deaths prevented and additional adverse events from statin use among participants 40–75 years—NHANES 2005–2010. ASCVD, atherosclerotic cardiovascular diseases; NHANES, National Health and Nutrition Examination Survey; RCT, randomised clinical trial.

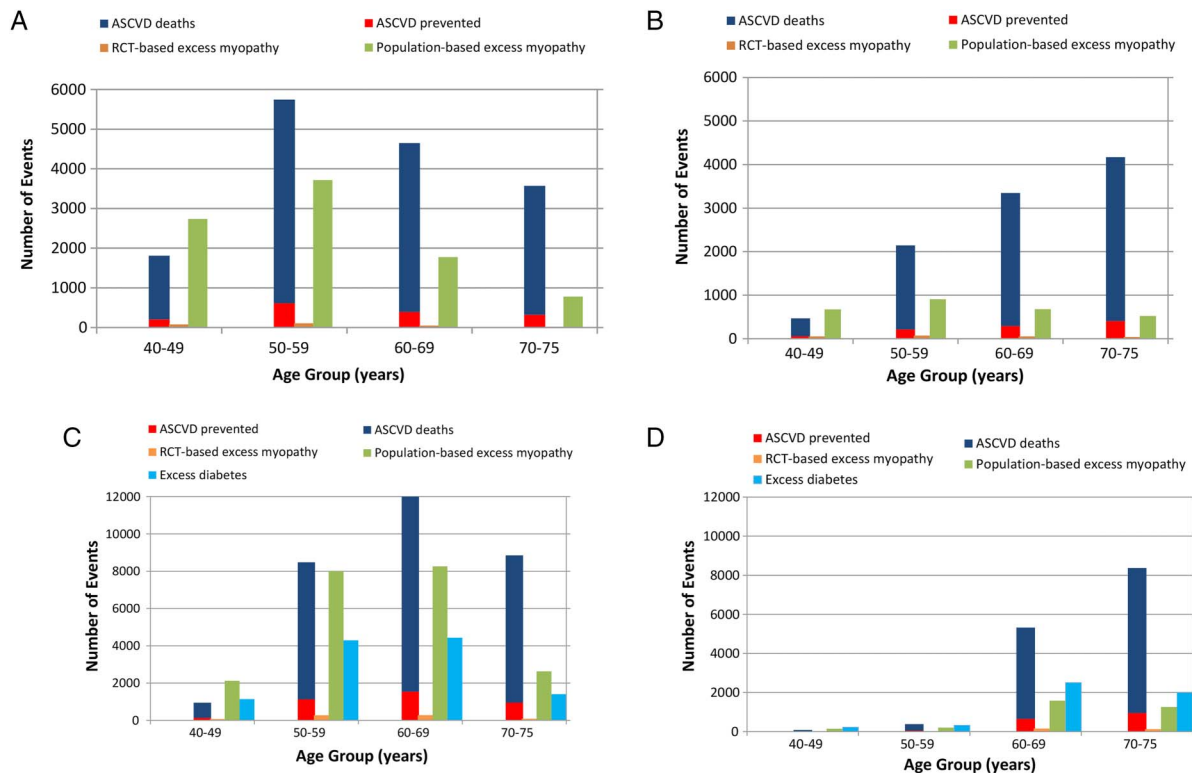


Figure 2 Predicted annual ASCVD deaths prevented and additional cases of diabetes and myopathy from statin use among US men (A) and women (B) Aged 40–75 years with diabetes, and among men (C) and women (D) without diabetes, but with 10-year risk $\geq 7.5\%$ for ASCVD. ASCVD, atherosclerotic cardiovascular diseases; NHANES, National Health and Nutrition Examination Survey; RCT, randomised clinical trial.

Table 3 Predicted annual ASCVD deaths prevented and additional cases of diabetes and myopathy from statin use among participants 40–75 years, without diabetes and with $\geq 7.5\%$ 10-year ASCVD risk—NHANES 2005–2010

Characteristic	Population* (100 000)	Eligible population† (100 000)	Estimated annual ASCVD deaths	ASCVD deaths prevented (95% CI)	Excess number diabetes (95% CI)	RCT-based additional cases of myopathy (95% CI)‡	Population-based additional cases of myopathy (95% CI)§
Total	206.2	164.8	39 880	5425 (1276 to 8935)	16 406 (4922 to 26 250)	1030 (0 to 4791)	24 302 (19 363 to 30 292)
Women	66.6	51.1	12 500	1661 (391 to 2736)	5093 (1528 to 8149)	320 (0 to 1487)	3219 (2221 to 4479)
Age group							
40–49	2.3	2.3	73	12 (3 to 20)	232 (70 to 371)	15 (0 to 68)	146 (101 to 204)
50–59	4.2	3.3	340	47 (11 to 78)	331 (99 to 529)	21 (0 to 97)	209 (144 to 291)
60–69	31.9	25.3	4670	653 (154 to 1075)	2514 (754 to 4022)	158 (0 to 734)	1589 (1096 to 2211)
70–75	28.2	20.2	7420	949 (223 to 1563)	1994 (598 to 3191)	125 (0 to 582)	1260 (870 to 1754)
Race/ethnicity							
Non-Hispanic white	51.1	39.1	9270	1230 (289 to 2025)	3897 (1169 to 6235)	245 (0 to 1138)	2463 (1700 to 3427)
Non-Hispanic black	6.7	5.2	2070	277 (65 to 455)	516 (155 to 825)	32 (0 to 151)	326 (225 to 453)
Hispanic	5.4	4.2	777	103 (24 to 170)	416 (125 to 666)	26 (0 to 122)	263 (182 to 366)
Men	139.6	113.7	27 380	3764 (886 to 6199)	11 313 (3394 to 18 101)	711 (0 to 3304)	21 084 (17 142 to 25 813)
Age group							
40–49	11.4	11.4	807	137 (32 to 226)	1138 (342 to 1821)	72 (0 to 332)	2122 (1725 to 2597)
50–59	48.5	43.1	7350	1132 (266 to 1865)	4296 (1289 to 6873)	270 (0 to 1254)	8006 (6509 to 9802)
60–69	58.1	44.8	11 320	1544 (363 to 2543)	4435 (1331 to 7096)	279 (0 to 1295)	8265 (6720 to 10 119)
70–75	21.5	14.4	7900	951 (224 to 1566)	1409 (423 to 2254)	88 (0 to 411)	2625 (2134 to 3214)
Race/ethnicity							
Non-Hispanic white	107.3	87.0	21 230	2914 (686 to 4799)	8657 (2597 to 13 850)	544 (0 to 2528)	16 132 (13 116 to 19 751)
Non-Hispanic black	12.8	10.5	3640	505 (119 to 832)	1044 (313 to 1671)	66 (0 to 305)	1947 (1583 to 2383)
Hispanic	12.2	10.1	1670	230 (54 to 379)	1010 (303 to 1616)	63 (0 to 295)	1883 (1531 to 2305)

*Population = number of adults aged 40–75 years without ASCVD but with a $\geq 7.5\%$ 10-year ASCVD risk in 100 000.

†Eligible population = number of adults aged 40–75 years without ASCVD but with $\geq 7.5\%$ 10-year ASCVD risk multiplied by (1-prevalence of statin use).

‡The estimated excess number of myopathy cases was based on a meta-analysis of RCTs estimate of the excessive incidence of myopathy, 0.0628 per 1000 patient-years.⁷

§The estimated excess number of myopathy cases was based on a population-based cohort study with over 2 million patients.⁶ The excess incidence of myopathy per 1000 patient-years was 1.864 (95% CI 1.515 to 2.282) for men and 0.632 (95% CI 0.436 to 0.880) for women.

ASCVD, atherosclerotic cardiovascular diseases; NHANES, National Health and Nutrition Examination Survey; RCT, randomised clinical trial.

gov/media/CVD_FactSheet.pdf). However, another study argued that most of the individuals taking statins who developed diabetes would become diabetic anyway, because of major risk factors they might have, suggesting that the date of diabetes diagnosis might be simply accelerated by taking statins.³⁵ A recent modelling-based cost-effectiveness study of statin treatment under the 2013 Guidelines concluded that using a threshold of $\geq 7.5\%$ 10-year ASCVD risk has an acceptable cost-effectiveness profile with an incremental cost-effectiveness ratio of US \$37 000 per quality-adjusted life-year (QALY) gained, and a more lenient ASCVD thresholds would be optimal using cost-effectiveness thresholds of US\$100 000/QALY ($\geq 4.0\%$ risk threshold) or US\$150 000/QALY ($\geq 3.0\%$ risk threshold).³⁶ Using the annual individual cost of statins use cited in this study, the estimated total annual cost of statins use ranged from US\$1.65 billion for the generic-based statins use to US\$6.5 billion for the base-case statins use if 100% of eligible population for statin therapy take statins. Further studies are warranted, which take into account the additional cases of diabetes and other adverse events, to examine the long-term benefit, harm and cost-effectiveness of statin use in the primary prevention of ASCVD.

Other adverse side effects associated with statin use might occur.^{4-7 9-11 13 37} Increased risk of myopathy and elevation of liver enzymes are two commonly discussed adverse effects. A recent meta-analysis of observational studies found evidence of an increased risk for myopathy, and liver enzyme elevation associated with statin use in addition to type 2 diabetes.⁹ We focused on increased risk for diabetes and myopathy in the current study,^{6 7} and didn't model liver enzyme elevation, since the US Food and Drug Administration recently advised that routine monitoring of liver enzymes among statin users is no longer needed (<http://www.fda.gov/forconsumers/consumerupdates/ucm293330.htm>).

The main strength of this study was our use of national data and nationally representative surveys to estimate the age-sex-race/ethnicity-specific numbers of those who are eligible for statin treatment for primary prevention of ASCVD under the 2013 Guidelines. Our study provided an improved estimate of the eligible population and the prevalence of current statin use compared with another study.² Second, we used the best available data from the NVSS, and the NHANES Linked Mortality File (1988–2006) to estimate the age-sex-race/ethnicity-specific ASCVD mortality rates by the statin treatment groups, and the number of ASCVD deaths prevented annually under the 2013 Guidelines. Third, we used the most updated RCTs or population-based cohort study to estimate the expected additional cases of diabetes and myopathy associated with statins use.

Limitations

First, age-sex-race/ethnicity-specific ASCVD death rates were not available for different treatment groups; hence

we used RRs from the NHANES III Linked Mortality Files (1988–2006) and national age-sex-race/ethnicity-specific ASCVD death rates to derive the treatment group-specific ASCVD death rates. The accuracy of such derived ASCVD death rates is unknown. Second, we assumed a uniform effect of statin treatment on reduction of ASCVD across age, sex and race/ethnicity strata, and didn't model the effects of different intensities of statin treatment on ASCVD mortality. Third, we assumed a constant effect of statin use on risk of excess diabetes across age, sex and race/ethnicity strata, though other studies suggested that excess risk for diabetes increases with age and statin dose, and that the risk might be statin specific.^{8 11 12} Thus, our predicted additional cases of diabetes might be conservative. In addition, the RRs of diabetes associated with statins use were considerably higher in the population-based cohort studies compared with these from RCTs.^{14 15} Fourth, several meta-analyses using RCTs suggest that the incidence of myopathy is no more prevalent between treatment arms,^{4 5 7} or found only a small increased risk of myopathy.³⁸ However, population-based cohort studies show a significantly higher excess incidence of myopathy.^{4-6 9 10 13 38} One of the major challenges in the assessment of statin-associated myopathy is the absence of validated definitions.^{39 40} Several studies have suggested that the paucity of adverse effects using RCTs might not be generalisable to the population, because patients with complications were often excluded from trials, and the trials tended to have short durations with limited power to detect adverse events.^{6 9 10 13 39 41} However, other study suggested that the observational studies might substantially overestimate the treatment effect as compared with the subsequent evidence from RCTs.⁴² Therefore, for comprehensive assessment, our study presented population-based and RCT-based estimates. Fifth, the CIs of our results included only the uncertainty in the relative risk of statins use on mortality, and the excess incidence of adverse side effects associated with statins use, but not the uncertainty in the estimated eligible population or the stochastic uncertainty in deaths or case numbers which likely underestimate the uncertainty for all results. Finally, because of a lack of baseline ASCVD incidence rates by treatment groups at the national level, we focused on the predicted annual ASCVD deaths prevented by statin use rather than non-fatal ASCVD events. A larger number of nonfatal ASCVD events would be prevented by statin treatment because of the larger number of nonfatal ASCVD events compared with ASCVD deaths.²⁴

In this modelling-based study, under the 2013 Guidelines for primary prevention of ASCVD using statin therapy, up to 12.6% of total annual ASCVD deaths could be prevented among adults aged 40–75 years who are eligible for statin treatment. However, these prevented deaths could be accompanied by additional cases of diabetes or myopathy.

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