### Original Article

# Cervicothoracic junction disc herniation: Our experience, technical remarks, and outcome

#### ABSTRACT

**Background:** C7-D1 disc herniation is rare in comparison with other cervical levels. The incidence rates are between 3.5% and 8%. The cervicothoracic junction disc herniation can be operated posteriorly or anteriorly. The anterior approach can be challenging because of the difficulty of access resulted from the manubrium. In this article, we present our experience about cervicothoracic junction disc herniation (C7-T1) surgery. **Materials and Methods:** Between January 2008 and December 2017, 21 patients have been operated for solitary C7-T1 disc herniation. We operated 12 male patients and 9 female patients. Eight patients have been operated by the anterior approach, and 13 patients underwent surgery by the posterior approach. The mean symptoms duration was 11.4 months.

**Results:** All patients had C8 cervicobrachial neuralgia. Other clinical presentations were numbness, tingling sensation, and weakness. All patients improved after surgery. We had no significant complication.

**Conclusion:** We did not find a great difference between the clinical features of cervicothoracic herniated disc and other cervical levels. The anterior approach seems more difficult to carry out in particularly in large patients with the short neck. The posterior approach can be used for all types of patients except in the case of medial disc herniation.

Keywords: Anterior cervical approach, cervicothoracic spine, disc herniation, posterior cervical approach

#### INTRODUCTION

C7-D1 disc herniation is rare in comparison with other cervical levels. The incidence rates have been reported between 3.5% and 8%.<sup>[1-8]</sup> Typically, it is demonstrated clinically by C8 radiculalgia. The latter births in the neck and radiates in the little finger. There is also a referred pain in the scapular region. Sensory loss is in the medial forearm and the sensation of pinky and ring finger is affected too. The muscles particularly affected include abductor pollicis brevis (pulmar abduction of the thumb), first dorsal interossei (abduction of the index), and abductor digiti minimi (abduction of the little finger).<sup>[9-15]</sup> The cervicothoracic junction can be approached posteriorly or anteriorly. While the anterior approach to cervical spine is very familiar to spine surgeons, but C7-T1 anterior cervical discectomy can be challenging because of the difficulty of access resulted from the manubrium in particular among patients with the short and deep neck. The posterior approach can also be

Access this article online				
	Quick Response Code			
Website: www.jcvjs.com				
DOI: 10.4103/jevjs.JCVJS_102_19				

challenging in particular in medial disc herniation because of difficulty to access to hernia and inability to reflect the spinal cord. Concerning C7-T1 cervical discectomy, few data are available in the literature. In this article, we aim to present our experience with cervicothoracic junction disc herniation (C7-T1) surgery, describe our approaches,

#### Keyvan Mostofi, Morad Peyravi<sup>1</sup>, Babak Gharaei Moghadam<sup>2</sup>

Department of Neurosurgery, Centre Clinical, Chirurgie De Rachis, Soyaux, France, <sup>1</sup>Department of Neurosurgery, Carl-Thiem-Klinikum, Academic Teaching Hospital of Charity Medical University of Berlin, Berlin, Germany, <sup>2</sup>Department of Neurosurgery, Neurosurgical Clinic of Dr Gharaei Tehran, Iran

Address for correspondence: Dr. Keyvan Mostofi, Department of Neurosurgery, Centre Clinical, Chirurgie De Rachis, Soyaux, France.

E-mail: keyvan.mostofi@yahoo.fr

## Submitted: 03-Nov-19 Accepted: 25-Feb-20 Published: 04-Apr-20

This is an open access journal, and articles are distributed under the terms of the Creative Commons Attribution-NonCommercial-ShareAlike 4.0 License, which allows others to remix, tweak, and build upon the work non-commercially, as long as appropriate credit is given and the new creations are licensed under the identical terms.

For reprints contact: reprints@medknow.com

**How to cite this article:** Mostofi K, Peyravi M, Moghadam BG. Cervicothoracic junction disc herniation: Our experience, technical remarks, and outcome. J Craniovert Jun Spine 2020;11:22-5.

© 2020 Journal of Craniovertebral Junction and Spine | Published by Wolters Kluwer - Medknow

the reason of each approach, and propose some surgical remarks.

#### Surgical anatomy of the cervicothoracic junction

The cervicothoracic junction is formed by the manubrium anteriorly, the first ribs laterally, and the vertebral body of T1 posteriorly. The sternocleidomastoid (SCM) muscle inserts the sternum. The latter is covered by the platysma muscle. The sternohyoid and sternothyroid muscles attach to sternum too and are deeper compared to the SCM. Vascular structures of the region contain a terminal portion of the subclavian vein, right brachiocephalic, left subclavian, and left internal arteries. Nervous structures include the internal jugular vein, the common carotid artery, the vague nerve, the phrenic nerve, recurrent laryngeal nerve, and stellate ganglia. The internal organs passing through the cervicothoracic junction include the thoracic duct, trachea, and esophagus.<sup>[8-10,16-19]</sup>

#### MATERIALS AND METHODS

Between January 2008 and December 2017, 21 patients have been operated for solitary C7-T1 disc herniation. We operated 12 male patients and 9 female patients (female/ male ratio = 1/1,33). The mean symptoms duration was 11.4 months. All patients had cervicobrachial neuralgia (radiculalgia and cervical pain). Patients with cervical myelopathy were excluded from the study. Eight patients have been operated by the anterior approach and 13 patients underwent surgery by the posterior approach. The posterior approach involved simple discectomy and anterior approach consisted of total discectomy and fusion by the intersomatic cage. The average length of stay in hospitals was 2 days. Four patients were discharged the day after surgery, and one patient stayed 3 days in the hospital for family-related reasons. Table 1 demonstrates the patients' baseline and characteristics.

Patients wore cervical collar a few days after surgery used for reducing pain and avoiding too much cervical movement. Patients operated by the anterior approach underwent X-ray imaging (anteroposterior and lateral cervical spine) of the cervical spine on the day after surgery, week 6, months 6, year 1, and year 2 postoperatively. Patients operated by the posterior approach had X-ray imaging one and 2 years after surgery. All patients were examined clinically at 6 and 12 weeks, 6 months, 1 year, and 2 years postoperatively and evaluated with a Visual Analog Scale (VAS) ranging from

#### Table 1: Patients' baseline and characteristics

Patients	Age	Female	Male	AA	PA
21	41-67 (52.34)	9	12	8	13

AA - Anterior approach; PA - Posterior approach

0 (no pain) to 10 (worst pain imaginable) and with the Neck Disability Index (NDI), which ranges from 0 to 50 (0%–100%). The mean duration of follow-up was 3.7 years.

#### RESULTS

The results were evaluated for pain with VAS, for ability, and to manage in everyday life by NDI. Table 2 demonstrates the pre- and postoperative evaluation of patients by VAS and NDI.

#### DISCUSSION

The indication of the posterior or anterior approach for the treatment of upper cervical radiculopathy is well reported in the medical literature.<sup>[3-5,20-29]</sup> Classically, the posterior approach is indicated for the treatment of lateral disc herniation or foraminal stenosis. The anterior approach is used for the treatment of central osteophytes or disc herniation. Some authors consider that anterior approach and fusion by intersomatic cage can provide improvement in the cervical lordosis angle.<sup>[30-33]</sup> However, this notion is insufficiently clear and probably implausible in cervicothoracic junction because of reduced mobility of the region and because of the existence of sternum. On the other hand, the existence of recurrent laryngeal nerve, stellate ganglia, and the thoracic duct, etc., make this specific anatomical site hard to access and obscure the surgical field. We did not find a significant change in cervical lordosis in patients operated by the anterior or posterior approach in cervicothoracic junction. The danger of the anterior approach in this region is injury to the subclavian vein, the recurrent laryngeal nerve, and the thoracic duct and great vessel.<sup>[2,18]</sup> For the cervicothoracic posterior approach, the same complications associated with any type of cervical surgery (cord and root injury). We operated 9 females and 12 males, resulting in a final ratio of 1.33/1 versus 2/1 in the literature for cervical disc herniation.<sup>[34,35]</sup> The mean age of our patients was 52.34. This is in close agreement with the results from the medical literature that gives an average age of around 50 years.<sup>[36,37]</sup> We operated eight patients by anterior and 13 patients with the posterior approach. For the anterior approach, we used a transverse incision except for one patient that we performed an oblique longitudinal incision because of his expansive corpulence and his very short neck. No sternotomy was performed in any patient operated by the anterior approach. For the posterior approach, a 3- or 4-cm paramedian skin incision is made centered over the involved segment. Needless to say, while it is recommended to preserve facet joint,<sup>[38,39]</sup> we think that the preservation of the facet joint is not indispensable unlike other cervical levels because the maintaining of stability is easier given the

Preoperative		Postoperative 6 weeks		Postoperative 6 months		Postoperative 1 year		Postoperative 2 years	
VAS	NDI	VAS	NDI	VAS	NDI	VAS	NDI	VAS	NDI
PA									
7.69	71.22	3.99	42.44	1.95	31.05	2.01	29.76	1.89	24.34
AA									
8.01	74.12	4.01	39.34	3.19	32.83	1.89	19.97	1.96	21.32

#### Table 2: Pre- and postoperative Visual Analog Scale and Neck Disability Index

VAS - Visual Analog Scale; NDI - Neck Disability Index; PA - Posterior approach; AA - Anterior approach

existence of sternum and other elements of cervicothoracic junction. We had no complications. We have not noted any lordotic and kyphotic modification after 2 years of follow-up. The results are nearly identical in two groups [Table 2]. On average, NDI was 71.22 in patients operated by the posterior approach and 74.12 in patients operated by the anterior approach before the surgery. VAS was 7.96 in the first group and 8.01 in the second group in the preoperative period. After surgery, NDI and VAS were 24.34 and 1.89 in the first group and 21.32 and 1.96 in the second group, respectively.

#### CONCLUSION

Following our experience of 21 surgeries for C7-T1 disc herniation, we believe that there is no great difference between the clinical features of cervicothoracic herniated disc and other cervical levels. The anterior approach seems more difficult to carry out in particularly in large patients with the short neck. The posterior approach can be used for all types of patients except in the case of medial disc herniation.

#### Financial support and sponsorship

Nil.

#### **Conflicts of interest**

There are no conflicts of interest.

#### REFERENCES

- Post NH, Cooper PR, Frempong-Boadu AK, Costa ME. Unique features of herniated discs at the cervicothoracic junction: Clinical presentation, imaging, operative management, and outcome after anterior decompressive operation in 10 patients. Neurosurgery 2006;58:497-501.
- Lee JG, Kim HS, Ju CI, Kim SW. Clinical features of herniated disc at cervicothoracic junction level treated by anterior approach. Korean J Spine 2016;13:53-6.
- Bucciero A, Vizioli L, Cerillo A. Soft cervical disc herniation. An analysis of 187 cases. J Neurosurg Sci 1998;42:125-30.
- Adamson TE. Microendoscopic posterior cervical laminoforaminotomy for unilateral radiculopathy: Results of a new technique in 100 cases. J Neurosurg 2001;95:51-7.
- Falavigna A, Righesso O, Betemps A, Vela de los Rios PF, Guimarães R, Ziegler M, *et al.* Surgical planning and neurological outcome after anterior approach to remove a disc herniation at the C7-T1 level in 19 patients. Spine (Phila Pa 1976) 2014;39:E219-25.
- 6. Pacciani E, Salsano ML, Donnetti L, Urso S. Clinico-radiologic

correlations in common neck pain. Radiol Med 1996;91:570-6.

- Ryu DS, Paik HK, Ahn SS, Kim KH, Chin DK, Kim KS, *et al.* Herniated Discs at the Cervicothoracic Junction. World Neurosurg 2018;118:e651-8.
- Matsuo M, Honma S, Sonomura T, Yamazaki M. Clinical anatomy of the cephalic vein for safe performance of venipuncture. JA Clin Rep 2017;3:50.
- Sager B, Gates S, Collett G, Chhabra A, Khazzam M. Innervation of the subscapularis: An anatomic study. JSES Open Access 2019;3:65-9.
- Georgakopoulos B, Lasrado S. Anatomy, Head and Neck, Inter-scalene Triangle. StatPearls. Treasure Island (FL): StatPearls Publishing; 2019.
- Darvishi M, Moayeri A. Anatomical variations of the musculocutaneous and median nerves: A case report. Folia Med (Plovdiv) 2019;61:327-31.
- Chen CY, McGee CW, Rich TL, Prudente CN, Gillick BT. Reference values of intrinsic muscle strength of the hand of adolescents and young adults. J Hand Ther 2018;31:348-56.
- 13. Sahinen FM, Kennedy WR. Distribution of muscle spindles in the human first dorsal interosseus. Anat Rec 1972;173:151-5.
- 14. Milner-Brown HS, Stein RB. The relation between the surface electromyogram and muscular force. J Physiol 1975;246:549-69.
- Liu Y, Varela M, Oswald R. The correspondence between some motor points and acupuncture loci. Am J Chin Med (Gard City N Y) 1975;3:347-58.
- Barakat M, Hussein Y. Anatomical study of the cervical nerve roots for posterior foraminotomy: Cadaveric study. Eur Spine J 2012;21:1383-8.
- 17. Kamina P. Anatomie Clinique. Tome 3. 2<sup>nd</sup> ed. Paris: Maloine; 2011. p. 3-17.
- 18. Kamina P. Anatomie Clinique. Tome 2. 3rd ed. Paris: Maloine; 2011.
- Herkowitz HN. A comparison of anterior cervical fusion, cervical laminectomy, and cervical laminoplasty for the surgical management of multiple level spondylotic radiculopathy. Spine (Phila Pa 1976) 1988;13:774-80.
- Davis RA. A long-term outcome study of 170 surgically treated patients with compressive cervical radiculopathy. Surg Neurol 1996;46:523-30.
- Tundo F, Avila MJ, Willard L, Fanous S, Curri C, Hussain I, et al. Spinal alignment, surgery, and outcomes in cervical deformity: A practical guide to aid the spine surgeon. Clin Neurol Neurosurg 2019;185:105496.
- Sahai N, Changoor S, Dunn CJ, Sinha K, Hwang KS, Faloon M, *et al.* Minimally invasive posterior cervical foraminotomy as an alternative to anterior cervical discectomy and fusion for unilateral cervical radiculopathy: A systematic review and meta-analysis. Spine (Phila Pa 1976) 2019;44:1731-9.
- Bourgonjon B, Duerinck J, Moens M, D'Haens J. Comparison of the effect of anterior and posterior neurosurgical treatment for cervical spondylotic myelopathy: A clinical outcome. Acta Neurol Belg 2019;119:585-93.
- Yuchi CX, Sun G, Chen C, Liu G, Zhao D, Yang H, *et al.* Comparison of the biomechanical changes after percutaneous full-endoscopic anterior cervical Discectomy versus posterior cervical foraminotomy at C5-C6: A finite element-based study. World Neurosurg 2019;128:e905-e911.
- Foster MT, Carleton-Bland NP, Lee MK, Jackson R, Clark SR, Wilby MJ. Comparison of clinical outcomes in anterior cervical discectomy versus foraminotomy for brachialgia. Br J Neurosurg 2019;33:3-7.
- 26. Liu C, Liu K, Chu L, Chen L, Deng Z. Posterior percutaneous endoscopic

cervical discectomy through lamina-hole approach for cervical intervertebral disc herniation. Int J Neurosci 2019;129:627-34.

- Rao RD, Gore DR, Tang SJ, Rebholz BJ, Yoganandan N, Wang M. Radiographic changes in the cervical spine following anterior arthrodesis: A long-term analysis of 166 patients. J Bone Joint Surg Am 2016;98:1606-13.
- McAnany SJ, Baird EO, Overley SC, Kim JS, Qureshi SA, Anderson PA. A meta-analysis of the clinical and fusion results following treatment of symptomatic cervical pseudarthrosis. Global Spine J 2015;5:148-55.
- Cole T, Veeravagu A, Zhang M, Azad TD, Desai A, Ratliff JK. Anterior versus posterior approach for multilevel degenerative cervical disease: A retrospective propensity score-matched study of the Marketscan database. Spine (Phila Pa 1976) 2015;40:1033-8.
- Gulsen S. The effect of the PEEK cage on the cervical lordosis in patients undergoing anterior cervical discectomy. Open Access Maced J Med Sci 2015;3:215-23.
- Muzević D, Splavski B, Boop FA, Arnautović KI. Anterior cervical discectomy with instrumented allograft fusion: lordosis restoration and comparison of functional outcomes among patients of different age groups. World Neurosurg 2018;109:e233-43.
- 32. Ozer AF, Kaner T, Sasani M, Oktenoglu T, Cosar M. Anterior approach

to disc herniation with modified anterior microforaminotomy at C7-T2: Technical note. Spine (Phila Pa 1976) 2009;34:1879-83.

- Harel R, Nulman M, Cohen ZR, Knoller N. Anterior cervical approach for the treatment of axial or high thoracic levels. Br J Neurosurg 2018;10:1-5.
- Alshehri AK, Alshehri TK, Alyali SA, Alshahrani AA, Alshehri SH. Awareness of disc herniation among general population in Aseer province, Saudi Arabia. J Family Med Prim Care 2019;8:1159-63.
- Fjeld OR, Grøvle L, Helgeland J, Småstuen MC, Solberg TK, Zwart JA, et al. Complications, reoperations, readmissions, and length of hospital stay in 34 639 surgical cases of lumbar disc herniation. Bone Joint J 2019;101-B: 470-7.
- Abe T, Miyakoshi N, Hongo M, Kobayashi T, Suzuki T, Abe E, *et al.* Symptomatic cervical disc herniation in teenagers: Two case reports. J Med Case Rep 2013;7:42.
- Murphey F, Simmons JC, Brunson B. Chapter 2. Ruptured cervical discs, 1939 to 1972. Clin Neurosurg 1973;20:9-17.
- Grieve JP, Kitchen ND, Moore AJ, Marsh HT. Results of posterior cervical foraminotomy for treatment of cervical spondylitic radiculopathy. Br J Neurosurg 2000;14:40-3.
- Zeidman SM, Ducker TB. Posterior cervical laminoforaminotomy for radiculopathy: Review of 172 cases. Neurosurgery 1993;33:356-62.