

[ ORIGINAL ARTICLE ]

# The Hypercholesterolemia Paradox in Percutaneous Coronary Intervention: An Analysis of a Multicenter PCI Registry

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# Abstract:

**Objective** The aim of this study was to assess the relationship between hypercholesterolemia (HC) and clinical events through a percutaneous coronary intervention (PCI) registry. HC is a well-known independent risk factor for long-term cardiovascular events after PCI. However, it has been reported to be associated with a lower risk of adverse events in patients with cancer or acute coronary syndrome.

**Methods** We analyzed the relationship between HC and adverse events in patients treated with everolimuseluting stents (EESs) through the Tokyo-MD PCI study (an all-comer, multicenter, observational registry). The propensity score method was applied to select two groups with similar baseline characteristics.

**Results** The unadjusted population included 1,536 HC patients and 330 non-HC patients. Propensity score matching yielded 314 matched pairs. After baseline adjustment, the outcomes of HC patients were significantly better than those of the non-HC patients with respect to the primary endpoint, which was a combination of mortality from all causes, nonfatal myocardial infarction (MI), nonfatal neurological events, and major bleeding [hazard ratio (HR) 0.56, 95% confidence interval (CI) 0.39-0.81; p=0.002], and the secondary endpoints, which included a combination of mortality from all causes, nonfatal neurological events (HR 0.59, 95% CI 0.39-0.88; p=0.01), and major bleeding (HR 0.42, 95% CI 0.20-0.88; p=0.02). A subgroup analysis showed age as an interaction factor for the primary endpoint (interaction p=0.035).

**Conclusion** HC was associated with better outcomes in patients who underwent EES implantation, even after baseline adjustment.

Key words: percutaneous coronary intervention, hypercholesterolemia, drug eluting stent, mortality, major adverse cardiac or cerebrovascular events

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# Introduction

Hypercholesterolemia (HC) is recognized as an independent risk factor for the development of coronary heart disease (1-3). Statin treatment is strongly recommended for patients with cardiovascular disease, while no recommendations have been made for patients with hypocholesterolemia (4, 5). On the other hand, a J- or U-shaped relationship between the serum cholesterol level and all-cause mortality has been reported in patients with life-threatening diseases such as cancer (6-8) or chronic obstructive pulmonary disease (9). Such a relationship has been referred to as the "lipid paradox" in studies on rheumatoid arthritis (10, 11) and acute coronary disease (12-14).

In addition, a low serum cholesterol level is reported to increase the risk of intraparenchymal hemorrhage (15, 16). The choice of standard medical therapy after percutaneous coronary intervention (PCI) has been controversial, especially with regard to the types of medicines and duration of

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Figure 1. Flowchart of the methodology. EES: everolimus-eluting stent, PCI: percutaneous coronary intervention

treatment in patients receiving antithrombotic therapy (17-19). The situation is more complicated if the patient is on anticoagulant therapy, or surgical intervention is planned. Knowledge of the relationship between HC and therapy outcomes would provide information that is valuable for selecting appropriate medical therapy.

It is well known that the serum cholesterol level depends on a patient's comorbidities, physical status, nutritional condition, and use of medications, particularly statins. The main questions in this study were as follows: 1) will the lipid paradox occur in the PCI registry, which mostly includes patients with stable angina, after adjustment for confounding factors; 2) if a relationship is present, which outcomes are related to HC; and 3) are HC and statin use related to the outcomes?

### **Materials and Methods**

# Study population

This study was performed as a sub-study of the Tokyo-MD PCI registry, a physician-initiated, multi-center, observational registry in Japan. The registry has been described previously (20-22). In brief, the registry included consecutive patients who underwent everolimus-eluting stent (EES) (Xience V, Abbott, Abbott Park, USA; Promus, Boston Scientific, Natick, USA) implantation in 22 centers in Japan from January 2010 to December 2011. The purpose of the present study was to compare HC and non-HC patients on the day of PCI. Patients with cardiac shock at hospitalization, cancer, and those lost to follow-up were excluded from this study. A total of 1,866 patients of 1,918 patients who underwent EES implantation were included in this study (Fig. 1).

# Data definitions

As all the patients included in the present study underwent PCI, we defined the criteria for HC according to the target cholesterol level for secondary prevention indicated by the Japan Atherosclerosis Society Guidelines for Prevention of Atherosclerotic Cardiovascular Diseases 2017. The criteria were as follows: 1) treatment with a lipid-lowering agent prior to hospital admission (documented in the patient's medical record), or 2) a total cholesterol level of ≥180 mg/ dL or a low-density lipoprotein cholesterol level ≥100 mg/ dL (among patients without a history of taking lipidlowering agents). In all cases, lipid measurements had been evaluated previously or within 48 hours after hospitalization. The primary endpoint was the combination of mortality from all causes, nonfatal myocardial infarction (MI), nonfatal neurological events, and major bleeding (GUSTO definition: moderate or higher) during the entire follow-up period. Details of the endpoint criteria have been described previously (20-22). Key secondary endpoints for the entire follow-up period were as follows: 1) the combined incidence of mortality from all causes, nonfatal MI, and nonfatal neurological events, and 2) major bleeding.

## Statistical analysis

Normally distributed variables were represented as the mean with standard deviation and compared using Student's *t*-test. Non-normally distributed variables were represented as the median with the 25th and 75th percentile values and were compared using the Mann-Whitney U test. Categorical variables were summarized as percentages and compared using the chi-squared test. Propensity score matching was performed to adjust for significant differences in baseline covariates and potential confounders that may lead to the biased estimation of the treatment outcome (23). Possible con-

	Full cohort			Propensity score matching			
Factor	Non- hypercholes- terolemia	Hypercholes- terolemia	р	Non- hypercholes- terolemia	Hypercholes- terolemia	р	
Total number	n=330	n=1,536		n=314	n=314		
Age, mean y.o	71.7	69.5	< 0.001***	71.6	71.0	0.45	
Gender male, no. (%)	246 (74.5)	1,138 (74.1)	0.89	236 (75.2)	224 (71.3)	0.32	
Smoker, no. (%)	115 (34.8)	703 (45.8)	< 0.001***	115 (36.6)	109 (34.7)	0.68	
BMI>25 kg/m <sup>2</sup> , no. (%)	61 (20.9)	405 (29.1)	< 0.01**	61 (22.0)	75 (26.1)	0.28	
<comorbidity></comorbidity>							
Hypertension, no. (%)	225 (68.2)	1,140 (74.2)	0.03	220 (70.1)	225 (71.7)	0.73	
Chronic heart failure, no. (%)	36 (10.9)	120 (7.8)	0.08	31 (9.9)	29 (9.2)	0.89	
Peripheral arterial disease, no. (%)	44 (13.3)	144 (9.4)	0.03*	40 (12.7)	47 (15.0)	0.49	
Chronic kidney disease, no. (%)	102 (30.9)	340 (22.1)	< 0.001***	93 (29.6)	98 (31.2)	0.73	
Hemodialysis, no. (%)	49 (14.8)	76 (4.9)	< 0.001***	42 (13.4)	36 (11.5)	0.55	
Cerebral vascular disease, no. (%)	42 (12.7)	141 (9.2)	0.05	40 (12.7)	40 (12.7)	1.00	
Diabetes mellitus, no. (%)	130 (39.4)	646 (42.1)	0.39	126 (40.1)	115 (36.6)	0.41	
Previous CABG, no. (%)	21 (6.4)	96 (6.2)	0.90	20 (6.4)	31 (9.9)	0.14	
Previous PCI, no. (%)	106 (32.1)	569 (37.0)	0.10	101 (32.2)	102 (32.5)	1.00	
Previous MI, no. (%)	89 (27.0)	477 (31.1)	0.15	87 (27.7)	87 (27.7)	1.00	
<medication at="" discharge=""></medication>							
Statin, no. (%)	49 (14.8)	1,433 (93.3)	< 0.001***	48 (15.3)	281 (89.5)	< 0.001***	
$\beta$ blocker, no. (%)	102 (30.9)	797 (51.9)	< 0.001***	102 (32.5)	104 (33.1)	0.93	
Anticoagulant, no. (%)	44 (13.3)	166 (10.8)	0.21	42 (13.4)	44 (14.0)	0.91	
ARB or ACE-inhibitor, no. (%)	130 (39.4)	965 (62.8)	< 0.001***	130 (41.4)	136 (43.3)	0.69	
DAPT duration, mean days	856.8	834.1	0.43	846.8	858.5	0.77	
Proton pump inhibitor, no. (%)	155 (47.0)	953 (62.0)	< 0.001***	154 (49.0)	155 (49.4)	1.00	
<procedural characteristics=""></procedural>							
PCI for MI, no. (%)	44 (13.3)	332 (21.6)	< 0.001***	44 (14.0)	39 (12.4)	0.64	
PCI for angina, no. (%)	286 (86.7)	1,204 (78.4)	< 0.001***	270 (86.0)	275 (87.6)	0.64	
Mean stent size, median mm	3.00	3.00	0.67	3.00	3.00	0.96	
Total stent length, median mm	23.0	23.0	0.01*	23.0	23.0	0.40	
PCI with imaging device, no. (%)	324 (98.2)	1,454 (94.7)	<0.01**	308 (98.1)	309 (98.4)	1.00	

#### Table 1. Unadjusted and Adjusted Baseline Characteristics according to Hypercholesterolemia.

(The details of baseline characteristics is available in Supplementary material 1.)

The body-mass index (BMI) is the weight in kilograms divided by the square of the height in meters.

no.: number, BMI: body mass index, CABG: coronary artery bypass graft, PCI: percutaneous coronary intervention, MI: myocardial infarction, ARB: angiotensin 2 receptor blocker, ACE: angiotensin converting enzyme, DAPT: dual antiplatelet therapy, LAD: left anterior descending artery, LCX: left circumflex artery, RCA: right coronary artery, LMT: main coronary trunk

founders (except the rate of statin use, which is related to the rate of HC) were chosen based on clinical knowledge of their potential association with the outcome of interest. The predicted probability score of HC was calculated by fitting a logistic regression model using confounding factors with p values of <0.5 in the baseline characteristic analyses. Using the probability score, we performed rigorous adjustment for significant differences in the baseline characteristics of patients with propensity score matching using the algorithm 1: 1 optimal match with a  $\pm 0.01$  caliper and no replacement. The cumulative incidence of the endpoints was represented as Kaplan-Meier estimates with a log-rank analysis. The HR of each endpoint was calculated using Cox's proportional hazards model. All p values were 2-sided, and p values of < 0.05 were considered to indicate statistical significance. All of the statistical analyses were performed using the "R" software program (version 3.1.2 for Windows) using the "tableone", "Matching", and "RcmdrPlugin. EZR" packages (24).

# Results

## Study patients and HC

A total of 330 patients were included in the non-HC group while 1,536 patients were included in the HC group. The mean follow-up periods for the entire cohort were 983.4 days for the non-HC group and 952.0 days for the HC group (p=0.26). The follow-up rates were 88.0% (n=1,643) for one year, 74.2% (n=1,385) for two years, and 54.6% (n= 1,019) for three years. The baseline characteristics of the entire cohort and propensity score-matched patients are shown in Table 1 (details in Supplementary material 1). Propensity score matching yielded 314 pairs (628 patients in total). The



Figure 2. Cumulative Kaplan-Meier estimates of the rates of key study end points. Panel A shows the data for the primary endpoint-mortality from all causes, myocardial infarction, neurological events, or major bleeding. Panel B shows data for the secondary endpoint-mortality from all causes, myocardial infarction, or neurological events. Panel C shows data for the secondary endpoint of major bleeding. p values were calculated using the log-rank test. Event-free survival rates were calculated based on Kaplan-Meier estimates. HC: hypercholesterolemia

rate of statin use was excluded from confounding factors used to calculate the propensity score; thus, a significant difference in statin use existed even after score matching.

#### Periprocedural outcomes

The Kaplan-Meier curves of the primary and secondary outcomes in the propensity score-matched patients are shown in Fig. 2 with yearly event-free survival rates were calculated based on Kaplan-Meier estimates. p values were calculated by a log-rank analysis. For all primary and secondary endpoints, the HC group had significantly lower rates of events. The trends were similar in the analyses of the entire cohort (Supplementary material 2a-c). Event numbers during the entire follow-up period, and Cox's univariate hazard ratios (HRs) are shown in Table 2. In analyses of the propensity score-matched patients, the HR for the HC group was 0.56 (95% CI 0.39-0.81; p=0.002) for the primary endpoint, 0.59 (95% CI 0.39-0.88; p=0.01) for mortality from

all causes, MI, or neurological events, and 0.42 (95% CI 0.20-0.88; p=0.02) for major bleeding. In the analyses of the other endpoints, all-cause mortality showed a significant difference with an HR of 0.57 (95% CI 0.35-0.95, p=0.03); no significant difference was observed in the other cardiovascular endpoints.

## Subgroup analysis

Fig. 3 shows a summary of the subgroup analysis for the primary endpoint. Overall, HC tended to be associated with a lower risk of events; nonetheless, HC and non-HC patients of <65 years of age showed equivalent risk. The interaction between age and HC was significant while the interactions between other factors and HC were not.

#### Statin use and HC

The Kaplan-Meier curves of the primary and secondary endpoints in the entire cohort according to HC and statin

Tabl	e 2	2.	Major	Endpoints	s according to	H	lypercl	nolestero	lemia.
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End point	Non- Hypercholesterolemia	Hypercholesterolemia	HR for hypercholesterolemia group	р
Full cohort, event no.	n=330	n=1,536		
Primary endpoint				
Mortality from all cause, MI, neurological events, or major bleeding	82	199	0.53 (0.41-0.69)	<0.001***
Secondary endpoints				
Mortality from all cause, MI, neurological events	66	163	0.55 (0.42-0.74)	<0.001***
Major Bleeding	25	47	0.41 (0.25-0.66)	< 0.001***
Other endpoints				
Mortality from all cause	46	96	0.47 (0.33-0.67)	< 0.001***
Mortality from cardiovascular cause	17	47	0.61 (0.35-1.07)	0.09
Neurological events	9	28	0.71 (0.33-1.50)	0.37
MI	11	39	0.78 (0.40-1.52)	0.46
Stent thrombosis	4	16	0.85 (0.29-2.55)	0.78
Propensity score matching, event no.	n=314	n=314		
Primary endpoint				
Mortality from all cause, MI, neurological events, or major bleeding	79	44	0.56 (0.39-0.81)	0.002**
Secondary endpoints				
Mortality from all cause, MI, or neurological events	63	36	0.59 (0.39-0.88)	0.01*
Major Bleeding	24	10	0.42 (0.20-0.88)	0.02*
Other endpoints				
Mortality from all cause	43	24	0.57 (0.35-0.95)	0.03*
Mortality from cardiovascular cause	16	11	0.71 (0.33-1.52)	0.37
Neurological events	9	5	0.59 (0.20-1.77)	0.35
MI	11	8	0.73 (0.29-1.82)	0.50
Stent thrombosis	3	2	0.66 (0.11-3.94)	0.65

Event numbers are cumulative event numbers of whole follow up period. Patients could have had more than one type of end point. For example mortality and major bleeding or neurological events are not mutually exclusive; hence if patients went through major bleeding before mortality, the events were included in both endpoints. Stent thrombosis was defined as definite or probable thrombosis, according to the Academic Research Consortium. p values were calculated by means of Cox regression analysis. HR: hazard ratio, MI: myocardial infarction

use are shown in Fig. 4. The cumulative incidence seemed to be less influenced by statin use. We performed a baseline adjustment between statin users vs. non-users using propensity score matching in HC patients and non-HC patients separately (Supplementary material 3, and 4, respectively). In patients with HC, the comparison between statin users and non-users did not show a significant difference after baseline adjustment. In non-HC patients, statin use seemed to be associated with a higher incidence of events; however, the result was not statistically significant was observed (Supplementary material 5a-c and 6a-c).

# Discussion

This study supports the occurrence of the lipid paradox in patients who undergo PCI; HC patients had a lower rates of mortality and the combination of cardiovascular adverse events and bleeding in comparison to non-HC patients. HC did not show a significant difference according to any endpoint, including mortality from cardiovascular causes, neurological events, MI, or stent thrombosis. In the subgroup analysis, HC showed interaction with patient age.

In accordance with previous studies on the lipid paradox, three interpretations of this association should be discussed (25, 26). The first is that HC plays an etiological role; HC is directly associated with the reduced rate of adverse events. The second is that a hypocholesterolemic effect of preclinical comorbidities or latent diseases results in a high rate of events. Finally, the association between HC and the rate of events may be due to other unknown factors that are related to both low total cholesterol (TC) and disease. Iribarren et al. analyzed the TC change over a 6-year period in relation to subsequent 16-year mortality in a cohort registry (27). They reported that a falling total cholesterol level was accompanied by a subsequent increased risk of death due to some types of cancer, non-cardiovascular non-cancer causes (particularly liver disease), and all causes. In addition, there was no significant increase in all-cause mortality risk among a cohort of men with stable low total cholesterol levels. They concluded that their finding supported the hypothesis that low TC, independent of the risk factors considered in this study, appears to be a manifestation of tumor

	Total no. o patiens	<sup>of</sup> Event no.	HR	Interaction
Overall	- 1866	281	0.53 (0.41–0.69)	٣
Age				0.035
<65 y.o	549	62	1.02 (0.50-2.07)	
65-75 y.o — +	- 657	94	0.51 (0.33-0.79)	
>75	660	125	0.46 (0.32-0.66)	
Gender				0.12
Male	- 1384	209	0.60 (0.44-0.81)	
Female	482	72	0.39 (0.24-0.63)	
Body mass index				0.56
<25 kg/m2	466	48	0.63 (0.32-1.27)	
≥25 kg/m2	1218	217	0.52 (0.39-0.69)	
Chronic heart failure				0.84
yes	156	46	0.58 (0.31-1.07)	
no	- 1710	235	0.54 (0.40-0.71)	
Peripheral arterial disease				0.97
yes	188	57	0.50 (0.29-0.87)	
no – t	- 1678	224	0.54 (0.41-0.73)	
Chronic kidney disease				0.84
yes	- 442	117	0.56 (0.38-0.82)	
no L	— 1424	164	0.59 (0.42-0.84)	
Hemodialysis				0.27
yes <u> </u>	125	51	0.79 (0.45–1.38)	
no <del>+</del>	- 1741	230	0.57 (0.42-0.76)	
Cerebral vascular disease				0.88
yes	183	46	0.51 (0.27-0.94)	
no — ·	- 1683	235	0.54 (0.41–0.72)	
Diabetes mellitus				0.68
yes — +	- 776	131	0.49 (0.34–0.73)	
no – i	- 1090	150	0.55 (0.39–0.78)	
Anticoagulant user				0.29
yes	210	47	0.38 (0.21–0.69)	
no – t	- 1656	234	0.57 (0.42–0.75)	
DAPT duration				0.73
>1 year	- 1467	195	0.55 (0.40–0.75)	
≤1 year	399	86	0.50 (0.31–0.79)	
PCI for Myocardial infarction				0.70
yes I	376	74	0.46 (0.27–0.81)	
no – +	1490	207	0.52 (0.38–0.69)	
←─────			<b>→</b>	
Hypercholesterolemia better 0.5	1 2 Nor	n-hypercho	lesterolemia bett	er

**Figure 3.** Hazard ratios (HRs) and event numbers for the primary endpoint-mortality from all causes, myocardial infarction, neurological events, or major bleeding in the subgroups. The HRs were calculated using a univariate Cox regression analysis. p values for the interaction are shown next to the respective event rates for each designated subgroup. HC: hypercholesterolemia

activity or a consequence of chronic liver disease. In our study, the following results seemed to support the existence of an etiological role of HC in adverse events. First, the lower rates of events in patients with HC were significant, even after adjustment. Second, in the subgroup analysis, HC showed significance in healthier patients such as those without chronic heart failure or hemodialysis. Third, in the patient group with a body mass index of  $\geq 25$  kg/m<sup>2</sup>, the significance was unchanged; the result was not due to the existence of extreme leanness or emaciation alone. On the other hand, the similar findings - in terms of the primary endpoint - in HC and non-HC patients of <65 years of age, and the fact that HC was associated with adverse events, especially from non-cardiovascular causes, support the interpretation that low cholesterol is a consequence of other diseases. In our opinion, an acceptable explanation is that lifethreatening diseases cause a decrease in cholesterol before the physical manifestation of the disease. Assuming the existence of a phase in which a decrease in cholesterol precedes the physical manifestation, it would be reasonable that non-HC patients who would suffer from life-threatening diseases later had had a similar risk profile (with the exception of their cholesterol level) to the healthy HC patients. Frailty should also be considered. Frailty is a health state related to aging in which physical and mental activity and physical appearance gradually decline (28), It is a strong predictor of mortality in patients with cardiovascular disease, independent of age, disease severity, comorbidity and disability (29). In fact, some studies have reported a relationship between serum cholesterol and frailty (30, 31). Non-HC might represent these non-physical vulnerabilities.

The lipid paradox has been reported among patients with acute coronary disease. Most of these reports suggested that the higher rate of adverse events in non-HC patients was due to severe secondary liver failure and/or inflammation (12-14). Changes in the liver function, including lipoprotein breakdown and excretion, may alter the low-density lipoprotein cholesterol levels in patients with inflamma-





Figure 4. Cumulative Kaplan-Meier estimates of the rates of key study endpoints according to hypercholesterolemia (HC) and statin use. Panel A shows data for the primary endpoint-mortality from all causes, myocardial infarction, neurological events, or major bleeding. Panel B shows the data for the secondary endpoint-mortality from all causes, myocardial infarction, or neurological events. Panel C shows data for the secondary endpoint of major bleeding. p values were calculated using the log-rank test. Event-free survival rates were calculated based on Kaplan-Meier estimates.

tion (32, 33). The subgroup analysis in the present study revealed that non-HC patients with MI had worse outcomes. However, no significant interaction between MI and the influence of HC was observed. Moreover, the HRs of MI and non-MI patients were similar. The above explanation, that severe myocardial damage causes severe secondary liver failure with an inflammatory response contributing to a lower cholesterol level (12, 14), is not sufficient to explain the findings. The finding that HC influenced all cause mortality but not cardiac mortality would support that the lipid paradox is due to non-cardiac causes.

In accordance with several reports that suggested a relationship between the cholesterol level and bleeding, our study showed that non-HC patients had approximately twice the risk of bleeding in comparison to HC patients. However, no well-known scoring scales for evaluating the bleeding risk in patients receiving antithrombotic therapy (34, 35) have included the cholesterol level as a confounding factor. Although no interactions between the primary endpoint and anticoagulant use/dual antiplatelet therapy duration were observed, a reduction in the dose and duration of antithrombotic drug treatment could be recommended for non-HC patients.

The Kaplan-Meier curves of the groups divided according to HC/non-HC status and statin use revealed that statin use showed less influence or appeared harmful for non-HC patients, especially with respect to major bleeding. In patients with HC, the comparison between statin users and non-users did not show a significant difference after adjustment for baseline factors. In the non-HC patients, statin use appeared harmful but no statistical significance was observed, although there was a baseline difference between statin users and non-users despite the very small number of matched patients and the fact that the comparison was made after baseline adjustment.

The present study was associated with several limitations. First, it was an observational registry trial and all medical and procedural strategies depended on the physicians; the HC analysis was performed retrospectively and was not previously included in the registry protocol. Second, our registry only included patients who underwent EES placement; we need to consider the validity of expanding the results to other stent users. However, EES are among the most widely used stents and the clinical features of the outcomes after stent implantation are similar among all modern stents. In our opinion, the results have general applicability to patients undergoing PCI. Third, the medical therapies used differed from current trends; thienopyridine was limited to clopidogrel or ticlopidine, and the duration of dual antiplatelet therapy was longer. Fourth, this study lacked information regarding the serum cholesterol level and its alterations, as well as detailed information about mortality. Previous statin treatment could be a general marker for patients with more hospital visits prior to PCI, which could be related to a better outcome. Finally, our analysis of the relationship between HC and statin use had less power because 79.6% of the patients in this study were treated with statins. The statistical power to prove a medium effect (Cohen's w=0.3) in the comparison between the HC and non-HC subgroups was 55.6% in statin users and 86.1% in statin non-users (calculated with smaller groups: n=49 and n=103, respectively, assuming a type I error of 0.05 and a type II error of 0.8).

In conclusion, among patients who underwent PCI with EES, HC was associated with a lower rate of adverse events, even after baseline adjustment. In other words, patients who underwent PCI were at high risk for adverse events and mortality, even if they did not have HC. Statin use seemed to be ineffective for these patients; special care, medication, and/or nutrition using the cholesterol level as a scale of effectiveness should be considered in such cases.

#### The authors state that they have no Conflict of Interest (COI).

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