

# Immuno-combined treatment versus radio-combined treatment in limited-stage small-cell lung cancer

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## Abstract

**Background:** Although the approval of immunotherapy in patients with extensive-stage small-cell lung cancer (ES-SCLC) has significantly improved the patient's prognosis, synchronous chemoradiotherapy has always been the standard treatment for limited-stage small-cell lung cancer (LS-SCLC).

**Objectives:** Immuno-combined and radio-combined therapy in LS-SCLC has been applied in clinical practice, but what is the best for LS-SCLC?

**Design:** This was a retrospective cohort study.

**Methods:** Patients with LS-SCLC from January 2019 to December 2023 were retrospectively screened and divided into three groups according to the initial treatment regimen whether included immune-combined and radio-combined treatment. Univariate and multivariate Cox regression were used to analyze the predictors affecting the survival of LS-SCLC, and the progression pattern of patients and the occurrence of adverse events (AEs) were also recorded.

**Results:** In this study, the median overall survival (OS) was 15.8 months, not yet reached (NR) and NR, and the median progression-free survival (PFS) was 11.7, 20.9, and 18.9 months in the immunotherapy combined chemotherapy ( $N=34$ ), immune combined chemoradiotherapy ( $N=26$ ), and chemoradiotherapy ( $N=53$ ) groups, respectively. OS and PFS were significantly prolonged in the radio-combined groups compared with the non-radio-combined group, and there was no significant difference between the radio-combined groups, namely immunotherapy combined chemoradiotherapy and chemoradiotherapy groups. In this study, we also constructed some indexes to predict prognosis for LS-SCLC, derived neutrophil and lymphocyte ratios were significantly associated with worse survival, and systemic inflammatory index and neuron-specific enolase (NSE) levels were significantly associated with shorter PFS. The primary organs of progression remained the lung and brain, the main immune-related AE was hypothyroidism, and the radiation-related AE was pneumonia.

**Conclusion:** Radiation-combined therapy still plays an important role in LS-SCLC in the era of immunotherapy, and clinicians cannot abandon the use of radiation therapy in the initial treatment plan for LS-SCLC.

**Keywords:** immune checkpoint inhibitors, limited stage, radiation therapy, small-cell lung cancer

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## Introduction

Lung cancer remains the leading cause of tumorigenesis and death, and although the incidence of advanced disease has decreased, the diagnosis at the local stage has increased significantly.<sup>1,2</sup> Small-cell lung cancer (SCLC) accounts for only about 15% of the total number of lung cancers; however, the 5-year survival rate is less than 7% and worse for extensive-stage (ES) SCLC as defined by the Veterans Administration Lung Study Group (VALSG).<sup>3,4</sup> Etoposide plus platinum-based treatment is still the standard regimen for SCLC no matter for limited stage (LS) SCLC or ES-SCLC. Although the initial treatment has a high tumor response rate, patients are prone to chemoresistance, which may be related to intratumoral heterogeneity and molecular complexity.<sup>5-7</sup> Thoracic radiotherapy combined with chemotherapy helps to improve the tumor control rate and survival rate of patients with LS-SCLC and has always been recommended as the standard treatment mode.<sup>8,9</sup> But even with active treatment, LS-SCLC has a median overall survival (OS) of less than 30 months and 5-year survival rates of 20%–30%.<sup>10,11</sup>

As a highly malignant tumor, SCLC requires the exploration of new treatment modalities to improve prognosis. In recent years, immune checkpoint inhibitors (ICIs) have made modest progress in ES-SCLC, and IMpower133 and CASPIAN results showed that chemotherapy combined with atezolizumab or durvalumab prolonged the survival outcome of ES-SCLC compared with chemotherapy alone.<sup>12,13</sup> The STIMULI trial showed that consolidation of dual immune checkpoint inhibition after concurrent chemoradiation with LS-SCLC did not improve progression-free survival (PFS) and OS compared with standard regimens.<sup>14</sup> However, the recent ADRIATIC study demonstrated a favorable survival benefit for durvalumab compared with placebo as consolidation therapy following concurrent chemoradiation for LS-SCLC.<sup>15</sup> In addition to immune consolidation therapy, the addition of immunotherapy to induction therapy is another exploratory paradigm for LS-SCLC. A phase I/II trial found that concurrent chemoradiotherapy combined with pembrolizumab achieved good efficacy and safety in LS-SCLC.<sup>16</sup> A series of phase II and III studies exploring chemoradiotherapy combined with immunotherapy are being carried out, and based on the status of chemoradiotherapy in LS-SCLC, how to effectively combine it

with immunotherapy is the focus of future research.<sup>17</sup>

Since the approval of immunotherapy in ES-SCLC, immunotherapy in patients with LS-SCLC has been prescribed sometimes in clinical practice. Currently, data on the efficacy of immunotherapy in LS-SCLC are lacking and it is yet unclear whether immuno-combined radiotherapy is better or not. This study proposed to investigate the best treatment options for LS-SCLC patients by analyzing the different treatment patterns.

## Methods

### *Study design and patient participation*

This was a retrospective study comparing the efficacy of immuno-combined treatment versus radio-combined treatment in patients with LS-SCLC, which was approved by the Institutional Review Board of Beijing Chest Hospital, Capital Medical University. We retrospectively reviewed patients with histopathologically or pathologically diagnosed SCLC in our hospital from January 2019 to December 2023, intrathoracic disease surrounded by a single radiation field, and no metastasis at other distant sites defined as LS-SCLC. Patients were included if they were >18 years of age, had an Eastern Cooperative Oncology Group (ECOG) performance status of 0–2, had limited disease as defined by the VALSG, and had adequate organ function. At the same time, the following patients were excluded: other malignancies; previous surgical treatment; autoimmune deficiency diseases or ongoing treatment with immunosuppressive agents or monoclonal antibodies; symptomatic brain metastases; and active infections. The reporting of this study conforms to the STROBE statement.<sup>18</sup>

### *Treatment and follow-up*

Patients received four to six cycles of chemotherapy, with the main chemotherapy regimen consisting of etoposide (100 mg/m<sup>2</sup>, intravenous infusion, days 1–3) combined with cisplatin (75 mg/m<sup>2</sup>, intravenous infusion, day 1) or carboplatin (AUC = 5–6, intravenous infusion, day 1). Radiotherapy (45 Gy, 1.5 Gy, once a day/3 weeks) was performed during chemotherapy, and thoracic radiotherapy was started as concurrent chemoradiotherapy in the early stage

and sequential chemoradiotherapy in the late stage of chemotherapy. Immunotherapy regimens involved in this study included anti-PD-L1 (atezolizumab and durvalumab) and PD-1 antibodies (sintilimab, tislelizumab, camrelizumab, and serplulimab), and immunotherapy was started concurrently with chemotherapy, with the continuation of immunotherapy after six cycles at the discretion of the treating physician and the patient for a maximum of 12 months. In addition, prophylactic cranial irradiation (PCI) (25 Gy/2.5 Gy/10 f) was allowed at the discretion of the attending physician. There may be patients with LS-SCLC who cannot tolerate or refuse radiotherapy in clinical practice, and some patients are scheduled for immunochemotherapy translational research, so such patients do not receive standard chemoradiotherapy. The patient cohorts were divided into three groups according to the initial treatment regimen: immunotherapy combined chemotherapy (IO + CT), immunotherapy combined chemoradiotherapy (IO + RT + CT), and chemoradiotherapy (RT + CT).

Demographic and tumor imaging data were collected before treatment, and serum albumin (ALB), lactate dehydrogenase (LDH), C-reactive protein (CRP), and tumor markers including neuron-specific enolase (NSE) and progastrin-releasing peptide (Pro-GRP) were also recorded, and neutrophil-to-lymphocyte ratio (NLR) and derived NLR (dNLR, neutrophil count/(white blood cell count – neutrophil count)), systemic inflammatory index (SII, neutrophil count \* platelet count/lymphocyte count) were calculated.<sup>19,20</sup>

The primary objective of this study was to compare the tumor response and patient survival time of the first-line regimen of LS-SCLC. The initial time was determined as the date of initiation of chemotherapy, PFS ended on the date of progressive disease (PD) documented in RECIST v1.1 or last follow-up, and OS ended on the date of death or last follow-up. Tumor response was documented and objective response rate (ORR) and disease control rate (DCR) were calculated. ORR was defined as the proportion of patients with complete response (CR) and partial response (PR) after tumor treatment; DCR was defined as the proportion of patients with response (CR + PR) and stable disease (SD). Safety is also an outcome, and the Common Terminology Criteria for Adverse Events version 5.0 describes

the type and severity of the safety profile, focusing on immune-related adverse events (ir-AEs) and radiation-related adverse events (rr-AEs). Patients were followed every 3 months, and tumor information of the chest and abdomen, brain, and bone was examined by a variety of imaging modalities to record progression. Also, for patients who progressed after treatment, we documented the pattern of disease progression and treatment after progression.

### Statistical analyses

All statistical analyses were performed using R (version 4.0.5, R Core Team, New Zealand). Variables were described by frequency (proportion) or median (interquartile range), and differences between groups were compared using the Chi-square test and *t*-test or Mann–Whitney *U* test. Univariate Cox regression was used to analyze the association between clinical parameters and patient survival, and relevant variables with  $p < 0.1$  were included in multivariate Cox to explore independent factors for LS-SCLC. Kaplan–Meier analysis and Log-Rank test were used to compare survival data between cohorts. For clusters with little difference in prognosis, we performed subgroup analyses to identify populations with potential benefits.  $p < 0.05$  was considered statistically significant.

## Results

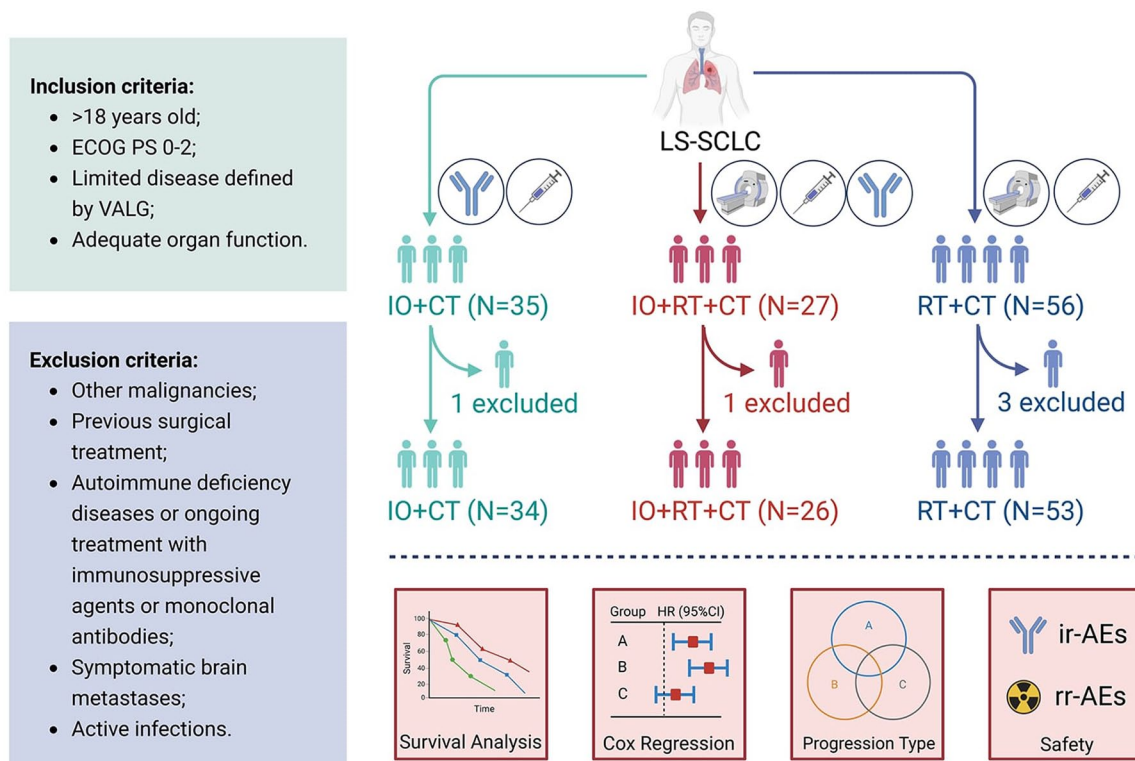
### Patient characteristics

From January 2019 to December 2023, 118 patients were screened and 113 patients with LS-SCLC were finally included. Patients' baseline characteristics are shown in Table 1, and we provided treatment information for ICIs (Table S1). Median age was 64 years (range 57–68 years); 83 (73.5%) males and 30 (26.5%) females. Most patients were current or former smokers ( $n = 76$ , 67.3%) and had an ECOG score of 0–1 ( $n = 110$ , 97.3%). Of these, only six patients were treated with PCI, and the most common immune regimens were atezolizumab ( $n = 14$ ) and durvalumab ( $n = 20$ ). All patients received at least one cycle of platinum-based chemotherapy and were divided into IO + CT ( $n = 34$ ), IO + RT + CT ( $n = 26$ ), and RT + CT ( $n = 53$ ) groups according to the use of immune-combined and radio-combined treatment, and the baseline characteristics of patients were balanced among the three groups (Table 1, Table S2, and Figure 1).

**Table 1.** Baseline characteristics by treatment group for patients with limited-stage small-cell lung cancer.

Characteristics	Overall (N=113)	IO + CT (N=34)	IO + RT + CT (N=26)	RT + CT (N=53)	p
Sex (%)					0.447
Female	30 (26.5)	7 (20.6)	6 (23.1)	17 (32.1)	
Male	83 (73.5)	27 (79.4)	20 (76.9)	36 (67.9)	
Smoke (%)					0.728
Former/current	76 (67.3)	23 (67.6)	19 (73.1)	34 (64.2)	
Never/unknown	37 (32.7)	11 (32.4)	7 (26.9)	19 (35.8)	
ECOG (%)					0.872
PS0-1	110 (97.3)	33 (97.1)	25 (96.2)	52 (98.1)	
PS2	3 (2.7)	1 (2.9)	1 (3.8)	1 (1.9)	
T (%)					0.576
T1	10 (8.8)	3 (8.8)	2 (7.7)	5 (9.4)	
T2	41 (36.3)	13 (38.2)	13 (50.0)	15 (28.3)	
T3	25 (22.1)	6 (17.6)	6 (23.1)	13 (24.5)	
T4	37 (32.7)	12 (35.3)	5 (19.2)	20 (37.7)	
N (%)					0.242
N0	8 (7.1)	1 (2.9)	1 (3.8)	6 (11.3)	
N1	16 (14.2)	5 (14.7)	2 (7.7)	9 (17.0)	
N2	70 (61.9)	23 (67.6)	15 (57.7)	32 (60.4)	
N3	19 (16.8)	5 (14.7)	8 (30.8)	6 (11.3)	
TNM (%)					0.115
I-II	16 (14.2)	4 (11.8)	1 (3.8)	11 (20.8)	
III	97 (85.8)	30 (88.2)	25 (96.2)	42 (79.2)	
PCI (%)	6 (5.3)	2 (5.9)	1 (3.8)	3 (5.7)	0.930
Age (median (IQR))	64.0 (57.0, 68.0)	64.0 (56.0, 66.0)	61.0 (56.2, 66.8)	65.0 (58.0, 69.0)	0.299
BMI (median (IQR))	25.4 (23.6, 27.0)	25.2 (24.2, 27.8)	25.4 (22.6, 26.1)	25.7 (23.6, 27.0)	0.567
ALB (median (IQR))	41.2 (38.1, 42.7)	41.2 (38.7, 42.6)	41.0 (38.8, 42.7)	40.9 (38.0, 42.8)	0.954
LDH (median (IQR))	173.0 (153.0, 205.0)	162.0 (151.0, 177.0)	184.5 (154.5, 205.0)	183.0 (158.0, 208.0)	0.142
CRP (median (IQR))	3.6 (1.2, 9.5)	4.2 (1.3, 10.0)	4.6 (1.7, 11.1)	3.4 (1.1, 6.9)	0.604
NLR (median (IQR))	2.3 (1.7, 3.3)	2.4 (1.6, 3.6)	2.2 (1.8, 2.9)	2.4 (1.8, 3.3)	0.972
SII (median (IQR))	566.0 (376.0, 779.0)	569.5 (344.0, 732.8)	562.0 (471.0, 735.2)	560.0 (376.0, 841.0)	0.658
dNLR (median (IQR))	1.7 (1.3, 2.2)	1.8 (1.1, 2.4)	1.7 (1.4, 1.9)	1.7 (1.3, 2.3)	0.946
NSE (median (IQR))	22.3 (15.1, 32.6)	21.9 (14.1, 28.0)	17.9 (13.3, 28.1)	26.6 (17.2, 40.6)	0.191
pro-GRP (median (IQR))	549.5 (130.4, 1388.0)	489.9 (101.8, 1306.9)	860.7 (117.2, 2527.2)	460.3 (153.2, 1373.6)	0.559

ALB, albumin; BMI, body mass index; CRP, C-reactive protein; CT, chemotherapy; dNLR, derived neutrophil-to-lymphocyte ratio; ECOG PS, Eastern Cooperative Oncology Group performance status; IO, immunotherapy; IQR, Interquartile range; LDH, lactate dehydrogenase; NLR, neutrophil-to-lymphocyte ratio; NSE, neuron-specific enolase; PCI, prophylactic cranial irradiation; pro-GRP, progastatin-releasing peptide; RT, radiotherapy; SII, systemic inflammatory index.



**Figure 1.** Flow chart of the study design. Patients with LS-SCLC were screened according to established inclusion and exclusion criteria and divided into immune combined chemotherapy group ( $N=34$ ), immune combined chemoradiotherapy group ( $N=26$ ), and chemoradiotherapy group ( $N=53$ ) according to the treatment used. Survival information, prognostic factors, progression patterns, and safety of patients were also recorded and analyzed.

CT, chemotherapy; ECOG PS, Eastern Cooperative Oncology Group performance status; IO, immunotherapy; ir-AE, immune-related adverse event; LS-SCLC, limited-stage small-cell lung cancer; rr-AE, radiation-related adverse event; RT, radiotherapy; VALG, Veterans Administration Lung Study Group.

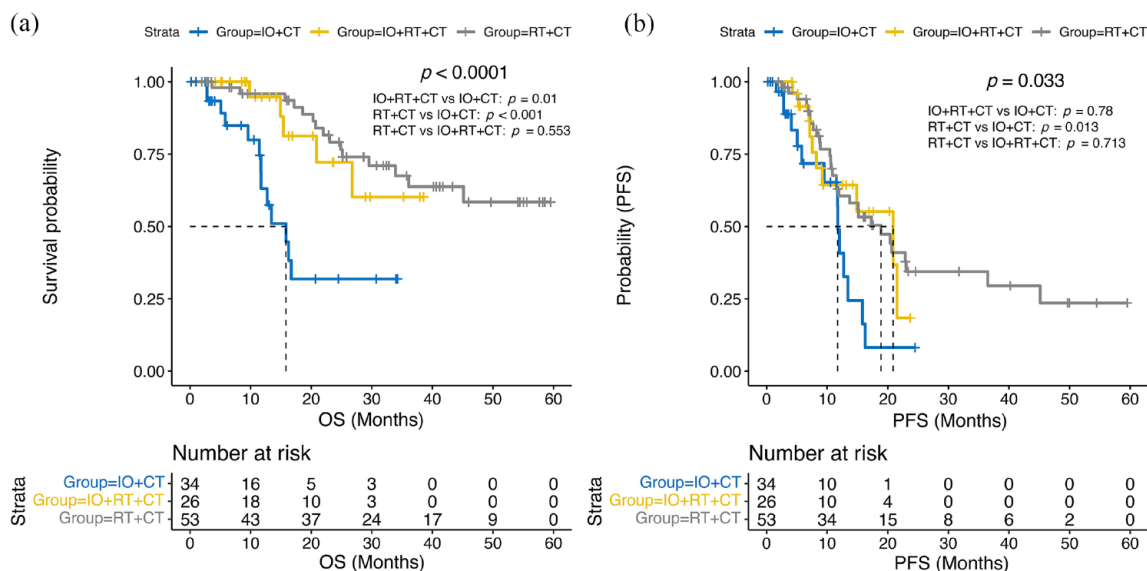
### Efficacy analysis

As of March 2024, 33 patients had died with median OS not reached (NR) (95% confidence interval (CI): 29.5–NR); 53 patients had disease progression or died with median PFS of 15.1 months (95% CI: 11.9–20.9). Median OS was 15.8 months (95% CI: 11.7–NR) in the IO + CT group and NR in the IO + RT + CT group (95% CI: 26.8–NR), nor the RT + CT group (95% CI: 36.1–NR) (Figure 2(a) and Table S3). Univariate Cox regression analysis showed that the hazard ratio (HR) for disease death in the IO + RT + CT group was 0.26 (95% CI, 0.09–0.72;  $p=0.01$ ) compared with IO + CT, while the HR for death in the RT + CT group was 0.21 (95% CI, 0.09–0.46;  $p<0.001$ ; Table 2). The median PFS for patients receiving immunotherapy, immune combined with radiotherapy, and radiotherapy during chemotherapy was 11.7 (95% CI, 9.5–NR), 20.9 (95% CI, 9.17–NR), and 18.9 (95% CI, 11.9–45.1) months,

respectively (Figure 2(b) and Table S3), and the HRs for disease progression for chemoradiotherapy combined with immunotherapy and chemoradiotherapy compared with chemotherapy combined with immunotherapy were 0.48 (95% CI, 0.21–1.09;  $p=0.078$ ) and 0.43 (95% CI, 0.22–0.84;  $p=0.013$ ), respectively (Table 2). Patients receiving radiotherapy tended to have longer OS ( $p<0.0001$ ) and PFS ( $p=0.0094$ ) compared with LS-SCLC without radiotherapy (Figure S1).

A total of 79 patients were treated with combined thoracic radiation, and the median OS and median PFS were NR in patients treated with concurrent chemoradiotherapy and sequential chemoradiotherapy, and no statistically significant differences were observed between the two groups ( $p=0.23$  and  $p=0.82$ ; Figure S2). With a total of 60 LS-SCLC patients receiving immune-combined treatment therapy, median OS (NR vs





**Figure 2.** (a) KM curves of OS in different treatment groups of patients with LS-SCLC. (b) KM curves of PFS in different treatment groups of patients with LS-SCLC. CT, chemotherapy; IO, immunotherapy; LS-SCLC, limited-stage small-cell lung cancer; OS, overall survival; PFS, progression-free survival; RT, radiotherapy.

15.4 months,  $p=0.091$ ) and median PFS (14.9 vs 12.7 months,  $p=0.19$ ) were longer in the cohort of PD-L1 inhibitor-treated patients than PD-1 inhibitor-treated patients, although this difference did not reach statistical significance (Figure S3). In addition, 10 patients were maintained with immunotherapy after 4–6 cycles of immunochemotherapy, and the median OS and PFS had not yet been reached, while the median OS and median PFS in the group without immunomaintenance were 20.9 and 11.7 months, respectively (Figure S4).

Given that the survival benefit was difficult to discern between IO + RT + CT and RT + CT groups, we performed subgroup analyses to obtain potentially beneficial LS-SCLC patients. Exploratory subgroup analyses showed that survival differences in OS and PFS were not observed in almost all subgroups (Figure S5).

One hundred five patients in the patient cohort were evaluable for response to treatment, and 74.1% of patients achieved an objective response according to RECIST1.1 criteria (PR:  $N=75$ ); 28 (26.7%) had SD, 2 (1.9%) had PD, and the DCR was 98.1%. Among 27 patients treated with immune combined chemotherapy, ORR and DCR were 66.7% and 96.3%, respectively; while

among 25 patients treated with immune combined chemoradiotherapy, ORR was 60% and DCR was 96%; ORR (79.2%) and DCR (100%) in chemoradiotherapy group were slightly higher than immune-combined groups, but the statistical difference was NR (Table S3).

### Prognostic factor analysis

Univariate Cox regression results showed that the treatment group, CRP level, and dNLR were associated with patient survival, and the treatment group, SII, and NSE were associated with disease progression (Table 2). Multivariate analysis suggested that IO + RT + CT (HR: 0.222, 95% CI: 0.078–0.635,  $p=0.005$ ) and RT + CT (HR: 0.181, 95% CI: 0.081–0.406,  $p < 0.001$ ) were independent protective factors for OS compared with IO + CT, similarly, IO + RT + CT (HR: 0.429, 95% CI: 0.188–0.981,  $p=0.045$ ) and RT + CT (HR: 0.364, 95% CI: 0.184–0.719,  $p=0.004$ ) were also independent predictors of PFS (Figure 3(a)). In addition, patients with higher dNLR had shorter survival times (HR: 1.475, 95% CI: 1.039–2.094,  $p=0.03$ ), faster progression in patients with higher SII (HR: 1.001, 95% CI: 1.000–1.001,  $p < 0.001$ ), and higher NSE levels (HR: 1.007, 95% CI: 1.000–1.014,  $p=0.031$ ; Figure 3(b)).

**Table 2.** Univariate Cox regression analysis of overall survival and progression-free survival in patients with limited-stage small-cell lung cancer.

Characteristics	Overall survival		Progression-free survival	
	HR (95% CI)	<i>p</i>	HR (95% CI)	<i>p</i>
Group (IO + RT + CT vs IO + CT)	0.26 (0.09–0.72)	0.01	0.48 (0.21–1.09)	0.078
Group (RT + CT vs IO + CT)	0.21 (0.09–0.46)	<0.001	0.43 (0.22–0.84)	0.013
Sex (male vs female)	1.26 (0.55–2.9)	0.59	0.88 (0.48–1.61)	0.681
Age	1.01 (0.97–1.05)	0.576	1.01 (0.97–1.04)	0.69
Smoke (never/unknown vs former/current)	0.92 (0.43–1.98)	0.835	1.21 (0.68–2.16)	0.517
BMI	1.04 (0.94–1.16)	0.459	1.02 (0.93–1.11)	0.706
T (T3–4 vs T1–2)	0.86 (0.43–1.71)	0.66	0.9 (0.52–1.55)	0.703
N (N1–3 vs N0)	3.15 (0.43–23.04)	0.259	1.65 (0.4–6.81)	0.49
TNM (III vs I–II)	1.25(0.44–3.57)	0.673	0.79 (0.35–1.78)	0.575
ALB	1.04 (0.94–1.15)	0.446	0.989 (0.913–1.07)	0.775
LDH	1 (0.997–1.003)	0.865	1.001 (0.999–1.003)	0.311
CRP	1.013 (0.999–1.028)	0.069	1.007 (0.994–1.02)	0.311
NLR	1.193 (0.951–1.496)	0.128	1.142 (0.944–1.38)	0.172
SII	1.001 (1–1.002)	0.194	1.001 (1–1.001)	0.097
dNLR	1.405 (1.012–1.951)	0.042	1.246 (0.938–1.655)	0.129
NSE	1 (0.991–1.009)	0.96	1.006 (0.999–1.013)	0.071
pro-GRP	1 (1–1)	1	1 (1–1)	0.647

ALB, albumin; BMI, body mass index; CI, confidence interval; CRP, C-reactive protein; CT, chemotherapy; dNLR, derived neutrophil-to-lymphocyte ratio; HR, hazard ratio; IO, immunotherapy; LDH, lactate dehydrogenase; NLR, neutrophil-to-lymphocyte ratio; NSE, neuron-specific enolase; pro-GRP, progastrin-releasing peptide; RT, radiotherapy; SII, systemic inflammatory index.

Maxstat maximum rank statistic was used to select the cutoff values of the above continuous variables, and the cutoff values of dNLR, SII, and NSE were 2.55, 833, and 24.64, respectively. According to the above cutoff values, the patient population was divided into two groups and Kaplan-Meier curves were plotted (Figure S6).

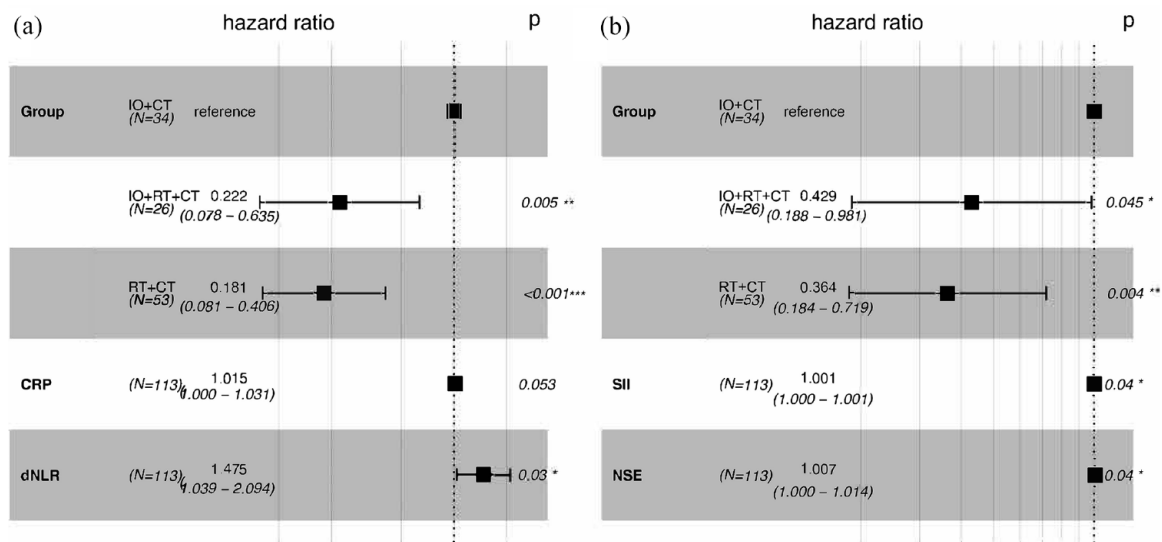
### Progression

Meanwhile, the pattern of disease progression in patients was documented in Figure 4, with progression occurring mainly locally in the lung ( $N=23$ , 57.5%), and the common sites of distant metastases involved were the brain ( $N=14$ , 35.0%) and liver ( $N=4$ , 10.0%). Most patients developed progression at one site, but eight

patients had metastases at multiple sites. In addition, we documented the patient's treatment regimen after progression, with chemotherapy predominating ( $N=13$ ), followed by radiotherapy combined with chemotherapy ( $N=8$ ) and radiotherapy alone ( $N=7$ ). Table S4 documents the site of progression and treatment after progression in each of the three arms.

### Safety

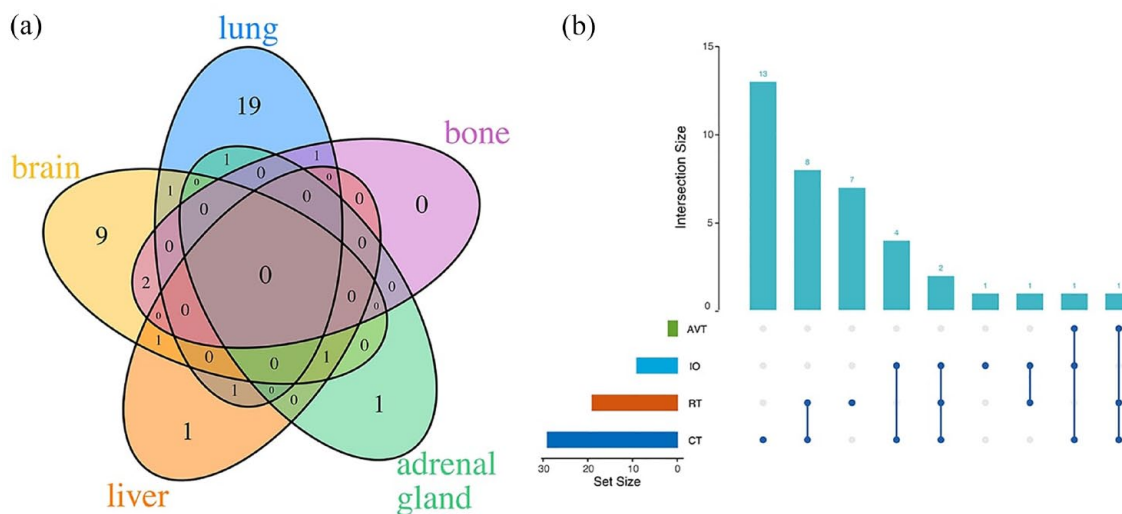
All patients were included in the safety analysis, and Table S5 shows treatment-related toxicities. Four patients experienced ir-AEs, one patient receiving immune combination chemotherapy developed hypothyroidism and neuromuscular disease, and the remaining three were all in the



**Figure 3.** (a) Forest plot of multivariate Cox regression analysis for overall survival in patients with limited-stage small-cell lung cancer. (b) Forest plot of multivariate Cox regression analysis for progression-free survival in patients with limited-stage small-cell lung cancer.

\*\*\* represents  $p < 0.001$ , \*\* represents  $p < 0.01$ , while \* represents  $p < 0.05$ .

CRP, C-reactive protein; CT, chemotherapy; dNLR, derived neutrophil-lymphocyte ratio; IO, immunotherapy; NSE, neuron-specific enolase; RT, radiotherapy; SII, systemic immune-inflammatory index.



**Figure 4.** (a) The organs of progression of limited-stage small-cell lung cancer. (b) The treatment regimen after progression of limited-stage small-cell lung cancer.

AVT, antivasular therapy; CT, chemotherapy; IO, immunotherapy; RT, radiotherapy.

IO + RT + CT group (two hypothyroidism and one allergic reaction). In addition, 34 patients experienced rr-AEs, the most common of which were radiation pneumonitis ( $N=24$ ) and radiation esophagitis and pharyngitis ( $N=13$ ), no treatment-related deaths were identified, and most AEs were self-limiting and resolved with symptomatic treatment.

### Discussion

Median PFS in this cohort of patients was 15.1 months, median OS was not yet reached, but at least longer than 29.5 months, ORR was 74.1%, and DCR was 98.1%, which is consistent with previous studies of active treatment with LS-SCLC.<sup>8-11</sup> We speculate on the potential effect of immunotherapy on LS-SCLC based on



its better performance in non-small-cell lung cancer (NSCLC) and ES-SCLC, but the conclusions reached by the two prospective studies are inconsistent.<sup>16,14</sup> A national cancer database-based study found that immunotherapy, as part of the initial regimen for LS-SCLC, did not prolong patient survival.<sup>21</sup> However, another small study supports anti-PD-L1 as a supplement to chemoradiation for LS-SCLC.<sup>22</sup> The ADRIATIC study rewrote the LS-SCLC treatment landscape to drive more exploration of treatment modalities for LS-SCLC immunotherapy.<sup>15,17</sup> Among the three groups in this study, survival was poor in the immune combined chemotherapy group, with median OS and PFS of only 15.8 and 11.7 months, which may be related to the fact that the treatment used in this group could not be compared with the standard regimen. Survival time was longer in either the ICI plus chemoradiotherapy or chemoradiotherapy groups; however, the difference between the two groups was not significant. Our study did not succeed in challenging the standard regimen for LS-SCLC, that is, the addition of immunotherapy did not improve the prognosis of patients.

Subgroup analysis in this study suggests that immunotherapy is not indicated for LS-SCLC with a smoking history, which may contradict previous studies. Smoking is well known to be strongly associated with the development of SCLC, and tobacco-induced high tumor mutation burden (TMB) provides an opportunity for ICI therapy.<sup>23–26</sup> However, exploratory subgroup analysis of IMpower 133 did not suggest an association of TMB with the benefit from atezolizumab in ES-SCLC.<sup>12</sup> TMB is not routinely tested clinically, and documentation of smoking history in this study was based on written cases, resulting in a low proportion of SCLC patients with documented smoking history, thus temporarily failing to demonstrate a relationship between smoking and TMB levels and efficacy of immunotherapy and requiring further investigation in SCLC.

Currently, the timing of thoracic radiotherapy for LS-SCLC, the efficacy of PD-L1 versus PD-1 inhibitors, and whether there is immune maintenance are inconclusive. A meta-analysis of seven randomized trials showed that LS-SCLC with early radiotherapy tended to have longer OS, especially when overall treatment time was shorter.<sup>27</sup> Concurrent chemoradiotherapy is associated with better survival than sequential

chemoradiotherapy; however, some studies do not support this, and in addition, patient tolerance to toxicities needs to be considered simultaneously.<sup>10,28</sup> In addition to serplulimab, other PD-1 inhibitors combined with chemotherapy failed to improve patient survival in ES-SCLC, while PD-L1 combined with chemotherapy has been approved for first-line treatment.<sup>12,13,29–31</sup> Another meta-analysis on ES-SCLC showed that the efficacy of the combination chemotherapy was equivalent, but anti-PD-L1 combination chemotherapy tended to reduce the risk of death in the subgroup with brain metastases.<sup>32</sup> Immunologic maintenance therapy with PD-L1 antibodies has now demonstrated efficacy in patients with extensive-stage disease, but consolidation therapy with nivolumab–ipilimumab has not been shown to delay patient progression after chemoradiation in LS-SCLC.<sup>12–14</sup> We found that OS and PFS tended to be longer in patients with immune maintenance, but the sample size was small and such results should be interpreted with caution.

It is not only that the treatment modality may affect the survival time of patients, but may also be influenced by other factors, such as inflammatory parameters, patient status, and tumor markers. Inflammatory markers based on blood specimens are closely related to the prognosis of SCLC while reflecting the intensity of systemic anti-tumor immune response.<sup>33</sup> Baseline NLR and dNLR have predictive value for lung cancer immunotherapy, and NLR after 6 weeks of treatment initiation is a biomarker of early response in patients.<sup>34–36</sup> As an important component of the tumor microenvironment, neutrophils are involved in the growth and invasion of tumor cells.<sup>37</sup> dNLR was calculated based on leukocyte and neutrophil levels, and this study suggests that the higher the dNLR, the shorter the survival. SII, a biomarker used to assess systemic immune-inflammatory status, has been found to predict prognosis in many types of tumors, and an association with disease progression in SCLC was similarly observed in this study.<sup>19</sup> NSE is convenient to detect using blood and is used as an SCLC-specific tumor marker, and high levels of NSE are associated with shorter OS and PFS in SCLC.<sup>38</sup> This finding was similarly observed in SCLC studies receiving immunotherapy, except that NSE was not truncated in this study and presented as a continuous variable.<sup>39</sup> This may be associated with NSE promoting vascular endothelial growth factor and promoting tumor

metastasis, and the underlying mechanism warrants further investigation.<sup>40</sup>

This study has the following limitations: first, the retrospective design makes it impossible to obtain tumor biomarkers, although PD-LI expression and TMB levels have not demonstrated their predictive value in SCLC,<sup>12,30</sup> and imperfect recording of electronic medical records leads to the occurrence of excursions; second, some patients in our study did not receive standard care because the patient's physical condition and safety issues need to be considered comprehensively in clinical practice; furthermore, single-center studies have limited interpretation of the results, and sample size should be expanded to analyze differences in efficacy as well as to explore more subpopulations of possible benefit.

### Conclusion

Although immunotherapy is widely used in NSCLC and ES-SCLC, its effect has not yet expanded to LS-SCLC. However, our study did not find that the addition of immunotherapy prolonged survival in patients with LS-SCLC receiving standard chemoradiotherapy, but further exploration of the management of this combination modality in multicenter randomized clinical trials is needed.

### Declarations

#### *Ethics approval and consent to participate*

The study was approved by the Ethics Committees of Beijing Chest Hospital, Capital Medical University, Capital Medical University before data collection began (YJS-2022-19). Given its retrospective nature, the institutional committees waived informed consent for the study.

#### *Consent for publication*

Not applicable.

#### *Author contributions*

**Li Tong:** Conceptualization; Data curation; Writing – original draft.

**Xiaomi Li:** Data curation; Formal analysis; Writing – original draft.

**Mingming Hu:** Data curation; Formal analysis.

**Minghang Zhang:** Data curation.

**Yishuo Wang:** Software; Supervision.

**Kai Zhang:** Methodology; Resources.

**Qunhui Wang:** Project administration; Writing – review & editing.

**Tongmei Zhang:** Conceptualization; Writing – review & editing.

**Baolan Li:** Conceptualization; Writing – review & editing.

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#### *Competing interests*

The authors declare that there is no conflict of interest.

#### *Availability of data and materials*

The corresponding author can provide the datasets used and/or analyzed during the current study upon reasonable request.

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#### **Supplemental material**

Supplemental material for this article is available online.

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