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# An international observational study validating gene-expression sepsis immune subgroups

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#### **Abstract**

**Background** Sepsis gene-expression sub-phenotypes with prognostic and theranostic potential have been discovered. These have been identified retrospectively and have not been translated to methods that could be deployed at the bedside. We aimed to identify subgroups of septic patients at high-risk of poor outcome, using a rapid, multiplex RNA-based test.

**Methods** Adults with sepsis, in the intensive care unit (ICU) were recruited from 17 sites in the United Kingdom, Sweden and France. Blood was collected at days 2–5 (S1), 6–8 (S2) and 13–15 (S3) after ICU admission and analyzed centrally. Patients were assigned into 'high' and 'low' risk groups using two models previously developed for the Immune-Profiling Panel prototype on the bioMérieux FilmArray® system.

**Results** 357 patients were recruited (March 2021–November 2022). 69% were male with a median age of 67 years, APACHE II score of 21 and a 30% 90-day mortality rate. The proportions of high-risk patients decreased over the three sampling times (model 1: 53%, 40%, 15% and model 2: 81%, 74%, 37%). In model 1, 90-day mortality was higher in a high-risk group at each time (S1: 35% vs 24%, p = 0.04; S2: 43% vs 20%, p < 0.001; S3: 52% vs 24%, p = 0.007). In model 2, mortality was only significantly different at the second sampling time (S1: 30% vs 27%, p = 0.77; S2: 34% vs 14%, p = 0.002; S3: 35% vs 23%, p = 0.13).

**Conclusions** Gene-expression diagnostics can identify patients with sepsis at high-risk of poor outcomes and could be used to identify patients for precision medicine trials.

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**Keywords** Sepsis, Gene-expression, Transcriptomics, Prospective study

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#### Introduction

Sepsis is a heterogenous syndrome, which contributes to the difficulty in developing treatments [1]. With nearly 11 million deaths a year worldwide [2], overcoming this heterogeneity is a research priority [3, 4]. Gene-expression sepsis sub-phenotypes could help identify patients at higher risk of death [5–7] and detect treatment responsive subgroups [8, 9]. This has been done retrospectively using techniques that cannot be deployed in the intensive care unit (ICU). To influence treatment, ways of assigning patients to meaningful gene-expression groups rapidly, using small sets of genes and devices that are easy to operate are needed.

One possible solution is the FilmArray® device which is an FDA and CE-IVD certified multiplex polymerase chain reaction (PCR) system requiring five minutes of sample preparation and providing results in less than one hour from patient's whole blood. Different gene panels can be assayed in the same FilmArray® device. The Reanimation Low Immune Status Markers (REALISM) study [10] found an informative prototype Immune Profiling Panel (IPP) [11] of genes associated with immune function and inflammation could be measured on the FilmArray® with results that were reproducible, comparable to those obtained from standard quantitative PCR and able to account for variations in cell counts and technical variability [11]. From the IPP prototype two classification models have been developed using the same eleven genes, one that predicts patients with low monocyte Human Leukocyte Antigen-DR (HLA-DR) levels (the mHLA-DR model) [12], a marker of sepsis induced immunosuppression and worse outcome [13, 14], and another that identifies patients at high-risk of death or hospital acquired infection (HAI) (the clinical worsening model) [15]. In both models, the genes CD3D, CD74, CIITA, CTLA4, CX3CR1, IFNy, and TAP2, which are involved in processes including T-cell function and antigen presentation, were down-regulated in the high-risk groups, whereas C3AR1, CD177, IL1R2, and S100A9, involved in neutrophil function and modulation of circulating inflammatory mediators, were up-regulated [12, 15].

We hypothesized that subgroups of patients with sepsis who have gene-expression patterns suggestive of immunosuppression that result in high mortality and HAI rates could be identified by measuring this small panel of gene transcripts using a device that could be used by clinical teams, the FilmArray<sup>®</sup>. Such tools are a prerequisite for the development of gene-expression guided personalized medicine trials in sepsis. To address this, we performed an observational study to test if we could identify a subgroup of patients with sepsis at high-risk of a poor outcome using the IPP

prototype. Some preliminary findings have been presented as an abstract [16].

#### Methods

#### Study design and participants

This was a prospective observational study conducted in 17 hospitals in the United Kingdom (4 sites), France (9 sites) and Sweden (4 sites) between March 2021 and November 2022. Patients were recruited from wards capable of providing organ support, either high-dependency units or ICUs, hence forth referred to as ICUs. The study gained ethical approval in each participating country (supplementary methods). Written informed consent was provided by participants or their legal representatives following local procedures.

We included adult patients (≥ 18 years) being treated in ICU for suspected sepsis, between 48 and 120 h after ICU admission. Eligible patients had to have received organ support for at least 24h and had to be expected to require ongoing critical/high dependency care for at least one more calendar day. Exclusion criteria included immunosuppression due to causes other than sepsis and patients with either a 'withdrawal of life-sustaining treatment' decision or who were not expected to survive 24 h. Full details can be found in the supplement.

## Study sample collection and assignment to gene-expression sub-groups

Blood samples were collected at enrolment into the study (day 2–5 after ICU admission, S1), on day 6–8 (48-96h after the first sample, S2) and day 13–15 after ICU admission (S3), supplementary figure S1. Sampling times were based on data describing the optimal timing of IPP analysis [10–12]. 2.5 mls of blood were collected into PAXgene tubes and left at room temperature for at least 2h before being frozen at –20°C or –80°C. Samples were sent centrally to bioMérieux for measurement of gene-expression with the IPP prototype on the FilmArray® system.

Samples were assigned into 'low' and 'high-risk' groups based on two previously described models using the same set of eleven genes (supplementary table S1) measured on the FilmArray® device. The first was optimized to predict either death or HAI (clinical worsening model) [15]. The second was optimized to predict patients with a monocyte HLA-DR (mHLA-DR model) less than 8,000 antibodies per monocyte [12]. Patients were assigned to these groups using only gene-expression data and did not include clinical or outcome data. A probability threshold of 0.5 was used to define group membership. Patient's IPP classifications were not provided to clinical teams.

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#### **Outcome measures**

Key outcome measures were 90-day all-cause mortality and new HAI up until hospital discharge or day-90. We took a two-step approach to define HAI. First, local investigators reported HAI defined as any new episode of antimicrobials (excluding prophylactic antibiotics) started at least 48h after a previous course was stopped. This definition was intended to have a high sensitivity to detect HAI. These potential HAIs were reviewed by an adjudication panel, three clinicians independent of the recruiting hospital, who judged if an HAI was either definite or possible based on modified European Centre for Disease Prevention and Control criteria [17, 18]. This approach was designed to identify patients with a high certainty of infection.

Secondary outcome measures are detailed in the supplement.

#### Sample size and statistical analysis

From data from the REALISM study [18] we predicted 40% of recruited patients would be in the 'high-risk' group and would have a mortality rate of 32% compared to 20% in the 'low-risk' group (overall cohort mortality 25%) meaning 577 patients would provide 90% power to detect this difference between groups with an alpha of 0.05. To account for potential loss to follow-up we aimed to recruit 600 patients.

Descriptive statistics characterizing the study participants are presented as medians and interquartile ranges for continuous variables and as counts and percentages for categorical variables. Statistical comparisons were made with Pearson's Chi-squared test or exact Fisher

test for categorical variables or Analysis of Variance, Wilcoxon test or t-test for continuous data as appropriate. Analysis of HAI was done by categorizing patients into those who had no event, those who died without an HAI and those with an HAI to take account of the competing risk of death with HAI. All HAI data were censored meaning that analysis was restricted to HAIs that occurred after sample collection with exclusion of patients in whom HAI occurred prior to IPP sampling. Only the first incidence of HAI was considered. Logistic regression, controlling for the need for organ support at the time of sample collection, was used to determine if associations between gene-expression groups and mortality were independent of the need for organ support. Statistical analysis was conducted with R software v4.1.3 [19].

#### **Results**

Due to the COVID-19 pandemic the study was delayed, and recruitment was slower than predicted. Recruitment terminated prior to reaching the planned sample size when study funding terminated. In total 374 patients were enrolled, of whom 10 were excluded as it became apparent after recruitment but prior to analysis that they failed to meet the inclusion and exclusion criteria, and 7 were lost to follow-up (Fig. 1) leaving 357 patients for analysis.

Patients were recruited across the UK, France and Sweden (supplementary table S2). Baseline characteristics and outcome by country are shown in Tables 1 and Supplementary Tables S3, S4. Patients were recruited a median of 3 days (inter quartile range (IQR) 3–4 days)

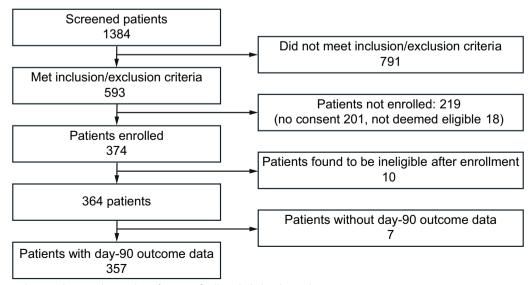


Fig. 1 Consort diagram showing the number of patients finally included in the study

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Table 1 Demographic, clinical features at enrolment and outcomes of recruited participants by country

	France	Sweden	United Kingdom	Global population	
n	88	57	212	357	
Number of males	63 (72)	38 (67)	146 (69)	247 (69)	
Age (years)	69 (59–75)	69 (59–74)	64 (53–73)	67 (56–74)	
Number of Caucasians	-	54 (96)	126 (59)	180/ <i>26</i> 8 (67)	
BMI (kg/m²)	26 (23–30) n=76	27 (25–32) n=57	26 (23–29) n=202	26 (23–30) n = 335	
Medical Admission	80 (91)	41 (72)	138 (65)	259 (72)	
Charlson comorbidity score	3 (2-5)	3 (2-4)	3 (1–4)	3 (1-4)	
One or more comorbidity	64 (73)	31 (54)	120 (57)	215 (60)	
Source of infection					
n	87	57	212	356	
Lung	41 (47)	25 (44)	123 (58)	189 (53)	
Abdomen	16 (18)	10 (17)	27 (13)	53 (15)	
Soft tissue or line	5 (6)	5 (9)	16 (8)	26 (7)	
Urine	10 (11)	3 (5)	13 (6)	26 (7)	
Neurological	1 (1)	3 (5)	12 (6)	16 (5)	
Primary bacteremia	3 (3)	2 (4)	3 (1)	8 (2)	
Other	11 (13)	9 (16)	18 (9)	38 (11)	
Baseline disease severity, clinical po	rameters and organ support				
SOFA score	9.5 (8.0–12.0) n=74	9.0 (7.0–11.0) n = 50	9.0 (7.0–11.0) n = 196	9.0 (7.0–11.0) n=320	
APACHE II score	24 (18–30) n=73	21 (17–26) n=54	21 (17–26) n=196	21 (17–26) n=323	
Lactate (mmol/L)	1.4 (1.0–2.5) n=85	1.1 (0.9–1.5) n=56	1.2 (0.9–1.7) n=211	1.2 (0.9–1.8) n=352	
Creatinine (µmol/L)	131 (79–227) n=88	100 (67–197) n=57	80 (60–137) n=210	90 (64–165) n=355	
Bilirubin (µmol/L)	12 (7–24) n=81	13 (8–30) n=52	10 (6–19) n=211	11 (7–21) n=344	
Platelets ( $\times 10^9$ /L)	168.0 (83.5–324.5) n=83	197.5 (135.0–271.5) n=56	184.0 (123.0–289.0) n=207	185.0 (113.0–290.0) n=346	
PaO <sub>2</sub> /FiO <sub>2</sub> (mmHg)	202 (134–278) n=82	218 (164–271) n=56	204 (143–266) n=209	208 (143–269) n=347	
Mechanical ventilation	69 (78)	37 (65)	150 (71)	256 (72)	
Acute Kidney Injury	45 (51) n=88	21 (37) n = 57	62 (29) n=211	128 (36) n=356	
Outcomes					
Day-90 mortality	38 (43)	11 (19)	57 (27)	106 (30)	

Categorical variables are given as number (percentage) and continuous variables as median (interquartile range), where the denominator for categorical variables differs from the total population it is given in italics in the relevant section

 $\textit{BMI}\ body\ mass\ index, SOFA\ sequential\ organ\ failure\ assessment, \textit{APACHE}\ acute\ physiology\ and\ chronic\ health\ evaluation$ 

after admission to ICU. The median age was 67 years, 69% were male and the median APACHE II score was 21. Most admissions were medical (73%) with lung (53%) being the commonest infection site. Overall, 90-day mortality was 30%. A new course of antibiotics was commenced in 27% of patients and HAI was confirmed as either definite or possible via the adjudication panel in 15%, the most common site of HAI was the respiratory tract (Supplementary table S5). 357 patients had samples

collected at the first (day 2–5), 303 at the second (day 6–8) and 169 at the final (day 13–15) time point. The first sample was collected a median of 3 (IQR 3–4) days after ICU admission, the second 6 (IQR 5–7) days and the third 15 (IQR 14–17) days.

#### Clinical worsening model

For the model developed to predict death or HAI known as the 'clinical worsening model' gene-expression

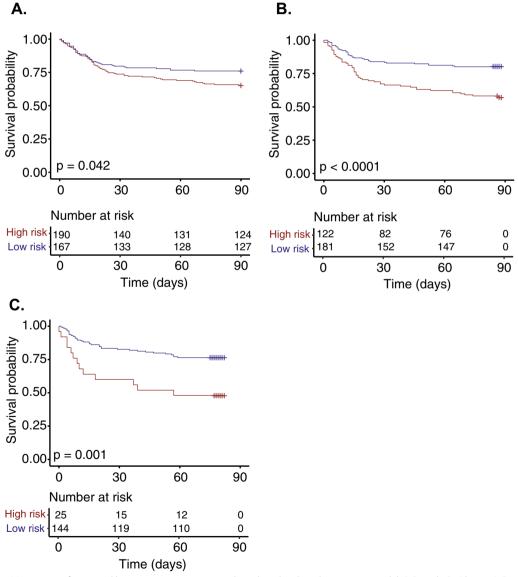
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differences between the 'high-risk' and 'low-risk' groups reflected those seen in model development [15] (Supplementary table S1, figure S2). Genes associated with T-cell function, leukocyte binding and adhesion and antigen presentation had relatively lower expression in the 'high' versus 'low-risk' group whilst those associated with neutrophil activation and accumulation had higher expression.

The proportion of patients in the 'high-risk' group decreased over time (day 2–5 190 patients (53%), day 6–8 122 (40%), day 13–15 25 (15%), supplementary figure S3). At all sampling time points the 'high-risk' group

had significantly higher day-90 mortality (day 2–5 35% vs 24%, p=0.04; day 6–8 43% vs 20%, p<0.001; day 13–15 52% vs 24% p=0.007), Fig. 2 and Table 2. HAI rates, including death as a competing risk, were significantly different between risk-groups on days 2–5 and 6–8 (Fig. 3) with higher proportions of patients in the 'low-risk' group having uncomplicated recoveries, neither dying nor acquiring HAI (Fig. 3, Table 2). When HAI rates were directly compared there were no significant difference at any time between groups (Table 2).

At all times patients in the 'low-risk' group had a greater number of ventilator, renal replacement



**Fig. 2** Kaplan–Meier curves for survival by gene-expression groups based on the clinical worsening model. **A** Sample S1 (day 2–5), **B** sample S2 (day 6–8) and C) sample S3 (day 13–15). The 'high-risk' group is shown in red and the 'low-risk' group in blue. For the S2 and S3 plots time 0 is the time of sample collection and crosses represent censoring due to left shift of the data. *p*-values are given from the log-rank test

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**Table 2** Key outcomes by sampling period for the clinical worsening model

	Sample S1 (Day 2–5)		Sample S2 (Day 6–8)		Sample S3 (Day 13–15)				
	High-risk	Low-risk	<i>p</i> -value	High-risk	Low-risk	<i>p</i> -value	High-risk	Low-risk	<i>p</i> -value
n	190	167	-	122	181	-	25	144	-
Death									
Day-90	66 (35)	40 (24)	0.04	52 (43)	36 (20)	< 0.001	13 (52)	34 (24)	0.007
Hospital acquired infect	ion (HAI)								
New course of antibio	otics								
Day-90			0.01			< 0.001			0.34
No event	81/188 (43)	95/161 (59)		42/116 (36)	104/175 (59)		6/17 (35)	66/123 (54)	
Death with no HAI	53/188 (28)	30/161 (19)		38/116 (33)	29/175 (17)		5/17 (29)	26/123 (21)	
HAI	54/188 (29)	36/161 (22)		36/116 (31)	42/175 (24)		6/17 (35)	31/123 (25)	
Day-90 HAI censored	54/188 (29)	36/161 (22)	0.22	36/116 (31)	42/175 (24)	0.23	6/17 (35)	31/123 (25)	0.39
Adjudicated									
Day-90			0.07			< 0.001			0.13
No event	105/188 (56)	109/163 (67)		56/116 (48)	126/178 (71)		8/18 (44)	91/136 (67)	
Death with no HAI	56/188 (30)	32/163 (20)		40/116 (35)	31/178 (17)		6/18 (33)	28/136 (21)	
HAI	27/188 (14)	22/163 (14)		20/116 (17)	21/178 (12)		4/18 (22)	17/136 (13)	
Day-90 HAI censored	27/188 (14)	22/163 (14)	0.94	20/116 (17)	21/178 (12)	0.25	4/18 (22)	17/136 (13)	0.27

p-values are given from chi-squared testing, those in bold are significant at p < 0.05

therapy, vasopressor, and ICU-free days compared to the high-risk group (supplementary table S6) but without difference in day-90 health-related quality of life (supplementary table S7).

Patients in the 'high-risk' group were less likely to have a respiratory source of their initial infection and more likely to have an abdominal source than those in the 'low-risk' group (Table 3, supplementary table S8, supplementary figure S4). Although disease characteristics were often worse in patients in the 'high-risk' group, for example APACHE II score, lactate, creatinine and bilirubin (Table 3, supplementary table \$8), differences were often small. For example, differences between APACHE II and lactate at baseline between patients in the 'high' and 'low-risk' group were 22 (18-27) vs 21 (16–26), p = 0.02 and 1.3 (1.0–1.9)mmol/L vs 1.0 (0.8-1.3)mmol/L, p<0.001 respectively. Although patients in the 'high-risk' group were more likely to require organ support than those in the 'low-risk' group (Table 3, supplementary table S8), when mortality analysis was adjusted for the need for invasive mechanical ventilation, renal replacement therapy and vasopressors 'high-risk' patients remained at increased risk of 90-day mortality (day 2–5: odds ratio (OR) 1.62 (95% confidence interval: 1.00–2.66), p = 0.05; day 6–8: 2.71 (1.60–4.63), p = 0.0002; day 13–15: 2.94 (1.09– 7.93), p = 0.03). No clinical parameters performed well at predicting membership of the 'high-risk' group (supplementary figure \$5).

#### mHLA-DR model

Overall, we found that the model designed to predict risk based on mHLA-DR performed poorly at identifying a group of patients at higher risk of death (supplementary figure S3, supplementary table S9, S10, S11).

#### Risk group trajectory

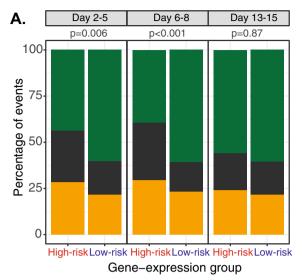
Patients moved between the 'high' and 'low' risk groups in both models with the highest mortality being in those who stayed or transitioned into the 'high' risk group (supplementary figures S3, S6, table S12). When analysis was restricted to patients with samples available at both day 2–5 and day 6–8, patients who remined in the 'high-risk' group had the fewest ventilation, renal replacement therapy, vasopressor, ICU and hospital free days.

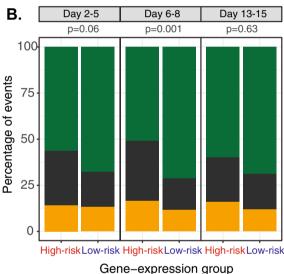
#### **Discussion**

We demonstrated that patients with sepsis can be categorized into gene-expression sub-groups that have different outcomes based on a small panel of genes measured on a commercially available device, already available in hospitals. A 'high-risk' group with gene-expression features of immunosuppression including altered T-cell function and antigen presentation were at high risk of mortality and had an increased use of ICU resources.

We tested two risk prediction models derived using the IPP genes, one that predicted patients expected to have low mHLA-DR and another that predicted clinical risk. Of the models the clinical worsening model consistently

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**Fig. 3** Hospital acquired infection (HAI) events by day-90. At each time point HAI data was censored for HAIs that occurred prior to sampling, with HAI events representing those occurring after the IPP sampling (yellow) shown compared to death without HAI (black) as a competing risk and patients with neither event (green). HAI was assessed as **A** a new course of antibiotics after at least 48h without antibiotics and **B** of those patients the ones judged to either definitely or possibly have HAI by an independent panel

identified a group at higher risk of death at 90-days whereas the mHLA-DR model did not. This could be for several reasons, first we did not have mHLA-DR

measurements available so, although this model has been previously validated [12], we do not know how well it estimates this parameter in our population. Secondly, the clinical worsening model was developed specifically to predict clinical deterioration rather than low mHLA-DR and therefore it is perhaps not surprising that it appears be the better predictor of clinical deterioration rather than a proxy risk predictor.

Although we found clinical differences between the sub-groups, clinical parameters performed poorly at predicting IPP group membership suggesting that the immunological information provided by the IPP supplements routine clinical data and provides another indicator of risk not captured by other tests. The IPP genes give some insight into the underlying immunobiology of the two sub-groups. The genes upregulated in the 'highrisk' group suggest neutrophil dysfunction whilst those that are downregulated predict a reduction in T-cells and antigen presentation [11, 12, 15]. The patterns of gene-expression between the IPP groups are similar to those previously reported between the sepsis response signature (SRS) 1 and SRS2 sub-phenotypes [5, 6] suggesting possible similarities between the two profiling methods.

We hypothesized that increased mortality in 'high-risk' patients would be a consequence of an increase in HAI due to immunosuppression. However, this was not seen. It may be that an inability to clear the initial infection leads to mortality in this group, this is supported by findings that 89% of patients that died from septic shock had persistent evidence of infection at postmortem [20]. If patients die from the unresolved primary infection, they will never be able to contract a new secondary HAI. The finding that death without HAI was higher in the 'highrisk groups' supports this. Similarly, clinically identifiable infections may not be the main driver of mortality. Viral reactivation is more common in septic patients with immunosuppressed gene-expression sub-phenotypes [21] and it may be that this is responsible for a persistent cycle of inflammation and organ failure that contributes to death in 'high-risk' patients.

Although identifying patients at elevated risk may have utility, the real benefit of gene-expression sub-groups will be if they allow more targeted treatment. The demonstration that host gene-expression can be measured on a device that could be used in the clinical environment and that it can identify a group at risk of a poor outcome supports the development of a personalized approach to sepsis. This could be by investigating therapies predicted to benefit the 'high-risk' IPP group, for example, GM-CSF which boosts antigen presentation [22] or recombinant human interleukin-7 which increases CD4 lymphocyte proliferation [23] and IFNy producing T-cells [24]. Corticosteroids have been shown to have a differential

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**Table 3** Baseline characteristics of the gene-expression groups at the first sampling time point (day 2–5)

	High-risk	Low-risk	<i>p</i> -value
n	190	167	=
Number of males	134 (71)	113 (68)	0.64
Age (years)	67 (57–75)	67 (54–74)	0.42
Ethnicity			0.10
n	133	135	
Caucasian	92 (69)	88 (65)	
Other	20 (15)	28 (21)	
Asian	16 (12)	8 (6)	
Black	5 (4)	11 (8)	
BMI (kg/m <sup>2</sup> )	25.9 (22.9–28.5)	27.1 (23.7–31.2)	0.01
Admission type			0.66
Medical	134 (71)	125 (75)	
Emergency surgery	44 (23)	33 (20)	
Elective surgery	12 (6)	9 (5)	
Charlson comorbidity score	3.0 (2.0-4.0)	3.0 (1.0-5.0)	0.87
Source of infection			< 0.001
n	189	167	
Lung	83 (44)	106 (64)	
Abdomen	43 (23)	10 (6)	
Soft tissue or line	13 (7)	13 (8)	
Urine	14 (7)	12 (7)	
Neurological	6 (3)	10(6)	
Primary bacteraemia	5 (3)	3 (2)	
Other	25 (13)	13 (8)	
SOFA score	10.0 (7.0–12.0)	7.5 (6.0–9.8)	< 0.001
APACHE II score	22.0 (18.0–27.0)	21.0 (16.0–26.0)	0.02
Invasive mechanical ventilation, n/N (%)	146/187 (78)	101/159 (64)	0.004
RRT, n/N (%)	43/187 (23)	16/159 (10)	0.002
Vasopressors, n/N (%)	129/187 (69)	99/159 (62)	0.23

Categorical variables are given as number (percentage) and continuous variables as median (interquartile range), where the denominator for categorical variables differs from the total population it is given in italics in the relevant section. (BMI, body mass index; SOFA, sequential organ failure assessment; APACHE, acute physiology and chronic health evaluation; RRT renal replacement therapy) p-values in bold are those that were significant at <0.05

treatment effect based on SRS endotypes [8]. As patterns of gene-expression show some similarities between the SRS sub-phenotypes and the groups reported here, this may be a viable method to stratify patients to this intervention. Alternatively, the technology tested here could be repurposed to allow assignment into other gene-expression sub-phenotypes.

Moving away from syndromic diagnoses, such as sepsis and acute respiratory distress syndrome, to identifying pathobiological processes responsive to specific treatments, known as treatable traits, is an important step towards precision medicine in critical illness [4, 25]. However, for these to be deployed clinically, novel diagnostics are required that can identify these subgroups of patients. This study demonstrates that this could be possible using the FilmArray® device. Such precision medicine trials would require that such tests can be shown

to be used in a local laboratory or even in a near-patient environment by clinical staff. Although that was not possible in this study due to the COVID-19 restrictions, the pandemic has subsequently accelerated the adoption of rapid PCR based diagnostics. The FilmArray® device has been successfully used in both adult and paediatric ICUs as a point of care test to guide antibiotic management for hospital-acquired and ventilator associated pneumonia [26].

It is likely that such biomarker guided precision medicine clinical trials would initially be used to simply select patients for immunomodulation therapies. The fact that the signal for increased mortality appears to become even more marked the longer the patient remain in the high-risk group opens the possibility that such biomarkers could be used to monitor response to therapy and guide when such immune modulating treatments could

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be stopped. However, this will require greater understanding of gene expression profiles over time and particularly their response to immune modulating therapy. Further studies will be needed to understand how gene-expression sub-phenotypes perform earlier in sepsis than studied here, for example at ICU admission or in the emergency department, and to validate the clinical applicability of the longitudinal dynamics of gene-expression group membership.

Although this study has several strengths, for example its prospective, international multi-center design and its well-defined approach to diagnosing HAI using internationally recognized criteria, it also has limitations. The study failed to meet its recruitment target due to the impact of the COVID-19 pandemic. This reduction in power may account for why some findings, importantly including the incidence of HAI, failed to reach statistical significance (i.e. could be a false negative result) and inevitably provides less precision around the size of mortality differences. However, despite this we were still able to detect clinically useful, statistically significant differences between clinical risk groups.

The mHLA-DR risk model did not reach statistical significance and this may reflect the reduced power of the reduced sample size. Without mHLA-DR measurements we cannot confirm if it predicted low mHLA-DR values as seen in previous validation studies [12] so without direct validation the results must be interpreted cautiously.

Due to limitations of clinical tests, including the accuracy of microbiological testing, it is often difficult to diagnose HAI with certainty. Our approach of using two definitions, one that would capture the broadest definition of HAI based on "bedside" clinical decision making and the other using an independent panel to limit cases to only those most likely to be genuine HAIs based on predefined criteria was designed to overcome this challenge.

In this study we have taken a categorical approach to phenotyping, as has been done by many studies in the field [5–7, 27] and have managed to demonstrate that this is able to determine clinically useful groups. However, treating the strength of group membership as a continuous trait may be more beneficial, providing more granular classification, avoiding issues around borderline cases and recognizing that critical illness induced immunosuppression is not binary. The evaluation of this approach is beyond the scope of this study. Finally, although samples were processed using the IPP pouches on the FilmArray® system, due to a lack of available devices (due to supply issues during the pandemic) this was done centrally and not in the clinical environment. Future studies will need to assess the utility of these gene-expression group

allocations when devices are deployed near to the patient, this would also serve to provide further validation of the test in other cohorts. However, there is wealth of experience using the FilmArray<sup>®</sup> technology in hospitals for pathogen detection which demonstrates the device's ease of use and accuracy.

In conclusion we demonstrated that subgroups of patients with sepsis with different outcomes can be identified using a small set of gene expression transcripts measured on a device that requires minimal sample handling and with a rapid turnaround time. This supports the feasibility of using gene-expression groups clinically, for example to stratify patients into clinical trials of immune modulating therapies to deliver a precision medicine approach to sepsis care.

#### **Supplementary Information**

The online version contains supplementary material available at https://doi.org/10.1186/s13054-025-05319-5.

Additional file 1

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Not applicable

#### **Author contributions**

Prof Gordon had full access to all of the data in the study, takes responsibility for the integrity of the data and the accuracy of the data analysis and had final responsibility for the final decision to submit for publication. DBA, EP, FP, KS, DB, MS and ACG conceptualized and designed the study. ACG, FP, KS, DB and MS obtained funding. All authors were involved in the acquisition, analysis, or interpretation of the data. GT, SB, EP and DBA carried out statistical analysis and DBA wrote the first draft of the manuscript which was critically revised for important intellectual content by all authors. DBA, RC and ACG provided administrative, technical or material support.

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#### Availability of data and materials

Individual participant data that underlie the results in this article, after de-identification (text, table, and figures) will be made available from the corresponding author on submission of a data request application, including scientific rationale and intended use statements. Applications will be reviewed by the Consortium steering committee and are subject to data sharing agreements.

#### **Declarations**

#### Ethics approval and consent to participate

The study gained ethical approval in each participating country: The Health and Care Research Wales research ethics committee (20/LO/1163) in the UK; the Comité de Protection des Personnes Ile de France X in France and

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the Swedish Ethical Review Authority in Sweden. Written informed consent was provided by participants or their legal representatives following local procedures.

#### **Competing Interests**

ACG reports that outside of this work he has received consulting fees from AstraZeneca, Beckman Coulter, and VVB Bio, speaker fees from Fresenius Kabi and grant support from the NIHR HTA programme. DA reports grant support from the NIHR for work outside this project. FP reports that he has received speaker and consulting fees from Gilead outside of this work. JFT reported consulting and lecture fees for bioMerieux unrelated to the submitted work, consulting fees for Merck, Pfizer, Advanz pharma, Menarini, and lecture fees from Merck, Pfizer, Gilead, Mundipharma Qiagen unrelated to the article. JFT also declared research grants to his research group Merck, Pfizer. NP has served as an advisor or speaker for Moderna and AstraZeneca. EP, SB, AF and JFL are bioMerieux employees. EP and SB are co-inventors of patents related to the topic of the manuscript. GV has received research grants form bioMérieux and SOS Oxygène outside of this work, and support for attending meeting from SOS Oxygène and Oxyvie. DB reports lecture fees for bioMérieux unrelated to the submitted work and consulting for Synairgen and Abioinc. JDR has received speaker fees from Fisher&Paykel. TJ-F has received consulting/speaker fees from MSD, Menarini, bioMérieux, Qiagen, Shionogi, Mundipharma and Pfizer. A-CL reports support from bioMérieux for work outside of this project. NDP reports grants, consulting and speaker fees from AstraZeneca and speaker fees from Moderna. MS reports grants from Gentin, consulting fees from Biotest, Matisse, deePull, Volition, Hemotune and Sanofi and speaker fees from bioMérieux and AOP. The authors Dr Antcliffe and Prof Gordon are affiliated with the Department of Health and Social Care, Centre for Antimicrobial Optimization at Imperial College, London. Other authors declare that they have no competing interests.

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