

OPEN

Three-dimensional assembly of multiwalled carbon nanotubes for creating a robust electron-conducting network in silicon-carbon microsphere-based electrodes

Hyemin Kim¹, Dae-wook Kim¹, Hitomi Todoki¹, Nobuyuki Zettsu^{1,2*} & Katsuya Teshima^{1,2*}

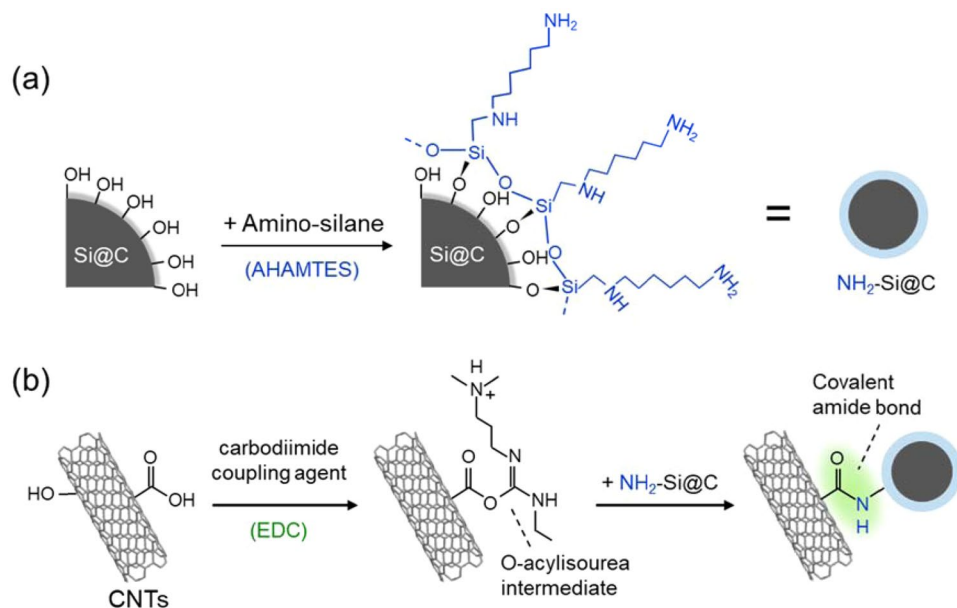
We present a strategic approach to improve the cycle performance of a polymeric binder-free anode based on nano-Si@C microspheres by incorporating a multiwalled carbon nanotubes (MW-CNTs) network and performing carbodiimide-based condensation coupling to form a robust molecular-junction between MW-CNTs and nano-Si@C microspheres. Field-emission scanning electron microscopy reveals that one-dimensional MW-CNTs homogeneously wrapped the individual Si@C microspheres and they interweave through the intergranular nanospace. The incorporation of amide bonds at the junction primarily contributes to the stabilization and reinforcement of the hybrid electrodes. Their reversible capacity after 50 cycles with 0.5 A g^{-1} was significantly improved from 81 mAh g^{-1} to 520 mAh g^{-1} . Such robustness associated with the supramolecularly assembled MW-CNTs is expected to facilitate electron conductivity and mass transfer kinetics, leading to enhanced electrochemical performance of the Si@C anode.

Silicon is one of the most promising anode materials that delivers a high theoretical capacity of 3574 mAh/g (in association with $\text{Li}_{15}\text{Si}_4$ phase formation), which is ten times higher than that of a graphite anode which is widely used in commercialized lithium-ion batteries (LIBs)^{1,2}. However, Si anode suffers from massive volume changes during cycling, which results in the pulverization of the active materials of the anode and loss of electrical components. Furthermore, such a huge volume change of the anode causes breakdown and subsequent reformation of the solid electrolyte interface (SEI) layer. These changes lead to a sudden drop in capacity after approximately 10–20 cycles of operation^{3–9}.

Various approaches have been proposed to overcome the cyclability issues of a Si anode. For instance, approaches such as the reduction of the Si particle size, adoption of various structural designs, and formation of composites with other components have been widely investigated^{10–16}. Composites of Si with carbonaceous materials (e.g., graphene, graphite, and carbon nanotubes etc.) have also attracted much attention, because the carbon materials contribute the physical constrain for volume expansion via covering the silicon crystals. Furthermore, it delivers the decreasing an internal resistance in the electrode via large area direct contact with Si crystal surface^{17–19}. Furthermore, many studies have focused on understanding the effect of the structure of polymeric binders on the cycling performances. Nguyen *et al.* systematically studied the effects of binders on silicon anodes by focusing on the SEI formation²⁰. Yu *et al.* evaluated the effects of binders on the changes in the electrode thickness during the lithium-ion insertion and extraction processes⁴.

However, previous approaches did not deliver effectively enough for lowering the impedance of the electrodes while simultaneously sustaining their mechanical integrity during the large volume changes for a long cycled

¹Department of Materials Chemistry, Faculty of Engineering, Shinshu University, 4-17-1 Wakasato, Nagano, 380-8553, Japan. ²Research Initiative for Supra-Materials, Shinshu University, 4-17-1 Wakasato, Nagano, 380-8553, Japan. *email: zettso@shinshu-u.ac.jp; teshima@shinshu-u.ac.jp



Scheme 1. Schematic illustration of the procedure for the preparation of the covalently cross-linked CNT/EDC/NH₂-Si@C electrode; (a) surface silanization of Si@C and (b) carboxyl group (–COOH) activation for spontaneous reaction with a primary amine (–NH₂) on Si@C using EDC as a coupling agent.

operation. The adhesion between the Si particles and polymeric binders is not still sufficiently strong for the fixation of Si particles via physisorption, leading to the detachment of the coating and capacity fading upon cycling.

Very recently, we proposed a new strategic approach based on a supramolecularly assembled cathode composed of multiwalled carbon nanotubes (MW-CNTs) and LiNi_{0.5}Co_{0.2}Mn_{0.3}O₂ (NCM523) for achieving both high rate capability and stable cycling characteristics at a high tapped density of 3.8 g cm^{−3}^{21,22}. Through supramolecular assembly of the electrode components, a stable conductive network can be formed inside the electrode and the insulating polymer binder can be excluded from the interior parts of the electrode. The MW-CNT stretched reversibly with changes in the volume of the NCM523 particles, and rendered the electrode highly robust to resist damage with long-term cycling.

Herein, we expanded the aforementioned strategy to an anode based on nano-Si crystals embedded in a carbon microsphere (Si@C) using supramolecular MW-CNTs as the binder. Particularly, since the Si anode undergoes a larger volume change than a NCM523 cathode during cycling, the effect of molecular bonding between the MW-CNT binder and Si@C microsphere was examined. We comparatively analyzed three different hybrid electrodes with different interactions: (i) CNT/Si@C with van der Waals interaction, (ii) CNT/NH₂-Si@C with attractive electrostatic interaction, and (iii) CNT/1-(3-Dimethylaminopropyl)-3-ethylcarbodiimide hydrochloride (EDC)/NH₂-Si@C with covalent bonding (amide bond). Furthermore, we did not use a polymeric binder to more clearly verify the effect of chemical bonding in our electrode system.

Results and Discussion

Preparation of CNT/Si@C hybrid systems. The hybrid of MW-CNTs and Si@C microspheres was prepared in three steps by solution processes, as shown in Scheme 1. Chemical states and local structures of the series of Si@C microsphere-based electrodes, including CNT/EDC/NH₂-Si@C, CNT/NH₂-Si@C, and NH₂-Si@C were studied by XPS and ATR-FT-IR spectroscopies to track the coating process. Figure 1a shows the XPS N 1s core-level spectra of the three materials. Spectral features of primary amine (–NH₂, 399.7 eV) and hydrogen bonded/protonated amine (–NH₂/NH₃⁺, 401.7 eV) groups suggest that primary amine groups in the AHAMTES monolayer were densely immobilized on the Si@C microsphere surface through the silane coupling reaction. Since it is well known that silane coupling agents readily react with hydroxyl groups on metal oxide surfaces, the results indicate the presence of a native oxide layer on the nano-Si crystal surface within the top-layer of soft-carbon layer that is accessible for the silane coupling reactions. The peak intensity of the primary amine decreased with no appearance of new peaks in the XPS of the mixture of NH₂-Si@C and MW-CNTs (CNT/NH₂-Si@C). This result indicates that, at the interface, MW-CNT network and Si@C microsphere interacted through electrostatic interactions without any chemical bonding. In contrast, a new intense peak centered at 400.6 eV attributed to amide bonds (–NH–C=O) appeared in the XPS of CNT/EDC/NH₂-Si@C, implying that EDC cross-linking agent promoted the amide bond formation between the MW-CNTs and NH₂-Si@C microsphere surface (Fig. 1b).

Further, we conducted FT-IR spectroscopy to identify the condensation reaction between the MW-CNT and Si@C microsphere assisted by EDC coupling agent. In Fig. 1c, new signals appeared at 1650–1500, 1240–1040, 990–880, and 770–750 cm^{−1} in Si@C microsphere after surface modification with AHAMTES monolayer. Furthermore, signals in the range of 1650–1500, 1240–1040, and 770–750 cm^{−1}, which can be attributed to protonated amine (–NH₃⁺), primary aliphatic amine (–NH₂), and secondary aliphatic amine (–NH–) groups, were also

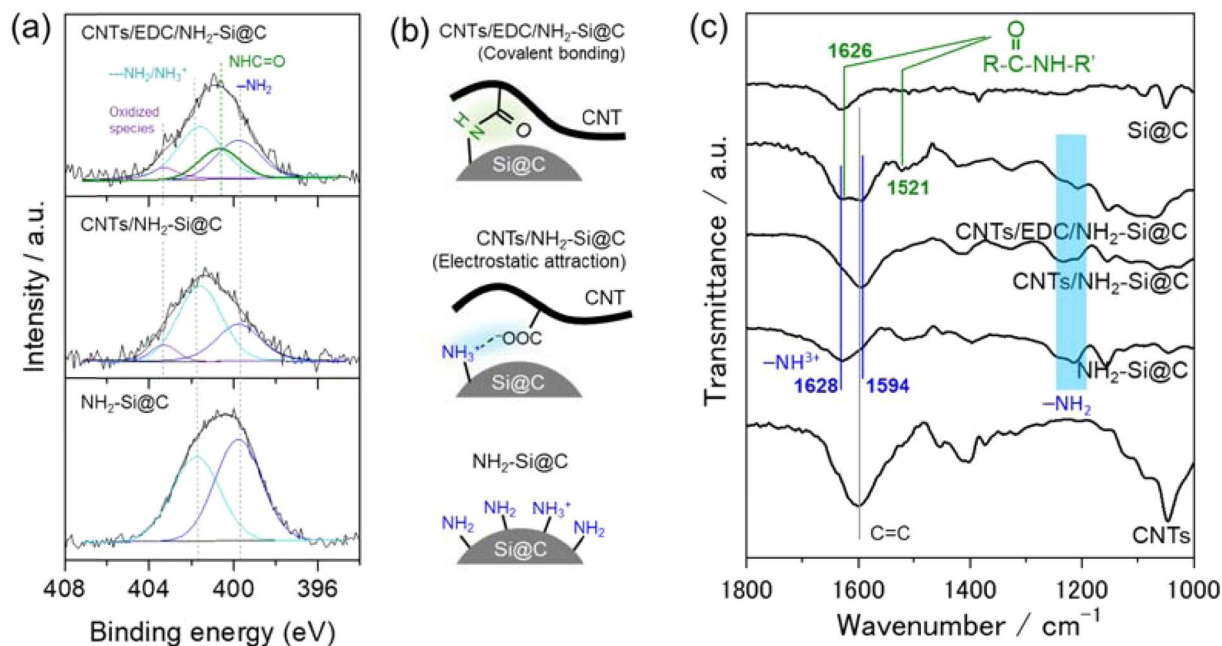


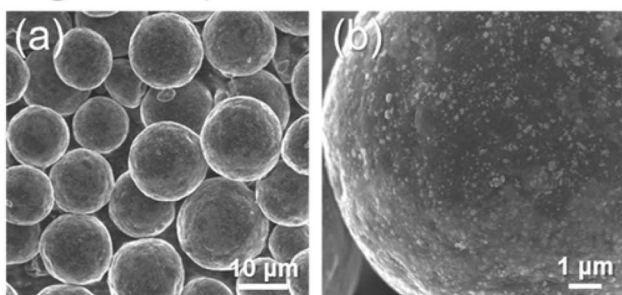
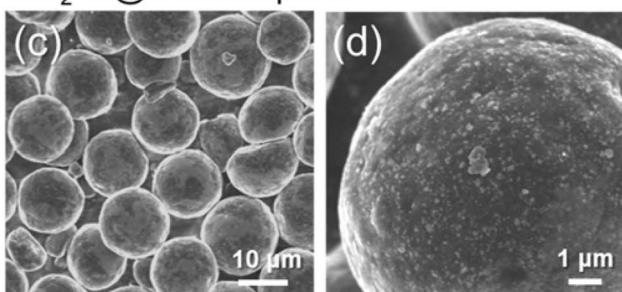
Figure 1. (a) XPS N 1s core-level spectra and (b) illustrations of NH₂-Si@C (bottom), CNT/NH₂-Si@C (middle), and CNT/EDC/NH₂-Si@C (upper) systems. (c) FT-IR spectra of CNT/Si@C hybrid composite systems used in the preparation of electrodes.

detected. These results strongly support the functionalization of the Si@C microsphere surface with AHAMTES molecules. Note that the area of the peaks of free amine ($-\text{NH}_2$) at $1240\text{--}1020\text{ cm}^{-1}$ decreased significantly, while that of the peaks at ~ 1650 and 1035 cm^{-1} attributed to $\text{C}=\text{C}$ and $\text{C}=\text{O}$ in the MW-CNTs increased, suggesting the binding of NH₂-Si@C microspheres to MW-CNTs through electrostatic attractive interaction. Moreover, CNT/EDC/NH₂-Si@C complex prepared using the EDC coupling agent showed two distinct IR peaks at 1626 and 1521 cm^{-1} , assigned to amide bonds ($\text{R}-\text{CO}-\text{NH}-\text{R}'$). These FT-IR spectral features are consistent with the XPS spectral characteristics of the materials shown in Fig. 1a.

FE-SEM images show that the Si@C microspheres are highly uniform in shape. Detail structural characteristics were described in the literature²³. For instance, the size distribution analyzer and nitrogen adsorption revealed their median size (D50) of 14.9 μm and specific surface area of $1.4\text{ m}^2/\text{g}$, respectively. Their morphological characteristics remain same after the AHAMTES coating (Fig. 2a–d). This result implies that the AHAMTES layers were thinly coated on the surface. As shown in Fig. 2e–h, one-dimensional MW-CNTs homogeneously wrap the individual NH₂-Si@C microsphere surface and are interwoven through the intergranular nanospace. The microspheres are intimately embedded in the three-dimensional (3D) electronic conductive network formed within the composite electrodes. These structural features are significantly different from those of conventionally used composite electrodes, while being very similar to those of binder-free supramolecularly assembled electrode systems, which we have demonstrated for NCM523 cathodes^{21,22}. The thickness of MW-CNTs coating was average 200–300 nm, as evaluated by cross-sectional FE-SEM (Fig. S1). Physically attached MW-CNT seems to be containing, MW-CNTs in excess of those used for coating the microsphere surface were also consumed in the formation of junctions at the intergranular nanospaces. In contrast to the hybrid electrodes of MW-CNTs with NH₂-EDC-Si@C microspheres (CNT/EDC/NH₂-Si@C), the MW-CNT network in electrostatically interacting CNT/NH₂-Si@C showed partial delamination from the NH₂-Si@C microsphere surface. This difference is due to the lack of bond strength required to fix the MW-CNTs to the entire surface of the NH₂-Si@C microsphere. The incorporation of amide bonds at the junction primarily contributes to the stabilization and reinforcement of MW-CNTs in the covalently bound CNT/EDC/NH₂-Si@C hybrid electrodes.

Electrochemical performance of the composite electrodes. To demonstrate the effect of EDC coupling on the electrochemical performance of the composite electrode, half-cells composed of CNT/Si@C, CNT/NH₂-Si@C, and CNTs/EDC/NH₂-Si@C were tested and the results are compared. Figure 3 shows the first ten consecutive galvanostatic charge-discharge profiles of all the electrodes tested in 2032 type coin cells. No polymeric binder was used in the preparation of electrodes to verify the effect of amide bonding on the robustness of the MW-CNT micro-grid network. Hybrid electrodes based on CNT/Si@C microsphere delivered an initial capacity of $830\text{--}855\text{ mAh g}^{-1}$ in total mass equivalent of the Si@C microsphere and $\sim 2441\text{--}2514\text{ mAh g}^{-1}$ in total mass equivalent of the nano-Si crystal, respectively. Surface functionalization with AHAMTES decreased the initial capacity of the microsphere, which is reasonable because the capacity is calculated based on the total mass of the composite. The weight of the Si@C microsphere increased with the incorporation of AHAMTES and EDC, which resulted in decreased capacity of the material. A system based on acetylene black and PVDF binder (PVDF/AB/

Si@C microsphere

NH₂-Si@C microsphere

CNT/Si@C electrode

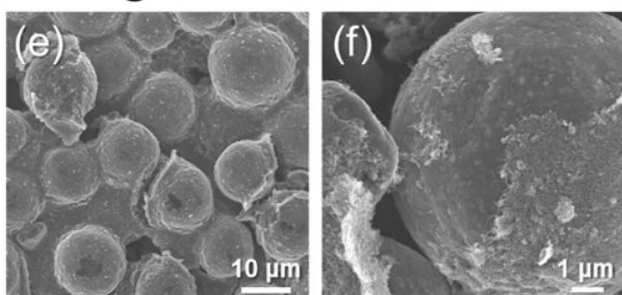
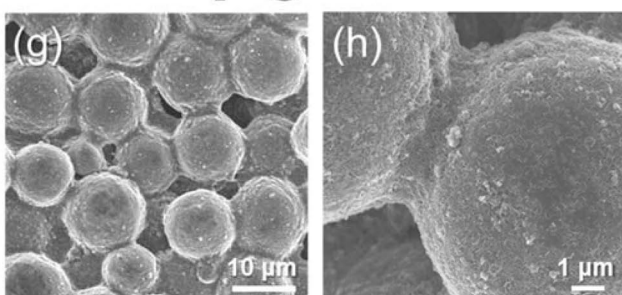
CNT/EDC/NH₂-Si@C electrode

Figure 2. FE-SEM images of (a,b) bare Si@C particles, (c,d) NH₂-Si@C particles, (e,f) non-covalently bound CNT/Si@C electrode (van der Waals interactions), and (g,h) covalently cross-linked CNT/EDC/NH₂-Si@C electrode (covalent amide bond).

Si@C) was also tested for further comparison. The PVDF/AB/Si@C electrode was found to have the lowest initial capacity of 730 mAh g⁻¹ in the first lithiation process, which suggests the lack of electron conductivity in the electrode due to weaker interaction between PVDF and Si@C microspheres.

Note that the immobilization of an AHAMTES monolayer on the Si@C microsphere had further noticeable effects on the Coulombic efficiency in the first cycle for a given condition. Figure 4a presents the dQ/dV plots for the first cycle. The shape of the dQ/dV plots is different for different electrodes with the Si@C microspheres. The plots of PVDF/AB/Si@C and CNT/Si@C electrodes contain intense peaks at 0.21 and 0.07 V, which are likely due to both the reduction of the electrolyte as well as the silanol groups formed on nano-Si crystal surface (native oxide layer), respectively²⁰. These peaks are not found in the plots of AHAMTES-monolayer-immobilized electrodes, suggesting that the AHAMTES monolayer altered the initial interfacial reactions. Since the capacity of the half-cell in the first lithiation process is associated with the reductive decomposition of electrolyte at the surface

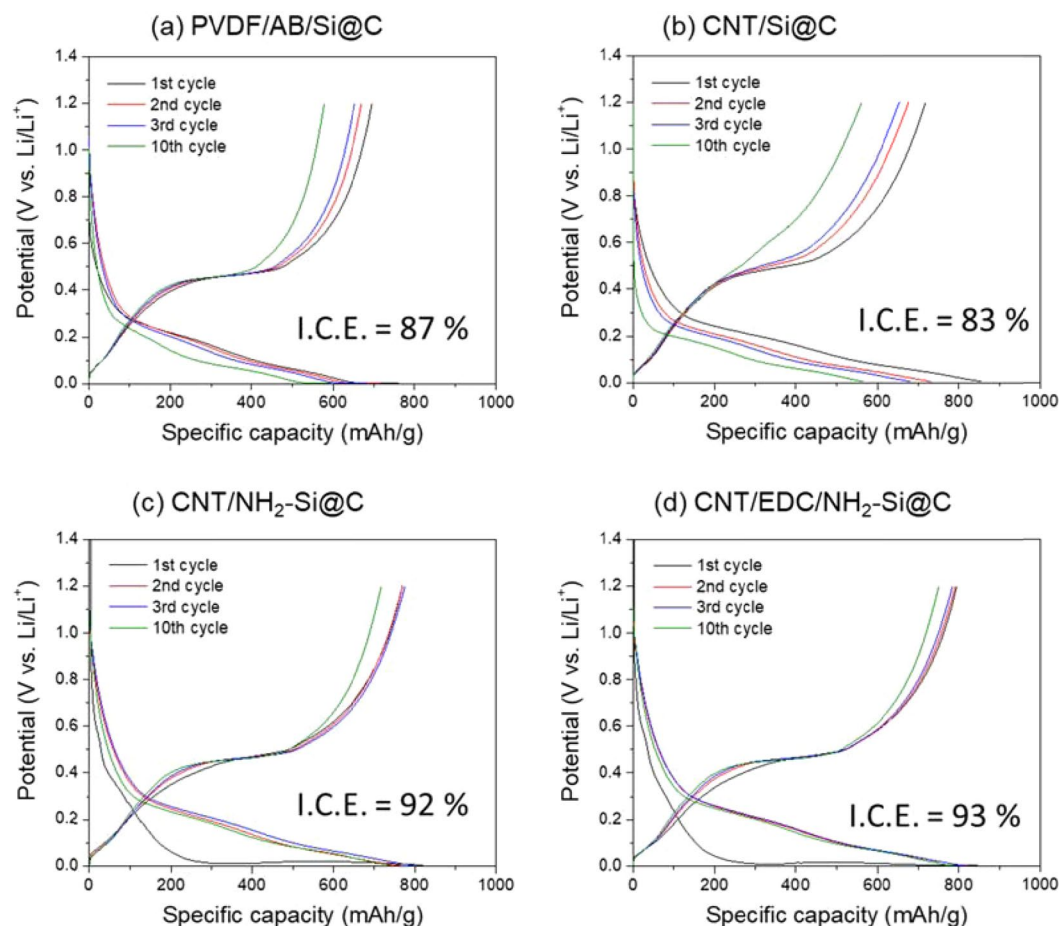


Figure 3. Galvanostatic charge-discharge profiles of Si@C-based electrodes at a current density of 0.5 A g^{-1} between 0.005 and 1.2 V (vs. Li/Li⁺): (a) PVDF/AB/Si@C, (b) CNT/Si@C (c) CNT/NH₂-Si@C, and (d) CNT/EDC/NH₂-Si@C.

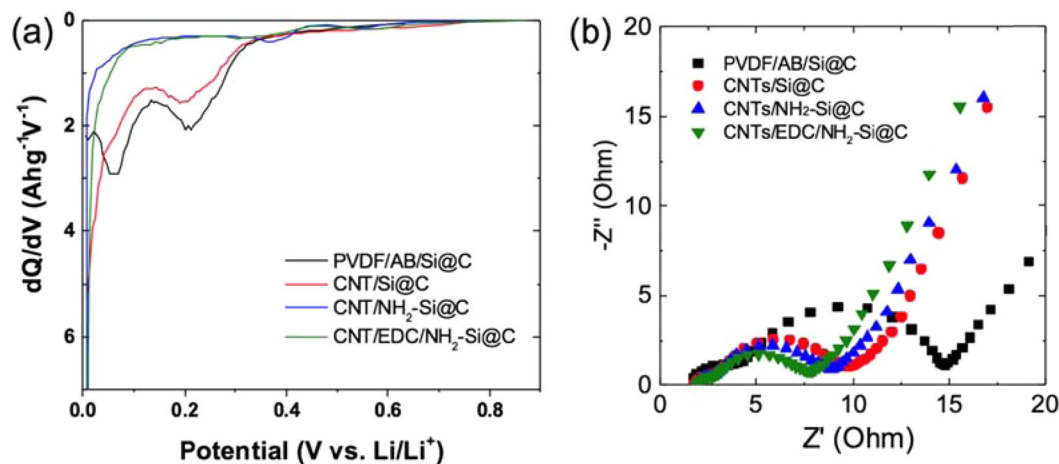


Figure 4. (a) dQ/dV profiles of the series of half-cells of Si@C-based anodes at a current density of 0.5 A g^{-1} (first lithiation), and EIS spectra (b) after 5 cycles.

leading to form SEI formation and Li⁺ storage inside the nano-Si crystals, it can be concluded that the AHAMTES monolayer is responsible for passivating the nano-Si crystal surface exposed from the carbon microspheres as well as for reducing the specific surface area in direct contact with the electrolyte. This monolayer contributes to the

	R_{SEI} (Ω)		R_{ct} (Ω)	
	After 5	After 50	After 5	After 50
PVDF/AB/Si@C	2.76	4.73	9.92	42.61
CNT/Si@C	0.83	6.47	6.49	19.26
CNT/NH ₂ -Si@C	0.99	5.62	4.51	16.98
CNT/EDC/NH ₂ -Si@C	0.74	6.57	4.70	12.38

Table 1. EIS parameters of the series of the half-cells of Si@C-based anodes obtained after 5 and 50 cycles.

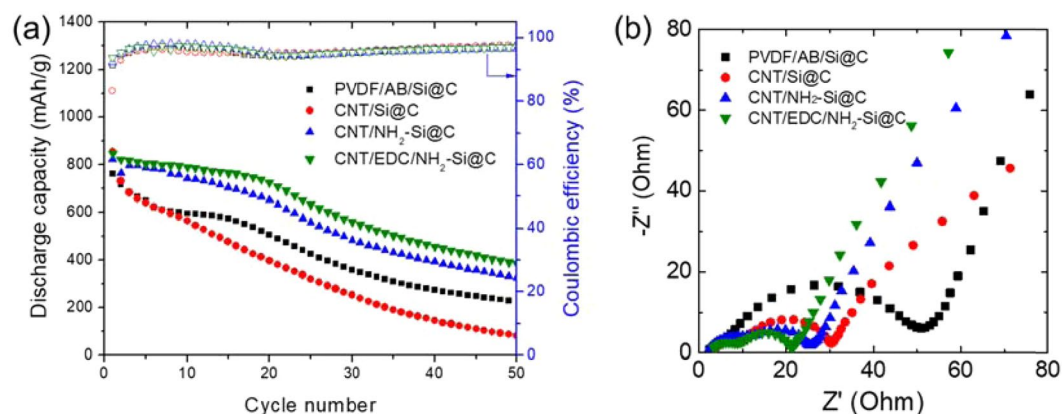


Figure 5. (a) Cycling performances of the half-cells of Si@C-based anodes at a current density of 0.5 A g^{-1} (50 cycles), and (b) the EIS spectra of cells after 50 cycles.

mitigation of the SEI layer growth at the electrode-electrolyte interface. Very similar effects were observed in the high voltage spinel cathode surface with fluoroalkylsilane monolayer coating^{24,25}.

According to the galvanostatic charge-discharge curves shown in Fig. 3, we further notice that the voltage profiles of the CNT/EDC/NH₂-Si@C hybrid electrodes showed significantly lower polarization and small capacity fading during the first ten cycles as compared with those of other electrodes, indicating that the electron-conducting MW-CNT network is stiffly organized inside the electrode and remains mechanically robust during the cycles. The highly organized network of MW-CNT is considered to respond rapidly to lithiation/delithiation reactions with less polarization. Moreover, as shown in Fig. 4b and Table 1, the chemical impedance spectra acquired from the 5th cycle reveal that CNT/EDC/NH₂-Si@C hybrid electrodes present the lowest surface and charge transfer resistances among the electrodes studied. The variation in the electrochemical properties according to the interaction between the MW-CNT network and Si@C suggests that physical interaction without any chemical bonding leads to interparticle connections with insufficient electron conductivity, whereas the coverage of the entire Si@C microsphere surface seemed to be same in all cases, as evaluated by FE-SEM observation, regardless of the type of interaction.

Cyclability tests were conducted to further investigate the effect of EDC coupling on the robustness of the CNTs/Si@C hybrid electrodes during cycling. Figure 5a shows the change in capacity as a function of cycle number, evaluated at a current density of 0.5 A g^{-1} for 50 cycles. PVDF/AB/Si@C and CNT-Si@C microsphere electrodes show significant decline in capacity during the initial stages of cycling. The reversible capacity degraded to 220 and 81 mAh g^{-1} after 50 cycles, respectively. These values correspond to retention of ~ 28 and 10% of the initial capacity. It seems that the capacity drop was caused by the disconnection of the electron conductive network in the electrode accompanying the massive volume changes. CNT/EDC/NH₂-Si@C microsphere electrodes showed remarkable improvements in both the specific capacity and Coulombic efficiency as compared with those of others, clearly demonstrating the benefit of introducing amide bonding for the fixation of MW-CNTs. The reinforcement of the MW-CNT network with covalent bonding led to an electron-conducting network that remained highly stable during large volumetric changes in nano-Si crystals corresponding to lithiation and delithiation reactions. A gradual capacity fading was still observed, a reversible capacity of $\sim 400 \text{ mAh g}^{-1}$ (capacity retention of $\sim 47\%$ with respect to that of the 1st cycle) was still maintained after 50 cycles, with an excellent average Coulombic efficiency of 99% throughout the cycles. The amide bond strengthened the bonding between Si@C and MW-CNT network, thereby improving the stability of the electron conduction network formed in the electrode. These results imply that van der Waals forces cannot provide sufficient binding force to firmly hold Si@C microsphere and CNT network together. Therefore, we attribute the maximum capacity retention observed for the CNT/EDC/NH₂-Si@C microsphere electrode to stronger interaction between the two components of the composite, owing to amide linkage.

As shown in Fig. 5b, the Nyquist plots of cells subjected to 50 cycles at 25°C consist of semicircles and a sloping line. The first semicircle in the high-to-medium frequency region corresponds to the resistance of the surface film (R_{SEI}) that covers the Si@C microsphere, the second mid-frequency semicircle corresponds to the charge-transfer resistance (R_{ct}) coupled with double layer capacitance, and the sloping line at the low-frequency

(a) Before cycling (fresh electrode)

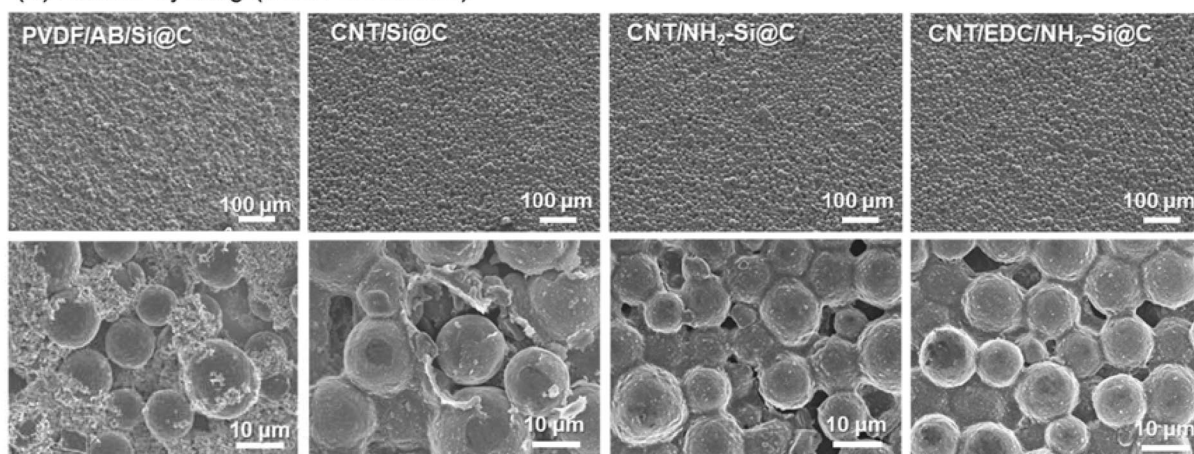
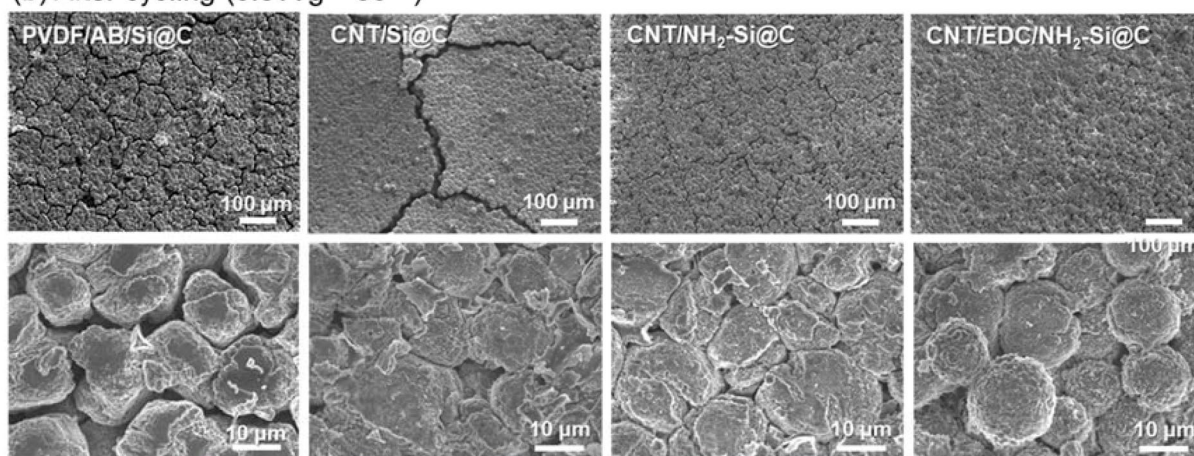
(b) After cycling (0.5 A g^{-1} 50th)

Figure 6. FE-SEM images showing the surface microstructures of PVDF/AB/Si@C, CNT/Si@C, CNT/NH₂-Si@C, and CNT/EDC/NH₂-Si@C electrodes (a) before (fresh electrode) and (b) after 50 cycles. (c) The corresponding digital images of cycled electrodes.

region can be assigned to lithium-ion diffusion in the electrodes. The impedance spectra clearly display the contribution of the low-frequency element due to the modified SEI layer, which promotes Li⁺ transport through the immobilized AHAMTES monolayer. Furthermore, the impedance growth at low frequencies for CNT/EDC/NH₂-Si@C is highly mitigated, as compared to those of CNT/NH₂-Si@C and CNT/Si@C. This result indicates the contribution of the formation of a robust 3D electron conduction pathway inside the electrode, owing to stronger interaction between the MW-CNT network and NH₂-Si@C, because of EDC coupling. The C rate capabilities of CNT/Si@C, CNT/NH₂-Si@C, and CNT/EDC/NH₂-Si@C electrodes were shown in Fig. S2. Irreversible capacity loss has been observed in the discharge reaction due to imperfect inhabitation to the volume change of electrodes during the cycles (details were described below), however the formation of amide bonds clearly contributes to the improvement of kinetical properties.

In order to confirm the structural stability driven by strong interaction between the components of the electrode, changes in surface morphologies during cycling were characterized by FE-SEM. Figure 6a shows the surface FE-SEM images of pristine electrodes. The Si@C microspheres and acetylene black are inhomogeneously distributed in the PVDF/AB/Si@C electrode. In contrast, CNT/Si@C hybrid systems seem to display different morphological characteristics. All the electrodes are well-structured and do not show any critical defects, even in the absence of polymeric binders. The MW-CNTs are homogeneously distributed over the Si@C microsphere surface, regardless of chemical bonding at the interface. Each microsphere is closely bridged by the MW-CNTs, leading to the formation of a micro-grid network in the electrodes. The morphological characteristics of the hybrid electrodes are very similar to those of the supramolecular assemblies of MW-CNT/NMC523 cathodes reported previously^{21,22}. This suggests that short MW-CNTs are preferentially consumed by adsorption to the microsphere

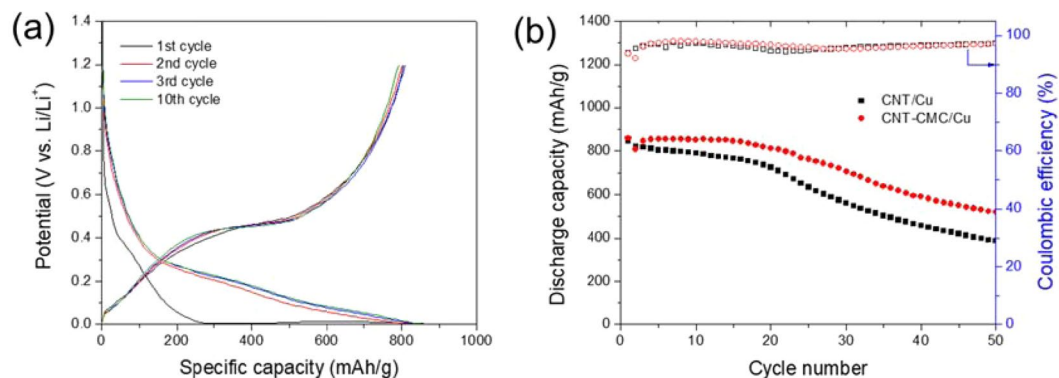


Figure 7. Effect of the modification of carbon-coated Cu current collector surface with CMC on the battery performance; (a) galvanostatic charge-discharge profiles of CNTs/EDC/NH₂-Si@C using a modified current collector at a current density of 0.5 A g⁻¹ and (b) the corresponding cycling performance.

surface. Subsequently, the excess MW-CNTs are considered to self-aggregate at the intergranular nanopores, assisted by the shear force acting on the NMP paste during the coating process. Note that the MW-CNT layer was partially peeled from the CNT/Si@C electrode surface. Since no defects are found in either CNT/NH₂-Si@C or CNT/EDC/NH₂-Si@C, it can be reasonably concluded that the introduction of chemical interaction at the interface significantly impacted the structural durability against the shear force during the coating process.

The robustness of the interface design is clearly reflected in the morphological degradation characteristic of the hybrid electrode after cycling (Fig. 6b). Some cracks and defective microspheres are observed in the all electrodes, depending on the interface design for forming the hybrids. The PVDF/AB/Si@C electrode showed a very different morphology with a large number of cracks and small debris, probably due to the weak interaction of PVDF with the Si@C microspheres. High-magnification images reveal complete deformation of individual microspheres after cycling. Furthermore, a thick SEI layer is observed on the microsphere surface, which is attributed to the continuous side reactions occurring at the interface, as well as the volume expansion of Si particles during the charge and discharge cycles. In contrast, CNT/Si@C electrodes containing the MW-CNT binder show larger cracks compared to those of the PVDF/AB/Si@C electrode, although the extent of the deterioration of individual Si@C microspheres in the electrodes is very similar to those of PVDF-based electrodes. This result indicates that the MW-CNT binder is more vulnerable to large volume changes in nano-Si crystals because it is strongly integrated with the Si@C microsphere than with PVDF through the formation of a homogeneous 3D network. Very similar trends were shown in Si electrodes containing poly(acrylic acid) (PAA) binder by Nguyen *et al.* and Bridel *et al.*^{20,26}. They reported that, as compared to the electrode containing the CMC binder, the highly interacting PAA binder rendered the nano-Si crystal-based electrode more rigid, leading to larger cracks after cycling. In contrast, the crack size decreased significantly in CNT/NH₂-Si@C and CNT/EDC/NH₂-Si@C electrodes as compared to those of others. Furthermore, the original morphological characteristics of the hybrid electrodes were maintained in CNT/EDC/NH₂-Si@C electrodes at the individual microsphere level. Note that, as shown in Fig. 6c, except for the CNT/EDC/NH₂-Si@C electrode, the electrodes are observed to have peeled from the copper current collectors after cycling. Further, although the cross-sectional FE-SEM images of the cycled electrodes revealed that the electrode thickness doubled for PVDF/AB/Si@C and CNT/Si@C electrodes, the increase in thickness was significantly suppressed for the CNT/EDC/NH₂-Si@C electrodes (see Fig. S3). There is a high possibility that the flexibility of newly developed 3D-structured MW-CNT network is sufficient for mitigating the breakage of the electron-conducting network in the electrode due to the massive volume changes in the Si@C microsphere during the reversible lithiation/delithiation reaction. This might be due to the inherent flexibility of the supramolecularly assembled MW-CNT binder. This flexibility is amplified by the increased binding strength of MW-CNT to the Si@C microsphere owing to amide bond formation.

A sudden capacity drop was observed for CNT/EDC/NH₂-Si@C electrode after approximately 20 cycles, although there were no critical disconnections of electron conduction pathways along the MW-CNT grid. In order to enhance the binding strength between the electrode and Cu current collector at the interface, we modified the Cu surface with a carboxymethyl cellulose (CMC) binder. We coated a 9 wt% of mixed aqueous suspension of MW-CNT and CMC on the surface. The MW-CNT: CMC weight ratio was controlled to be 95:5 wt% in an aqueous solution. Galvanostatic charge-discharge test was conducted on the half-cell composed of CNT/EDC/NH₂-Si@C electrode under the same conditions, except for the use of CMC-modified Cu current collector. Note that, as shown in Fig. 7, the capacity degradation curve sloped gently. The capacity after 50 cycles was obviously improved from 400 to 520 mAh.g⁻¹. All these results indicate that the 3D MW-CNT network stretches to follow the large volume expansion and contraction of Si@C microspheres through the incorporation of amide bonds at the interface. In contrast, the adhesion strength of the MW-CNT to the Cu current collector was insufficient under the polymeric binder-free conditions. The lack of electron conductivity at the current collector interface was found to be one of the most powerful contributors to capacity degradation during cycling under present circumstances.

Conclusion

We presented a strategic approach to improve the cycle performance of a polymeric, binder-free nano-Si crystal-based anode by incorporating a self-assembled monolayer and performing EDC coupling as a means of creating a robust molecular junction between the MW-CNTs and nano-Si@C microspheres. Hybrid electrodes based on the supramolecularly assembled MW-CNT network and Si@C microsphere will be one of the attractive candidates for enhancing the structural durability against volume changes in nano-Si crystals anode materials, as well as minimizing the impedance growth during the cycled battery operation of the electrode.

Method

Materials. Nano-silicon crystal-embedded soft-carbon microspheres (Si@C, TCP-207) and a 9 wt% aqueous suspension of multi-walled carbon nanotubes (TCW-261B, 12 nm diameter, 400–600 nm long), which were kindly supplied by TODA KOGYO CORP., were used as precursors for the preparation of MW-CNT/Si@C hybrid electrodes. Average diameter of the Si@C microsphere is $\sim 12 \mu\text{m}$. The soft carbon microspheres were prepared by a thermal chemical vaporization deposition (CVD) process²⁶. Approximately 34 wt% of nano-silicon crystals (~ 50 – 200 nm in size) were homogeneously distributed in each microsphere (Fig. S4). MW-CNTs remained stably suspended in an aqueous suspension without the need for agitation even after two years since the preparation of the suspension. The length and width of the MW-CNTs were confirmed to be approximately 400–500 nm and 20 nm, respectively, through light scattering experiments (SALD-7500nano, SHIMAZU Co Ltd., Japan). The surface of the MW-CNTs was densely functionalized with hydrophilic groups, including carboxylic acid groups, during a cutting process in a 0.5 wt% aqueous carboxymethylcellulose (CMC) solution.

N-(6-Aminohexyl)aminomethyltriethoxysilane ($\text{C}_{13}\text{H}_{32}\text{N}_2\text{O}_3\text{Si}$, AHAMTES) and 1-ethyl-3-(3-dimethylaminopropyl)carbodiimide hydrochloride ($\text{C}_8\text{H}_{16}\text{ClN}_3$, EDC-HCl) purchased from Tokyo Chemical Industry Co. Ltd., were used for the CNT assembly without further purification.

Preparation of CNT/Si@C hybrid electrode. Immobilization of an amino-organosilane monolayer on the Si@C microsphere: Typically, 1 g of Si@C microsphere powder was added to 50 mL of ethanol containing 200 μL of AHAMTES. The mixture was reacted at room temperature overnight to obtain AHAMTES-functionalized Si@C (NH_2 -Si@C). Formation of covalently cross-linked CNT network and Si@C: To enhance the interaction between the carboxylic acid-functionalized MW-CNTs and NH_2 -Si@C microspheres, water-soluble EDC, known as a zero-length carbodiimide crosslinker²⁷, was used for the activation of the carboxyl group to enable its spontaneous coupling with a primary amine. These chemical reactions were performed during the slurry preparation process. First, for activating the carboxyl groups, 0.44 g of a 9 wt% aqueous suspension of MW-CNT and 40 μL of a 50 mM aqueous solution of EDC were mixed well using a planetary centrifugal mixer (AR100, THINKY). Then, 90 wt% of the NH_2 -Si@C powder was added and the resulting mixture was mixed until a homogeneous slurry was obtained (Table S1). Note that other active materials, conducting agents, or polymeric binders were not added to the slurry. The obtained slurry was coated on a carbon-coated copper foil using a Baker-type applicator with controllable coating speed (Eager Corp., Japan). The electrodes were dried at 80 °C for 12 h in a vacuum oven to evaporate the remaining water.

Material characterization. The structure of the material was characterized using an X-ray diffractometer (XRD, Miniflex II, Rigaku, Japan) with $\text{Cu K}\alpha$ ($\lambda = 1.5418 \text{ \AA}$) radiation, which was operated at 30 kV and 20 mA. The microstructures of the all the materials and electrodes were examined by field-emission scanning electron microscopy (FE-SEM, JSM-7600F, JEOL, Japan) at an accelerating voltage of 5 kV. X-ray photoelectron spectroscopy (XPS; JPS-9010MX, JEOL, Japan) with a 150 W monochromatic $\text{Al K}\alpha$ X-ray source (1486.6 eV). The XPS spectra were recorded in the binding energy range of 0 to 1400 eV at a step size of 1 eV and pass energy of 160 eV. High-resolution spectra were obtained at a step size of 0.1 eV. Fourier-transform infrared spectroscopy (FT-IR, JASCO FT/IR-6100 series, Japan) with KBr pellet method was conducted to analyze the chemical bonding of the material. The all operations for taking the spectra were performed under vacuum.

Electrochemical characterization. The electrodes were assembled into 2032 type coin cells with 1 mol L⁻¹ LiPF_6 in a mixture of ethylene carbonate-dimethyl carbonate (1:2 ratio by volume) (Kishida Chemical Co. Ltd.) as the electrolyte. The loading amount of Si@C microsphere was controlled at $\sim 1.5 \text{ mg}\cdot\text{cm}^{-2}$. Lithium metal (99.9%, Honjo Metal Co. Ltd.) was used as the counter electrode and Celgard 2400 membrane (Celgard Inc., USA) was used as a separator. In order to verify the effects of covalently cross-linked MW-CNT networks on the robustness of Si@C-based electrodes, the following electrodes were also prepared for comparison: (i) CNT/Si@C (obtained by mixing two components without any surface modification; physisorption), (ii) CNT/ NH_2 -Si@C (prepared by mixing MW-CNTs and amino-organosilane-functionalized Si@C microspheres; electrostatic attraction), and (iii) Si@C/AB/PVDF (a conventional electrode system prepared using Si@C, acetylene black, and polyvinylidene fluoride (PVDF) binder at a mass ratio of 80:10:10 in *N*-methyl-2-pyrrolidone (NMP) solvent). Galvanostatic charge-discharge tests were performed using a potentiostat/galvanostat (HJ1020Msd8, Hokuto Denko, Japan) in the potential window of 5 mV–1.2 V (vs. Li/Li^+) at $25 \pm 1 \text{ }^\circ\text{C}$ in a constant-temperature chamber (SU-221, Espec). The current density was 0.5 A g⁻¹. The capacities were calculated with respect to the total mass of the active material, including the coating layer. Electrochemical impedance spectroscopy (EIS) and cyclic voltammetry (CV) were carried out using a multi-potentiostat (VSP-300, Bio-Logic). The frequency range for the EIS measurements is 200 kHz to 1 mHz, and CV curves were obtained in the potential range of 5 mV–2.0 V (vs. Li/Li^+) at a scan rate of 0.1 mV s⁻¹.

Received: 16 September 2019; Accepted: 23 December 2019;

Published online: 11 February 2020

References

- Kasavajjula, U., Wang, C. & Appleby, A. J. Nano- and bulk-silicon-based insertion anodes for lithium-ion secondary cells. *J. Power Sources* **163**, 1003–1039 (2007).
- Manthiram, A. An Outlook on Lithium Ion Battery Technology. *ACS Cent. Sci.* **3**, 1063–1069 (2017).
- Liu, X. H. *et al.* Size-dependent fracture of silicon nanoparticles during lithiation. *ACS Nano* **6**, 1522–1531 (2012).
- Yu, D. Y. W., Zhao, M. & Hoster, H. E. Suppressing Vertical Displacement of Lithiated Silicon Particles in High Volumetric Capacity Battery Electrodes. *ChemElectroChem* **2**, 1090–1095 (2015).
- Michan, A. L. *et al.* Solid Electrolyte Interphase Growth and Capacity Loss in Silicon Electrodes. *J. Am. Chem. Soc.* **138**, 7918–7931 (2016).
- Beattie, S. D., Larcher, D., Morcrette, M., Simon, B. & Tarascon, J. M. Si Electrodes for Li-Ion Batteries—A New Way to Look at an Old Problem. *J. Electrochem. Soc.* **155**, A158–7 (2008).
- Kwon, T.-W., Choi, J. W. & Coskun, A. The emerging era of supramolecular polymeric binders in silicon anodes. *Chem. Soc. Rev.* **47**, 2145–2164 (2018).
- Casimir, A. *et al.* Silicon-based anodes for lithium-ion batteries_ Effectiveness of materials synthesis and electrode preparation. *Nano Energy* **27**, 359–376 (2016).
- Choi, J. W. & Aurbach, D. Promise and reality of post-lithium-ion batteries with high energy densities. *Nat. Rev. Mater.* **1**, 359–16 (2016).
- Wu, H., Du, N., Shi, X. & Yang, D. Rational design of three-dimensional macroporous silicon as high performance Li-ion battery anodes with long cycle life. *J. Power Sources* **331**, 76–81 (2016).
- Li, W., Sun, X. & Yu, Y. Si-, Ge-, Sn-Based Anode Materials for Lithium-Ion Batteries: From Structure Design to Electrochemical Performance. *Small Methods* **1**, 1600037–22 (2017).
- Luo, W. *et al.* Surface and Interface Engineering of Silicon-Based Anode Materials for Lithium-Ion Batteries. *Adv. Energy Mater.* **7**, 1701083–28 (2017).
- Lee, P.-K., Li, Y. & Yu, D. Y. W. Insights from Studying the Origins of Reversible and Irreversible Capacities on Silicon Electrodes. *J. Electrochem. Soc.* **164**, A6206–A6212 (2017).
- Maziar Ashuri, Q. H. L. L. S. Silicon as a potential anode material for Li-ion batteries: where size, geometry and structure matter. *Nanoscale* **8**, 74–103 (2015).
- Luo, Z., Xiao, Q., Lei, G., Li, Z. & Tang, C. Si nanoparticles/graphene composite membrane for high performance silicon anode in lithium ion batteries. *Carbon* **98**, 373–380 (2016).
- Nitta, N., Wu, F., Lee, J. T. & Yushin, G. Li-ion battery materials: present and future. *Biochem. Pharmacol.* **18**, 252–264 (2015).
- Lee, J. H. *et al.* High-energy-density lithium-ion battery using a carbon-nanotube–Si composite anode and a compositionally graded Li[Ni_{0.85}Co_{0.05}Mn_{0.10}]O₂ cathode. *Energy Environ. Sci.* **9**, 2152–2158 (2016).
- Diao, J., Srivastava, D. & Menon, M. Molecular dynamics simulations of carbon nanotube/silicon interfacial thermal conductance. *J. Chem. Phys.* **128**, 164708 (2008).
- Lu, Z. *et al.* Nonfilling Carbon Coating of Porous Silicon Micrometer-Sized Particles for High-Performance Lithium Battery Anodes. *ACS Nano* **9**, 2540–2547 (2015).
- Nguyen, C. C., Yoon, T., Seo, D. M., Guduru, P. & Lucht, B. L. Systematic Investigation of Binders for Silicon Anodes: Interactions of Binder with Silicon Particles and Electrolytes and Effects of Binders on Solid Electrolyte Interphase Formation. *ACS Appl. Mater. Interfaces* **8**, 12211–12220 (2016).
- Kim, D.-W., Zettsu, N. & Teshima, K. Three-dimensional electric micro-grid networks for high-energy-density lithium-ion battery cathodes. *J. Mater. Chem. A* **5**, 22797–22804 (2017).
- Kim, D.-W., Zettsu, N. & Teshima, K. Three-dimensional SWCNT and MWCNT hybrid networks for extremely high-loading and high rate cathode materials. *J. Mater. Chem. A* **7**, 17412–17419 (2019).
- Okazaki, S., Hamai, K., Arif, A. F., Ogi, T. & Okuyama, K. Facile synthesis of spherical carbon composite particles via a dry granulation process. *Carbon* **94**, 439–447 (2015).
- Zettsu, N., Kida, S., Uchida, S. & Teshima, K. Sub-2 nm Thick Fluoroalkylsilane Self-Assembled Monolayer-Coated High Voltage Spinel Crystals as Promising Cathode Materials for Lithium Ion Batteries. *Sci. Rep.* **6**, 31999 (2016).
- Kim, D., Uchida, S., Shiiba, H., Zettsu, N. & Teshima, K. New Insight for Surface Chemistries in Ultra-thin Self-assembled Monolayers Modified High-voltage Spinel Cathodes. *Sci. Rep.* **8**, 11771 (2018).
- Bridel, J. S., Azais, T., Morcrette, M., Tarascon, J. M. & Larcher, D. Key Parameters Governing the Reversibility of Si/Carbon/CMC Electrodes for Li-Ion Batteries. *Chem. Mater.* **22**, 1229–1241 (2010).
- Olsson, N., James, P., Borrebaeck, C. A. K. & Wingren, C. Quantitative Proteomics Targeting Classes of Motif-containing Peptides Using Immunoaffinity-based Mass Spectrometry. *Mol. Cell. Proteom.* **11**, 342–354 (2012).

Acknowledgements

This work was partially supported by JST-ALCA, JST-OPERA, and MEXT Regional Innovation Strategy Support Program. N. Z. acknowledges JSPS KAKENHI Grant No. 17H01322.

Author contributions

H.K., H.T. and D.K. contributed the preparation and electrochemical analysis of the electrode. H.K., D.K. and N.Z. contributed the drafting this paper. N.Z. and K.T. contributed to make the concept and design of this study.

Competing interests

The authors declare no competing interests.

Additional information

Supplementary information is available for this paper at <https://doi.org/10.1038/s41598-020-58338-3>.

Correspondence and requests for materials should be addressed to N.Z. or K.T.

Reprints and permissions information is available at www.nature.com/reprints.

Publisher's note Springer Nature remains neutral with regard to jurisdictional claims in published maps and institutional affiliations.



Open Access This article is licensed under a Creative Commons Attribution 4.0 International License, which permits use, sharing, adaptation, distribution and reproduction in any medium or format, as long as you give appropriate credit to the original author(s) and the source, provide a link to the Creative Commons license, and indicate if changes were made. The images or other third party material in this article are included in the article's Creative Commons license, unless indicated otherwise in a credit line to the material. If material is not included in the article's Creative Commons license and your intended use is not permitted by statutory regulation or exceeds the permitted use, you will need to obtain permission directly from the copyright holder. To view a copy of this license, visit <http://creativecommons.org/licenses/by/4.0/>.

© The Author(s) 2020