

Article

PKM2 Expression as Biomarker for Resistance to Oxaliplatin-Based Chemotherapy in Colorectal Cancer

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Abstract: The purpose of the current study is to investigate the prognostic significance of M2 isoform of pyruvate kinase (PKM2) mRNA expression loss in patients with operable colon cancer (CC). Two hundred sixty-two specimens from patients with stage-III or high-risk stage-II CC (group-A) treated with adjuvant fluoropyrimidine and oxaliplatin chemotherapy (FOLFOX), 118 specimens from metastatic CC patients (group-B) treated with FOLFOX, and 104 metastatic CC patients (group-C) treated with irinotecan-based chemotherapy were analyzed for *PKM2*, *TS*, *ERCC1*, *MYC*, and *NEDD9* mRNA expression, as well as *KRAS* exon2 and *BRAF*^{V600E} mutations. High *PKM2* mRNA expression was correlated with left-sided located primaries ($p = 0.001$, group-A; $p = 0.003$, group-B; $p = 0.001$, group-C), high-grade tumors ($p = 0.001$, group-A; $p = 0.017$, group-B; $p = 0.021$, group-C), microsatellite-stable tumors ($p < 0.001$, group-A), pericolic lymph nodes involvement ($p = 0.018$, group-A), and *cMYC* mRNA expression ($p = 0.002$, group-A; $p = 0.008$, group-B; $p = 0.006$, group-C). High *PKM2* mRNA expression was correlated with significantly lower disease free survival (DFS) ($p = 0.002$) and overall survival (OS) ($p = 0.001$) in the group-A. Similarly, *PKM2* mRNA expression was associated with significantly decreased progression free survival (PFS) ($p = 0.001$) and OS ($p = 0.001$) in group-B. On the contrary, no significant association for the *PKM2* mRNA expression has been observed with either PFS ($p = 0.612$) or OS ($p = 0.517$) in group-C. To conclude, the current study provides evidence for the prediction of *PKM2* mRNA expression oxaliplatin-based treatment resistance.

Keywords: PKM2; *KRAS*; *BRAF*; MSI; prediction; colon cancer

1. Introduction

Colorectal cancer (CRC) represents 9% of all malignant tumors in adults [1]. Even though curative surgical resection shows potential in 70–80% of colon cancer (CC) patients at diagnosis, nearly half of them will develop local or/and metastatic recurrence and will pass away from the

disease [2] (GLOBOCAN). Approximately 50% of the patients with stage-III or high-risk stage-II disease could be treated with surgery alone and adjuvant chemotherapy may prevent relapse in another 20–25% of patients [3]. Adjuvant chemotherapy with a combination of a fluoropyrimidine (FP) with oxaliplatin (LOHP) is recommended for stage-III or high risk of relapse stage-II colon patients [3]. CAPOX (capecitabine + oxaliplatin) or FOLFOX are also among the standard treatment options for metastatic disease [3].

The genetic CRC underpinnings are extremely well studied [4] and a multistep process for the carcinogenesis in the colon epithelium, from normal mucosa to invasive cancer, has been suggested more than twenty years ago [5]. Ideally, molecular characteristics acquired from the primary tumors should guide therapeutic decisions and allow medical oncologists to select patients more successfully for the most beneficial and least toxic treatment strategies. At present, microsatellite instability (MSI) status is the only biological signature available in daily clinical practice [6]; however, a surrogate biomarker for treatment efficacy is needed.

Tumor cells favor glycolysis and little pyruvate is dispatched to mitochondria for oxidative phosphorylation even in the presence of sufficient oxygen [7,8]. Aerobic glycolysis provides dividing cells both energy and glycolytic intermediates, which are vital as precursors for amino acids, nucleic acids, and lipids synthesis. Furthermore, acidification of the extracellular microenvironment because of the expanded pyruvate production may facilitate tumor cell invasion and metastasis [9]. One of the most established key regulators of aerobic glycolysis is the embryonic M2 isoform of pyruvate kinase (PKM2), expressed during embryonic development and tumor formation. PKM2 catalyzes the last step of glycolysis by the formation of pyruvate and ATP from phosphoenolpyruvate (PEP) and ADP [10,11]. Recent studies have shown that PKM2 has a bi-functional role within tumors; it exists as a dimeric form with a low PEP affinity and shifts between a tetrameric form that has a high affinity for its substrate PEP [12]. Except for its well-known function in glycolysis, PKM2 also regulates other cellular functions, such as gene transcription and cell cycle progression [13,14]. However, the process of PKM2 effecting CC and the correlation between PKM2 expression levels and CC remains unclear.

Additionally, recent relevant studies proposed that PKM2 expression may be a predictive biomarker of platinum sensitivity in various cancers, including CRC [15–17]. In the present study, we investigated the prognostic and predictive value of *PKM2* mRNA expression in primary CC in three different patients' groups: (A) stage II or III CC treated with adjuvant oxaliplatin and fluoropyrimidine; (B) metastatic CRC (mCRC) treated with first-line treatment, oxaliplatin, and fluoropyrimidine; and (C) mCRC treated with first-line treatment, irinotecan, and fluoropyrimidine (FOLFIRI regimen), as the control group. The *PKM2* mRNA expression was also analyzed in adenomas with high and low dysplasia. These findings may provide evidence of *PKM2* mRNA expression prospective role as a predictive and prognostic biomarker in CC.

2. Results

2.1. Patients' Characteristics and Clinico-Pathological Features

The main demographic and clinical characteristics of the study population are summarized in Table 1 for patients with stage II–III (group-A) and mCRC (groups-B/C), respectively. Briefly, group-A patients that received postoperative combination (fluoropyrimidine and oxaliplatin) adjuvant chemotherapy (CAPOX or FOLFOX) were predominantly males (58%) with a median age of 67 years; 65% of the patients had a primary tumor located in the left colon and 60% were diagnosed with stage III CC (Table 1). At the time of analysis and after a median follow-up of 120.7 months (min–max: 11.3–161.1 months); 71 (27%) disease relapses and 48 (18%) deaths were recorded.

Likewise, group-B patients (treated with oxaliplatin-based chemotherapy) were predominantly male (64%), with a median age of 65 years, with the vast majority with ECOG PS 0–1 (93%) and primary tumor located principally in the left colon in 63% of the cases; the median number of involved organs was 1 (range 1–4), while *KRAS* exon 2 or *BRAF*^{V600E} mutations were found in 36 and

7%, respectively (Table 1). At the time of analysis and after a median follow-up of 49.3 months (min–max: 2.4–167.3 months), 115 (96%) disease relapses and 114 (97%) deaths were recorded in the validation group.

Finally, group-C patients (treated with irinotecan-based treatment) presented similar characteristics with those in the validation group: median age 65 years, 64% males, 75% left-sided primary tumors, median number of metastatic sites 1, *KRAS* exon 2 34%, and *BRAF*^{V600E} mutations 6%. After a median follow-up of 47.4 months (min–max: 1.8–155.7 months), 94 (90%) disease relapses and 91 (88%) deaths were recorded in the control group. In addition, *PKM2* mRNA expression was evaluated in 24 adenomas with high-grade dysplasia, 18 adenomas with low-grade dysplasia, and 50 specimens from normal colonic mucosa.

Table 1. Characteristics and pathological features of the patients.

| Pts | Group A (262) | | Group B (118) | | Group C (104) | |
|---|---------------|------|---------------|-----|---------------|-----|
| | N | % | N | % | N | % |
| Median Age (Range) | 67 (33–75) | | 65 (35–84) | | 65 (41–79) | |
| ≤70 years | 162 | 62 | 77 | 65 | 78 | 73 |
| >70 years | 100 | 38 | 41 | 35 | 26 | 27 |
| Gender | | | | | | |
| Male | 152 | 58 | 76 | 64 | 66 | 64 |
| Female | 110 | 42 | 42 | 36 | 38 | 36 |
| Performance Status (ECOG) # | | | | | | |
| 0 | 196 | 75 | 110 | 93 | 98 | 94 |
| 1 | 66 | 25 | 8 | 7 | 6 | 6 |
| Stage | | | | | | |
| IIa | 90 | 34 | | | | |
| IIb | 14 | 6 | | | | |
| IIIa | 19 | 7 | | | | |
| IIIb | 81 | 31 | | | | |
| IIIc | 58 | 22 | | | | |
| IV | | | 118 | 100 | 104 | 100 |
| Tumor grade | | | | | | |
| low | 160 | 61 | 69 | 59 | 60 | 58 |
| high | 102 | 39 | 49 | 41 | 44 | 42 |
| Mucinous | | | | | | |
| Yes | 59 | 23 | | | | |
| No | 203 | 77 | | | | |
| Obstruction | 27 | 10 | | | | |
| Perforation | 40 | 15 | | | | |
| Location | | | | | | |
| Right-sided | 91 | 35 | 36 | 31 | 26 | 25 |
| Left-sided | 171 | 65 | 82 | 69 | 78 | 75 |
| Regimen | | | | | | |
| CAPOX | 171 | 65 | | | | |
| FOLFOX | 91 | 35 | | | | |
| FOLFOX/CAPOX | | | 46 | 39 | | |
| FOLFOX/CAPOX + Bevacizumab | | | 45 | 38 | | |
| FOLFOX/CAPOX + Cetuximab | | | 27 | 23 | | |
| FOLFIRI | | | | | 18 | 17 |
| FOLFIRI + Bevacizumab | | | | | 86 | 83 |
| <i>BRAF</i>^{V600E} status | | | | | | |
| Wild type (WT) | 230 | 87.8 | 110 | 93 | 97 | 94 |
| Mutant | 13 | 5.0 | 8 | 7 | 7 | 6 |
| Failed | 19 | 7.2 | | | | |

Table 1. Cont.

| Pts | Group A (262) | | Group B (118) | | Group C (104) | |
|---|---------------|------|---------------|----|---------------|----|
| | N | % | N | % | N | % |
| KRAS exon 2 mutation | | | | | | |
| WT | 169 | 64.5 | 76 | 64 | 69 | 66 |
| Mutant | 82 | 31.3 | 42 | 36 | 35 | 34 |
| Failed | 11 | 4.2 | | | | |
| MMR Status | | | | | | |
| Proficient | 200 | 76.3 | Not Done | | Not Done | |
| Deficient | 35 | 13.4 | Not Done | | Not Done | |
| Failed | 27 | 10.3 | | | | |
| Median No of Retrieved Lymph Nodes (min–max) | 15 (6–108) | | | | | |
| Median No of +ve Lymph Nodes (min–max) | 1 (0–18) | | | | | |
| Median Number of metastatic sites | | | 1 (1–4) | | 1 (1–5) | |
| Metastatic disease | | | | | | |
| Synchronous | | | 44 | 37 | 30 | 29 |
| Metachronous | | | 74 | 63 | 74 | 71 |

2.2. PKM2 mRNA Expression in Different CRC groups, Adenomas, and Normal Mucosa

PKM2 mRNA expression was successfully analyzed in 258 out of the 262 specimens from group-A, in all 118 specimens from group-B patients, and in all 104 samples in group-C. Moreover, PKM2 was successfully amplified in all specimens from adenomas and normal mucosa. No difference in PKM2 mRNA expression was observed among the three groups. The median PKM2 mRNA expression for the whole group of patients with CC was 15.11 (min–max: 1.61–97.55); more specifically, 15.11 (min–max: 3.39–41.59) for group-A, 15.24 (min–max: 1.71–97.55) for group-B, and 16.24 (min–max: 1.61–45.00) for group-C (all p values > 0.05). In contrast, PKM2 mRNA expression was significantly lower in normal mucosa (5.16; min–max: 0.1–11.12) in comparison with that in CC specimens ($p < 0.001$). Similarly, PKM2 mRNA expression was significantly lower in adenomas with low-grade dysplasia (8.04; min–max: 0.1–15.79) in comparison with that in tumoral specimens ($p = 0.002$). No significant difference in PKM2 mRNA expression was observed between adenomas with high-grade dysplasia (14.57; min–max: 0.92–15.79) and CC samples ($p = 0.287$).

2.3. PKM2 mRNA Expression in Different Groups and Correlations with Clinico-Pathological Features and Analysed Markers

In the total number of tumour samples from groups A, B, and C, PKM2 mRNA expression was significantly correlated with MYC mRNA expression ($\rho^2 = 0.153$, $p = 0.004$). The same finding was observed when each group was analyzed separately for PKM2 and MYC mRNA expression ($\rho^2 = 0.236$, $p = 0.002$, for group-A; $\rho^2 = 0.112$, $p = 0.008$, for group-B; $\rho^2 = 0.178$, $p = 0.006$, for group-C).

In addition, high PKM2 mRNA expression was associated with left-sided tumors in all patients (group-A: $p = 0.011$, group-B: $p = 0.003$, and group-C: $p = 0.001$) and high grade (undifferentiated) tumors in all three groups ($p = 0.001$, $p = 0.017$, and $p = 0.021$ for groups A, B, and C, respectively; Table 2). Furthermore, high PKM2 mRNA expression was significantly correlated with KRAS exon2 and BRAF^{V600E} mutations, in patients with mCRC ($p = 0.009$, $p = 0.026$ for KRAS exon2 mutations and $p = 0.041$, $p = 0.05$ for BRAF^{V600E} mutations in groups B and C, respectively), but not in group-A ($p = 0.870$ and $p = 0.109$ for KRAS exon2 and BRAF^{V600E} mutations, respectively; Table 2). Finally, high PKM2 mRNA expression was significantly recorded in tumors with proficient MMR ($p < 0.001$), mucinous features ($p = 0.001$), and those with infiltrated regional lymph nodes ($p = 0.018$) in group-A, whereas these parameters were not available in groups B or C (Table 2).

Table 2. M2 isoform of pyruvate kinase (PKM2) mRNA expression.

| PKM2 mRNA Expression. | | | | | | | | | |
|------------------------------------|------------------------|-------------------------|---------|------------------------|-------------------------|---------|------------------------|-------------------------|---------|
| | (n = 258) Group A | | | (n = 118) Group B | | | (n = 104) Group C | | |
| | Low (n = 129) N (%) | High (n = 129) N (%) | p value | Low (n = 117) N (%) | High (n = 137) N (%) | p value | Low (n = 117) N (%) | High (n = 137) N (%) | p value |
| Patients enrolled | | | | | | | | | |
| Age Median (min–max) | 67 (33–75) | 67 (37–75) | 0.147 # | 65 (35–81) | 65 (39–84) | 0.564 # | 65 (44–76) | 64 (41–79) | 0.218 # |
| Age group | | | | | | | | | |
| ≤70 | 72 (45.3) | 87 (54.7) | 0.08 @ | 52 (67.5) | 25 (32.5) | 0.499 @ | 47 (60.2) | 31 (39.8) | 0.614 @ |
| >70 | 57 (57.5) | 42(42.5) | | 28 (68.2) | 13 (31.8) | | 15 (57.7) | 11 (42.3) | |
| Gender | | | | | | | | | |
| Male | 75 (51.0) | 72(49.0) | 0.669 * | 49 (64.5) | 27 (35.5) | 0.411 * | 35 (53.0) | 31 (47) | 0.571 * |
| Female | 52 (47.7) | 57(52.3) | | 31 (73.8) | 11 (26.2) | | 21 (55.3) | 17 (34.7) | |
| Lymph Node Status | | | | | | | | | |
| N0 | 63(61.8) | 39 (38.2) | 0.018 * | | | | | | |
| N1–2 | 64 (41.8) | 89 (58.2) | | | | | | | |
| Tumor Location | | | | | | | | | |
| Right | 55 (60.4) | 36 (39.6) | 0.011 * | 24 (66.7) | 12 (33.3) | 0.003 * | 16 (61.5) | 10 (38.5) | 0.001 * |
| Left | 74 (44.3) | 93 (56.7) | | 39 (47.6) | 43 (52.4) | | 29 (37.2) | 49 (62.8) | |
| Grade | | | | | | | | | |
| Low grade | 117 (74.5) | 41 (25.5) | 0.001 * | 51 (73.9) | 18 (26.1) | 0.017 * | 43 (71.7) | 17 (18.3) | 0.021 * |
| High grade | 12 (12.0) | 88 (88.0) | | 8 (16.3) | 41 (83.7) | | 7 (15.8) | 37 (84.2) | |
| Mucinous | | | | | | | | | |
| Yes | 40 (68.9) | 18 (31.1) | 0.001 * | | | | | | |
| No | 89 (44.5) | 111 (55.5) | | | | | | | |
| KRAS exon 2 status | | | | | | | | | |
| Wild type | 87 (51.5) | 83 (48.5) | 0.87 | 47 (61.8) | 29 (38.2) | 0.009 * | 46 (66.7) | 23 (33.3) | 0.026 * |
| Mutant | 40 (48.8) | 42 (51.2) | | 6 (14.3) | 36 (85.7) | | 9 (25.7) | 26 (74.3) | |
| UNKNOWN | 2 | 4 | | 0 | 0 | | | | |
| BRAF^{V600E} status | | | | | | | | | |
| Wild type | 112 (48.1) | 117 (51.9) | 0.109 | 74 (67.3) | 36 (32.7) | 0.041 * | 58 (59.8) | 39 (40.2) | 0.05 * |
| Mutant | 8 (61.5) | 5 (38.5) | | 1 (12.5) | 7 (87.5) | | 1 (16.7) | 6 (83.3) | |
| UNKNOWN | 9 | 7 | | 0 | 0 | | 0 | 0 | |
| MMR Status | | | | | | | | | |
| Proficient | 88 (44) | 108 (56) | <0.001 | | | | | | |
| Deficient | 29 (82.8) | 6 (17.2) | | | | | | | |
| UNKNOWN | 12 | 15 | | | | | | | |

Mann–Whitney test; * Pearson Chi-square; @ Fisher’s exact.

2.4. Patients' Outcome According to PKM2 mRNA Expression

In group-A, tumors with high *PKM2* mRNA expression were significantly associated with a lower five-year DFS rate as compared with low *PKM2* mRNA expression levels (68.6% vs. 77.9%, respectively; $p = 0.016$) (Figure 1A) and lower five-year survival rates (75.2 vs. 86.1%, for high and low *PKM2* mRNA expression, respectively; $p = 0.008$; Figure 1B). Similarly, in group-B, tumours with high *PKM2* mRNA expression presented significantly lower PFS (6.7 months, 95% confidence interval (CI): 4.8–7.5 months) in comparison with those with low *PKM2* mRNA expression tumours (9.1 months, 95% CI: 7.7–11.2 months; $p = 0.003$; Figure 1C). Similarly, shorter median OS was significantly correlated with high *PKM2* mRNA expression (21.9 months (95% CI: 16.0–24.7) versus 30.2 months (95% CI: 24.0–37.3) for high *PKM2* mRNA expression, respectively; $p = 0.004$; Figure 1D) in the same patients' group-B. In contrast, in group-C, no significant difference was observed among patients with high compared to low *PKM2* mRNA expression in either PFS [8.4 months (95% CI: 7.2–9.8) vs. 10.2 months (95% CI: 8.4–11.9; $p = 0.445$, respectively] (Figure 1E) or median OS [27.3 months (95% CI: 23.1–31.4) vs. 27.5 months (95% CI: 23.4–31.6); $p = 0.883$, respectively] (Figure 1F).

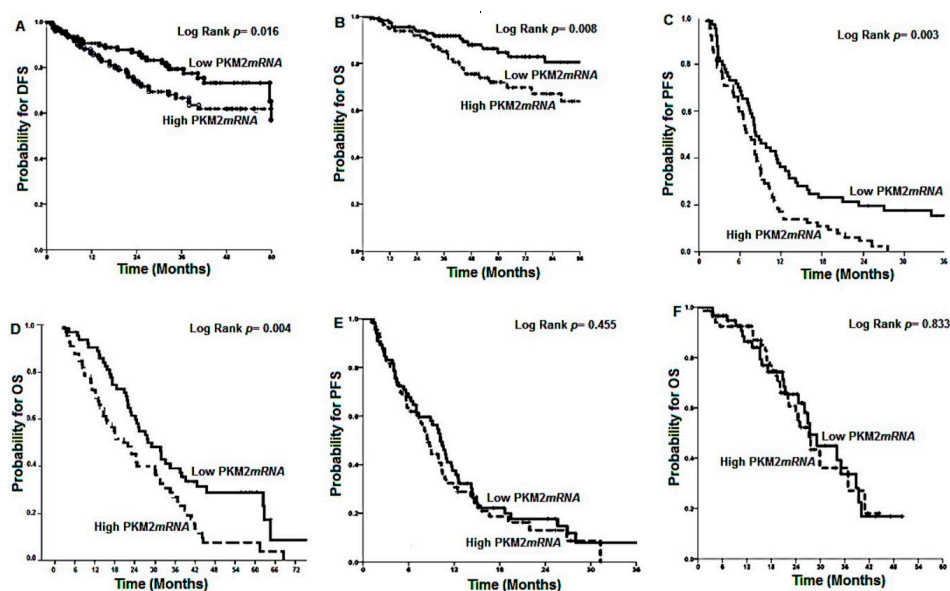


Figure 1. Kaplan Meier analysis in group A, group B, and group C colorectal cancer (CRC) according to M2 isoform of pyruvate kinase (*PKM2*) mRNA expression. (A) Five-year disease free survival (DFS) rate according to *PKM2* mRNA expression in patients with stage II or III colon cancer treated with FOLFOX or CAPOX; (B) five-year overall survival (OS) rate according to *PKM2* mRNA expression in patients with stage II or III colon cancer treated with FOLFOX or CAPOX; (C) progression free survival (PFS) according to *PKM2* mRNA expression in patients with mCRC treated with oxaliplatin-based first-line treatment; (D) median OS according to *PKM2* mRNA expression in patients with mCRC treated with oxaliplatin-based first-line treatment; (E) PFS according to *PKM2* mRNA expression in patients with mCRC treated with irinotecan-based first-line treatment; (F) median OS according to *PKM2* mRNA expression in patients with mCRC treated with irinotecan-based first-line treatment.

2.5. Multivariate and Univariate Analysis

Table 3 summarizes the univariate analysis for DFS (group-A) or PFS (group-B) and median OS, respectively. Univariate analysis demonstrated that high *PKM2* mRNA expression was significantly correlated with decreased DFS in group-A (hazard ratio (HR): 1.82, 95% CI: 1.21–2.96; $p = 0.003$) and decreased PFS in group-B (HR: 1.91, 95% CI: 1.34–2.99; $p = 0.002$), but not in group-C (HR: 1.06, 95% CI: 0.65–1.31; $p = 0.769$) (Table 3). Similarly, high *PKM2* mRNA levels were significantly associated with shorter median OS in group-A (HR: 1.84, 95% CI: 1.29–3.26; $p = 0.002$) and in group-B (HR: 2.12, 95% CI: 1.51–3.17; $p = 0.002$), but not in group-C (HR: 1.04, 95% CI: 0.58–1.24; $p = 0.811$) (Table 3).

Table 3. Univariate analysis for progression free survival (PFS) and overall survival (OS). HR, hazard ratio; CI, confidence interval.

| Pts Feature | Group A | | Group B | | Group C | |
|---|-----------------------------|---------|-----------------------------|---------|-----------------------------|---------|
| | HR # (95% CI [^]) | p Value | HR # (95% CI [^]) | p Value | HR # (95% CI [^]) | p Value |
| PFS | | | | | | |
| Age (>70 y vs. ≤70 y) | 1.03 (0.64–1.67) | 0.887 | 1.17 (0.89–1.32) | 0.214 | 1.21 (0.94–1.41) | 0.167 |
| Gender (Men vs. Women) | 1.18 (0.92–1.80) | 0.112 | 1.07 (0.61–1.18) | 0.816 | 1.04 (0.63–1.14) | 0.883 |
| Stage (III vs. II) | 1.80(1.61–2.10) | 0.023 | | | | |
| Tumor Location (Right vs. Left) | 1.13 (0.68–1.87) | 0.234 | 1.34 (1.09–2.09) | 0.037 | 1.29 (1.06–1.99) | 0.043 |
| Grade (High vs. Low) | 1.86 (1.18–3.08) | 0.722 | 1.16 (0.84–1.23) | 0.304 | 1.21 (0.79–1.94) | 0.682 |
| PKM2 mRNA expression (High vs. Low) | 1.82 (1.21–2.96) | 0.003 | 1.91 (1.34–2.99) | 0.002 | 1.06 (0.65–1.31) | 0.769 |
| KRAS exon2 mutation (Mutants. ^{&} vs. wild type [@]) | 1.76 (1.09–3.10) | 0.050 | 1.85 (1.16–2.85) | 0.047 | 1.80 (1.09–2.91) | 0.046 |
| BRAF ^{V600E} mutation (Mut. ^{&} vs. wt [@]) | 1.97 (1.79–2.50) | 0.001 | 3.02 (2.46–5.73) | 0.001 | 2.88 (1.93–50.8) | 0.001 |
| MMR status (Proficient vs. Deficient) | 1.73 (1.29–3.51) | 0.025 | | | | |
| OS | | | | | | |
| Age (>70 y vs. ≤70 y) | 1.01 (0.98–1.04) | 0.781 | 1.22 (0.94–1.38) | 0.189 | 1.24 (0.96–1.44) | 0.118 |
| Gender (Men vs. Women) | 1.21 (0.94–1.84) | 0.106 | 1.11 (0.72–1.24) | 0.603 | 1.17 (0.77–1.32) | 0.712 |
| Stage (III vs. II) | 1.64 (1.45–2. 01) | 0.030 | | | | |
| Tumor Location (Right vs. Left) | 1.02 (0.56–1.85) | 0.906 | 1.44 (1.18–2.31) | 0.018 | 1.39 (1.23–2.08) | 0.023 |
| Grade (High vs. Low) | 1.11 (0.57–2.21) | 0.781 | 1.09 (0.88–1.21) | 0.446 | 1.14 (0.8–1.91) | 0.588 |
| PKM2 mRNA expression (High vs. Low) | 1.84 (1.29–3.26) | 0.002 | 2.12 (1.51–3.17) | 0.002 | 1.04 (0.58–1.24) | 0.811 |
| KRAS exon2 mutation (Mut. ^{&} vs. wt [@]) | 1.20 (0.61–2.33) | 0.113 | 1.56 (0.98–1.94) | 0.077 | 1.49 (0.96–2.03) | 0.102 |
| BRAF ^{V600E} mutation (Mut. ^{&} vs. wt [@]) | 1.62 (1.14–2.31) | 0.007 | 3.02 (2.46–5.73) | 0.001 | 2.88 (1.93–50.8) | 0.001 |
| MMR status (Proficient vs. Deficient) | 1.38 (1.04–2.71) | 0.036 | | | | |

Hazard ratio; [^] confidence interval; [&] mutant; [@] wild type.

Table 4. Multivariate analysis for disease free survival (DFS, group-A), progression free survival (PFS, groups B and C), and median overall survival.

| Feature | Group A | | Group B | | Group C | |
|--|------------------|---------|------------------|---------|-------------------|---------|
| | HR # (95% CI ^) | p Value | HR # (95% CI ^) | p Value | HR # (95% CI ^) | p Value |
| DFS/PFS | | | | | | |
| Stage (III vs. II) | 1.27 (1.03–1.76) | 0.046 | | | | |
| Tumor Location (Right vs. Left) | | | 1.17 (0.91–1.88) | 0.121 | 1.12 (0.88–1.69) | 0.198 |
| PKM2 mRNA expression (High vs. Low) | 1.88 (1.37–2.99) | 0.002 | 1.94 (1.38–3.32) | 0.001 | 1.08 (0.66–1.33) | 0.517 |
| KRAS exon2 mutation (Mut. & vs. wt @) | 1.35 (0.92–1.91) | 0.103 | 1.31 (0.91–2.06) | 0.197 | 1.29 (0.86–1.91) | 0.267 |
| <i>BRAF</i> ^{V600E} mutation (Mut. & vs. wt @) | 1.98 (1.64–2.67) | 0.001 | 3.61 (2.67–5.81) | <0.001 | 3.43 (2.58–5.79) | <0.001 |
| MMR status (Proficient vs. Deficient) | 1.76 (1.31–3.44) | 0.021 | | | | |
| OS (overall survival) | | | | | | |
| Stage (III vs. II) | 1.33 (1.09–1.88) | 0.039 | | | | |
| Tumor Location (Right vs. Left) | | | 1.25 (0.98–2.07) | 0.081 | 1.118 (0.93–1.88) | 0.092 |
| PKM2 mRNA expression (High vs. Low) | 1.91 (1.45–2.97) | 0.001 | 1.99 (1.49–3.41) | 0.001 | 1.03 (0.59–1.39) | 0.612 |
| KRAS exon2 mutation (Mut. & vs. wt @) | 1.35 (0.92–1.91) | 0.103 | 1.31 (0.91–2.06) | 0.197 | 1.29 (0.86–1.91) | 0.267 |
| <i>BRAF</i> ^{V600E} mutation (Mut. & vs. wt @) | 2.12 (1.69–2.91) | <0.001 | 3.78 (2.81–5.66) | <0.001 | 3.64 (2.66–5.61) | <0.001 |
| MMR (Mismatch Repair System) status (Proficient vs. Deficient) | 1.89 (1.47–3.52) | 0.002 | | | | |

Hazard ratio; ^ confidence interval; & mutant; @ wild type.

Furthermore, Table 4 shows the results of the multivariate analysis for median for DFS (group-A) or PFS (group-B) and median OS, respectively. Specifically, multivariate analysis revealed high *PKM2* mRNA levels as an independent factor for decreased DFS (HR: 1.88, 95% CI: 1.37–2.99; $p = 0.002$) and median OS (HR: 1.99, 95% CI: 1.45–2.97; $p = 0.001$) in group-B (Table 4). Likewise, multivariate analysis shows high *PKM2* mRNA expression as an independent factor for decreased PFS (HR: 1.94, 95% CI: 1.38–3.32; $p = 0.001$) and median OS (HR: 1.94, 95% CI: 1.38–3.32; $p = 0.001$) in group-A (Table 4). In contrast, no significant effect of high *PKM2* mRNA expression was observed in either PFS or median OS in group-C.

3. Discussion

PKM2 is associated with aerobic glycolysis and cell growth in various tumors, but the pattern of *PKM2* in CRC remains unclear [10]. In the present study, we examined the role of tumoural *PKM2* mRNA expression as a predictive biomarker in the outcome of stage-II/III CC or mCRC patients. Patients with operable CC treated with FOLFOX (group-A) with high *PKM2* mRNA expression presented significantly lower survival rates. These data were validated in an independent cohort of mCRC patients treated with FOLFOX (group-B). On the contrary, in the control group of patients treated with FOLFIRI in the first-line setting (group-C), no significant correlation of high *PKM2* mRNA levels was observed to OS rates. *BRAF*^{V600E} was an independent prognostic factor for OS in all three patients groups, while *KRAS* was not in any of the three groups. Taking into account that no significant effect of high *PKM2* expression presented in patients who did not receive oxaliplatin, *PKM2* could be examined only as a predictive factor for oxaliplatin-based treatment.

PKM2 is universally expressed in all tissues throughout the embryonic division, in normal proliferating cells, and in the different tissues such as fat and lung tissue and especially tumor, which suggests that the capacity to balance pyruvate kinase enzymatic activity is significant in actively proliferating cells [12]. Christofk et al. showed that *PKM2* expression is necessary for aerobic glycolysis and that this metabolic phenotype provides a selective growth advantage for tumor cells in vivo [7].

Oxaliplatin resistance gain is a complex mechanism mainly based on alteration of genes and pathways involved in its mechanism of action [18]. It is previously well described that, like other glycolytic enzymes, *PKM2* nuclear translocation is plentiful and crucial for the induction of apoptosis under regimen with somatostatin analogues or DNA-damaging agents [19,20]. As oxaliplatin is a DNA-damaging agent, similar mechanisms probably occur after exposure to this drug. Proteomic analysis showed that high *PKM2* was associated with higher response rates in oxaliplatin-resistant colorectal cell line [19].

In contrast, other studies presented downregulation of *PKM2* protein in both cisplatin-resistant ovarian cancer and human gastrinoma cell lines, respectively [21,22]. In addition, a recent study on bladder cancer tissues revealed that *PKM2* inhibition via shRNA or chemical inhibitors provoked increased cisplatin-sensitivity, and thus cell apoptosis [23,24]. Moreover, nuclear *PKM2* expression administered an important prediction for the poor prognosis of patients with esophageal squamous cell carcinoma [25,26]. In concordance, Zhu et al. suggested that *PKM2* enhances chemosensitivity to cisplatin, through its interaction with the mTOR signaling pathway in cervical cancer [11]. Moreover, in vitro studies identified *PKM2* silencing using specific siRNAs as a supposed oxaliplatin-resistance agent in HT29 CRC cell lines, while strikingly, in HCT116 (a p53 wild type cell line), *PKM2* silencing significantly increased sensitivity to Oxaliplatin [27].

PKM2 levels in fecal samples were found to be increased with the adenoma–carcinoma progression. In patients with dysplastic polyps, fecal *PKM2* levels were higher in those with larger polyps when compared with those with smaller polyps or healthy controls [28]. Except for fecal and serum, *PKM2* levels may also be beneficial in distinguishing malignant and benign lesions of the colon or normal controls [29]. Similarly, in the present study, *PKM2* mRNA expression levels were evaluated successfully in three different sample groups, from adenoma to normal colon mucosa and CC mucosa specimens. In particular, our findings clearly revealed statistically significant lower levels in *PKM2*

expression in adenoma with low-grade dysplasia and in normal colon mucosa, in comparison with high-grade dysplastic adenomas or that in CC specimens. On the basis of the literature, left-sided CC tumors were associated with better clinical outcome and represent an early-stage disease, decreased tumor size, and well-differentiated tumors [30]. However, our results showed that high *PKM2* mRNA expression associated with left-sided tumors in all patients' groups and undifferentiated tumors in all three groups, respectively.

In consideration of the principal role of *PKM2* in CRC growth depending on evidence from previous studies [8,10,29], we investigated the interaction of *PKM2* expression with known clinic-pathological features, MSI status, and *KRAS* exon 2 and *BRAF*^{V600E} mutations, as well as *ERCC1*, *cMYC*, *NEDD9*, and *TS* mRNA expression. To our best of knowledge, this is the first research that associates a combination of all these parameters; thus, the outcome of our analysis could possibly serve as a beneficial guide for the everyday clinical practice.

Recent studies have shown that *PKM2* also periodically translocate to the nucleus and oversee cell cycle regulator and oncogene expression (in particular, *KRAS* and *cMYC*) [31,32]. Others demonstrated that *PKM2* interacts with PI3K/AKT/mTOR and Ras-MAPK pathways with high affinity [33,34]. The present study is in partial agreement with previous evidence, as *BRAF*^{V600E} and *KRAS* mutations have been significantly correlated with high *PKM2* mRNA expression only in patients with mCRC (groups B and C), but not in those with stage II–III adjuvant CC (group-A). Last, but not least, the mutational rates of *KRAS*, *BRAF*, and Mismatch Repair System (MMR) status demonstrated in the current study are in total agreement with the current scientific bibliography.

Moreover, in agreement with previous studies, our results clearly demonstrate a strong positive correlation between *PKM2* and *c-MYC* mRNA expression levels in all three groups. In contrast, no significant correlation of *PKM2* protein expression was associated with *ERCC1*, *NEDD9*, and *TS* mRNA expression. Finally, overexpression of *PKM2* was significantly recorded in tumors with microsatellite stability (MSS) status and those with infiltrated regional lymph nodes in group-A, but not in groups B and C.

The present study enriched previous knowledge by demonstrating that high *PKM2* mRNA levels were strongly associated with adverse outcome of CC patients treated with FOLFOX. In the same line of evidence, previous studies from our laboratory demonstrated that low *PKM2* mRNA levels were associated with better survival rates in NSCLC [17] and low *PKM2* expression attained significantly better PFS and OS in SCLC patients treated with platinum-based chemotherapy [16], respectively.

The major advantage of this study is that the predictive significance of *PKM* mRNA expression in operable CC was validated in an independent large cohort of patients mCRC treated with the same chemotherapy and in a control group of patients treated with a different regimen. Besides the robust results for *PKM2* mRNA expression, the findings should be interpreted with caution and mainly as a hypothesis generated results. Consequently, it remains a challenge that has to be investigated using in vitro and in vivo models to elucidate the molecular mechanisms underlying *PKM2* regulation, either transcriptional or post-transcriptional, which could modulate anticancer-drug cytotoxicity. The analysis of the predictive value of *PKM2* mRNA expression treated with CAPOX or FOLFOX in the IDEA–HORG study [35] is underway in order to validate the results of the current study in a large cohort of patients treated in the context of the clinical trial.

4. Materials and Methods

Formalin-fixed, paraffin-embedded (FFPE) tissues from 262 consecutive patients with histologically confirmed stage II/III CC and 118 metastatic CRC (mCRC) patients treated with oxaliplatin-based chemotherapy were collected and analyzed. In addition, 104 mCRC patients treated with FOLFIRI were used as a control group. Furthermore, 51 matching normal mucosa biopsies from the above 262 patients and 50 benign hyperplastic polyps and adenomas were included in the analysis. The study was approved by the Ethics and Scientific Committees of the University General Hospital of Heraklion (No: 2058) and patients gave their written informed consent.

FFPE tumor sections were examined by a pathologist (MT) in order to identify the most tumor-enriched areas for dissection. In the case of samples with <80% tumour cells, an Eppendorf piezoelectric microdissector was used to procure only malignant cells. DNA and RNA extraction was performed as previously described [36,37]. *KRAS* exon 2 (codon 12 and 13) and *BRAF*^{V600E} mutation was performed as previously reported [37]. For MSI testing, DNA of each tumor and that of a normal patient was analyzed using the Promega MSI Analysis System according to the manufacturer's instructions. Microsatellite status was defined in accordance with the Bethesda guidelines [38].

cDNA synthesis from total RNA and RT-PCR was performed as described previously [36]. The primers and probes for both housekeeping and target genes are shown in Table S1 and were designed using the PrimerExpress 2.0 Software (Applied Biosystems, Foster City, CA, USA) according to the RefSeq NM_002654 and NM_002467.4.

Disease-free survival (DFS) measured the length of time after the date of surgery to the first documented metastatic disease, second primary CC, or death from any cause. Progression free survival (PFS) was estimated from the data of first-line treatment initiation to documented disease progression or death. Overall survival (OS) was defined the length from the surgery date to the date of death. The Kaplan–Meier survival curves were used to evaluate the impact of various variables in the OS of patients. A Cox proportional hazards model was used to assess the effect of the assessed parameters on death events. These factors were then included in a multivariate Cox proportional hazards regression model with a stepwise procedure (both forward and backward) to evaluate the independent significance of different variables on survival and time to progression, as previously described [17]. A *p*-value < 0.05 was used for significance. All the laboratory analyses were performed blindly to the clinical data. Associations between *KRAS*, *BRAF* mutation status and MSI status, and *PKM2* mRNA expression with baseline characteristics were estimated using the Fisher's exact test for categorical variables or logistic regression for continuous variables [37,39].

5. Conclusions

The current study provides evidence that patients with operable colon cancer treated with FOLFOX with high expression of *PKM2* mRNA presented lower PFS and OS. In addition, it is found that lower PFS and OS were detected in a cohort of mCRC pts treated with FOLFOX. On the other hand, no significant correlations of high *PKM2* mRNA and PFS/OS detected in the metastatic group of patients who received FOLFIRI. Finally, yet importantly, we reported findings showing significantly lower levels of *PKM2* expression, associated in adenoma with LG dysplasia or in normal mucosa in contrast with HG dysplasia adenomas or CC.

Supplementary Materials: The following are available online at <http://www.mdpi.com/2072-6694/12/8/2058/s1>, Table S1: Sequence of the primers and probes of all reference and target genes.

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