

Reverse Shoulder Arthroplasty – A Literature Review

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Abstract: Professor Grammont revolutionised shoulder surgery with his reverse shoulder arthroplasty design. Patients who had poor results from a conventional shoulder replacement because of cuff deficiency can now be treated effectively. Although designed for cuff tear arthropathy, indications continue to evolve and broaden. The initial results look very promising and the implant has gained much popularity over the years. The article provides an extensive literature review of the indications, results and complications for reverse shoulder arthroplasty.

Keywords: Cuff deficiency, shoulder arthritis, shoulder arthroplasty, reverse shoulder arthroplasty, rotator cuff arthropathy.

INTRODUCTION

Total shoulder arthroplasty provides significant pain relief and improves shoulder movements, where the joint is damaged by arthritis, infection or trauma. These implants rely on a functioning rotator cuff which helps stabilize the joint and restore shoulder function. However, unpredictable results are seen in patients in whom the rotator cuff is torn [1-4]. This has led to the birth of the reverse shoulder prosthesis.

HISTORY AND BIOMECHANICS

Rotator cuff muscles encircle the humeral head, and compress it against the glenoid, thus providing a fulcrum on which the deltoid can lever to elevate the arm. When the function of the rotator cuff is lost the humeral head displaces superiorly, with loss of a functioning fulcrum. Contraction of the deltoid is unable to raise the arm as the head does not rotate on the glenoid. The displacement of the humeral head towards the acromion and coracoacromial arch can lead to a painful acromial erosions and glenohumeral arthritis. Total shoulder replacement in these cuff deficient cases is associated with a high rate of failure because of a “rocking horse” phenomenon which leads to eccentric glenoid loading and failure [5]. Consequently, hemiarthroplasty was the most appropriate treatment option for patients with arthritis secondary to a rotator cuff deficiency. Although pain improved, there was limited improvement in function and the results were compromised by glenoid and acromial bone erosion [6, 7]. To prevent the proximal humeral migration, constrained and semi constrained implants were tried but all of these failed because of excessive stress on the constraints causing implant loosening [8]. To compensate for the rotator cuff deficiency, the ball and socket articulations were reversed. A number of implants based on this idea were introduced – Fenlin [9], Kessel [10], Gerard [11] and Kolbel [12] to name a few. Unfortunately, most of these remained

experimental while others showed loosening of the glenoid component at follow-up and were therefore abandoned. Brostrom *et al.* [10] reported poor mean active elevation, a high reoperation rate and a high incidence of loosening for the Kessel prostheses followed up for 5 years. Wretenberg and Wallsten [13] similarly noted early glenoid loosening. Another common flaw in these early designs was a glenoid fixation that extended into a laterally projecting neck, which then extended in to a lateralised, spherical glenoid component. These prostheses failed because of excessive torque and shear forces at the glenoid component-bone interface [14].

In 1987, Professor Paul Grammont presented a new concept of reversed total shoulder arthroplasty [15]. His revolutionary design was based on 4 key principles: (a) intrinsic prosthetic stability. (b) Concavity of the supporting part and convexity of the weight bearing part (glenoid). (c) Glenosphere centre at or within the glenoid neck. (d) Medialised and distalised centre of rotation.

Conventional total shoulder arthroplasty combines a large prosthetic head with a shallow glenoid component, and to avoid glenohumeral dislocation the joint reaction force vector must be within 30° of the centre line [16,17]. This is easily achieved when the rotator cuff and deltoid muscle are working in coordination. When the rotator cuff is deficient, the dominant deltoid contraction creates a proximally directed force vector causing the head to dislocate rather than abduct. In the reverse shoulder design the risk of dislocation is reduced as the humeral concave component is larger and deeper than in TSA and it articulates with a large hemispheric ball. Furthermore, a non-anatomical head-neck-shaft angle of 155° adds more stability. Thus, the angle that the force vector can subtend without risk of dislocation is increased to 45° [16, 17]. Contraction of the deltoid does not cause superior humeral migration but causes rotation about a medialised centre of rotation which produces abduction [17]. Before the advent of reverse shoulder arthroplasty, hooded glenoids were tried but a high failure rate was noted [18,19]. This led surgeons to conclude that superior migration of the humeral head can be compensated by reversing the joint i.e. with a convex glenoid and concave humerus [5, 20]. In designing his revolutionary prosthesis Grammont removed

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the neck, thus medialising the centre of rotation at the former glenoid surface. This led to increased compressive forces passing through the prosthesis-bone interface and reduced shearing forces, preventing loosening of the glenoid component. However, this new design caused another problem. When the arm was in adduction the humeral head caused impingement on the scapular neck leading to erosion of the bony glenoid known as inferior scapular notching. It remains to be seen whether this is of any clinical significance. Medialising the centre of rotation employs more deltoid fibres for elevation and distalising it increases the tension on the deltoid, resulting in a more powerful deltoid contraction. This leads to deltoid compensating for the lack of a rotator cuff [14].

INDICATIONS

Cuff tear arthropathy –massive cuff tear, superior migration of the humeral head with glenohumeral arthritis was the original indication for reverse shoulder arthroplasty [21-23]. However, over time the indications have expanded and now include inflammatory arthritis with associated rotator cuff tears [24], acute fractures [23, 25], fracture sequelae [23, 25, 26], reconstruction after tumour resection [23, 27, 28], revision arthroplasty with cuff deficiency [23,26,29,30] and pseudoparalysis without arthritis [23, 31].

It should be determined pre-operatively that the glenoid has adequate bone stock to achieve stable base plate fixation. In cases of revision surgery, it is important to ascertain this on preoperative CT or MRI scan of the glenoid. A functioning deltoid is also required for forward elevation and abduction. The implant increases the moment arms of the anterior and the middle deltoid and loss of abduction is noticed in anterior deltoid insufficiency [32, 33]. Axillary nerve palsy is a contraindication as there is a high risk of instability with a non functioning deltoid muscle [17]. Further contraindications include infection, neuroarthropathy and glenoid bone defects. Progressive deterioration of functional results is to be expected after 6 years [20, 34] and hence reverse shoulder replacement is reserved for elderly patients with low functional demands.

RESULTS

The results of reverse shoulder arthroplasty depend on the aetiology. Additionally, the complications and reoperation rates differ for primary and revision reverse shoulder arthroplasty [20,23,34,35]. A severe limitation to the studies with larger numbers in the literature is that they include a mixture of underlying diagnosis. Wall *et al.* [23] reviewed the results of 191 retained reverse shoulder arthroplasties according to aetiology and found that patients with primary cuff tear arthropathy, primary osteoarthritis with a rotator cuff tear and a massive rotator cuff tear without arthritis had the best outcome. There was no significant difference between the three groups in terms of Constant scores, range of motion and subjective ratings. Other studies [26,34] also demonstrated that cuff tear arthropathy and massive cuff tear are the most suitable indications for RSA. Table 1 gives a summary of all previous studies in the literature with short to medium term results.

Cuff Tear Arthropathy (CTA) and Massive Rotator Cuff Tears (MRCT)

Sirveaux *et al.* [20] have published the largest study so far on the use of the reverse shoulder prosthesis in cuff tear arthropathy. This was a multicentre study involving 80 shoulders in 77 patients with a mean follow-up of 3.6 years. Mean active elevation increased from 73° to 138° and the mean Constant increased from 23 pre-operatively to 66 points at follow-up. In 96% of the shoulders there was no or minimal pain at follow-up. Five cases of glenoid loosening were noted and a scapular notch was seen in 64% of the shoulders. Better Constant scores were noted if the Teres Minor was intact.

Mulieri *et al.* [36] reviewed 58 patients (60 shoulders) at a mean of fifty-two months following reverse shoulder arthroplasty for massive rotator cuff tears. 34 shoulders had no previous surgery and 26 patients had a failed previous rotator cuff repair. All patients had improved forward flexion and abduction. Although the external rotation was improved post-operatively this was not statistically significant in both groups (Table 1). In addition a statistically significant improvement in the average American shoulder and Elbow Surgeons Score (ASES) from 33.3 to 75.4, visual analogue score for pain from 6.3 to 1.9 and the visual analogue score for function from 3.2 to 7.1 were noted. 95% of the patients were satisfied with the outcome, and the implant survival rate was 91%.

Favard *et al.* [37] retrospectively reviewed 527 arthroplasties. At a minimum follow-up of 5 years in 148 arthroplasties, improved Constant scores as well as forward elevation and external rotation were noted. However, functional results started to decline after 8 years, and increasing frequency of large notches were seen after 5 years. The survivorship rate free of revision was 89% at 10 years. Guery *et al.* [34], Cazeneuve and Cristofari [38] have also shown worsening results at longer follow-up. Minimal loosening not seen on radiographs or extension of the cuff tear into the teres minor has been postulated as a cause for this deterioration [34]. Therefore, authors recommend that reverse shoulders should only be offered to patients over 70 years of age.

Reporting on the short term outcomes on the use of reverse shoulder arthroplasty for cuff tear arthropathy in 57 patients, Seebauer *et al.* [39] noted a 98% satisfaction rate of the patients. All patients reported almost complete freedom from pain and normal functional outcomes with only slight limitation of internal rotation. A good improvement in function and power can be expected if the deltoid has not been damaged.

Rheumatoid Arthritis

Reverse shoulder arthroplasty in patients with rheumatoid arthritis is associated with an increased risk of infection and glenoid failure [34]. However, good medium term outcomes have been demonstrated in previous studies [24, 40-44]. In a series of 13 cases with an average follow-up of 87 months, the median Constant score was 59 and there were no infections [43]. However, the authors noted glenoid loosening in 5 of the 13 cases and these deteriorate faster

Table 1. Short to Medium Term Results of Studies for REVERSE Shoulder Arthroplasty

Author	Year	Diagnosis	No of Patients	No of Shoulders	Age	Range	Avg Follow-Up	Range	Mean Elevation		Mean Abduction		Mean External Rotation		Mean Constant/ASES Score	
									Pre-op	Post-op	Pre-op	Post-op	Pre-op	Post-op	Pre-op	Post-op
Wall [23]	2007	CTA, MRCT, Fx, REV, T, RA, FS	186	191	72.7	23-86	39.9	24-118	86	137	NS	NS	8	6	23	60
Favard [37]	2011	CTA, MRCT, OA	138	148	73	40-90	minimum 60	NS	69.3	128.6	NS	NS	4.9	10.6	23.9	61.5
Guery [34]	2006	MRCT, RA, FS, REV	77	80	79.4	67-92	69.6	60-121	NS	NS	NS	NS	NS	NS	NS	NS
Rittmeister [24]	2001	RA	7	8	68.8	34-86	54.3	48-73	NS	NS	NS	NS	NS	NS	17	63
Boileau [26]	2006	CTA, MRCT, FS, REV	45	45	72	50-87	40	24-72	82	123	NS	NS	5	7	17	59
Bufquin [46]	2007	Fx	43	43	78	65-97	22	6-58	NS	97	NS	86	NS	30	16	69
Sirveaux [20]	2004	CTA	77	80	72.8	60-86	44.5	24-97	73	138	NS	NS	4	11	23	66
Gohlke [49]	2007	REV	34	34	68	59-82	31.5	12-59	48	125	NS	NS	NS	NS	18%^	63%^
Seebauer [39]	2005	CTA	57		70.1	NS	18.2	NS	NS	145	NS	NS	NS	NS	NS	67
Levy [74]	2007	REV	18	19	72	56-83	44	24-89	497.7	76.1	42	77.2	NS	NS	29.1*	61.2*
Levy [75]	2007	REV	29	29	69	42-80	35	NS	38.1	72.7	34	70	NS	NS	22.3*	52.1*
Frankle [61]	2006	CTA, MRCT, RA, REV	60	60	71	34-83	33	24-68	55	105	41	102	12	41	34.3*	68.2*
Werner [31]	2005	CTA, REV	58	58	68	44-84	38	NS	42	100	43	90	12	41	29%^	64%^
Woodruff [43]	2003	RA	11	13	64	43-72	87	60-110	NS	NS	NS	NS	NS	NS	NS	59
De wilde [76]	2003	T	13	13	48	26-68	36	5-120	NS	105	NS	NS	NS	NS	NS	72.5
Cazeneuve [45]	2008	Fx	25	27	75	58-92	72	24-156	NS	120	NS	NS	NS	NS	NS	59
Cuff [77]	2008	REV	21	22	67	43-83	43	25-66	43	80	36	76	10.2	25.4	31.9*	57.0*
Cuff [78]	2008	MRCT, FS, REV	94	96	72	52-88	27.5	24-38	64	118	61	110	13	28	30*	77.6*
Sayana [79]	2009	CTA	18	19	73	66-80	30	18-66	NS	NS	NS	NS	NS	NS	14.8	60.9
Grassi [80]	2009	MRCT, CTA, FS, REV	23	23	75	62-84	42	26-84	65	133	NS	NS	16	16	22	56
Klein [81]	2010	MRCT, FS, REV	139	141	72	41-87	31	24-51	67	140	65	126	20	49	39.1*	75.1*
Mulleri [36]	2010	MRCT	58	60	71	52-88	52	24-101	53	134	49	125	27	51	33.3*	75.4*
John [41]	2010	RA	15	17	67	46-85	24	12-41	68	123	66	120	20	53	19	60
Holcomb [40]	2010	RA	18	18	72	56-86	37	34-73	52	126	55	116	19	22	15*	45*
Young [42]	2011	RA	16	18	70	46-84	44	25-84	78	139	17	NS	NS	46	23	65
Ekelund [44]	2011	RA	23	27	68	45-80	56	18-143	33	115	26	103	0.6	5.8	13	52
Nolan [69]	2011	CTA	67	71	74	54-92	24	12-58	61	121	NS	NS	14	15	28	62
Naveed [70]	2011	CTA	43	50	81	59-95	39	12-81	55	105	NS	85	NS	NS	17	59
Boulahia [63]	2002	CTA, MRCT, FS	16	16	72	66-80	35	24-65	70	138	NS	NS	6	3	22	59
Patel [82]	2012	REV	28	28	68	68-77	41	19-73	44	108	NS	NS	NS	NS	24*	66*

NS – Not Stated.

*ASES score (American Shoulder and Elbow Surgeons score).

^Relative Constant score.

MRCT – Massive rotator cuff tear; CTA – Cuff tear arthroplasty; REV – Revision; FX – Fracture; FS – Fracture Sequelae; T – Tumour.

than in rotator cuff disease. Young *et al.* [42] also did not have any infection in their series of 18 cases. The Constant Score in their study increased from 23 to 65 and forward elevation from 78 to 139. Although scapular notching was seen in ten of the eighteen shoulders, there were no cases of loosening. According to Holcomb *et al.* [40], there is no correlation between disease severity and outcome. Ekelund and Nyberg [44], have published the largest study of 27 shoulders with rheumatoid arthritis undergoing reverse shoulder arthroplasty. They found an overall complication rate of 15%, no glenoid or humeral loosening and notching of various degrees were seen in 52% of the cases. As no long term results are available, most authors recommend caution in this group of patients. Adequate glenoid bone stock is the most important requirement for reverse arthroplasty [17].

Acute Complex Fractures of the Proximal Humerus

Elderly patients with poor bone quality sustaining a complex three or four part fracture are a treatment challenge and a new indication for reverse shoulder arthroplasty [45-47]. Recovery is faster compared to hemiarthroplasty and there is a decreased requirement for rehabilitation [17]. Hemiarthroplasty provides excellent results with tuberosity reattachment and if the tuberosity heals anatomically, but the results are poor in cases of non-union of the greater tuberosity [47].

At a short term follow-up of 22 months in 43 patients, Bufquin *et al.* [46] demonstrated satisfactory function and range of movement despite tuberosity migration in 53% and a scapular notch in 25%. Cazeneuve *et al.* [45] evaluated the results of 27 three and four part fractures at a mean follow-up of 72 months, and found good results for pain, mediocre for strength and disappointing results for mobility. Although anterior elevation and abduction recovered, internal rotation was limited allowing the hand to rarely reach the sacrum and very limited external rotation allowing overhead elevation. 14 cases had inferior scapular notching. The same patients when evaluated at a mean follow-up of 6.6 years, the Constant scores had declined and scapular notching had worsened [38].

Gallinet *et al.* [47] compared hemiarthroplasty (17 patients, mean follow-up 16.5 months) with reverse shoulder arthroplasty (16 patients, mean follow-up 12.4 months) in the treatment of complex proximal humerus fractures. Abduction and forward flexion was significantly better in the reverse prosthesis group, while external rotation was significantly better in the hemiarthroplasty group. Patients in the reverse prosthesis group had significantly better Constant scores. They concluded that reverse prosthesis was better in the management of complex fractures in the elderly patients. Although the outcomes seem comparable to hemiarthroplasty, they are less dependent on tuberosity healing and furthermore no long term results are as yet available. Hence caution should be used. Another study [48] showed superior 5 year functional results in the reverse shoulder prosthesis group when compared to hemiarthroplasty.

Revision of Failed Prosthetic Surgery and Fracture Sequelae

Reverse shoulder arthroplasty done as revision surgery have a high complication rate, and give mediocre results when compared results of primary surgery [23]. Gohlke and Rolf [49] reported on 34 revisions, and although there was improvement in the range of motion, function was related to the extent of soft tissue damage. There was a 24% complication rate as well. Wall *et al.* [23] noted that patients undergoing revision surgery had significantly worse range of movement and Constant scores. Furthermore, a risk of complication of 37% associated with revision surgery was higher than the risk of complication associated with primary surgery of 13%. According to Werner *et al.* [31] prior operation is a risk factor for postoperative complications. Reoperation rate was 18% after primary surgery and 39% after revision surgery. In addition they have reported a complication rate of 50% in patients undergoing revisions after previous prosthetic surgery. Boileau *et al.* [26] noted similar results with higher complications in the revision group than the primary group (47% vs 5%). Although functional improvement and better range of motion was noticed after reverse shoulder arthroplasty for proximal humeral non-union, rate of dislocation was high [50].

COMPLICATIONS

Reverse shoulder prosthesis is a double-edged sword and must be used with caution. High complication rates have been reported in the literature. Revision surgery is associated with a four times increase in risk of complications [35]. Common complications are outlined below.

Infection

Infection rates are four times that of total shoulder arthroplasty and are thought to be related to the design of implant [17]. It is thought that the inverted design creates a subacromial dead space which leads to haematoma accumulation. Furthermore, the large surface area of the implant can be infected easily. Infection rates of 1 – 15% have been reported in the literature [23, 35, 37, 49], with higher rates in revision surgery [31]. Commonest pathogen isolated from infected reverse shoulder implants is *Propionibacterium acnes*. Coagulase-negative staphylococcus aureus, and methicillin-resistant staphylococcus aureus were found less commonly [51]. *Propionibacterium acnes* infections are a challenge to diagnose as they display minimal clinical signs, inflammatory markers are commonly normal and cultures may not be positive for as long as 2 weeks [52].

Scapular Notching

Inferior scapular neck notching has an incidence varying from 0% to 96% [20, 35, 53, 54]. This is the result of bony erosion occurring as a result of the humeral component repetitively impinging on the scapular neck [55]. While some studies have shown it to be related to poorer clinical results [20, 55], others have found no such association [23, 53]. Favard *et al.* [37] noted progressive enlarging of the

scapular notches at long-term follow up but no correlation was noted with the clinical outcome. According to Nyffeler *et al.* [56], placing the glenosphere inferiorly on the bony glenoid prevents notching. A minute overhang of even 1 mm has been shown to significantly decrease the incidence of notching [57]. No glenoid notching or loosening was noticed in a new prosthesis design implanted in 76 patients at a mean follow-up of 44 months [54]. This implant had a lateralised centre of rotation by 8mm. In another study by Boileau *et al.* [58] lateralization of the centre of rotation was achieved by using bone graft from the humeral head to create a longer scapular neck, thus lessening impingement of the humerus on the scapula. This technique has been termed Bony Increased Offset Reverse Shoulder Arthroplasty or BIO-RSA. According to the authors the main advantage is keeping the centre of rotation at the prosthesis-bone interface, which decreases torque on the glenoid component. In a prospective study of 42 patients with mean follow-up of 28 months no glenoid loosening was noted and the notching was observed in only 8 of 42 cases. Although postoperative complications and revision rates decrease with experience, notching has not been shown to decrease with experience [59].

Instability

Reported dislocation rates in the literature are between 0 and 30% [20, 22, 31, 60-63]. Wall *et al.* [23] have reported a 7.5% dislocation rate in 191 reverse shoulder arthroplasties at a mean follow-up of 39.9 months. In a systematic review by Zumstein *et al.* [35], instability was the most common complication observed in 782 reverse shoulder arthroplasties, with a mean incidence of 4.7%. The incidence was doubled in patients who had reverse shoulder arthroplasty for revision of previous total shoulder replacement or hemiarthroplasty. 97% of the shoulders which had instability were operated on by a deltopectoral approach. Several possible causes of instability have been suggested: subscapularis insufficiency, previous trauma causing distortion of the bony and soft tissue anatomy, malposition of the components, poor deltoid tension and the humeral component levering against the glenoid [63, 64].

Favre *et al.* [61] experimented with the effect of component position in preventing anterior dislocation. They concluded that intrinsic stability is predominantly dependent on version of the humeral component with glenoid version playing only a minor role. They further concluded that the stability can be improved by inserting the humeral component in neutral or slight anteversion. According to Guitierrez *et al.* [65] stability is mainly achieved by compressive forces generated by muscles with lesser effects achieved from socket depth. Glenosphere size contributes least to the stability of the reverse shoulder.

In a prospective study of 138 patients Edward *et al.* [66] noted that all dislocations occurred in patients whose subscapularis tendon was irreparable. They concluded that an attempt to repair subscapularis tendon should always be made. Similar conclusions have been drawn from other studies [23,26,31]. Surgical error is likely the cause for dislocations occurring in the first 3 months after implantation and closed reduction is generally not successful. Late

dislocations can be treated with a successful closed reduction [17].

Insufficient tension of the deltoid causing instability is difficult to address. Determination of the tension is a "feel" thing and is guided by surgical experience. Boileau *et al.* [14] have suggested that the conjoint tendon should feel tensioned after reduction. Over tensioning the deltoid can cause stress fractures in an acromion that has already been weakened by the superior migration of humeral head as in cuff arthropathy [14].

Acromial Insufficiency and Fractures

Superior subluxation of the humeral head causes erosion to the acromion resulting in it being thin and insufficient [67]. Walch *et al.* [68] noted acromial insufficiency in 41 (9%) of 457 reverse shoulder arthroplasty implantations. 17 had a fracture of the acromion, 23 had os acromiale and 1 had a pseudoarthrosis of the scapular spine. Their study showed that preoperative acromial pathology did not affect subjective or functional results compared to patients without acromial pathology. They had 4 cases (0.8%) of postoperative scapular spine fractures which showed poor results. Post-operative acromial fractures have an incidence between 1.4% to 4% [69,70], and occur either through the acromion or at the base of the spine of scapula [68,71]. Although exhibiting little pain, the patients often have sudden deterioration in function [68]. Furthermore, they have reduced range of movement, functional score and subjective satisfaction [68,70].

Nerve Palsy

A recent study has shown subclinical neurological disturbance in 47% of the patients undergoing reverse shoulder arthroplasty. Axillary nerve is mainly involved but the deficit is usually transient and is believed to be closely associated to the extent of arm lengthening [72]. Van Hoof *et al.* [73] estimated an increase in strain of up to 15% and 19% respectively for the lateral and medial roots of the median nerve after insertion of reverse shoulder prosthesis. The increased strain could lead to a permanent neurological deficit. Reverse shoulder replacement is associated with a 10 fold higher risk of nerve injury compared to total shoulder arthroplasty though this is usually transient [72].

CONCLUSION

Reverse shoulder prosthesis is a relatively new implant. Medium term results so far appear to be promising with high levels of patient satisfaction and function. Survival rate of the implant at 10 years is between 84% - 93%. Some studies have noticed a decline in the results at 10 years follow-up. However, bigger studies with long term follow-up are lacking. Learning curve for the procedure is between 40 and 60 cases after which a reduction in the complication rate could be expected [83].

CONFLICT OF INTEREST

The authors confirm that this article content has no conflict of interest.

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