

In Vivo Short-Term Topical Application of BAY 11-7082 Prevents the Acidic Bile–Induced mRNA and miRNA Oncogenic Phenotypes in Exposed Murine Hypopharyngeal Mucosa^{1,2} Clarence T. Sasaki, Sotirios G. Doukas and Dimitra P. Vageli

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Abstract

PURPOSE: Bile-containing gastroesophageal reflux may promote cancer at extraesophageal sites. Acidic bile can accelerate NF-KB activation and molecular events, linked to premalignant changes in murine hypopharyngeal mucosa (HM). We hypothesize that short-term in vivo topical application of NF-kB inhibitor BAY 11-7082 can prevent acidic bile-induced early preneoplastic molecular events, suggesting its potential role in disease prevention. EXPERIMENTAL DESIGN: We topically exposed HM (C57BI/6j wild-type) to a mixture of bile acids at pH 3.0 with and without BAY 11-7082 3 times/day for 7 days. We used immunofluorescence, Western blotting, immunohistochemistry, quantitative polymerase chain reaction, and polymerase chain reaction microarrays to identify NF-kB activation and its associated oncogenic mRNA and miRNA phenotypes, in murine hypopharyngeal cells in vitro and in murine HM in vivo. RESULTS: Short-term exposure of HM to acidic bile is a potent stimulus accelerating the expression of NF-kB signaling (70 out of 84 genes) and oncogenic molecules. Topical application of BAY 11-7082 sufficiently blocks the effect of acidic bile. BAY 11-7082 eliminates NF-KB activation in regenerating basal cells of acidic bile-treated HM and prevents overexpression of molecules central to head and neck cancer, including bcl-2, STAT3, EGFR, TNF-a, and WNT5A. NF-kB inhibitor reverses the upregulated "oncomirs" miR-155 and miR-192 and the downregulated "tumor suppressors" miR-451a and miR-375 phenotypes in HM affected by acidic bile. CONCLUSION: There is novel evidence that acidic bile-induced NF-kB-related oncogenic mRNA and miRNA phenotypes are generated after short-term 7-day mucosal exposure and that topical mucosal application of BAY 11-7082 can prevent the acidic bile-induced molecular alterations associated with unregulated cell growth and proliferation of hypopharyngeal cells.

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Introduction

Gastroesophageal reflux disease (GERD) is known to promote extraesophageal inflammatory and neoplastic diseases [1,2]. In fact, a recent well-powered epidemiologic study now confirms that GERD is an independent risk factor in laryngopharyngeal cancer [3]. In 60% percent of patients with GERD, both gastric and duodenal (bile) fluids flow retrograde through the esophagus, reaching the upper aerodigestive tract [1,4]. The combination of bile refluxate and acid seems to be a critical factor in damaging hypopharyngeal mucosa (HM) [4–6]. We previously showed *in vitro* that a mixture of bile salts, in concentration and composition similar to what have been previously identified in GERD refluxate [1,6–8], could induce NF-

Abbreviations: HM, hypopharyngeal mucosa; MHPC, murine hypopharyngeal primary cell. Address all correspondence to: Dimitra P. Vageli, The Yale Larynx laboratory, Department of Surgery, Yale School of Medicine, 310 Cedar Street (BML212), New Haven, CT 06510, USA. E-mail: dimitra.vangeli@yale.edu

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 κ B activation and transcriptional activation of genes with oncogenic function in treated normal hypopharyngeal cells [9], whereas acidic pepsin appeared oncologically inactive [10]. Constitutive activation of NF-κB has been observed in various cancers, linking inflammation to neoplastic transformation of epithelium [11–15]. Lee et al. suggest that the constitutive activation of NF-κB provides an alternative mechanism for head and neck carcinogenesis [16]. We also showed, using an *in vivo* animal model, that a 45-day intermittent exposure to acidic bile (pH 3.0) induced histopathologic changes and early preneoplastic events including cancer-related mRNA and miRNA phenotypes in treated HM [17,18]. We further demonstrated *in vitro* that BAY 11-7082, a specific pharmacologic inhibitor of NF-κB, could prevent the acidic bile–induced NF-κB signaling pathway and its cancer-related mRNA phenotype in normal human hypopharyngeal cells [19].

The canonical pathway of NF- κ B activation includes phosphorylation of IKB- α , leading to translocation of NF- κ B complexes p50/ Rela(p65) or p50/cRel from cytoplasm to the nucleus and consequent binding to the promoters of target genes, regulating their expression [20,21]. NF- κ B activation induces a signaling pathway by accelerating the expression of several receptors, ligands, and transcriptional factors that regulate NF- κ B activation and immune response through NF- κ B, while inhibition of NF- κ B negatively affects the gene expression profiling of the NF- κ B signaling pathway and has been considered promising for improving anticancer therapies [21,22]. Pierce et al. have shown that the inhibition of NF- κ B through BAY 11-7082 inhibits I κ B- α phosphorylation, blocking proteosomal degradation of I κ B- α and allowing NF- κ B to sequester in the cytoplasm in an inactivated state [23–27].

We hypothesize that short-term intermittent topical exposure of murine HM to BAY 11-7082 can suppress acidic bile–induced cancer-related mRNA and miRNA phenotypes. To explore our hypothesis, we describe an experimental paradigm employing a short-term topical application of BAY 11-7082 to acidic bile–treated murine HM using wild-type mice C57Bl6J as previously described. In so doing, we will explore potential use of NF- κ B inhibitors, such as BAY 11-7082, in the reduction of NF- κ B–related early preneoplastic molecular events.

Materials and Methods

In Vitro Model

Cell Culture. We cultured murine hypopharyngeal primary cells (MHPC) from Celprogen Inc., Torrance, CA, as described previously by Vageli et al. [17,19].

Briefly, "Bile" and a "Bile + BAY 11-7082" treatment procedures were performed in parallel. MHPC (second passage) underwent repeated exposure for 10 to 15 minutes three times per day for 5 days to a mixture of conjugated bile salts (400 μ M) (Glycocholic acid: taurocholic acid:glycochenodeoxycholic acid:taurodeoxycholic acid: glycodeoxycholic acid:taurodeoxycholic acid at molar concentration 20:3:15:3:6:1) (Sigma, St. Louis, MO; Calbiochem, San Diego, CA), as previously described [8,9,17,28], with and without BAY 11-7082 (10 μ M) [29], a pharmacologic inhibitor of NF- κ B (Calbiochem 2016 EMD Millipore Corporation; Germany), and corresponding controls. Experimental groups included 1) acidic bile mixture at pH 4.0, 2) acidic bile mixture with BAY 11-7082 at pH 4.0, 3) neutral bile mixture at pH 7.0, and 4) neutral bile with BAY 11-7082 at pH 7.0. Control groups included identical media used for experimental groups, at pH 4.0 and 7.0, without the addition of bile mixture, as follows: 1) acid alone at pH 4, 2) acid alone with BAY 11-7082 at pH 4.0, 3) neutral control at pH 7.0, and 4) neutral control with BAY 11-7082 at pH 7.0. We also included an untreated control and a reference control for the NF- κ B inhibitor vehicle (DMSO).

In Vivo Model

We used Mus Musculus, mouse strain C57BL/6J (Jax mice, Jackson Laboratory USA) [16 males and 16 females; 8 mice (4 males +4 females) per group). We performed repeated topical exposure of murine HM, three times per day for 7 days (20-21 applications), to a mixture of bile salts (10 mmol/l in buffered saline) (~5 µmol per day) at molar concentrations previously described and considered to be close to "physiologic" [8,28], at pH 3.0, with and without 0.25 µmol of BAY 11-7082 (~0.75 µmol per day) (Calbiochem; EDM Millipore Corp.) [29]. Acidic pH (3.0) was selected, as previously described by Vageli D et al. to induce a marked NF-*k*B activation and overexpression of a cancer mRNA and miRNA phenotype in murine laryngopharyngeal mucosa in vivo [17,18]. The experimental and control groups included 1) acidic bile at pH 3.0, 2) acidic bile plus BAY 11-7082 at pH 3.0, 3) a saline-treated control group at pH 7.0 (reference control for the mechanical effect of the feeding tube on HM and the NF-KB inhibitor vehicle), and 4) an untreated control group (negative control). Procedures followed the approved protocol 11039 by IACUC (Yale University).

At the end of the procedures, experimental and control animals were euthanatized (IACUC euthanasia policy and guidelines). The euthanized animals were kept on ice for dissection of HM tissue fragments. The HM from four animals (two males and two females) of each group and corresponding tissue fragments from the mucosa of the tip of the tongue (TM), to represent an internal control, were placed immediately into 10% neutral buffered formalin (Thermo Fisher Scientific, Middletown, VA) to be submitted for embedding in paraffin blocks (Yale Pathology Facilities), while the remaining tissue fragments from each experimental and control groups were immersed in RNA stabilization solution (RNA*later*, Life Technologies, Grand Island, NY) and kept in -80°C for RNA isolation.

Western Blotting

We performed Western blot analysis, as described previously [9,10,19], to determine the nuclear and cytoplasmic protein expression levels of p-NF- κ B (p65 S536) and phospho-inhibitor kappaB- α (p-I κ B- α), respectively, on treated MHPC with and without NF- κ B inhibitor BAY 11-7082.

Immunofluorescence Assay

We performed immunofluorescence assay in treated human hypopharyngeal primary cells, as described previously [19], to determine acidic bile–induced DNA damage using the primary rabbit polyclonal anti-gamma H2A.X antibody (1:500) (pS139, ab11174, Abcam, Cambridge, UK).

Tissue Examination Ki67 Staining

Histologic Staining. We examined hematoxylin and eosinstained 3- to 4- μ m-thick tissue sections of formalin-fixed and paraffin-embedded HM by light microscopy. All tissue specimens were examined to exclude histological signs of local treatment toxicity, such as hemorrhagic lesions, ulceration, or inflammation.

Immunohistochemical (IHC) Analysis. We performed IHC analysis, using immunoperoxidase (DAB peroxidase substrate), for Ki67 cell

proliferation markers in hypopharyngeal tissue sections from all experimental and control specimens to explore the effect of short-term exposure to acidic bile in increasing regenerative activity of mucosal basal/parabasal layers and consequently the effect of NF- κ B inhibitor in reducing that effect.

We used 1:200 dilutions of anti-Ki67 (rabbit mAb, SP6, Thermo Scientific Lab Vision, UK) to detect protein in nuclei of basal/ suprabasal cells of HM. We analyzed the slides using a Leica light microscope and captured images using Aperio CS2. The images were analyzed by Image Scope software (Leica Microsystems, Buffalo Grove, IL) that generated algorithm(s) illustrating the mucosal and cellular compartments by Ki67 staining. Nuclear Ki67 protein levels in treated HM with/without NF- κ B inhibitor, saline-treated HM, and untreated HM were expressed as positive nuclei to total number (defined as positivity) derived from two independent images per tissue section (at least four tissue sections per group) (mean±SD by multiple *t* test).

IHC Analysis for NF- κB (p65 Phosphorylated at Ser536)

We performed IHC analysis to detect acidic bile–induced p-NF- κ B (p65 S536) activation in murine HM, *in vivo*, with and without BAY 11-7082 and in corresponding controls. We performed chromogenic IHC using immunoperoxidase (DAB peroxidase substrate) for p-NF- κ B on hypopharyngeal tissue sections from all experimental and control specimens as previously described [17,18]. Nuclear p-p65 (S536) protein levels in treated HM with/without NF- κ B inhibitor, saline-treated HM, and untreated HM were expressed as ratios of positive nuclei to total number (defined as positivity) derived from two independent images per tissue section (at least four tissue sections per group) (mean ± SD by multiple *t* test).

Quantitative Real-Time Polymerase Chain Reaction

Real-time quantitative polymerase chain reaction (qPCR) analysis was performed in MHPC and HM (two animals per group) exposed to bile with or without BAY 11-7082 and in corresponding controls to evaluate the transcriptional levels of *RELA (p65), bcl-2, TNF-\alpha, EGFR, STAT3, WNT5A, IL-1\beta,* and *IL6* as previously described [17,19] (Supplementary Table S1). Relative mRNA expression levels were estimated for each target gene relative to the reference gene (*GAPDH*) ($\Delta\Delta Ct$).

miRNA Analysis

We performed miRNA analysis to determine the expression levels of "oncomirs" and 'tumor suppressor" miRNA specific markers in MHPC and murine HM exposed to bile and corresponding controls, with and without BAY 11-7082, as described in our *in vivo* model [18] (Supplementary Table S2). We explored the expression of "oncomirs" miR-21, miR-155, and miR-192, as well as "tumor suppressors" miR-34a, miR-375, and miR-451a previously characterized in laryngopharyngeal cancer and/or gastroduodenal reflux [13–15,18,26,30–32] and that we recently found to be inhibited by BAY 11-7082, in normal human hypopharyngeal cells *in vitro* (unpublished data).

Statistical Analysis

We used GraphPad Prism 6 software and one-way analysis of variance (ANOVA) (Kruskal-Wallis and Dunn's multiple-analysis test; P values <.05) as well as t test analysis (multiple comparisons by Holm-Sidak) to reveal statistically significant reduction in protein, mRNA, or miRNA expression levels among the studied groups. We

performed Pearson correlation to estimate the correlation coefficient between expression levels of the analyzed genes and miRNA markers in the studied groups (*P* values <.05).

PCR Array for NF-KB Signaling Pathway

We performed a PCR microarray analysis of the NF-kB signaling pathway in acidic bile-treated groups with and without BAY 11-7082 to identify the effect of NF- κ B inhibitor on the acidic bile-induced gene expression profiling of the NF- κ B signaling pathway. Specifically, we used a transcriptome of murine HM and a PCR array kit for murine NF-*k*B signaling pathway (RT²-Profiler PCR array, PAMM-025ZD; SABiosciences, Qiagen), following the manufacturer's instructions. The PCR array profiled the expression of 84 key genes related to NFkB-mediated signal transduction, including genes that encode members of the Rel, NFkB, and IkB families; NFkB-responsive genes; extracellular ligands and receptors that activate the pathway; and kinases and transcription factors that propagate the signal. The data were analyzed online by RT²-Profiler PCR Array Data Analysis version 3.5 software, and differential expression of more than two-fold change of gene expression (up- and downregulation) was estimated between the untreated HM (control group) and HM exposed to acidic bile-treated group (group 1) or acidic bile-treated with NF-*k*B inhibitor (BAY 11-7082) group (group 2).

Results

BAY 11-7082 Inhibits NF-*KB* Activation in Acidic Bile– Treated MHPC In Vitro

We first used a previously described *in vitro* model [9] to explore the role of BAY 11-7082 in preventing acidic bile–induced NF- κ B activation and mRNA phenotype in MHPC. Our data from Western blot analysis (Figure 1) showed that BAY 11-7082 effectively suppressed NF- κ B activation. We observed that the effect of NF- κ B inhibition resulted in a significant reduction in acidic bile–induced nuclear p-NF- κ B (p65 S536) and cytoplasmic phospho-I κ B- α levels (Figure 1*B*). Specifically, the effect of BAY 11-7082 was significantly more intense in the presence of acidic bile relative to neutral bile, acid alone, or neutral control (*P*<.05), resulting in reduced nuclear p-NF- κ B (p65) and cytoplasmic p-I κ B- α protein levels (Supplementary Figure 2). NF- κ B inhibitor suppressed acidic bile–induced NF- κ B activation and decreased its expression levels in treated MHPC similar to what we recently demonstrated in human hypopharyngeal primary cells *in vitro* [19].

BAY 11-7082 Prevents the Acidic Bile–Induced mRNA Phenotype in MHPC

We performed qPCR to characterize the effect of BAY 11-7082 in preventing overexpression of NF- κ B and related oncogenic genes in acidic bile-treated MHPC (Supplementary results; Supplementary Figure S1). RELA(p65), TNF-a, STAT3, EGFR, bcl-2, and WNT5A were selected because they had been previously found to be overexpressed in the acidic bile-treated murine HM [17]. Specifically, we found that BAY 11-7082 induced a significant reduction in acidic bile-induced transcriptional levels of RELA(p65), STAT3, TNF-a, bcl-2, and EGFR (P<.05; multiple t test). STAT3, RELA(p65), *TNF-* α , and *bcl-2* appeared to be the most profoundly affected by NF-*k*B inhibition (Supplementary Figure S1, *A-a*). We observed that the acidic bile-treated group was most affected by the NF- κ B inhibitor, resulting in lower mRNA gene ratios with/without inhibitor relative to other experimental and control groups (Supplementary Figure S1, A-b). We found that RELA(p65), TNF- α , and STAT3 showed a more intense reduction of their



Figure 1. BAY 11-7082 inhibits the acidic bile–induced NF-*κ*B activation in MHPC. (A) Western blot analysis was performed in nuclear and cytoplasmic protein extracts of treated MHPC with and without BAY 11-7082, demonstrating that NF-*κ*B inhibitor induced a significant reduction of activated NF-*κ*B. Graphs depict significantly lower (a) nuclear p-NF-*κ*B (p65 S536) and (b) cytoplasmic p-I*κ*B-α (Ser32/36) levels in acidic bile–treated groups (pH 4.0) (*P* values by *t* test; multiple comparisons by Holm-Sidak; GraphPad Prism 6.0) (Nuclear p-NF-*κ*B protein levels were normalized to Histone 1; cytoplasmic p-I*κ*B-α and bcl-2 protein levels were normalized to β-actin). (B) Graphs created by Graph Pad Prism 6.0 software reveal ranks of BAY 11-7082–induced (a) nuclear p-NF-*κ*B(p65 S536) and (b) cytoplasmic p-I*κ*B-α (Ser32/36) protein ratios (with/without NF-*κ*B inhibitor) between experimental and control treated groups. Acidic bile (pH 4.0)–treated MHPC demonstrates the most significant reduction of activated NF-*κ*B and p-I*κ*B-α protein levels in the presence of BAY 11-7082 (*P*<.05; by one-way ANOVA; Kruskal-Wallis; GraphPad Prism 6.0) (Data are from three independent experiments).

mRNA levels by BAY 11-7082 in acidic bile relative to neutral control and neutral bile-treated MHPC (P=.0022 and P=.0022; P= .0415 and P=.0415; P=.0415 and P=.0022).

We used Pearson analysis to identify correlations of BAY 11-7082– induced transcriptional levels of the analyzed genes and found a significant linear correlation between BAY 11-7082–induced mRNA ratios (with/without inhibitor) of *RELA*(*p65*) and *TNF-* α (*r*= 0.972473, *P* value=.0276) in MHPC-treated groups.

All the above support the observation that NF- κ B inhibition significantly prevented the acidic bile–induced overexpression of NF- κ B and key oncogenic molecules in MHPC similar to our prior observations in human hypopharyngeal primary cells [19].

Acidic Bile May Induce DNA Damage

Phosphorylation of H2AX at Ser139 is commonly used as a marker for general DNA damage [33] and is generally accepted as consistent marker of DNA double-strand breaks (DSBs), applicable even under conditions where only a few DSBs are present [34]. Immunofluorescence assay revealed that acidic bile and not neutral bile, acid alone, or neutral control treatment upregulated γ H2AX (pS139) in treated human hypopharyngeal primary cells (Supplementary Figure 1*B*), indicating that acidic bile may induce DNA damage causing DSBs.

BAY 11-7082 Reverses the Acidic Bile–Induced miRNA Phenotype in MHPC

We performed miRNA analysis to identify the role of BAY 11-7082 in preventing alterations in small regulatory molecules, such as miRNAs, under the stimulation by acidic bile (Supplementary Results; Supplementary Figure S2). We analyzed the expression of "oncomirs" miR-21, miR-192, and miR-155 and "tumor suppressors" miR-451a, miR-34a, and miR-375, previously linked to acidic bile–induced early neoplastic events in treated laryngopharyngeal mucosa [18].

We found that application of BAY 11-7082 reversed the acidic bile-induced miRNA phenotype in treated MHPC (Supplementary



Figure 2. Topical application of BAY 11-7082 inhibits the acidic bile-induced NF-*k*B activation in short-term 7-day-exposed murine HM. (Aa) IHC analysis for p-NF-KB (p65 S536) (from left to right): control untreated HM, revealing cytoplasmic staining; saline-treated HM, revealing sporadic and weak cytoplasmic or nuclear staining in a few basal cells; acidic bile-treated HM, producing intense nuclear and cytoplasmic staining of basal and parabasal/suprabasal layers; and acidic bile+inhibitor (BAY 11 7082)-treated HM, demonstrating nuclear or cytoplasmic staining mainly of cells of basal layer and weak cytoplasmic staining of suprabasal layers. (b) Image analysis algorithm(s) (red: positive nuclear staining of p-NF-kB; orange: intense positive cytoplasmic staining of p-NF-kB; yellow: weak cytoplasmic staining of p-NF-kB; blue: negative p-NF-kB staining) [Images were captured using Aperio CS2 and analyzed by Image Scope software (Leica Microsystems, Buffalo Grove, IL) that generated algorithm(s) illustrating mucosal and cellular compartments demonstrating p-NF-kB staining]. (B) Acidic bile (pH 3.0) induces significantly higher positive nuclear p-NF-kB (p65 S536) levels compared to saline-treated HM or untreated control. Topical application of BAY 11-7082 significantly decreases nuclear p-NF-*k*B levels in acidic bile (pH 3.0) HM (P values by t test; multiple comparisons by Holm-Sidak; GraphPad Prism 6.0) (positivity=nuclear-positive/totalpositive p-p65 staining). (C-a) IHC analysis for p-NF-κB (p65 S536) and (b) corresponding image analysis algorithms in murine tongue mucosa (used as internal control for analyzed HM in each group) (from left to right): control untreated TM, revealing weak cytoplasmic staining; saline-treated TM, acidic-bile-treated TM and acidic-bile+inhibitor (BAY 11 7082)-treated TM, producing sporadic weak cytoplasmic or nuclear staining in scattered basal cells (red: positive nuclear staining of p-NF-KB; orange: intense positive cytoplasmic staining of p-NF-*k*B; *yellow*: weak cytoplasmic staining of p-NF-*k*B; *blue*: negative p-NF-*k*B staining).

Figure S2). Specifically, we observed significantly lower levels of the analyzed "oncomirs" and higher levels of the analyzed "tumor suppressor" miRNAs in MHPC exposed to acidic bile with inhibitor compared to MHPC exposed to acidic bile without inhibitor (P<.05, t test; means±SD; multiple comparisons by Holm-Sidak) (Supplementary Results and Supplementary Figure S2A).

The effect of NF- κ B inhibition was found to be particularly intense in the acidic bile group, demonstrating significantly higher miR-34a, miR-375, and miR-451a and lower miR-21, miR-155, and miR-192 expression ratios (with/without inhibitor) relative to other experimental and control groups (*P*<.05, by Kruskal-Wallis) (Supplementary Figure S2*B*).

Finally, we found that the calculated miR-21/miR-375 ratio with and without inhibitor was significantly lower in acidic bile compared to neutral bile and neutral control–treated MHPC (*P*<.05; ANOVA) (Supplementary Figure S3).

Pearson analysis revealed correlations between expression levels of the analyzed miRNA markers. We identified a positive but not significant correlation between BAY 11-7082–induced expression changes of "tumor suppressor" miR-375 and miR-451a (r= 0.733097). We observed an inverse but not significant correlation between BAY 11-7082–induced expression changes of miR-21 and miR-34a (r=-0.718682), miR-21 and miR-375 (r=-0. 853456), miR-155 and miR-451a (r=-414677), and miR-192 and miR-451a (r=-0.629922) in treated MHPC.

Correlations between BAY 11-7082–Induced Changes of Oncogenic miRNA Markers and NF-κB–Related Genes in Treated MHPC

Pearson analysis revealed a strong linear correlation between BAY 11-7082–induced expression changes (with/without NF- κ B inhibitor) of miRNA markers and NF- κ B–related genes in treated MHPC. We identified a strongly positive correlation between BAY 11-7082–induced expression changes of "oncomiR" miR-21 and *RELA(p65)* (*r*=0.994943, *P*=.0051) or *TNF-* α (*r*=0.954683, *P*=.0454). We also



Figure 3. Topical application of BAY 11-7082 prevents acidic bile–induced cell proliferation in short-term exposed murine HM. (A-a) IHC analysis for Ki67 (from left to right): *control untreated HM*, revealing negative nuclear or cytoplasmic staining; *saline-treated HM*, demonstrating sporadic and weak cytoplasmic or nuclear staining in few basal cells; *acidic bile–treated HM*, revealing intense nuclear and cytoplasmic staining of cells of basal and parabasal layers; and *acidic-bile+inhibitor (BAY 11 7082)–treated HM*, producing nuclear or cytoplasmic staining mainly in cells of the basal layer. (b) Image analysis algorithm(s) (*red*: nuclear positive staining) [Images were captured using Aperio CS2 and analyzed by Image Scope software (Leica Microsystems, Buffalo Grove, IL) that generated algorithm(s) illustrating the mucosal and cellular Ki67 staining compartments]. (B) Graphs depict short-term exposure of HM to acidic bile (pH 3.0) inducing significantly higher positive nuclear Ki67 levels in acidic bile (pH 3.0) HM (*P* values by *t* test; multiple comparisons by Holm-Sidak; GraphPad Prism 6.0).(positivity=nuclear-positive/total-positive Ki67 staining).

found a strongly inverted correlation of the expression changes between BAY 11-7082–induced "tumor suppressor" miR-375 and *TNF-* α (*r*=-0.954396, *P*=.0046) and between miR-451a and STAT3 (*r*=-0.957702, *P*=.0043). There was an inverted but not statistically significant correlation between BAY 11-7082–induced expression changes of miR-375 and *RELA*(*p65*) (*r*=-0.897911), *STAT3* (*r*=-0.7919789), or *TNF-* α (*r*=-0.870275), as well as between miR-34a and *RELA*(*p65*) (*r*=-0.645360), and miR-451a and *RELA*(*p65*) (*r*=-0.5820112), or *bcl-2* (*r*=-0.405298).

In Vivo BAY 11-7082 Prevents the Acidic Bile–Induced NF- κB Activation in Murine HM

Short-term, repeated topical application of BAY 11-7082 on murine HM was performed to characterize its effect in preventing

acidic bile-induced NF- κ B activation and early transcriptionally activated molecular alterations.

IHC analysis revealed that short-term exposure of HM to acidic bile without NF- κ B inhibitor enhanced NF- κ B activation (Figure 2). We found an intense p-NF- κ B (p65 S536) nuclear staining in basal/ parabasal and suprabasal layers of acidic bile–treated HM (Figure 2*A*). However, the *in vivo* exposure of HM to NF- κ B inhibitor BAY 11-7082 prevented the acidic bile–induced NF- κ B activation. BAY 11-7082 induced a less intense p-NF- κ B (p65 SS536) nuclear staining in basal and suprabasal layers compared to acidic bile alone (Figure 2*A*). Saline-treated HM, used as a control, demonstrated low levels of NF- κ B activation in a few sporadic cells and was considered negative for NF- κ B activation (Figure 2*A*), its minimal NF- κ B positivity possibly reflecting the mechanical stress of saline application. The untreated



Figure 4. *In vivo* short-term exposure to acidic bile, inducing transcriptional activation of NF-*k*B related genes with antiapoptotic, cell proliferation, or oncogenic function, is prevented by topical application of BAY 11-7082. (A) Columns of graphs created by Graph Pad Prism software 6.0 depict mRNA phenotype in short-term exposed HM to (a) acidic bile (pH 3.0), (b) acidic bile (pH 3.0) + BAY 11-7082, and (c) saline (pH 7.0). mRNA phenotypes correspond to transcriptional expression ratios of NF-*k*B-related genes *bcl-2, RELA (p65), EGFR, WNT5A, STAT3, TNF-a, IL-6,* and *IL-1 β* comparing treated HM to corresponding mucosa from TM, used as internal control, by real-time qPCR analysis (mRNA levels of each target gene were normalized to *GAPDH*). We observe an upregulation of mRNA expression of the analyzed genes in acidic bile–treated HM. BAY 11-7082 reverses the acidic bile–induced mRNA phenotype, demonstrating a phenotype similar to saline-induced mRNA. (B) Graphs, created by Graph Pad Prism 6 software, reveal transcriptional levels (normalized to *GAPDH*) for the analyzed genes comparing HM exposed to acidic bile, acidic bile+BAY 11-7082, and saline to corresponding TM by real-time qPCR analysis (*P* value <0.05; by *t* test; multiple comparisons by Holm-Sidak; data obtained from four analyzed samples).



HM did not show NF- κ B activation, representing negative nuclear p-NF- κ B staining (Figure 2*A*).

Scoring of nuclear p-NF- κ B (p65 S536) by ImageScope software revealed that the short-term exposure of HM to acidic bile induced significantly higher levels of activated NF- κ B compared to saline and untreated controls (Figure 2B) (P<.05, t test; means±SD; multiple comparisons by Holm-Sidak). In contrast, the effect of NF- κ B inhibitor resulted in significantly lower NF- κ B activated levels compared to acidic bile without inhibitor (Figure 2B).

Mucosa from TM used as internal control for each animal and considered to be negative for NF- κ B activation revealed only a few sporadic cells positive for p-NF- κ B nuclear staining, allowing its use as internal control for molecular analysis (Figure 2*C*).

Short-Term Topical In Vivo Exposure of Murine HM to Acidic Bile, Activating Cell Proliferation, Is Prevented in the Presence of BAY 11-7082

The short-term effect of acidic bile (pH 3.0) on murine HM resulted in increased proliferation of regenerating cells under acidic bile stimuli. The elevated cell proliferation was documented by intense staining of cell proliferation marker Ki67 in basal and parabasal layers of acidic bile–treated HM (Figure 3*A*). Scoring of nuclear Ki67 by ImageScope software showed that the short-term exposure of HM to acidic bile induced significantly higher levels of nuclear Ki67 compared to saline and untreated controls (Figure 3*B*) (*P*<.05, *t* test; means±SD; multiple comparisons by Holm-Sidak).

BAY 11-7082 prevented the acidic bile–induced regeneration of the basal layer. Microscopic examination of HM exposed to BAY 11-7082 revealed that Ki67 staining was limited to the single basal layer of treated MH (Figure 3*A*), demonstrating significantly lower levels of positivity compared to acidic bile–treated HM (Figure 3*B*). Histologic examination of treated HM revealed that there were no histological signs of local treatment toxicity.

Short-Term Topical In Vivo Exposure of Murine HM to Acidic Bile, Upregulating the NF- κ B–Related Oncogenic mRNA Phenotype, Is Prevented in the Presence of BAY 11-7082

We performed qPCR analysis to identify the effect of short-term topical application of BAY 11-7082 on murine HM. We found that short-term exposure of HM to acidic bile induced a significant upregulation of *RELA(p65)*, *STAT3*, *EGFR*, *bcl-2*, *WNT5A*, *IL-6*, and *IL-1* β compared to its corresponding TM (*P*<.05; multiple *t* test) (Figure 4). Short-term exposure of HM to acidic bile therefore upregulated the expression of NF- κ B and related genes with oncogenic function (Figure 4, *A-a*), previously identified in acidic bile–induced premalignant HM [19]. Topical application of BAY 11-7082 prevented the acidic bile–induced upregulation of the analyzed genes linked to a cancer-related mRNA phenotype. Specifically, HM exposed to acidic bile with NF- κ B inhibitor produced a reversed

mRNA phenotype compared to HM exposed to acidic bile alone (Figure 4, *A-b*). BAY 11-7082–induced mRNA phenotype was similar to that induced by saline (Figure 4, *A-c*).

Acidic bile–treated HM demonstrated significantly higher mRNA levels of *RELA(p65)*, *bcl-2*, *STAT3*, *EGFR*, *TNF-* α , *WNT5A*, *IL-6*, and *IL-1* β compared to saline-treated HM (*P*<.00; multiple *t* test) (Figure 4*B*). In contrast, we observed that the *in vivo* topical application of BAY 11-7082 on HM inhibited the acidic bile–induced overexpression of all the analyzed genes (Figure 4*B*). Specifically, we found significantly lower transcriptional levels of *RELA(p65)*, *bcl-2*, *STAT3*, *EGFR*, *TNF-* α , *WNT5A*, *IL-6*, and *IL-1* β in HM exposed to acidic bile with BAY 11-7082 compared to HM exposed to acidic bile without BAY 11-7082 (Figure 4*B*). Interestingly, the NF- κ B inhibitor restored the transcriptional levels of crucial oncogenic factors, such as *bc-2*, *EGFR*, and *STAT3*, to levels expressed in saline-treated HM.

Short-Term Topical In Vivo Exposure of Murine HM to Acidic Bile, Resulting in Deregulation of Cancer-Related miRNA Phenotype, Is Prevented by BAY 11-7082

We performed miRNA analysis to demonstrate 1) the short-term *in vivo* effect of topical acidic bile on murine HM with regard to the expression of "oncomirs" and "tumor suppressor" specific miRNA markers, previously linked to acidic bile–induced early preneoplastic events of the laryngopharyngeal mucosa, and 2) their possible inversion when exposed to topically applied BAY 11-7082 (Figure 5).

We found that short-term application of acidic bile upregulated the expression of "oncomirs" miR-21, miR-155, and miR-192 and downregulated the expression of "tumor suppressors" miR-451a and miR-375 compared to its corresponding TM (P<.005) (Figure 5A). Acidic bile–treated HM demonstrated significantly higher levels of the analyzed "oncomirs" and lower levels of "tumor suppressor" miRNAs compared to saline-treated HM (P<.005, multiple *t* test) (Figure 5, *A-a*).

NF-*κ*B inhibition significantly prevented the acidic bile–induced upregulation of "oncomirs" as well as the downregulation of "tumor suppressor" miRNAs. The application of acidic bile with BAY 11-7082 was observed to induce significantly lower miR-21, miR-155, and miR-192 and higher miR-34a, miR-375, and miR-451a levels in treated HM compared to acidic bile alone (P<.005, multiple *t* test) (Figure 5, *A-a*).

Relative expression ratios of the analyzed miRNA markers between acidic bile with BAY 11-7082 and acidic bile alone revealed that "oncomiR" miR-192 and "tumor suppressor" miR-375 were the most affected by NF- κ B inhibition (Figure 5, *A-b*). We observed that miR-192 demonstrated the lowest ratios (with/without inhibitor), with a significant difference compared to miR-21 and miR-155. Similarly, miR-375 showed the highest expression ratios (with/

Figure 5. (A) *In vivo* short-term exposure of murine HM to acidic bile, resulting in deregulation of cancer-related miRNA phenotype, is prevented by topical application of BAY 11-7082. (a) Upregulation of "oncomirs" miR-21, miR-155, and miR-192 and downregulation of tumor suppressors miR-375, -34a, and -451a in short-term exposed murine HM to acidic bile (pH 3.0) compared to saline control and to mucosa from TM, used as internal control, by real-time qPCR analysis. Topical application of BAY 11-7082 prevents these effects (miRNA levels normalized to RNU6) (columns of graphs created by Graph Pad Prism software 6.0; *P* value <.05; by *t* test; multiple comparisons by Holm-Sidak; data obtained from four analyzed samples). (b) BAY 11-7082 induces "oncomirs" and "tumor suppressor" miRNA expression ratios (with/without inhibitor miRNA levels, normalized to RNU6) in acidic bile–treated murine HM [****P*<.0005; *****P*<.00005; by one-way ANOVA; Kruskal-Wallis; graph plots, representing miRNA means (middle line), created by GraphPad 6.0].(B) Schematic representation of NF-*k*B inhibition by BAY 11-7082 in acidic bile–induced cancer-related mRNA and miRNA phenotypes (b) NF-*k*B inhibition by topical application of EAY 11-7082 in acidic bile–induced mRNA and miRNA phenotypes (b) NF-*k*B inhibition by topical application of BAY 11-7082 in acidic bile–induced mRNA and miRNA phenotypes.



Figure 6. Short-term exposure of murine HM to acidic bile upregulates the NF-*k*B signaling pathway and is reduced by topical application of BAY 11-7082. (A). Table presents the expression changes (upregulation or downregulation by two-fold changes) of 84 genes of NF-rB signaling pathway, analyzed by PCR microarray analysis, in acidic bile-treated HM under the topical application of BAY 11-7082. (B) Heat maps were obtained by RT²-Profiler PCR array analysis for NF-*k*B signaling. Genes were clustered based on their biological role. Heat maps demonstrated the effect of BAY 11-7082 in gene expression of NF-KB pathway and NF-KB responsive genes (red color for maximum expression and green for minimum). Group 1: acidic bile-treated HM; control group: saline treated-HM, and group 2: acidic bile with BAY 11-7082-treated HM [gene expression has been normalized to two housekeeping genes: GAPDH, glyceraldehyde-3-phosphate dehydrogenase and Hsp90ab1, Heat shock protein 90 alpha (cytosolic), class B member 1].

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without inhibitor), with a significant difference compared to miR-34a and miR-451a (P<.00005). Additionally, NF- κ B inhibition induced significantly higher expression ratios (with/without inhibitor) of miR-451a relative to miR-34a (P<.0005) in acidic bile–treated HM. Finally, we found that the calculated miR-21/375 ratios were significantly lower in HM exposed to acidic bile with BAY 11-7082 compared to HM exposed to acidic bile without BAY 11-7082 (P<.0005) one-way ANOVA, Kruskal-Wallis).

NF-κB Mediates Acidic Bile–Induced Interactions between Cancer-Related miRNA Markers and NF-κB–Related Genes with Oncogenic Function in Acidic Bile–Treated Murine Hypopharyngeal Epithelial Cells In Vitro and In Vivo

We showed that NF- κ B inhibitor (BAY 11-7082) prevented the acidic bile–induced transcriptional activation of genes with oncogenic function and deregulation of oncogenic miRNA markers in *in vivo* murine HM and in cultured murine hypopharyngeal primary cells, as previously shown in cultured normal human hypopharyngeal cells [19]. Figure 5*B* summarizes the observed effect of BAY 11-7082 in murine hypopharyngeal epithelial cells *in vitro* and HM *in vivo*, and demonstrates proposed interactions among cancer-related miRNA markers and NF- κ B related genes, supported by the observed statistically significant correlations among their expression changes in cultured MHPC.

BAY 11-7082 Reduces Acidic Bile–Induced Gene Expression for the NF-KB Signaling Pathway in In Vivo Exposed Murine HM

We performed a PCR array for the NF- κ B signaling pathway to explore acidic bile–induced gene expression profiling of NF- κ B signaling with and without BAY 11-708 compared to saline-treated HM. A significant acceleration of NF- κ B signaling in HM was observed by acidic bile relative to control (Figure 6). Specifically, we found 83% of the analyzed genes (70 out of 84) to be upregulated (>two-fold change) in treated HM after short-term exposure to acidic bile (Supplementary Table S3). The effect of BAY 11-7082 reduced the acidic bile–induced transcriptional levels of 48 out of 84 analyzed NF- κ B signaling related genes (~60%) (>two-fold change), as similarly observed in human hypopharyngeal primary cells *in vitro* [19] (Figure 6*A*).

The effect of acidic bile with and without BAY 11-7082 on the NF- κ B signaling pathway is provided in Figure 6B. Among others, NF- κ B inhibitor downregulated the acidic bile–induced transcriptional levels of the NF- κ B transcription factors *Rel* (>3.5-fold), *RELA*(*p65*) (>2-fold), *RELB* (>2-fold), and *NFkB2* (>3-fold). NF- κ B inhibitor also downregulated members of TNF-receptors such as *CD40* (>7.5-fold), *TNFRSF10B* (>5.5-fold), *TNFRSF1B* (>4-fold), and *TNFSF14* (>3-fold). Moreover, BAY 11-7082 reduced the transcriptional levels of receptors and ligands of the innate immune system, like *TLR1* (>11-fold), *TLR-2* (>14-fold), *TLR3* (>5.5-fold), *TLR4* (>3-fold) and *IL1B* (>3-fold). Interestingly, BAY 11-7082 increased the transcriptional levels of *IL10* (two-fold) known to block NF- κ B activity.

BAY 11-7082 also reduced NF- κ B downstream signaling, preventing the expression of positive regulators of the NF- κ B pathway, such as *TNFAIP3* (>4-fold), *TRAF3* (>2.5-fold), and Tollip (>10-fold). The effect of BAY 11-7082 reduced the expression of Inhibitor-kappaB kinases *IKBKB*, *IKBE*, and *IKBKG* (-1.5 times), as well as of *bcl-3* (>3-fold), which is a co-activator of NF- κ B, preventing the cytoplasmic release of NF- κ B.

NF-*κ*B inhibition resulted in reduction of NF-*κ*B responsive genes in acidic bile–treated cells. We observed a decrease in the expression of antiapoptotic genes, such as *BCL2A1A* (>4.5-fold), *BCL2L1* (>11fold), and *BIRC3* (>3-fold) genes, and *cflar* (7-fold), an inhibitor of apoptosis. BAY 11-7082 also downregulated kinases that activate NF*κ*B pathways, such as *EIF2AK2* (>8-fold), and transcriptional factors, such as *EGFR* (>8-fold), *ELK1* (>3-fold), *FOS* (>2.5-fold), and *Jun* (>3.5-fold), in line with our data from qPCR analysis. Finally, NF-*κ*B inhibitor affected other NF-*κ*B signaling genes such as *Hmox1* (>18fold), known to exert a protumorigenic role.

Discussion

We present the first report of a topically applied specific NF- κ B inhibitor, BAY 11-7082, to HM exposed to acidic bile, considered a potential risk factor in hypopharyngeal carcinogenesis. Our novel findings reveal that topical application of BAY 11-7082 can effectively prevent acidic bile– induced NF- κ B activation, its signaling pathway, and its transcriptional activation of NF- κ B-related genes previously linked to early neoplastic events in murine HM. The ability of BAY 11-7082 to suppress acidic bile–induced molecular events was successful both *in vitro* in murine hypopharyngeal primary cells and *in vivo* in murine HM.

Our data demonstrate that the short-term local effect of acidic bile is very capable of transcriptionally stimulating the NF- κ B signaling pathway and associated genes participating in oncogenic pathways of head and neck cancer, including *STAT3, EGFR, bcl-2, TNF-\alpha*, and *WNT5A*. The topical application of BAY 11-7082 can diminish the acidic bile–induced NF- κ B activation of proliferating cells located at the basal mucosal layer. NF- κ B inhibition also reduces the acidic bile– induced upregulation in the majority (-60%) of genes implicated in NF- κ B signaling, as similarly identified in human hypopharyngeal primary cells *in vitro* [19]. These data strongly reveal that the transcriptional activation of molecules involved in antiapoptotic and cell proliferation function, as well as cancer-related cytokines, happens early in HM exposed to acidic bile and that topical application of a pharmacologic NF- κ B inhibitor is capable of sufficiently blocking this effect.

The observed early molecular changes, which are prevented by NFκB inhibitor, also include small miRNA molecules. The effect of BAY 11-7082 in preventing the upregulation of "oncomirs," such as miR-21, miR-155, and miR-192, and downregulation of "tumor suppressors" miR-34a, miR-375, and miR-451a demonstrates that NF- κ B inhibition can restore regulating transcriptional mechanisms that may have originally been activated by acidic bile in vivo. Topical short-term exposure of HM to NF- κ B inhibitor characteristically affects the "tumor suppressor" miR-451a and "oncomiR" miR-192, previously linked to hypopharyngeal cancer and gastroesophageal reflux, respectively [32,35]. Our data are in line with findings from cultured normal human hypopharyngeal cells demonstrating that BAY 11-7082 can reverse the acidic bile-induced miRNA phenotype [unpublished data]. BAY 11-7082 topically applied is also able to inhibit the acidic bile-induced overexpression of the "oncomirs" miR-21 and miR-155, important markers for poor prognosis in head and neck cancer [26,36-38]. This view is partly supported by prior studies suggesting that NF-kB directly regulates the expression of miR-21 and miR-155 via their binding promoters [39-42] and that NF-KB inhibition thereby markedly suppresses upregulation of miR-21 [43].

Our data support previously proposed interactions between acidic bile-induced NF- κ B activation and alterations of *bcl-2*, *EGFR*, *STAT3*, *TNF*- α , and *WNT5A*, which may directly or indirectly

interact with cancer-related miRNA markers, such as "oncomirs" and "tumor suppressor" miRNA markers. Specifically, data demonstrate strong correlations among the analyzed oncogenic miRNA markers, such as "oncomirs" miR-21, and particularly the "tumor suppressors" miR-34a, miR-375, and miR-451a, and NF- κ B-related genes, such as NF- κ B transcriptional factor *RELA(p65)*, cytokine *TNF*- α , and the important regulator of cell proliferation *STAT3*, supporting the role of the studied miRNAs in our proposed acidic bile–related carcinogenic pathway (Figure 5*B*). These findings are in line with previous studies suggesting interactions of miRNA molecules, such as miR-21 and miR-34a, with other transcriptional factors interacting with NF- κ B signaling pathway, such as STAT3, in an NF- κ B–dependent manner [39,44].

According to Tili et al., permanent upregulation of miR-155 may mediate a prolonged inflammatory reaction leading to cancer [45]. Our novel findings show that NF- κ B mediating upregulation of "oncomiR" miR-155 and mRNAs of inflammatory molecules, such as *TNF-* α , *IL-6*, and *IL-1* β , can be effectively prevented by BAY 11-7082, suggesting a protective role of NF- κ B inhibition in inflammatory events linked to downstream oncogenic pathways.

Whereas the ratio miR-21/miR-375 was previously implicated as a biomarker for poor prognosis in supraglottic cancer [30], we demonstrate that miR-21/miR-375 was impacted by NF- κ B inhibition, as similarly observed in human hypopharyngeal primary cells *in vitro* [unpublished data], thus demonstrating that acidic bile–induced NF- κ B activation may interact with both miRNA markers, serving as a potential index for malignant transformation.

Finally, our microscopic examination of treated mucosa revealed that NF- κ B inhibitor reduced the acidic bile–induced NF- κ B activation not only in superficial layers of hypopharyngeal epithelium but also in its regenerating basal layer. The intense expression of Ki67, a cell proliferation marker, found in the basal and parabasal layers of short-term acidic bile–treated HM supports cell proliferation or regeneration of mucosa under the acidic bile stimulus. The observation that topically applied NF- κ B inhibition with BAY 11-7082 successfully decreased Ki67 suggests that BAY 11-7082 is capable of preventing NF- κ B–induced cell proliferative events. In this view, the topical use of a suitable NF- κ B inhibitor may be central to the search for an effective program of chemo-prevention.

Our data verify the key role of NF- κ B in acidic bile–induced early molecular changes promoting malignant transformation and prevented by NF- κ B inhibition. However, the link between the acidic bile effect and the NF- κ B activation in hypopharyngeal cells is not yet well understood. Dvorak et al. previously showed that bile at low pH could induce oxidative stress and DNA damage in esophageal cells [5], while others suggested that NF- κ B could be activated as part of the DNA damage response [46]. Our data show that acidic bile upregulates both NF- κ B [9,17,19] and phosphorylated H2AX, a marker of DNA damage [33,34], in treated hypopharyngeal primary cells, suggesting NF- κ B activation may be caused by acidic bile– induced DNA damage. However, the exact effect of acidic bile in HM and the promoting events that lead to NF- κ B activation should be further investigated using *in vitro* and *in vivo* models.

Conclusion

The current study demonstrates the effectiveness of topical BAY 11-7082, an NF- κ B inhibitor, in the prevention of early molecular alterations induced by acidic bile in murine HM. The protective role of NF- κ B inhibition in acidic bile–induced neoplastic transformation could lead to future translational efforts in head and neck chemoprevention.

Data Availability

The data sets generated during and/or analyzed during the current study are available from the corresponding author.

Author Contributions

- Conception and design: D. P. V., C. T. S., S. G. D.
- Development of methodology: D. P. V., S. G. D.
- Acquisition of data: S. G. D., D. P. V.
- Analysis and interpretation of data: D. P. V., S. G. D., C. T. S.
- Writing, review and/or revision of the manuscript: C. T. S., D. P. V., S. G. D.
- Administrative, technical, or material support: C. T. S.
- Study supervision: D. P. V., C. T. S.

Appendix A. Supplementary Data

Supplementary data to this article can be found online at https://doi.org/10.1016/j.neo.2018.02.001.

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