

# Human rElafin Inhibits HIV-1 Replication in Its Natural Target Cells

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## Abstract

Trappin-2/elafin is a novel innate immune factor that belongs to the serine protease inhibitor family and has known antibacterial, antifungal, and antiviral properties. In this study, we further investigated the anti-HIV activity of elafin using different cellular models and both X4- and R5-HIV-1 laboratory strains. We compared the antiviral activity of human recombinant elafin (rElafin) with three well-known antiretroviral drugs, AZT, tenofovir, and enfuvirtide. We have found that when the virus is pre-incubated with rElafin prior to the infection of the cells, HIV-1 replication is significantly inhibited. In target T cells and human peripheral blood mononuclear cells, maximal inhibition was achieved using submicromolar concentrations, and rElafin was found to be as potent as enfuvirtide, showing its potential for therapeutic application. We also show data on the mechanism of the antiviral activity of rElafin. We have demonstrated that rElafin neither binds to CD4, CXCR4, or CCR5 host cell receptors, nor to the viral glycoproteins gp120 and gp41. Furthermore, in our cell-to-cell fusion assays, in contrast to enfuvirtide, rElafin failed to block cell fusion. Altogether our results indicate that rElafin interferes with HIV replication at the early steps of its cycle but with a different mechanism of action than enfuvirtide. This study provides the first experimental evidence that elafin inhibits HIV replication in its natural target cells; therefore, elafin might have potential for its development as a new anti-HIV drug or microbicide.

**Key words:** antiviral activity; elafin; HIV; mechanism of action; natural target cells

## Introduction

**E**LAFIN AND ITS PRECURSOR PROTEIN trappin-2 are serine protease inhibitors members of the whey acidic protein (WAP) of the chelonianin family.<sup>1-5</sup> They are produced by multiple cell types, secreted in mucosal secretions constitutively, and can be elevated in the presence of inflammatory stimuli.<sup>1,6-8</sup> Trappin-2 possesses an N-terminal transglutaminase substrate-binding domain or cementin domain and a C-terminal WAP domain that contains four disulfide bonds corresponding to elafin.<sup>9,10</sup> Elafin, also named skin-derived antileukoprotease, was originally isolated as an elastase inhibitor from the skin of patients with psoriasis,<sup>9</sup> but is produced by epithelia, particularly in the lung.<sup>11,12</sup>

Trappin-2/elafin has been shown to display anti-inflammatory activity by inhibiting neutrophil serine proteases such as elastase and proteinase 3,<sup>9,13-15</sup> antibacterial activities,<sup>2,3,16,17</sup> antifungal activity,<sup>18</sup> and more recently, anti-HIV activity.<sup>19-21</sup> We recently have identified elafin by tandem mass spectrometry and enzyme-linked immunosorbent assay (ELISA) in the human female reproductive tract

and found that elafin was overexpressed in naturally HIV-resistant women compared with HIV-uninfected and -infected women, suggesting its possible role for *in vivo* protection against HIV-1.<sup>22,23</sup> Ghosh et al.<sup>20</sup> also found elafin by ELISA to be present in the human female reproductive tract from both HIV-positive and HIV-negative women with a general trend of higher levels in HIV-negative women, while not significant statistically, indicating again that elafin might be an endogenous antiviral molecule. However, in that study, *in vitro* anti-HIV activity of cervicovaginal lavage (CVL) collected from HIV-positive and HIV-negative women did not correlate with CVL of trappin-2/elafin and SLPI but with MIP3 and HBD2 levels.<sup>24</sup> By contrast, in a more recent study, elevated levels of trappin-2/elafin identified in CVLs from HIV-1 resistant commercial sex workers were found to be associated with *in vitro* anti-HIV activity in the genital epithelial cell model TZM-bl.<sup>21</sup> Therefore, based on these observed disparities, it is still a debatable whether trappin-2/elafin is a novel innate immune factor protecting against HIV-1 infection, and the exact mechanism of the anti-HIV activity of trappin-2/elafin has not yet been

characterized. Although it has been suggested that the protective effect of trappin-2/elafin against HIV might be the result of a direct and indirect effect of elafin on HIV,<sup>21,24</sup> many unknowns still remain and hypotheses and questions raised by these recent findings still need to be elucidated. In this study, we wanted to further characterize the anti-HIV-1 activity of elafin using different cell models including the genital epithelial cells TZM-bl but also HIV natural target cells such as T cells, macrophages, and peripheral blood mononuclear cells (PBMCs). In addition, we further investigated the ability of elafin to bind to either host cellular receptors and viral glycoproteins and its effect on cell surface protein expression. The data presented here confirm that trappin-2/elafin represents a potential candidate microbicide to protect and prevent the transmission of HIV and AIDS.

## Materials and Methods

### Materials

Analytical grade solvents and reagents were purchased from Sigma-Aldrich (Oakville, Canada) unless otherwise specified. Antibodies against CD4 and CXCR4 and anti-mouse Ig isotypes were purchased from BD Biosciences (Mississauga, Canada). Recombinant elafin (rElafin) and AZT were purchased from Sigma-Aldrich. P24 ELISA kits were purchased from Advance Bioscience Laboratories Inc. (Kensington, MD). T20 (Fuzeon) was obtained from Hoffmann-La Roche (Mississauga, Canada).

### Cell cultures

The human epithelial cancer cervical cell line TZM-bl was obtained through the U.S. National Institutes of Health (NIH) AIDS Research and Reference Reagent Program, Division of AIDS, National Institute of Allergy and Infectious Diseases, from Dr. John C. Kappes, Dr. Xiaoyun Wu, and Tranzyme Inc. (Durham, NC).<sup>25,26</sup> TZM-bl cells are a clone of HeLa cells that express human CD4 and the human chemokine receptors CXCR4 and CCR5. In addition, TZM-bl cells express  $\beta$ -galactosidase and luciferase under the control of HIV LTR, which is transactivated by the HIV Tat protein in relation to the level of virus replication. The human non-Hodgkin's T-cell lymphoma cell line Sup-T1 and monocytic THP-1 cells were purchased from the American Type Culture Collection. HeLa-*env*-Lai cells were kindly provided by Dr. Olivier Schwartz from the Institut Pasteur, Paris, France. HeLa-*env*-Lai cells stably express HIV-Lai *env* genes from X4 virus and Tat in the cytosol. Cells were maintained in Dulbecco's minimum essential medium (DMEM; TZM-bl and HeLa-*env*-Lai) or RPMI 1640 (Sup-T1 and THP-1; Invitrogen Canada Inc., Burlington, Canada) supplemented with 10% heat-inactivated fetal bovine serum (Invitrogen), 50  $\mu$ g/mL gentamicin (Invitrogen), and 2 mM L-glutamine (Sigma-Aldrich). In addition, HeLa-*env*-Lai cells were propagated in the presence of 2  $\mu$ M methotrexate. All cells were cultured in 75-cm<sup>2</sup> culture flasks at 37°C in a humidified atmosphere of 5% CO<sub>2</sub> and were harvested when they reached about 80%–85% confluence using 0.25% trypsin-EDTA solution diluted in phosphate-buffered solution (PBS). Human PBMCs from normal donors were obtained from SeraCare Life Sciences, Inc. (Milford, MA) and maintained in supplemented RPMI culture medium as already described, with 20  $\mu$ g/mL recom-

binant human interleukin-2 (ZeptoMetrix Corp., Buffalo, NY). Prior to HIV-1 infection assays, PBMCs were stimulated with 1  $\mu$ g/mL phytohemagglutinin-P (PHA-P; Pharmacia Biotech, GE Life Sciences, Baie d'Urfé, QC, Canada) for 24 h and THP-1 cells treated with 10 ng/mL phorbol 12-myristate 13-acetate (PMA) and allowed to differentiate into macrophages for 7 days.

### Infectivity and inhibition assays

To test the anti-HIV activity of elafin against HIV-1, we used two different virus strains, the X4 IIIB and R5 BaL strains, and four different cell models. HIV-1 IIIB viral stocks were prepared by infecting target cells with viral stock solutions, and viruses were collected from supernatants. Viral titration was performed in target cells to calculate the TCID<sub>50</sub>. Viral preparations were stored at –80°C. First, TZM-bl indicator cells were seeded at 6 × 10<sup>3</sup> cells per well in a 96-well microtiter plate and allowed to adhere overnight in a humidified CO<sub>2</sub> incubator at 37°C. Varying doses of human rElafin or antiretroviral drugs in complete DMEM were incubated with HIV-1 IIIB for 1 h at 37°C in a final volume of 1 mL. Following incubation, the culture medium was aspirated from TZM-bl cells, and 100  $\mu$ L of the mixture containing the virus at multiplicity of infection (MOI) 0.1 was added to the cells. Cells were then incubated for 24 h at 37°C with 5% CO<sub>2</sub> in a humidified incubator. After 24 h,  $\beta$ -galactosidase activity was measured using the Beta-Glo assay (Promega, Madison, WI). Briefly, 100  $\mu$ L of Beta-Glo reagent was added to each well, plates were incubated for 30 min at room temperature, and the light intensity of each well was measured using a luminometer (BioTek Synergy<sup>TM</sup> 2 instrument, Thermo Fisher Scientific, Nepean, ON, Canada). Uninfected cells were used to determine background luminescence. A subset of experiments were performed to determine possible post-infection inhibitory effects of elafin. In those experiments, TZM-bl cells were first infected with HIV-1 IIIB at MOI 0.1 for 1 h and then were rinsed with PBS to eliminate unbound viruses. Cells were then incubated with various concentrations of human rElafin or antiretroviral drugs for 24 h at 37°C with 5% CO<sub>2</sub> in a humidified incubator. HIV-1 replication was measured by the Beta-Glo assay. Experiments were repeated three times with four replicates.

Other experiments were conducted using the Sup-T1 T cell line and PBMCs. Varying doses of rElafin or antiretroviral drugs in complete RPMI were incubated with HIV-1 IIIB for 1 h at 37°C in a final volume of 1 mL. After incubation, HIV-1 infection of Sup-T1 cells or PBMCs were carried out by incubating 2 × 10<sup>5</sup> cells with the mixture containing HIV-1 IIIB at MOI 0.1, for 2 h at 37°C in a humidified atmosphere of 5% CO<sub>2</sub> in a total volume of 1 mL. After infection, cells were washed and plated in 96-well plates, at a density of 2 × 10<sup>4</sup> cells/well in 100  $\mu$ L of complete RPMI without further addition of rElafin or drugs. At day 4, cells were split and drug-free fresh culture medium was added to the wells. At day 7, the supernatants were collected and the level of HIV-1 replication was determined by measuring the concentration of viral protein p24 (ELISA assay kit, Advance Bioscience Laboratories Inc.). Syncytium formation and cell viability were also analyzed 7 days post-infection in Sup-T1 experiments by microscopic observations and the MTT assay, respectively.

THP-1 cells were plated at  $4 \times 10^4$  cells/well in 96-well plates and treated with 10 ng/mL PMA to allow them to differentiate. After 7 days of differentiation, PMA-treated cells were exposed to a mixture of HIV-1 BaL pre-incubated with rElafin or antiretroviral drugs for 1 h at 37°C in a humidified atmosphere of 5% CO<sub>2</sub>, at a MOI of 0.1. After 2 h, cells were rinsed and cultured in complete culture medium without further addition of rElafin or drugs. Every 3–4 days, supernatants were collected and drug-free fresh culture medium was added to the wells. HIV-1 replication was monitored by the viral p24 protein level in harvested supernatants. Additional experiments were conducted to determine the 50% inhibitory concentration (IC<sub>50</sub>) of rElafin or antiretroviral drugs in the different cell models. IC<sub>50</sub> was calculated using regression analysis.

Each experimental condition consisted of quadruplicate samples and assays were repeated three times with Sup-T1 cells or twice with PBMCs and THP-1. In all experiments, untreated cells infected with HIV-1 virus only (positive control) and untreated or uninfected cells (negative control) were used.

#### Cytotoxicity assay

Sup-T1 cell viability was determined by the MTT assay. Cells were plated and treated as in the infectivity assays except that at day 4 when the cells were split, fresh culture medium containing rElafin or T20 was added. After 7 days of incubation with varying concentrations of rElafin or 1 μM T20, 50 μL of cell suspension was transferred to another 96-well plate. Then 8 μL of a solution of 4 mg/mL MTT was added to each well, and cells were incubated at 37°C in a humidified atmosphere of 5% CO<sub>2</sub> for 2 h. Cells were lysed using isopropanol containing 1% HCl 12 N and 5% Triton X-100 and absorbance was measured at 570 nm on a microplate spectrophotometer reader (BioTek Synergy MX instrument, Fisher Scientific). Experiments were repeated twice with four replicates each.

#### Analysis of expression of cell surface proteins by flow cytometry

Effect of rElafin on cell surface protein expression was evaluated using two different cell models, Sup-T1 and TZM-bl expressing CD4 and CXCR4 receptors. Sup-T1 and TZM-bl cells were plated in six-well plates at a density of  $1.5 \times 10^6$  cells/well in RPMI and DMEM, respectively, supplemented with 3% serum. Various concentrations of rElafin was added to the cells and at indicated times of incubation, cells were collected, washed, and stained with mouse anti-human monoclonal antibodies (BD Biosciences) for the cell-surface markers CD4 (allophycocyanin [APC], #340443), CXCR4-PE (phycoerythrin [PE], #555974), or goat anti-mouse Ig isotype controls (PE # 554680, APC #555576), for 60 min at 4°C in the dark. Cells were then washed again, resuspended in staining buffer (0.1% sodium azide and 1% fetal bovine serum in PBS, pH 7.4–7.6) and fixed with a solution of 2% formaldehyde in PBS. Cells were analyzed the same day using a FACS Canto II (Becton Dickinson, Franklin Lakes, NJ) and Diva software (Becton Dickinson Biosciences, Mississauga, Canada). Experiments were performed in duplicate and repeated at least twice. Data are expressed in terms

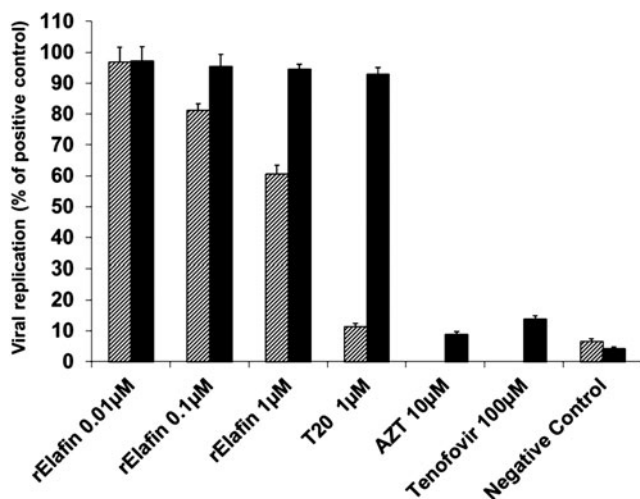
of percentage of expression of cell surface markers compared to untreated control cells set at 100%.

#### Binding of rElafin to cell surface proteins

Binding of rElafin to cell surface receptors was evaluated using CD4+/CXCR4+ Sup-T1 cell line, whereas binding to viral glycoproteins gp120 and gp41 was evaluated using HeLa-*env*-Lai cells that stably express HIV-Lai *env* genes from X4 virus. Cells ( $1 \times 10^6$ ) were incubated with indicated concentrations of rElafin for 1 h at 37°C in a total volume of 1 mL of RPMI or DMEM without serum or antibiotics. After two washes in cold PBS, bound rElafin was detected by incubating the cells with the rat anti-human Trappin-2/Elafin monoclonal antibody (1:10 dilution, R&D # MAB1747, clone 257729; R&D Systems, Minneapolis, MN) for 30 min at 37°C in the dark followed by the chicken polyclonal secondary antibody to rat IgG-FITC (1:100 dilution, Abcam, Toronto, Canada) for 30 min at 37°C in the dark. Binding of rElafin to CD4+/CXCR4+ cells was evaluated by flow cytometry (FACS Canto II) on  $5 \times 10^4$  events, gated by forward and side scatter and analyzed using Diva software. Level of CD4 and CXCR4 expression using mouse anti-CD4-APC and -CXCR4-PE antibodies (BD Biosciences) was also evaluated in separate samples. Irrelevant human IgG (isotypes control) and cells incubated only with the secondary antibody chicken anti-rat IgG-FITC were used as controls. Data are presented as rElafin bound cells, and the percentage of total gated cells to which nonspecific background evaluated with the control IgG was deduced. Experiments were performed in duplicate and repeated at least twice.

#### Cell-to-cell fusion assay

HeLa-*env*-Lai cells that express HIV-1 envelope glycoproteins gp120 and gp41 on its surface and TZM-bl expressing CD4 and co-receptors CXCR4 and CCR5 were used to assess the effect of rElafin on HIV fusion with cell membrane. When fusion occurs, Tat present in the cytosol of HeLa-*env*-Lai cells transactivates the long terminal repeat-driven *lacZ* gene in TZM-bl cells resulting in β-galactosidase production. HeLa-*env*-Lai cells were seeded in 48-well plates at a density of  $10^5$  cells/well in the presence of drugs or water for untreated control wells. One hour later, target cells TZM-bl were added to the wells at the same cell density ( $10^5$ /well) and co-cultured for 24 h at 37°C in a humidified atmosphere of 5% CO<sub>2</sub>. The extent of fusion was monitored either by quantification of β-galactosidase activity in cell lysates (Beta-Glo Assay System, Promega, Madison, WI) as described above or qualitatively by cytochemical staining of cells for β-galactosidase activity (MAGI-CCR5 Assay, NIH AIDS Research & Reference Reagent Program), according to the manufacturer's instructions. Briefly, for cytochemical staining, cells were fixed by adding 1 mL of fixing solution (1% formaldehyde, 0.2% glutaraldehyde in PBS) to the well for 5 min. Then cells were washed twice with PBS and 200 μL of staining solution was added to each well (0.2 M potassium ferrocyanide, 0.2 M potassium ferricyanide, 2 M MgCl<sub>2</sub>, 40 mg/mL X-gal), and cells were incubated for 50 min at 37°C in a non-CO<sub>2</sub> incubator. Then cells were washed twice thoroughly with PBS and then analyzed using a Zeiss epifluorescence microscope (Axioscop 40;



**FIG. 1.** Anti-HIV-1 III B activity of recombinant elafin (rElafin) in TZM-bl cells. TZM-bl cells were infected with HIV-1 III B at multiplicity of infection (MOI) 0.1 for 2 h. HIV-1 virus was pre-incubated with rElafin or antiretroviral drugs for one hour before infecting TZM-bl cells (hatched box) or the antiretroviral drugs were added to the cells after infection (black box). Activity of  $\beta$ -galactosidase released by HIV infection of the cells was quantified by the Beta-Glo assay 24 h post-infection. Data are expressed in percentages compared with untreated/HIV-infected positive control cells which were set to 100%. Data are representative of three independent experiments. Values represent means of percentage  $\pm$  SEM ( $n=4$ ).

Carl Zeiss AG, Oberkochen, Germany) and Vision software. Images of light microscopy were taken by a Zeiss camera (AxioCam MRC5, Zeiss, Toronto, Canada).

### Statistics

Statistical analysis of differences between treatment groups was evaluated by Student's *t* test for unpaired observations using the ANALYSIS TOOLPAK of Microsoft Excel (Redmond, WA) or using ANOVA analysis (SigmaPlot 11.0 software, Systat Software Inc., Chicago, IL), and  $p < 0.05$  was considered significant.

## Results

### Inhibition of HIV infection in TZM-bl indicator cells

Inhibitory effects of human rElafin on viral infection was first determined by measuring the virus-induced  $\beta$ -galactosidase activity in HIV-1 III B-infected TZM-bl indicator cells and compared to potent clinically approved antiretroviral drugs (Fig. 1). When the virus was first pre-incubated with rElafin prior to infection of the cells, a concentration-dependent inhibition of HIV-1 replication was obtained, whereas no inhibition was observed when rElafin was added after viral infection. Same data were obtained when cells were treated with the antiretroviral drug T20 known to block HIV entry. In contrast, as expected, reverse transcriptase inhibitors tenofovir and AZT showed high levels of inhibition in the post-incubation experiments when the drugs were added 2 h after viral infection (Fig. 1). No significant cytotoxicity effect was observed with rElafin or any of the drugs at the doses

**TABLE 1.** INHIBITORY ACTIVITY OF RELAFIN IN DIFFERENT CELL MODELS

Cells	Virus strain	IC <sub>50</sub> (nM)
TZM-bl	HIV-III B	713 $\pm$ 264
SupT-1	HIV-III B	79 $\pm$ 7
PBMC	HIV-III B	176 $\pm$ 7
THP-1	HIV-BaL	89 $\pm$ 2

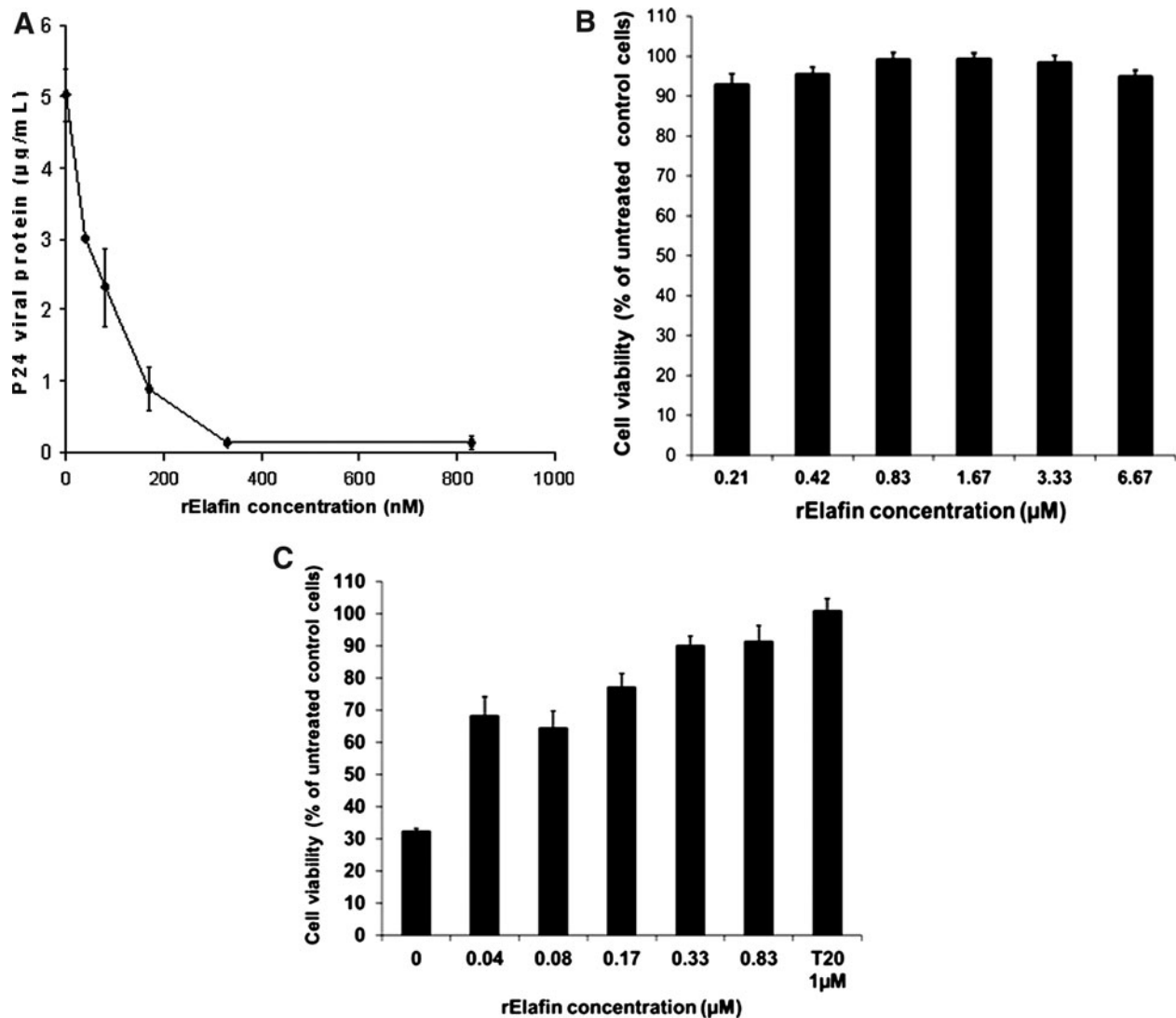
Cells were exposed to various concentrations of rElafin, and  $\beta$ -galactosidase activity (TZM-bl) or p24 protein level (SupT-1, PBMC, and THP-1) was determined, as described in Materials and Methods. The 50% inhibitory concentration (IC<sub>50</sub>) was determined in the different cell models using regression analysis. Experiments were repeated three times.

used (data not shown). When compared to the infected but untreated TZM-bl control cells, rElafin was found to be 10-fold less potent than AZT (50% inhibitory concentration IC<sub>50</sub> of 0.71  $\mu\text{M}$  compared to 7.13  $\mu\text{M}$ , respectively), 2-fold less potent than tenofovir (IC<sub>50</sub> 1.46  $\mu\text{M}$ ), and similarly potent as T20 (IC<sub>50</sub> 0.67  $\mu\text{M}$ ) to reduce  $\beta$ -galactosidase activity (Table 1). Our results in this HeLa cell model suggest that rElafin interferes at early steps of HIV-1 replication with comparable potency as the antiretroviral drugs evaluated since rElafin was able to affect viral replication only when viruses were still at the beginning of the infection cycle (not yet entered into host cells).

### Inhibition of HIV infection in Sup-T1 cells

To further investigate the inhibitory effects of rElafin, we evaluated its potential to inhibit HIV-1 III B replication in target T cells, using Sup-T1 T cell line. The virus was first pre-incubated for 1 h with rElafin prior to infection, and then cells were infected with the mixture. After 2 h, the virus mixture was removed and fresh culture medium without drugs was added. Viral replication was quantified by measuring p24 protein production in supernatants after 7 days of infection. In target T cells, rElafin blocked HIV-1 replication in a concentration-dependent manner (Fig. 2A) and showed potent anti-HIV activity with an IC<sub>50</sub> of 79 nM (Table 1). In uninfected and untreated cells (negative controls), p24 level was 2.62  $\pm$  1.12 ng/mL compared with 5022.12  $\pm$  365.69 ng/mL in infected and untreated cells (positive controls). As in the previous experiments with TZM-bl indicator cells, rElafin showed lower inhibitory effects when added to the cells 2 h after infection (IC<sub>50</sub> of 3.32  $\mu\text{M}$  in Sup-T1 cells; data not shown). All cell cultures treated with rElafin or T20 were found significantly different from the untreated/infected positive control cells by one-way ANOVA analysis ( $p < 0.01$ ). No significant cytotoxicity effect of rElafin was observed in Sup-T1 cells up to 6.67  $\mu\text{M}$  (Fig. 2B).

HIV-1 infection of CD4+ human T-cell lines in culture leads to cytopathic effects characterized by formation of large, multinucleated giant cells called syncytia, followed by destruction and lysis of single cells. The efficacy of rElafin to protect the cells from the lytic effect of HIV-1 was evaluated in Sup-T1 cells infected with the syncytia-inducing X4 tropic HIV-1 III B virus using the MTT cell viability assay (Fig. 2C). The lytic effect of HIV-1 was significantly reduced in cells treated with rElafin in a dose-dependent manner in comparison to untreated/infected control cells. At such a low dose as 40 nM, rElafin was able to protect viability of Sup-T1 cells at 70% compared to untreated/uninfected



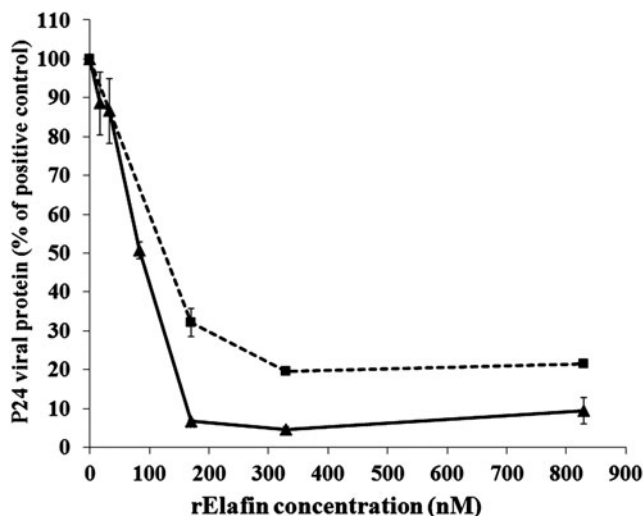
**FIG. 2.** Anti-HIV-1 III B activity of rElafin in T cells. HIV-1 III B virus was pre-incubated with rElafin or antiretroviral drugs for one hour prior to infection of Sup-T1 cells at MOI 0.1. After 2 h, cells were rinsed with phosphate-buffered solution (PBS) and plated in a 96-well plate with fresh complete RPMI. At day 7, cell-free supernatants were collected to quantify viral p24 protein using an enzyme-linked immunosorbent assay (ELISA; **A**) and cells for cell viability evaluation by the MTT assay (**C**). Cytotoxicity assays were performed by exposing Sup-T1 cells at varying concentrations of rElafin (**B**). At day 4, cells were split and fresh culture medium containing rElafin was added. MTT assays were performed after 7 days of incubation (**B**). Values represent means  $\pm$  SEM. Values are expressed as % of untreated control cells (negative control) in (**B**) and (**C**). Data are representative of three independent experiments ( $n=3$ ; **A**) or means of two independent experiments ( $n=8$ ; **B**, **C**).

negative control cultures ( $p < 0.001$ ). At higher doses, rElafin showed high potency to block HIV-1 cytolitic effect, reaching a plateau at 330 nM with 90% protection compared to 32% in untreated/infected positive controls ( $p < 0.001$ ). No significant difference was found between the protective effect of rElafin at 330 and 830 nM and 1  $\mu$ M T20 ( $p = 0.054$  and 0.089, respectively). The antiretroviral drug control T20, at 1  $\mu$ M, completely protected the cells from cytopathic effect.

#### *Inhibition of HIV infection in PBMCs and THP-1 cells*

Next we evaluated the anti-HIV activity of rElafin in HIV-infected PBMCs and the monocyte/macrophage THP-1 cell line. Prior to HIV infection, PBMCs were first stimulated for 24 h with 1  $\mu$ g/mL PHA-P and THP-1 cells treated with

10 ng/mL PMA for 7 days, as described in Materials and Methods. HIV-1 III B (for PBMCs) or BaL (for THP-1) viruses were pre-incubated with rElafin for 1 h and then the cells were infected with their respective mixture. After 2 h, the virus mixture was removed and fresh culture medium without drugs was added. Viral replication was quantified by measuring p24 protein production in supernatants after 7 days of infection. As in previous cells models, rElafin blocked HIV-1 replication in a concentration-dependent manner (Fig. 3). In PBMCs and THP-1 cells, rElafin showed potent anti-HIV activity with an  $IC_{50}$  of 176 and 89 nM, respectively (Table 1). In PBMCs, the p24 level was  $2.10 \pm 1.33$  ng/mL in uninfected and untreated negative control cells but was not detected in negative controls in the THP-1 cell model. Because rElafin showed similar anti-HIV activity in PBMCs as in the established cell line Sup-T1,



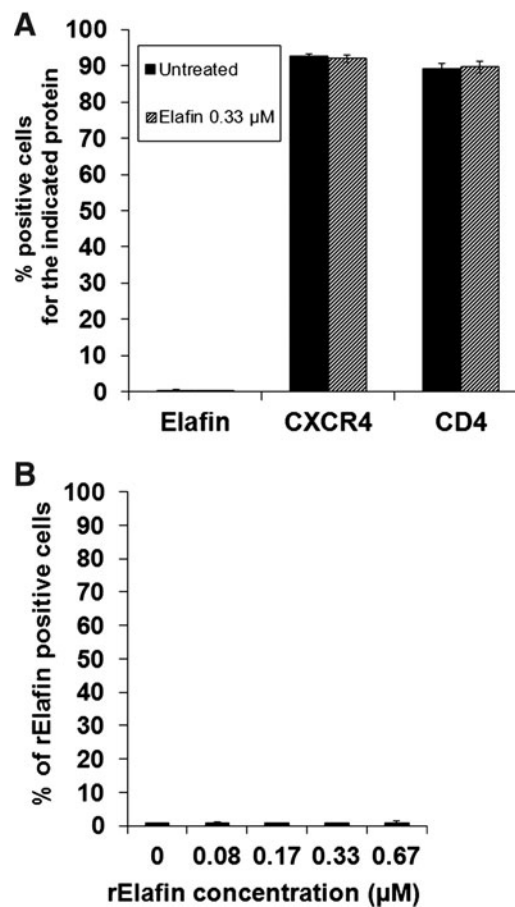
**FIG. 3.** Anti-HIV-1 activity of rElafin in peripheral blood mononuclear cells (PBMCs) and THP-1 cells. Prior to HIV-1 infection assays, PBMCs were stimulated with  $1\ \mu\text{g}/\text{mL}$  PHA-P for 24 h and THP-1 cells treated with  $10\ \text{ng}/\text{mL}$  phorbol 12-myristate 13-acetate (PMA) and allowed to differentiate into macrophages for 7 days. Cells were infected with a mixture of HIV-1 IIB (---■---PBMC) or HIV-1 BaL (—▲—THP-1) pre-incubated with rElafin for 1 h, at a MOI of 0.1. After 2 h of infection, cells were rinsed and cultured in complete culture medium without further addition of rElafin. After 7 days, supernatants were collected and p24 level measured by ELISA. Values represent means  $\pm$  SEM ( $n=4$ ) of HIV-1 infected but not rElafin treated control cells (positive control). Data are representative of two independent experiments.

the Sup-T1 T cells were used for the next series of experiments to study the mechanism of action of rElafin.

#### Binding to host receptors or viral glycoproteins

Further experiments were performed to better understand the anti-HIV mechanism of rElafin. The first steps of the infection cycle of HIV-1 include binding of viral gp120 glycoprotein to its primary cell surface receptor CD4 and key co-receptors, CXCR4 or CCR5, for X4- and R5-tropism virus, respectively. The interactions between gp120 and cellular receptors trigger a series of conformational transitions in gp41 that ultimately lead to the fusion of viral and cellular membrane allowing virus entry into target cells. Entry of HIV-1 can therefore be blocked by interference with either the viral glycoproteins or host elements such as CD4 and co-receptors CXCR4 or CCR5.

To determine whether rElafin blocks HIV-1 at the entry steps, experiments were first conducted to investigate the possible inhibitory activity of rElafin on the binding of viral envelope to CD4 receptor and CXCR4 co-receptor. First we looked for the ability of rElafin to directly bind to the viral envelope then to CD4 and CXCR4 receptors using two different cellular models: HeLa-*env*-Lai cells expressing gp120 and gp41 at their surface and CD4+ /CXCR4+ Sup-T1 cells, respectively. Data with Sup-T1 cells are shown in Figure 4. Cells were incubated with rElafin at  $330\ \text{nM}$  for 1 h and then rinsed and stained with anti-elafin, anti-CD4, or anti-CXCR4 antibodies. Then cells were analyzed by flow cytom-

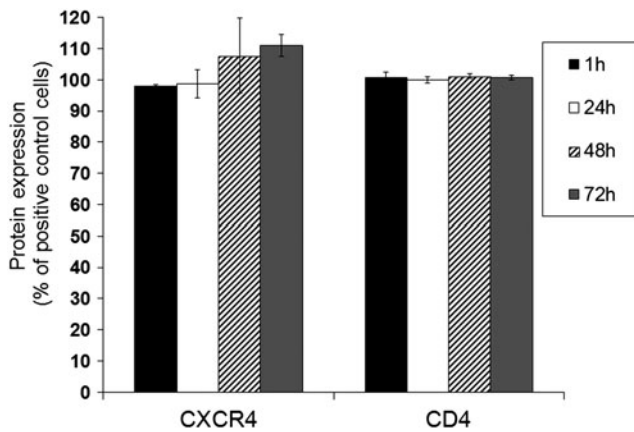


**FIG. 4.** Binding of rElafin to cell surface proteins. Sup-T1 cells or HeLa-*env*-Lai were incubated with  $330\ \text{nM}$  or various concentrations of rElafin, respectively, for 1 h and then rinsed and stained with the rat anti-human Trappin-2/Elafin monoclonal antibody or with anti-human CD4 or CXCR4 antibodies. Bound rElafin, CD4, and CXCR4 were detected by flow cytometry. Values represent means  $\pm$  SEM of percentage of positive cells for the indicated protein on x-axis, after deduction of nonspecific background fluorescence of control IgG of two independent experiments done in duplicate ( $n=4$ ). No significant difference was found between cells exposed to rElafin and untreated control cells ( $p < 0.001$ ).

etry for the presence of rElafin bound at their surface. No signal corresponding to FITC-anti-elafin antibody could be found neither at the surface of Sup-T1 cells (Fig. 4A) nor at the surface of HeLa-*env*-Lai cells (Fig. 4B) indicating that rElafin does not bind to CD4 or CXCR4 receptors and viral glycoproteins, respectively. High levels of CD4 and CXCR4 were found at the surface of rElafin-treated cells as in untreated control cells, showing that rElafin does not perturb the distribution or expression of these proteins (Fig. 4A). Moreover, in Western blot analysis using recombinant Elafin, CD4, and gp120 proteins, rElafin was not found bound to either CD4 or gp120 when incubated together (Supplementary Fig. S1).

#### Effect on the expression of viral receptors at the surface of cells

To further confirm that rElafin does not interact with host cell receptors, we tested its effect on the expression of CD4



**FIG. 5.** Effect of rElafin on CXCR4 and CD4 protein expression on the surface of Sup-T1 cells. Cells were incubated with 330 nM of rElafin for 1 h up to 72 h and then rinsed and stained with anti-human CXCR4 or CD4 antibody. Level of expression of CXCR4 and CD4 proteins was detected by flow cytometry. Data are expressed in terms of percentage of expression of cell surface markers compared to untreated control cells set at 100% after deduction of nonspecific background fluorescence of control IgG. Values represent means  $\pm$  SEM of at least two independent experiments done in duplicate ( $n=4$ ). No significant difference in the level of CD4 or CXCR4 expression on the surface of cells exposed to rElafin and untreated control cells at any time point ( $p < 0.001$ ).

and CXCR4 proteins on the surface of Sup-T1, up to 72 h using flow cytometry analysis. As in the previous assay, no effect on the expression of both CD4 and CXCR4 proteins could be observed following incubation of Sup-T1 cells up to 72 h (Fig. 5) or TZM-bl cells (data not shown) with

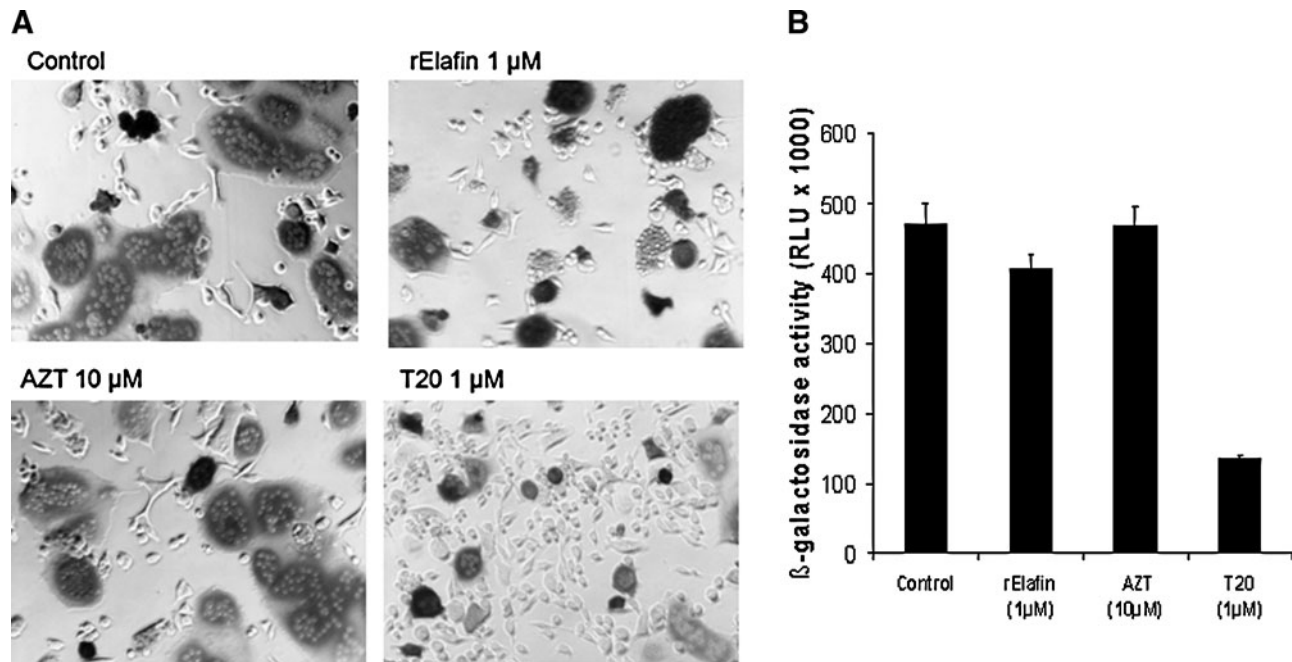
330 nM of rElafin. Under these same conditions, as expected, AZT did not affect CD4 and CXCR4 expression on the surface of Sup-T1 or TZM-bl cells (data not shown).

#### Blockage of cell-to-cell fusion

Next we investigated the ability of rElafin to interfere with membrane fusion using a cell-to-cell fusion assay. For this cell fusion experiment we used HeLa-*env*-Lai cells that stably express HIV-Lai glycoproteins gp120 and gp41 and the HIV-1 transactivator Tat, and TZM-bl cells that stably express the human CD4, CXCR4, and CCR5 receptors and  $\beta$ -gal and luciferase under the control of HIV LTR. In this assay, if fusion occurs between the cells, Tat will be transferred into the cytosol of TZM-bl, resulting in transactivation of the LTR, initiation of the transcription of lacZ gene, and then production of  $\beta$ -galactosidase in the cells. We found that, in contrast to 1  $\mu$ M T20 which significantly inhibited cell fusion, rElafin at 1  $\mu$ M and AZT at 10  $\mu$ M did not block cell fusion when compared to untreated/HIV-infected positive controls (Fig. 6A). Both formation of giant cells (Fig. 6A) and  $\beta$ -galactosidase activity (Fig. 6B) were similar in cells treated with either rElafin or AZT but significantly lower in cells treated with T20 when compared to control cultures.

#### Discussion

Previous studies have reported the anti-HIV-1 activity of trappin-2/elafin,<sup>19-21</sup> but to date there is still controversy about its role as an innate immune factor to protect from HIV-1 transmission and infection *in vivo*, and its anti-HIV mechanism of action is still not yet understood.<sup>21,27</sup> The main goal of this study was to further confirm the anti-HIV-1 activity of elafin by comparing its antiviral activity



**FIG. 6.** Cell-to-cell fusion assay. HeLa-*env*-Lai cells were incubated with rElafin or antiretroviral drugs for 1 h prior to the addition of TZM-bl cells. Control wells correspond to untreated HIV-1 infected cell cultures. After 24 h,  $\beta$ -galactosidase activity was analyzed by Zeiss epifluorescence microscopy (A) or quantified by the Beta-Glo assay (B). Data are representative of three independent experiments. Values represent means  $\pm$  SEM ( $n=4$ ). \*\*\*Significantly lower than untreated controls,  $p < 0.001$ .

with antiretroviral drugs in natural host target cells and to better understand its mechanism. Inhibitory effect of human rElafin on X4-tropic HIV-1 IIIB strain replication was first determined by measuring the virus-induced  $\beta$ -galactosidase activity in infected TZM-bl genital epithelial cells. In this assay, we found that rElafin more potently inhibited viral replication when pre-incubated with the virus prior to infection than when added to the cells 2 h after infection. These data suggest that rElafin interferes with the early steps of HIV-1 infection. Our findings are in agreement with previous studies showing *in vitro* antiviral activity of recombinant trappin-2/elafin in the TZM-bl indicator cell line.<sup>20,21</sup> Ghosh et al.<sup>20</sup> reported that rTrappin-2/elafin is more potent when it interacts with the virus prior to infecting the cells than when added to the cells after infection, as we also found. However, they reported a lower IC<sub>50</sub> (~2 nM), than the one found here and in Drannick et al.<sup>21</sup> A partial explanation for this discrepancy might reside in the experimental design, which differed between studies. Here we have quantified viral replication 24 h post-infection instead of 48 h as in the previous study.<sup>20</sup> We chose to measure viral infection after 24 h to eliminate possible cumulative inhibitory effects from a second round of infection as observed in TZM-bl cells in a period longer than 24 h post-infection (data not shown). Therefore, it might be possible that in the previous study,<sup>20</sup> the authors achieved a high level of inhibition using lower doses of trappin-2/elafin because their methodology design was different (cell density, viral dose, time of incubation, etc.). But most likely, this might indicate that the precursor protein trappin-2 contains important structures in its cementin domain, which is absent in elafin, that might enhance its antiviral activity by interacting with either the virus or other host cell molecules to further block HIV infection. Experiments are ongoing to further investigate this hypothesis.

To further confirm the anti-HIV activity of elafin and investigate its mechanism, we used the Sup-T1 T-cell line, the THP-1 monocyte/macrophage cell line, and human PBMCs, all natural target immune cells for HIV. TZM-bl cells are epithelial HeLa engineered cells expressing canonical HIV-1 receptor components, CD4, CXCR4, and CCR5, that allow them to be infected by HIV-1. In this cell model, viral replication is monitored by reporter assays. Infection of T cells, macrophages, and PBMCs with HIV-1 are cell models that more closely correlate with *in vivo* HIV-1 infection of target immune cells, thus better allowing investigation of the potential of elafin as an antiviral agent. We found that in both Sup-T1 T cells and primary PBMCs, rElafin was more potent in blocking HIV-1 IIIB than in TZM-bl cells (9- and 4-fold, respectively) and in blocking HIV-BaL in THP-1 macrophages (8-fold). In addition, the slopes of the inhibition curves were steeper in these cell models than in the genital epithelial cell model TZM-bl, indicating higher potency in immune target cells than in HeLa-engineered cells. Furthermore, rElafin protected T cells from the cytopathic effects of the virus up to 70% using physiological doses such as 250 ng/mL (40 nM), possibly by interfering with the new virions and preventing them from infecting cells *de novo*. We had previously determined that under physiological conditions, the concentration of trappin-2/elafin was around 100 ng/mL and elevated to 280 ng/mL in CVL of HIV-resistant women.<sup>22-23</sup> Although

previous studies have shown *in vitro* anti-HIV activity in HeLa-engineered TZM-bl cells,<sup>20,21</sup> our study is the first to demonstrate viral inhibition in target T cells, macrophages, and primary cells. Therefore, altogether, our findings suggest that at physiological concentrations, trappin-2/elafin might play a role in the protection from HIV infection.

Data from the present study and other studies suggest that trappin-2/elafin acts at early steps in the replication cycle of the virus. HIV-1 entry is initiated by the binding of viral gp120 glycoprotein to cell surface receptor CD4 molecule and a co-receptor (CXCR4/CCR5). Many molecules have been reported to have potent *in vitro* activity against HIV replication by interfering with HIV cellular entry.<sup>12,28</sup> It has been demonstrated that the anti-HIV mechanism of the secretory leucocyte proteinase inhibitor (SLPI), a well-characterized serine protease inhibitor in the same family as trappin-2/elafin, does not result from a direct interaction with the virus but is rather due to an interaction with the cellular membrane of the host cells.<sup>29-31</sup> SLPI binds to the phospholipid-binding protein annexin II, disrupting the interaction of the human cell-derived phosphatidylserine of the HIV-1 envelope with annexin II.<sup>32</sup> Recently, it was shown that SLPI is a ligand for the phospholipid scramblase 1 (PLSCR1) and PLSCR4, and that SLPI might inhibit HIV-1 infection of T cells by modulating the interaction of the CD4 receptor with PLSCRs.<sup>32</sup> Therefore we hypothesized that elafin, which is closely related to SLPI, might also interact with host cell surface proteins involved in HIV-1 binding and entry. However, in this study we clearly demonstrated that pre-incubation of T cells and HeLa-derived TZM-bl cells expressing CD4, CXCR4, and CCR5 proteins with rElafin, does not influence the level of expression of CD4 and co-receptor CXCR4 at the surface of TZM-bl and Sup-T1 cell membrane. These data are in agreement with the previous findings showing that in TZM-bl and HEC-1A cells, trappin-2 and elafin do not alter cellular expression of CXCR4 and syndecan-1 (CD38), an alternative binding molecule for HIV-1 attachment to HEC-1A cells.<sup>21</sup> In addition, here we showed that rElafin does not bind to CD4, co-receptor CXCR4, or any other molecules at the surface of the TZM-bl genital epithelial or T-cell membrane, indicating that elafin does not act by interfering or masking HIV-1 receptors on these cells. Moreover, the possibility of binding to viral glycoproteins was also investigated in this study using different assays. Our results from the cell-to-cell fusion assays indicate that the inhibitory activity of rElafin does not have an impact on the binding of gp120 to HIV-1 receptors or interfere with gp41 for fusion with the cell membrane. In addition, we found that rElafin does not bind directly to gp120 or to gp41 since no rElafin was found at the surface of HeLa-env-Lai cells, which express both viral glycoproteins, following 1 h of pre-incubation. Moreover, in our cell-to-cell fusion assays, we confirmed that rElafin did not bind to CD4, CXCR4, or CCR5 proteins expressed at the surface of TZM-bl cells, which would have blocked the fusion between TZM-bl and HeLa-env-Lai cells. Furthermore, preliminary results using Western blot analysis confirmed this lack of binding between rElafin and host or viral proteins. Altogether, our results from the cell-to-cell fusion assays, binding assays, and incubation assays indicate that the inhibitory activity of rElafin is not through a direct interaction with viral glycoproteins or HIV-1 receptors or due to an effect on the level of expression



of host receptors. Because elafin is positively charged, it's unlikely that it bound to Env glycans as observed with polyanionic compounds such as dextran sulfate<sup>33,34</sup> or with carbohydrate-binding proteins such as lectins.<sup>35</sup> On the other hand, our study suggest that elafin also does not behave as the polycations aminoglycosides that block HIV cell entry by competing with gp120-CD4/CXCR4 interaction.<sup>36</sup> Further investigation will be required to confirm the exact mechanism of the antiviral activity of elafin, but our findings and findings from other studies strongly suggest a possible role at the early steps of infection and possibly before integration of the viral DNA into the genome of the cell. Blocking HIV-1 before integration of proviral DNA into the host genome has clear advantages over blocking subsequent stages in the life cycle of the virus. Additional experiments to elucidate the anti-HIV mechanism of trappin-2/elafin are ongoing in our laboratory and further investigations using a broader panel of HIV viral strains including clinical isolates are required.

### Conclusions

Taken together, the data of this study provide important additional information on anti-HIV activity of the innate immune factor trappin-2/elafin. We have shown that rElafin significantly inhibited X4-tropic HIV-1IIB replication in engineered genital epithelial cells, target T cells and primary immune cells, and R5-tropic HIV-1 BaL in monocytes/macrophages without binding to host cell receptors CD4, CXCR4, or CCR5 or to viral capsid proteins gp41 and gp120. Our current data suggest that trappin-2/elafin interferes with the early steps of HIV replication with a different mechanism than enfuvirtide (T20). The ability of elafin to act at an early step in the viral replication cycle to block infection of both X4- and R5-tropic virus strains in immune target cells as demonstrated in this study makes trappin-2/elafin a promising candidate for its development as an anti-HIV microbicide.

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### Author Disclosure Statement

No competing financial interests exist.

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