



# Establishment and Validation of Nomogram Model Integrated With Inflammation-Based Factors for the Prognosis of Advanced Non-Small Cell Lung Cancer

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## Abstract

**Objects:** Inflammation is one of the hallmarks of cancer. Tumor-associated inflammatory response plays a crucial role in enhancing tumorigenesis. This study aimed to establish an effective predictive nomogram based on inflammation factors in patients with advanced non-small cell lung cancer (NSCLC). **Methods:** We retrospectively evaluated 887 patients with advanced NSCLC between November 2004 and December 2015 and randomly divided them into primary (n = 520) and validation cohorts (n = 367). Cox regression analysis was used to identify prognostic factors for building the nomogram. The predictive accuracy and discriminative ability of the nomogram were determined using a concordance index (C-index), calibration plot, and decision curve analysis and were compared to the TNM staging system. **Results:** The nomogram was established using independent risk factors ( $P < 0.05$ ): age, TNM stage, C reaction protein-to-albumin ratio (CAR), and neutrophils (NEU). The C-index of the model for predicting OS had a superior discrimination power compared to that of the TNM staging system both in the primary [0.711 (95% CI: 0.675-0.747) vs 0.531 (95% CI: 0.488-0.574),  $P < 0.01$ ] and validation cohorts [0.703, 95% CI: 0.671 -0.735 vs 0.582, 95% CI: 0.545-0.619,  $P < 0.01$ ]. Decision curves also demonstrated that the nomogram had higher overall net benefits than that of the TNM staging system. Subgroup analyses revealed that the nomogram was a favorable prognostic parameter in advanced NSCLC ( $P < 0.05$ ). The results were internally validated using the validation cohorts. **Conclusions:** The proposed nomogram with inflammatory factors resulted in an accurate prognostic prediction in patients with advanced NSCLC.

## Keywords

nomogram, inflammation factor, advanced NSCLC, prognosis, overall survival

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## List of abbreviations

NSCLC, non-small cell lung cancer; CRP, C-reaction protein; ALB, albumin; TNM, tumor-node-metastasis; NEU, neutrophils; NLR, neutrophil-lymphocyte ratio; PLR, platelet-to-lymphocyte ratio; CAR, CRP-to-ALB ratio; PLT, platelet; OS, overall survival; HRs, hazard ratios

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## Introduction

Lung cancer remains the most common type of cancer and the leading cause of cancer death in China.<sup>1</sup> Non-small cell lung cancer (NSCLC) accounts for approximately 85% of lung cancers.<sup>2</sup> A majority of patients are diagnosed with advanced-stage disease, when surgery, the best curative option, is no longer feasible.<sup>3</sup> In NSCLC, staging based on the tumor-node-metastasis (TNM) system and histological subtype has been used to determine prognosis and design optimal treatment regimens.<sup>4</sup> However, different patients at identical stages undergoing similar treatment regimens often have varied clinical outcomes, suggesting that the current staging system is inadequate for predicting postoperative survival. In such patients with advanced cancers, the TNM staging system has reached its ceiling<sup>5</sup>; therefore, other factors are needed to assess patient prognosis.

Recently there has been an increased interest in improving NSCLC prognostication using clinical, inflammatory, and molecular biomarkers; however, there remains a lack of reliable, reproducible, and low-cost biomarkers that can be readily incorporated into routine practice to optimally predict prognosis and guide treatment. The host response to malignant tumors consists of changes in the tumor microenvironment as well as systemic alterations. Inflammation is one of the hallmarks of cancer and the tumor-associated inflammatory response has a critical role in enhancing tumorigenesis by inducing tumor-cell growth, angiogenesis, and genome instability.<sup>6</sup> Given the importance of this systemic inflammatory response, the study of the markers of systemic inflammation with the intent of developing cost-effective prognostic biomarkers for patients with cancer, including those with lung cancer, is ongoing. One of the widely studied groups of inflammatory markers is derived from elements of the ubiquitously available and inexpensive procedure of whole blood count. The migration and activation of neutrophils (NEUs) can cause inflammation and sensitization directly or indirectly<sup>7</sup>; thus, a strong correlation between poor clinical outcomes and high neutrophil content, both locally and systemically, has been reported in patients with NSCLC.<sup>8,9</sup> The neutrophil (NEU) counts and neutrophil-lymphocyte ratio (NLR) have been evaluated in both localized and advanced NSCLC and appear prognostic in these patient populations.<sup>10,11</sup> Additionally, the platelet-to-lymphocyte ratio (PLR) has been introduced as another measurable parameter to determine inflammation and reveal the impact on the clinical outcomes of NSCLC.<sup>12,13</sup> Of these indicators, the NLR is most widely studied and has been adopted as a predictor of mortality and morbidity in several cancers.<sup>10,14</sup>

With regard to biochemical indices, several studies consider the level of C-reactive protein (CRP), an acute-phase reactant excessively produced by the liver during inflammation, to predict patient outcomes in NSCLC.<sup>15,16</sup> Apart from CRP, another commonly used inflammatory marker is albumin (ALB), a negative acute phase reactant in patients with cancer, which is inversely correlated with inflammation. However, the CRP-to-ALB ratio (CAR), combining CRP and ALB, has not been widely investigated as a biomarker in NSCLC. Only recently has the CAR been proposed and investigated as a prognostic marker in patients with solid tumors.<sup>17,18</sup> There is recent evidence that the CAR predicts long-term outcomes in patients with operable NSCLC.<sup>19</sup> However, few previous studies have studied CAR for the prognosis of advanced NSCLC. Considering the small-sized cohort in these studies, the clinical utility of the CAR in NSCLC, especially advanced NSCLC (the commonest subset of NSCLC), remains to be further defined.

Nomograms are currently widely used to predict the overall survival (OS) of patients with cancers, including lung cancer, colorectal cancer, and gastric cancer.<sup>14,16,20-22</sup> Furthermore, nomograms have been proven to be effective in precise predictions compared to the traditional TNM staging systems and are, therefore, used to predict OS in several cancers.<sup>5,16</sup> The aim of this study was to construct a nomogram integrating inflammation-based factors to estimate the prognosis of patients with advanced NSCLC. We also tested whether the nomogram model could provide a more accurate prediction of patient outcomes compared to those provided by the traditional TNM system.

## Materials and Methods

### Inclusion and Exclusion Criteria

Inclusion criteria: patients had diagnosed with stage IIIB and IV NSCLC. All patients who fulfilled the inclusion criteria were assessed by a multidisciplinary team comprising a medical oncologist, radiation oncologist, and a thoracic surgeon. Patients were determined to be unsuitable for radical surgery for lung cancer. Exclusion criteria: patients with double primary cancer, those previously treated, patients with clinical evidence of infection or other inflammation within 1 month of commencing therapy, patients who failed to follow up, those without available data on NEU, lymphocyte, and platelet (PLT) counts and biochemical parameters, such as serum ALB and CRP levels.

### Sample Collection and Laboratory Analysis

After screening based on the inclusion and exclusion criteria, 887 patients diagnosed with stage IIIB and IV NSCLC at the Sun Yat-Sen University Cancer Center (SYSUCC) between November 2004 and December 2015 were retrospectively reviewed. All patients underwent standard workup, which included systemic imaging including positron emission tomography (PET), PET/computerized tomography (CT), CT and/or bone scan, brain imaging consisting of magnetic resonance imaging (MRI) or CT with contrast, and routine blood workup prior to treatment. Patients were treated with definitive chemotherapy with or without radiation. Some of them underwent thorascopic pleural biopsy. All patients presented with clinical stage IIIB and IV disease based on the AJCC 7th edition TNM classification and staging system. Patients were followed up at our outpatient department every 3–6 months for the first 2 years, and then annually. The last follow-up was in March 2017.

Our study retrieved the NEU, lymphocyte, PLT counts, and biochemical parameters, including serum ALB and CRP, from the medical files of the enrolled patients. The records indicated that all tests were performed according to the manufacturer's protocols. The serum levels of CRP and ALB were measured using an Automatic Biochemical Analyzer (Hitachi 7600, Japan), while NEU, lymphocyte, and PLT count values were collected from routine blood examination results and were detected using a Sysmex XS800 analyzer (Sysmex, Japan). All biochemical tests were performed before commencing treatment.

### Statistical Analysis

Data were analyzed using SPSS standard version 20.0 (SPSS, Chicago, USA) and R software version 3.6.1 (<http://www.R-project.org>). For patients who were still alive, the duration of OS was calculated from the diagnosis of malignancy until death due to any cause or until the date of the last follow-up. Cut-off values were determined using the X-tile program of R software. The Kaplan-Meier method was used to estimate OS and calculate the 95% confidence intervals (CIs). Univariate and multivariate analyses to determine differences in survival were performed using the Cox proportional hazards model and expressed as hazard ratios (HRs) and 95% CIs. All variables in the multivariable model were enrolled to construct a prognostic nomogram model using the rms package. Calibration of the nomogram for 1-, 2-, and 3-year OS was executed by comparing the predicted survival and observed survival. The discriminative ability and predictive accuracy of the established nomogram were assessed using the C-index and decision curve and were compared with the traditional TNM staging system. The *P*-values in comparison of the C-indexes were calculated based on normal approximation using the function, `rcorr.cens`, in the Hmisc package. Pearson's  $\chi^2$  test was used to analyze the relationship between CAR, NEU and NLR, PLR, CRP, ALB,

**Table 1.** Comparison Between Modeling Group and Validation Group by Clinicopathological Characteristics.

Characteristics	Primary group		Validation group	
	No. of patients	%	No. of patients	%
patients	520		367	
Age				
≤58	257	49.4	198	54
>58	263	50.6	169	46
Gender				
Female	152	29.2	137	37.3
Male	368	70.8	230	62.7
Histology type				
Adenocarcinoma	358	68.8	259	70.6
Non-Adenocarcinoma	162	31.2	108	29.4
Clinical stage				
III	120	23.1	80	21.8
IV	400	76.9	287	78.2
cT Status				
cT 1+cT2	223	42.9	170	46.3
cT3+cT4	245	47.1	168	45.8
n.a.	52	10	29	7.9
cN Status				
cN0+cN1	66	12.7	37	10.1
cN2+cN3	389	74.8	308	84
n.a.	65	12.5	22	5.9
Treatment				
Radiotherapy	24	4.6	7	1.9
Chemotherapy	354	68.1	261	71.4
NEU( $\times 10^9/L$ )				
≤5.40	289	55.6	182	49.6
>5.40	231	44.4	189	50.4
NLR				
≤2.70	180	34.6	139	37.9
>2.70	340	65.4	228	62.1
PLR				
≤232	397	76.3	265	72.2
>232	123	23.7	102	27.8
C-reactive protein(mg/L)				
≤6.33	221	42.5	153	41.7
>6.33	299	57.5	214	58.3
Albumin(g/L)				
≤40.0	252	48.5	160	43.6
>40.0	268	51.5	207	56.4

NEU, neutrophil; NLR, neutrophil-lymphocyte ratio; PLR, platelet-to-lymphocyte ratio.

the clinicopathological baseline characteristics. Two-sided *p*-values < 0.05 were considered statistically significant.

## Results

### Patient Characteristics

This study enrolled a total of 887 patients. We randomly divided the patients into primary (*n* = 520) and validation cohorts (*n* = 367). The patients' demographic data and clinical characteristics are listed in Table 1. There were 520 patients in the primary cohort comprising 368 male (70.8%) and 152 female patients (29.2%); the ages of the patients ranged from

**Table 2.** Correlation Between CAR, NEU and Clinicopathological Variables of NSCLC Patients in Primary Group and Validation Group.

Characteristics	Primary group				Validation group				P <sup>a</sup>			
	CAR		NEU		CAR		NEU					
	No of patients	≤0.15	>0.15	P <sup>a</sup>	No of patients	≤0.15	>0.15	P <sup>a</sup>				
Patients	520	221	299	0.306	289	231	367	151	216	182	185	0.705
Age												
≤58	257	115(44.7%)	142(55.3%)	0.306	141(54.9%)	116(45.1%)	198	92(46.5%)	106(53.5%)	100(50.5%)	98(49.5%)	0.025
>58	263	106(40.3%)	157(59.7%)	0.391	148(56.3%)	115(43.7%)	169	59(34.9%)	110(65.1%)	82(48.5%)	87(51.5%)	<0.001
Gender												
Female	152	69(45.4%)	83(54.6%)	0.391	97(63.8%)	55(36.2%)	137	74(54.0%)	63(46.0%)	72(52.6%)	65(47.4%)	0.381
Male	368	152(41.3%)	216(58.7%)	0.059	192(52.2%)	176(47.8%)	230	77(33.5%)	153(66.5%)	110(47.8%)	120(52.2%)	<0.001
Histology type												
Adenocarcinoma	358	162(45.3%)	196(54.7%)	0.059	210(58.7%)	148(41.3%)	108	28(25.9%)	80(74.1%)	140(54.1%)	119(45.9%)	0.008
Non-Adenocarcinoma	162	59(36.4%)	103(63.6%)	0.674	79(48.8%)	83(51.2%)	259	123(47.5%)	136(52.5%)	42(38.9%)	66(61.1%)	0.400
Clinical stage												
IIIB	120	49(40.8%)	71(59.2%)	0.028	66(55.0%)	54(45.0%)	80	33(41.2%)	47(58.8%)	43(53.8%)	37(46.2%)	0.983
IV	400	172(43.0%)	228(57.0%)	0.028	223(55.8%)	177(44.2%)	287	118(41.1%)	169(58.9%)	139(48.4%)	148(51.6%)	<0.001
T Status												
cT 1+cT2	223	109(48.3%)	114(51.1%)	0.149	122(54.7%)	101(45.3%)	170	90(52.9%)	80(47.1%)	100(58.8%)	70(41.2%)	0.001
cT3+cT4	245	95(38.8%)	150(61.25%)	0.149	131(53.5%)	114(46.5%)	168	47(28.0%)	121(72.0%)	66(39.3%)	102(60.7%)	0.047
unknown	52	17(32.7%)	35(67.3%)	0.149	36(69.2%)	16(30.8%)	29	14(48.3%)	15(51.7%)	16(55.2%)	13(44.8%)	0.232
N Status												
cN0+cN1	66	32(48.5%)	34(51.5%)	0.674	39(59.1%)	27(40.9%)	37	22(59.5%)	15(40.5%)	21(56.8%)	16(43.2%)	0.400
cN2+cN3	389	168(43.2%)	221(56.8%)	0.674	205(52.7%)	184(47.3%)	308	119(38.6%)	189(61.4%)	147(47.7%)	161(52.3%)	0.983
unknown	65	21(32.3%)	44(67.7%)	0.674	45(69.2%)	20(30.8%)	22	10(45.5%)	12(54.5%)	14(63.6%)	8(36.4%)	0.983
M status												
Yes	400	172(43.0%)	228(57.0%)	0.973	223(55.8%)	177(44.2%)	80	33(41.2%)	47(58.8%)	43(53.8%)	37(46.2%)	0.842
No	120	49(40.8%)	71(59.2%)	0.973	66(55.0%)	54(45.0%)	287	118(41.1%)	169(58.9%)	139(48.4%)	148(51.6%)	0.93
Surgery <sup>b</sup>												
Yes	21	9(42.9%)	12(57.1%)	0.917	11(52.4%)	10(47.6%)	19	8(42.1%)	11(57.9%)	9(47.4%)	10(52.6%)	0.706
No	499	212(42.5%)	287(57.5%)	0.917	278(55.7%)	221(44.3%)	348	143(41.1%)	205(58.9%)	173(49.7%)	175(50.3%)	0.921
Chemotherapy												
Yes	354	151(42.7%)	203(57.3%)	0.02	209(59.0%)	145(41.0%)	261	109(41.8%)	152(58.2%)	129(49.4%)	132(50.6%)	0.706
No	166	70(42.2%)	96(57.8%)	0.02	80(48.2%)	86(51.8%)	106	42(39.6%)	64(60.4%)	53(50.0%)	53(50.0%)	0.001

(continued)

**Table 2. (continued)**

Characteristics	Primary group				Validation group				P <sup>a</sup>				
	CAR		NEU		CAR		NEU						
	No of patients	≤0.15	>0.15	P <sup>a</sup>	≤5.4 × 10 <sup>9</sup> /L	>5.4 × 10 <sup>9</sup> /L	P <sup>a</sup>	≤5.4 × 10 <sup>9</sup> /L		>5.4 × 10 <sup>9</sup> /L			
Radiotherapy													
Yes	24	12(50%)	12(50%)	0.447	11(45.8%)	13(54.2%)	0.325	2(28.6%)	5(71.4%)	0.495	2(28.6%)	5(71.4%)	0.261
No	496	209(42.1%)	287(57.9%)	0.175	278(56.0%)	218(44.0%)	0.04	149(41.4%)	211(58.6%)	0.167	180(50.0%)	180(50.0%)	0.327
Lymphocyte (×10 <sup>9</sup> /L)													
≤1.58	258	102(39.5%)	156(60.5%)	<0.001	158(60.1%)	103(39.9%)	<0.001	61(37.2%)	103(62.8%)	<0.001	86(52.4%)	78(47.6%)	<0.001
>1.58	262	119(45.4%)	143(54.6%)	<0.001	134(51.1%)	128(48.9%)	<0.001	90(44.3%)	113(55.7%)	<0.001	96(47.3%)	107(52.7%)	<0.001
PLT (×10 <sup>9</sup> /L)													
≤263	261	132(50.6%)	129(49.4%)	<0.001	169(64.8%)	92(35.2%)	<0.001	100(55.9%)	79(44.1%)	<0.001	119(66.5%)	60(33.5%)	<0.001
>263	259	89(34.4%)	170(65.6%)	<0.001	120(46.3%)	139(53.7%)	<0.001	51(27.1%)	137(72.9)	<0.001	63(33.5%)	125(66.5%)	<0.001
NLR													
≤2.70	180	103(57.2%)	77(42.8%)	<0.001	157(87.2%)	23(12.8%)	<0.001	95(68.3%)	44(31.7%)	<0.001	117(84.2%)	22(15.8%)	<0.001
>2.70	340	118(34.7%)	222(65.3%)	<0.001	132(38.8%)	208(61.2%)	0.052	56(24.6%)	172(75.4%)	<0.001	65(28.5%)	163(71.5%)	0.001
PLR													
≤232	397	190(47.9%)	207(52.1%)	<0.001	230(57.9%)	167(42.1%)	0.052	130(49.1%)	135(50.9%)	<0.001	146(55.1%)	119(44.9%)	0.001
>232	123	31(25.2%)	92(74.8%)	<0.001	59(48.0%)	64(52.0%)	<0.001	21(20.6%)	81(79.4%)	<0.001	36(35.3%)	66(64.7%)	<0.001
C-reactive protein(mg/L)													
≤6.33	221	218(98.6%)	3(1.4%)	<0.001	152(68.8%)	69(32.1%)	<0.001	151(98.7%)	2(1.3%)	<0.001	106(69.3%)	47(30.7%)	<0.001
>6.33	299	3(1.0%)	296(99.0%)	<0.001	137(45.8%)	162(54.2%)	<0.001	0(0%)	214(100%)	<0.001	76(35.5%)	138(64.5%)	0.001
Albumin(g/L)													
≤40.0	252	50(19.8%)	202(80.2%)	<0.001	175(65.3%)	93(34.7%)	<0.001	43(20.8%)	164(79.2%)	<0.001	87(42.0%)	120(58.0%)	<0.001
>40.0	268	171(63.8%)	97(36.2%)	<0.001	114(45.2%)	138(54.8%)	<0.001	108(67.5%)	52(32.5%)	<0.001	95(59.4%)	65(40.6%)	<0.001

<sup>a</sup>Using Chi-squared test, p < 0.05 was considered statistically significant. <sup>b</sup> Surgery, thorascopic pleural biopsy. CAR, C-reactive protein -to- albumin ratio; NEU, neutrophil; PLT, platelet; NLR, neutrophil-lymphocyte ratio; PLR, platelet-to-lymphocyte ratio.

**Table 3.** Univariate and Multivariate COX Regression Analyses for Overall Survival in Patients With Non-Small Cell Lung Cancer.

Variables	Univariate analysis			Multivariate analysis		
	HR	CI	<i>P</i>	HR	CI	<i>P</i> <sup>a</sup>
Age	1.341	1.012-1.778	0.04	1.398	1.048-1.866	0.023
Gender	1.431	1.034-1.980	0.026	1.348	0.964-1.883	0.081
Histology type	0.792	0.585-1.071	0.135	-	-	-
Clinical stage	1.518	1.045-2.206	0.022	1.804	1.227-2.652	0.003
Surgery <sup>b</sup>	1.587	0.903-2.789	0.132	-	-	-
Chemotherapy	0.79	0.597-1.047	0.104	-	-	-
Radiotherapy	0.348	0.129-0.939	0.013	0.393	0.144-1.071	0.068
NEU	1.965	1.490-2.592	≤ 0.001	1.555	1.130-2.139	0.007
Lymphocyte	0.965	0.733-1.269	0.797	-	-	-
NLR	1.906	1.382-2.628	≤ 0.001	1.247	0.858-1.812	0.247
PLT	1.31	0.995-1.726	0.054	-	-	-
PLR	1.608	1.191-2.171	0.003	1.2	0.872-1.651	0.263
C-reaction protein	3.218	2.353-4.400	≤ 0.001	1.979	0.298-13.167	0.48
Albumin	1.781	1.350-2.351	≤ 0.001	1.081	0.797-1.465	0.618
CAR	3.214	2.351-4.394	≤ 0.001	2.791	1.966-3.961	<0.001

<sup>a</sup>*P* < 0.05 was considered statistically significant. <sup>b</sup> Surgery, thoracoscopic pleural biopsy. CI = confidence interval; HR = hazard ratio. CAR, C-reaction protein-to-albumin ratio; NEU, neutrophil; PLT, platelet; NLR, neutrophil-lymphocyte ratio; PLR, platelet-to-lymphocyte ratio.

25–84 years. Of the enrolled patients, 120 (23.1%) were staged as IIIB and 400 (76.9%) as stage IV. The median OS was 12.6 months (range 0.2–38.6 months). The validation cohort included 230 male (62.7%) and 137 female patients (37.3%) with their age ranging from 25–86 years. Of these, 80 (21.8%) were staged as IIIB and 287 (78.2%) as stage IV. The median OS was 11.4 months (range 0.3–49.9 months). The 1-, 2-, and 3-year OS rates for the primary and validation cohorts were 52.12%, 7.31%, 0.38% and 48.87%, 17.02%, 0.92%, respectively.

### Association of Preoperative Serum CAR and NEU Levels With Clinical Characteristics

Patient characteristics and correlations between preoperative CAR and NEU levels and clinicopathological parameters are shown in Table 2. The X-tile program was used to determine the optimal cut-off values for CAR and NEU of OS, which were 0.15 and  $5.4 \times 10^9/L$  respectively. In the primary cohort, the CAR was associated with T status (*P* = 0.028). Males (*P* = 0.015) and patients with adenocarcinoma (*P* = 0.035) had higher preoperative NEU levels. The NEU levels were also associated with N status (*P* = 0.038), chemotherapy (*P* = 0.020), and lymphocyte counts (*P* = 0.040). In the validation cohort, age (>58) (*P* = 0.025), male (*P* < 0.001), adenocarcinoma (*P* < 0.001), T status (T3+T4) (*P* < 0.001), N status (N2+N3) (*P* = 0.047) had a higher pre-operative CAR. NEU levels were associated with adenocarcinoma (*P* = 0.008) and T status (*P* = 0.001), while CAR and NEU levels were associated with PLT, NLR, PLR, CRP and ALB in both cohorts (*P* < 0.001).

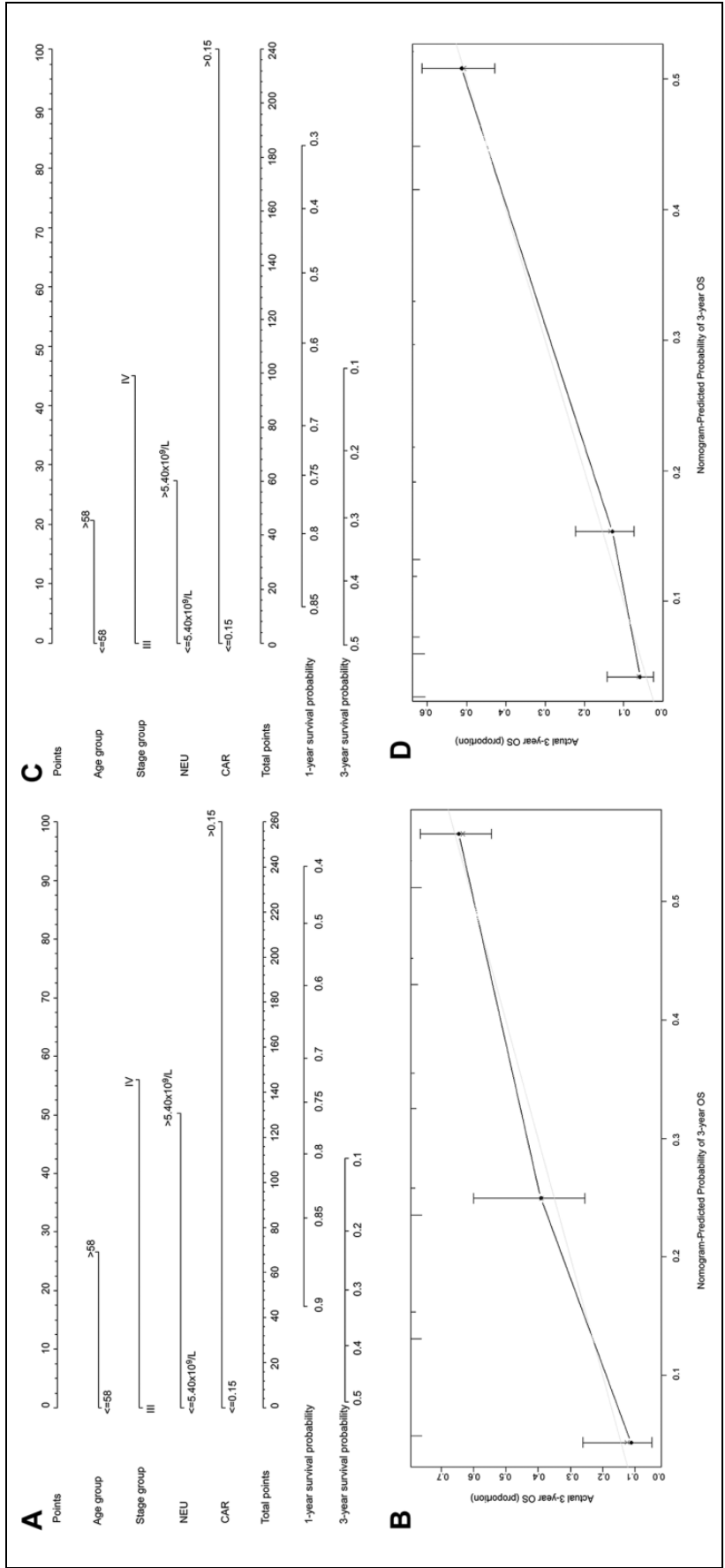
### Univariate Analysis of the OS in the Primary Cohorts

In the univariate analysis, the CAR and NEU levels were found to be associated with OS (*P* < 0.001) in patients with

advanced NSCLC, along with other variables, such as age (*P* = 0.04), gender (*P* = 0.026), clinical stage (*P* = 0.022), radiotherapy (*P* = 0.013), NLR (*P* < 0.001), PLR (*P* = 0.003), CRP (*P* < 0.001), and ALB (*P* < 0.001) (Table 3). Moreover, multivariate analyses using the Cox proportional hazard model showed that age (HR = 1.398, 95% CI: 1.048–1.866, *P* = 0.023), clinical stage (HR = 1.804, 95% CI: 1.227–2.653, *P* = 0.003), CAR (HR = 2.791, 95% CI: 1.966–3.961, *P* < 0.001), and NEU (HR = 1.555, 95% CI: 1.130–2.139, *P* = 0.007) were independent prognostic factors of OS in patients with advanced NSCLC.

### Prognostic Nomogram Model for OS

According to the Cox proportional hazard model, variables, such as age > 58, clinical stage IV, CAR ≥ 0.15, and NEU >  $5.4 \times 10^9/L$ , were poor prognostic factors for OS. Thus, age, clinical stage, CAR, and NEU were included in the nomogram (Figure 1). The predictive accuracies for OS in patients with NSCLC between the nomogram model and conventional TNM staging systems were compared by calculating the Harrell's C-index (Table 4). In the primary cohort, the nomogram model achieved a C-index of 0.711 (95% CI, 0.675–0.747) (Figure 1A), which was significantly higher than that achieved for the TNM staging system (0.531, 95% CI, 0.488–0.574, *P* < 0.01). This result was also confirmed in the validation cohort. The C-index of the nomogram model (0.703, 95% CI: 0.671–0.735) (Figure 1C) was higher than that of the TNM staging system (0.582, 95% CI: 0.545–0.619, *P* < 0.01). Calibration curves for the probability of survival at 3-years showed optimal agreement between the prediction established in the 2 nomograms and the actual observation (Figure 1B, 1D).

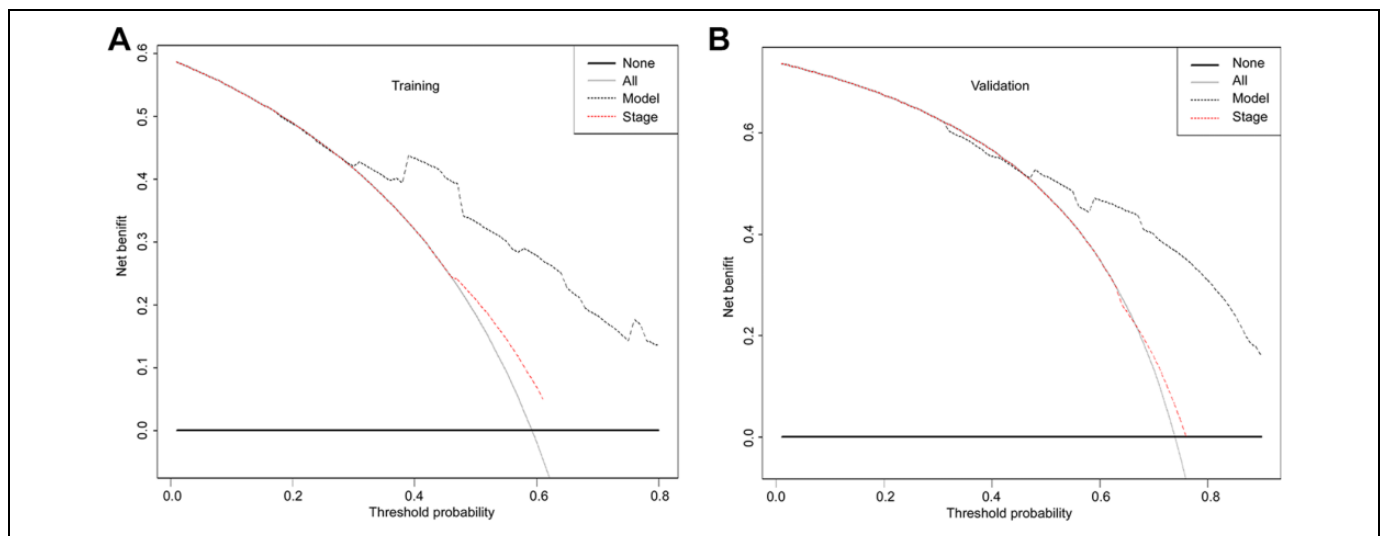


**Figure 1.** Nomogram showing results of the prognostic model using age, clinical stage, CAR, and NEU characteristics predicting OS in the primary cohort (A), Nomogram model predicting the 1- and 3-year OS of patients with NSCLC in the validation cohort (C). Calibration curves predicting patient OS at 3 years in the primary cohort (B) and validation cohort (D). Total points projected on the bottom scales indicate the probability of 1- and 3-year survival.

**Table 4.** The C-index of Nomogram Model and TNM Stage for Prediction of OS in the Primary Cohort and Validation Cohort.

Variables	Primary cohort		Validation cohort	
	C-index(95%CI)	<i>P</i>	C-index(95%CI)	<i>P</i>
Nomogram Model	0.711(0.675-0.747)		0.703(0.671-0.735)	
TNM stage	0.531(0.488-0.574)		0.582(0.545-0.619)	
Nomogram Model vs TNM stage		< 0.01		< 0.01

\*Nomogram Model: including 4 risk factors (age, stage, CAR, NEU). C-index = concordance index; CI = confidence interval. *P* < 0.05 was considered statistically significant.

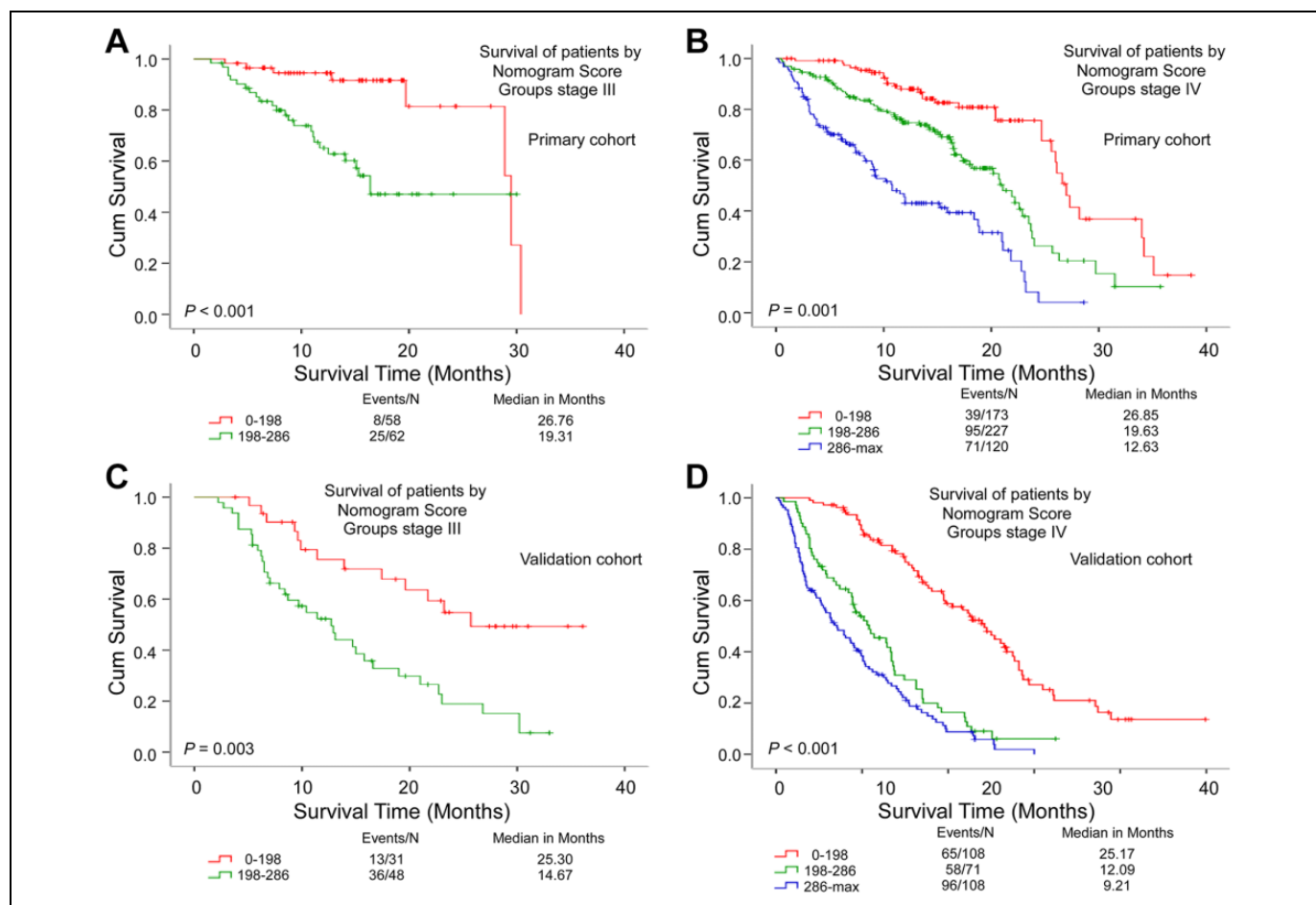


**Figure 2.** Decision curve analysis for 3-year survival predictions in the primary cohort (A) and validation cohort (B). In the decision-curve analysis, the y-axis indicates net benefit. The straight line represents the assumption that all patients will die, while the horizontal line represents the assumption that no patients will die.

**Table 5.** Point Assignment and Prognostic Score of the Nomogram Model.

Variable and prognostic score	Score	Primary cohort	Validation cohort	Estimated 1-year OS (%)	Estimated 2-Year OS (%)	Estimated 3-year OS (%)
<b>Age group points</b>						
≤58	50	44	50			
>58	71	70	71			
<b>Stage group</b>						
III	23	15	23			
IV	68	71	68			
<b>CAR group points</b>						
≤0.15	0	0	0			
>0.15	100	100	100			
<b>NEU(×10<sup>9</sup>/L)</b>						
≤5.40	45	35	45			
>5.40	72	85	72			
<b>Primary cohort</b>						
	0-198			67.05	24.45	1.16
	198-286			53.30	5.29	0
	≥ 286			28.33	1.68	0
<b>Validation cohort</b>						
	0-198			70.50	21.65	7.91
	198-286			38.66	7.56	0
	≥ 286			26.61	2.75	0





**Figure 3.** Risk group stratification within III and IV stage of patients with NSCLC in the primary and validation cohorts. Kaplan-Meier curves of OS according to the score predicted OS shown in the nomogram.

### Decision Curve Analysis for 3-Year Survival Predictions

The results of the decision curve analysis in the primary and validation cohorts at 3 years is presented in Figure 2. Compared to the traditional TNM staging system, the 2 nomogram models that were established had higher overall net benefits than the traditional TNM staging systems across a wide range of threshold probabilities.

### Performance of the Nomogram Model in Stratifying Risk

Based on the prediction of the nomograms, patients in the primary cohort were divided into three groups, namely, low-risk (score: 0–198), intermediate-risk (score: 198–286), and high-risk groups (score:  $\geq 286$ ) (Table 5). Results suggested that patients with higher scores corresponded to worse prognoses. In the 2 cohorts, the survival probabilities for 1, 2, and 3 years in the low-risk group were 67.07%, 24.45%, 1.16%, and 70.50%, 21.65%, 7.91%, respectively. The survival probabilities of the 2 cohorts in the intermediate-risk group were 53.30%, 5.29%, 0%, and 38.66%, 7.56%, 0% for 1, 2, and 3 years, respectively. The survival probabilities of the 2 cohorts

in the high-risk group were 28.33%, 1.68%, 0%, and 26.61%, 2.75%, 0% for 1, 2, and 3 years, respectively. Patients with advanced NSCLC were divided into different risk subgroups after applying the cutoff values. Kaplan-Meier curves showed that these subgroups were significantly associated with OS outcomes in stage III and stage IV in the primary ( $P < 0.001$ ,  $P = 0.001$ ) and validation cohorts ( $P = 0.003$ ,  $P < 0.001$ ) (Figure 3).

### Discussion

Lung cancers are aggressive, have a high incidence globally, and are associated with mortality.<sup>2</sup> Patients in similar stages of NSCLC may have different outcomes; additionally, the survival of individual patients is remarkably heterogeneous.<sup>23</sup> In most patients with stage III-IV lung cancer, chemotherapy, radiotherapy, and targeted therapy are the available treatment options.<sup>24</sup> An important factor influencing treatment decisions is the expected prognosis; however, clinicians are often inaccurate in their survival predictions.<sup>25</sup> Therefore, other factors are needed to assess prognosis in patients. Our study aimed to compare the relative prognostic values of existing and routinely

available inflammatory variables in patients with NSCLC. Our study investigated these parameters in patients with advanced NSCLC undergoing chemoradiotherapy or chemotherapy in an attempt to clarify the optimal use of these biomarkers and enable prompt and easy evaluation with regard to cancer prognosis.

To the best of our knowledge, the present study represents the first and single largest advanced NSCLC cohort to investigate and compare the prognostic value of a wide set of circulating biomarkers of inflammatory response. The biochemical “cross-talk” between inflammatory cells and the growing neoplastic clone is of great pathogenic and prognostic importance.<sup>26</sup> On a general level, markers derived from the blood are representative of inflammation that occurs both at local and systemic levels during cancer, which may be useful in studying the association between inflammation and carcinogenesis. Furthermore, determining the levels of these markers is a relatively inexpensive process. They are routinely measured in daily clinical practice and readily provide objective information to help medical practitioners estimate patient prognosis. In this study, we established a nomogram based on CAR, NEU, and clinical characteristics to predict the survival of patients with advanced NSCLC. Univariable analysis showed that age, gender, clinical stage, radiotherapy, NEU, NLR, PLR, CRP, ALB, and CAR are associated with the OS of patients with advanced NSCLC. Using multivariable analysis, we identified age, clinical stage, CAR, and NEU as independent prognostic factors in patients with advanced NSCLC. Subsequently, we established an effective predictive nomogram model for these patients, which included age, clinical stage, CAR, and NEU. The C-index of our model predicted OS with an accuracy of 0.711 (95% CI: 0.675–0.747), which was a significantly better prediction than that of the TNM staging system (0.531, 95% CI: 0.488–0.574) ( $P < 0.01$ ). Moreover, in the validation cohort, the C-index of the nomogram model (0.703, 95% CI: 0.671–0.735) was higher than that of the TNM staging system (0.582, 95% CI: 0.545–0.619,  $P < 0.01$ ). Additionally, either the established nomogram or the validated nomogram model had a higher overall net benefit than the TNM staging system at 3 years. Based on our model, patients were divided into 3 risk groups. Each group had a distinct survival outcome, and the high-risk group had the shortest OS among the 3 risk groups. Therefore, the nomogram model is a reliable tool to predict outcomes in patients with advanced NSCLC.

In our nomogram, the CAR and TNM staging system contributed the most in predicting OS in patients with advanced NSCLC. CRP is a sensitive indicator of inflammation and responds quickly to changes in clinical situation.<sup>27</sup> CRP levels are indicative of tumor-associated inflammatory responses, which are accompanied by the up-regulation of cytokines and inflammatory mediators, inhibition of apoptosis, induction of angiogenesis, stimulation of DNA damage, immunosuppression, and remodeling of the extracellular matrix, thus promoting tumor growth and metastasis.<sup>28</sup> Increased level of CRP has been documented in patients with NSCLC and is associated with poor outcomes.<sup>16</sup> Albumin is a negative acute-phase protein because its level

reduces during injury and sepsis.<sup>29</sup> Fan et al suggested that hypoalbuminemia was associated with worse survival in both operable and inoperable patients without elevated levels of CRP.<sup>30</sup> Studies show that albumin levels tend to fall in patients with elevated levels of CRP; this phenomenon is common across different tumor types.<sup>31</sup> An abnormal CAR has been previously associated with death in patients with operable NSCLC.<sup>18</sup> A recent study also revealed that the CAR is an independent predictor of death in patients with stage IV NSCLC receiving palliative chemotherapy.<sup>13</sup> Therefore, the TNM staging system and age are important prognostic factors in patients with lung cancer. Moreover, there is a correlation between poor clinical outcomes and high NEU counts in predicting OS in NSCLC, which has been reported both locally and systemically in patients with NSCLC,<sup>8,9</sup> suggesting that NEU and inflammation play important roles in carcinogenesis. Regarding the mechanism, some studies report that NEUs recruited into the tumor stroma exert pro-tumorigenic effects and facilitate tumorigenesis, promote tumor growth and metastasis, stimulate tumor angiogenesis, and mediate immunosuppression.<sup>32</sup>

Although the nomogram in this study could precisely predict survival in patients with advanced NSCLC, our study has some limitations. Firstly, the study was a retrospective design; therefore, pre-treatment blood tests could not be performed at a defined baseline time point. Thus, the prognostic significance of systematic inflammatory biomarkers in patients with NSCLC remains to be confirmed using prospective and clinical validation studies in the future. Secondly, ours was a single-center study. The data utilized in the study were collected from a single institution; thus, clinical and survival comparison might be influenced by selection bias owing to the differences in patient populations. Therefore, our results need to be further verified using multi-center studies, which would help validate our nomogram model. Despite these limitations, the established nomogram is an effective tool to predict the OS in patients with advanced NSCLC and could potentially help clinicians make individualized treatment decisions.

## Conclusion

We established and validated a nomogram model factoring age, clinical stage, CAR, and NEU for predicting survival in patients with advanced NSCLC. It shows a better level of prediction ability than that of the traditional TNM staging system. Our model is a simple, precise, and easy-to-use scoring system, which could help clinicians estimate the survival of patients with advanced NSCLC.

## Authors' Note

NX and STX considered and designed the study. ZLH and SX collected the data and conducted the statistics. YYZ and other authors help to collect the data. All authors participated in the reviewed of the manuscript and approved the final manuscript. The only record of contacting subjects for identification and research is the informed consent document, signed informed consent poses an undue threat to the subject's privacy. This study is based on retrospective analysis,

exemption from ethical approval and informed consent will not adversely affect the subject's rights and health. Therefore, this study is exempt from ethical approval and informed consent.

### Declaration of Conflicting Interests

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