






# Across Functional Boundaries: Making Nonneutralizing Antibodies To Neutralize HIV-1 and Mediate Fc-Mediated Effector Killing of Infected Cells

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**ABSTRACT** In HIV-1 infection, many antibodies (Abs) are elicited to Envelope (Env) epitopes that are conformationally masked in the native trimer and are only available for antibody recognition after the trimer binds host cell CD4. Among these are epitopes within the Co-Receptor Binding Site (CoRBS) and the constant region 1 and 2 (C1-C2 or cluster A region). In particular, C1-C2 epitopes map to the gp120 face interacting with gp41 in the native, “closed” Env trimer present on HIV-1 virions or expressed on HIV-1-infected cells. Antibodies targeting this region are therefore nonneutralizing and their potential as mediators of antibody-dependent cellular cytotoxicity (ADCC) of HIV-1-infected cells diminished by a lack of available binding targets. Here, we present the design of Ab-CD4 chimeric proteins that consist of the Ab-IgG1 of a CoRBS or cluster A specificity to the extracellular domains 1 and 2 of human CD4. Our Ab-CD4 hybrids induce potent ADCC against infected primary CD4<sup>+</sup> T cells and neutralize tier 1 and 2 HIV-1 viruses. Furthermore, competition binding experiments reveal that the observed biological activities rely on both the antibody and CD4 moieties, confirming their cooperativity in triggering conformational rearrangements of Env. Our data indicate the utility of these Ab-CD4 hybrids as antibody therapeutics that are effective in eliminating HIV-1 through the combined mechanisms of neutralization and ADCC. This is also the first report of single-chain-Ab-based molecules capable of opening “closed” Env trimers on HIV-1 particles/infected cells to expose the cluster A region and activate ADCC and neutralization against these nonneutralizing targets.

**IMPORTANCE** Highly conserved epitopes within the coreceptor binding site (CoRBS) and constant region 1 and 2 (C1-C2 or cluster A) are only available for antibody recognition after the HIV-1 Env trimer binds host cell CD4; therefore, they are not accessible on virions and infected cells, where the expression of CD4 is downregulated. Here, we have developed new antibody fusion molecules in which domains 1 and 2 of soluble human CD4 are linked with monoclonal antibodies of either the CoRBS or cluster A specificity. We optimized the conjugation sites and linker lengths to allow each of these novel bispecific fusion molecules to recognize native “closed” Env trimers and induce the structural rearrangements required for exposure of the epitopes for antibody binding. Our *in vitro* functional testing shows that our Ab-CD4 molecules can efficiently target and eliminate HIV-1-infected cells through antibody-dependent cellular cytotoxicity and inactivate HIV-1 virus through neutralization.

**KEYWORDS** ADCC, Ab-CD4 hybrids, HIV-1, neutralization, nonneutralizing antibodies

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The envelope glycoprotein trimer (Env) plays a crucial role in HIV-1 virus attachment and entry into host cells. The mature Env trimer is comprised of non-covalently associated gp120-gp41 heterodimers that are formed by furin cleavage of a gp160 precursor (1). To initiate the viral entry process, the outer gp120 protomer of the trimer binds the receptor CD4 on the host cell surface. Following CD4 binding, Env undergoes conformational changes that lead to the formation of the coreceptor binding site (CoRBS) and engagement of CCR5 or CXCR4, the two known HIV-1 coreceptors (2–9). After coreceptor binding, additional structural rearrangements within Env occur that lead to the formation of a six-helix bundle from the helical heptad repeat HR1 and HR2 segments of the gp41 ectodomain to drive fusion of viral and target cell membranes (10, 11). The trimeric HIV-1 Env is also the sole viral protein present on the surface of virions and HIV-1-infected cells, so it represents the major antibody-targeted HIV-1 antigen. Env presentation to the host immune system elicits antibody (Ab) responses against many diverse Env sites. These Abs can impact HIV-1 through various mechanisms, including direct virus neutralization or Fc effector activities such as antibody-dependent cellular cytotoxicity (ADCC) of infected cells. In HIV-1 infection, a number of elicited antibodies target epitopes that are occluded in the unliganded Env trimer present at the surfaces of infected cells or infectious viral particles. These Abs usually lack direct neutralization activity and are therefore referred to as nonneutralizing (nnAbs) (12, 13). Importantly, some Env sites recognized by nnAbs map to highly conserved regions of Env, so their potential utility as targets for protective humoral responses/antibody therapeutics is deemed high, assuming one can overcome the obstacle associated with their lack of exposure.

Among the most prominent targets for nnAbs are epitopes that become available after CD4 binding (CD4 induced [CD4i]) when Env transitions from its unliganded “closed” conformation (state 1) to an “open” CD4-bound conformation (state 3) (14). The two epitopes that become available sequentially in this process are CoRBS and cluster A, epitopes within mobile layers 1 and 2 of the highly conserved constant regions 1 and 2 (C1-C2) of the gp120 inner domain (12, 13, 15–23). Whereas the CoRBS is localized at the surface of the Env trimer (mapping to the outer domain of gp120, proximal to the CD4 binding site), cluster A epitopes map to the interior of HIV Env trimer at the gp41-gp120 interface and are directly involved in interprotomer contacts that stabilize the trimer. The exposure of cluster A region requires significant structural rearrangements of gp120 and gp41 subunits, something that occurs late in the entry process as a consequence of CD4-induced changes in Env (12). Therefore, all known cluster A Abs uniformly lack direct neutralizing activities (19). Interestingly, CD4i nnAbs are frequently elicited in HIV-1-infected individuals and can mediate potent ADCC against CD4i targets (16, 17, 24, 25). Unfortunately, their potential as ADCC mediators is greatly diminished by the fact that cells infected with primary HIV-1 isolates express Env in a “closed” conformation in which CD4i targets are not accessible for Ab recognition (14, 26, 27). We and others have shown that HIV-1 has evolved to preserve Env in a “closed” conformation and limit CD4 interaction to prevent exposure of these ADCC targets that are otherwise recognized by easily elicited Abs present in the sera of HIV-1-infected individuals (16, 17, 24, 27–29). Internalization of Env (30, 31), CD4 downregulation via the HIV-1 accessory proteins Nef and Vpu (16, 17), and Vpu-mediated antagonism of the host restriction factor BST-2 (16, 17, 32, 33) were found to be contributing resistance mechanisms protecting infected cells or viral particles (47) from CD4i nnAbs. This could explain why the majority of circulating HIV strains express functional Nef and Vpu proteins.

To overcome these protective mechanisms, soluble CD4 (sCD4) or small CD4-mimetic compounds (CD4mc) have been used to modulate Env conformation and expose CD4i epitopes, mostly within the CoRBS for direct neutralizing activity (34). Treatment with CD4mc was shown to sensitize primary strains of HIV-1 to neutralization by CD4i Abs (35), and sCD4-17b bifunctional proteins (36, 37) or eCD4-Ig variants incorporating CD4 IgG and a CoRBS-specific sulfopeptide into a single construct (38) were developed to create molecules with exceptional breadth and neutralization potency against HIV-1 strains. Interestingly, sCD4 and CD4mc have also been used to expose CD4i Env epitopes on infected cells despite Nef and Vpu expression

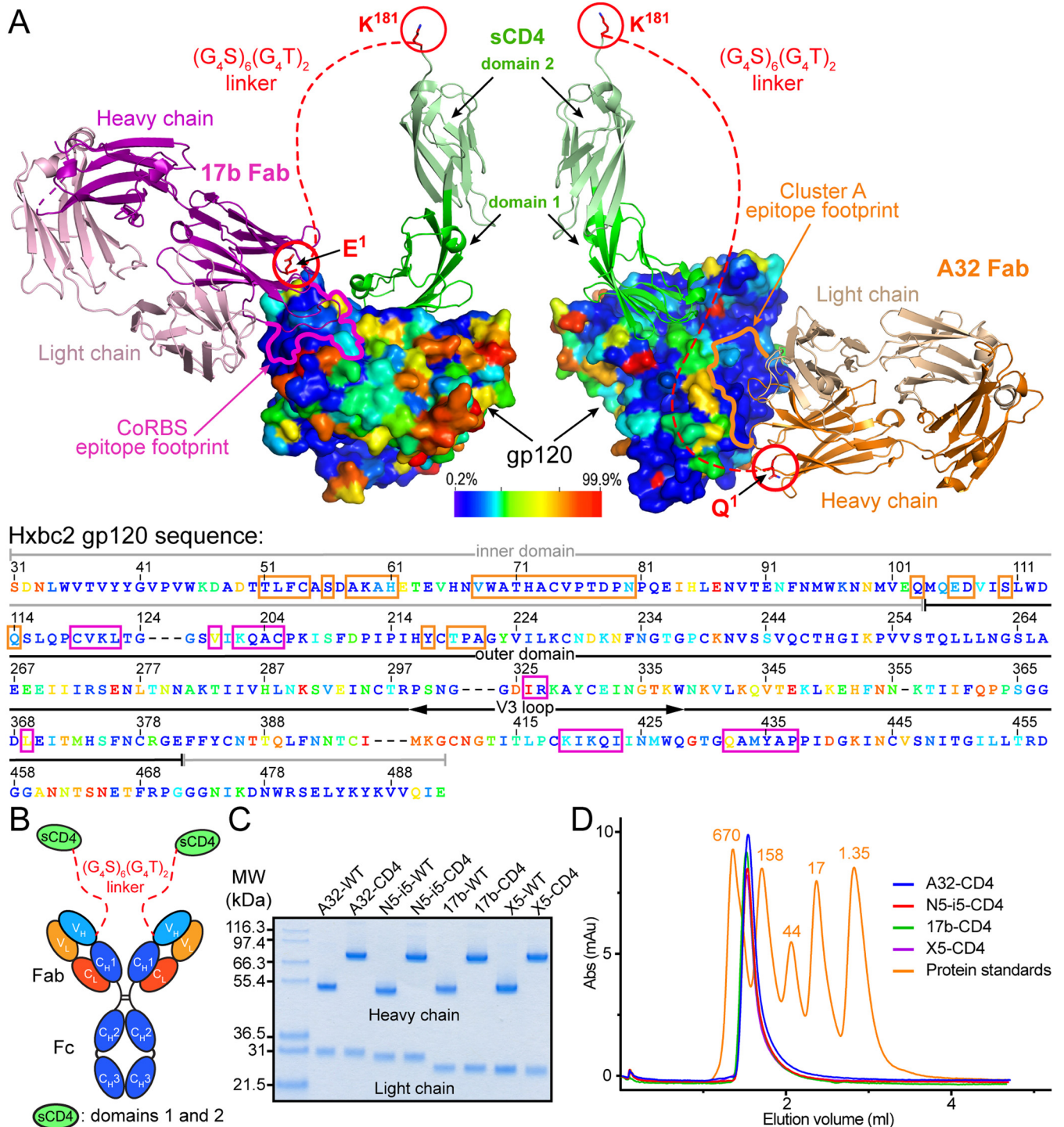
and effectively sensitize them to ADCC by CD4i nnAbs present in sera, breast milk, and mucosa from HIV-1-infected individuals (39). However, the mechanism of sensitization of infected cells to ADCC requires a sequential opening of the Env trimer and depends on the cooperation of sCD4/CD4mc in addition to CoRBS and cluster A nnAbs (21, 23, 29). In this scenario, a mixture of sCD4 or CD4mc, a CoRBS Ab, and a cluster A Ab is required to trigger the asymmetric ADCC vulnerable Env conformation referred to as state 2A, an intermediate between states 1 and 3 in the opening of the Env trimer (21, 23, 40).

Here, we thought to develop single antibody-based molecules that could combine these elements to eliminate HIV-1-infected cells by ADCC. We engineered constructs in which a CoRBS or cluster A nnAb IgG is linked by a  $(G_4S)_6(G_4T)_2$ -linker to sCD4 (d1d2 domain, residues 26 to 208) to generate a single-chain molecule referred to as an Ab-CD4. Functional analyses fully confirmed this approach as Ab-CD4 proteins can efficiently target and eliminate HIV-1-infected cells by ADCC through a mechanism involving the binding of both the nnAb and CD4 moieties. Furthermore, both the CoRBS and the cluster A Ab-CD4 molecules showed direct neutralization activity against tier 1 and 2 HIV-1 viruses. Altogether, these new Ab-CD4 molecules are capable of impacting HIV-1 through a coordinated mechanism of direct neutralization of virions and ADCC against infected cells. This is, to our knowledge, the first successful report of activation of direct neutralization for Abs of cluster A region traditionally known for having no neutralizing activities.

## RESULTS

**Design and expression of single chain Ab-CD4.** The single chain Ab-CD4 molecules consist of a CoRBS- or cluster A-specific nnAb linked to the C terminus of sCD4 (domains 1 and 2, residues 26 to 208 of the extracellular domain of human CD4) via a flexible 40 amino acid (aa) “-[ $(Gly_4-Ser)_6-(Gly_4-Thr)_2$ ]” linker (Fig. 1). The linker is attached to the N terminus of the heavy chain (IgGH) of the MAb IgG1, resulting in a molecule in which two sCD4 domains are attached to single IgG1. For each epitope specificity, two antibodies were tested as the IgG1 arm of the Ab-CD4 molecule. A32, the prototype antibody of the cluster A region (19), and N5-i5, an Ab isolated from an HIV-1 infected individual capable of potent ADCC against CD4i Env targets (18, 19), were selected to represent the cluster A region. A32 and N5-i5 recognize largely overlapping epitopes that map to the highly conserved C1-C2 portion of the cluster A region of CD4-triggered gp120 (18, 41) (Fig. 1A). For the CoRBS-specific antibodies, we selected 17b, an Ab recognizing a conserved epitope within the bridging sheet of the CoRBS (42, 43), and X5, an Ab combining the elements of the highly conserved bridging sheet of the CoRBS with elements of the V3 loop stem (44, 45). The length of the  $(G_4S)_6-(G_4T)_2$ -linker was selected based upon structural information of the antibody epitope within the gp120 protomer in relation to the position of the CD4 binding site in the Env trimer (Fig. 1A). The selected linker length is compatible with the binding of the antigen-binding fragment (Fab) arm and sCD4 to the same gp120 protomer in the trimer, as well as to possibly cross-link two adjacent gp120 protomers within the same trimer. Our selected linker length is similar to what was used to develop conjugates of a single-chain variable fragment (scFv) of 17b and sCD4 that showed HIV-1 neutralizing activity (36). The scFv conjugates with long linkers (35 to 40 aa) displayed stronger neutralizing activity compared to the same constructs with a shorter linker (5 to 20 aa). Therefore, we designed our Ab-CD4s with a flexible 40-aa linker (six repeats of the  $G_4S$  motif and two repeats of the  $G_4T$  motif).

Ab-CD4 molecules were produced in mammalian Expi293F cells. First, we developed an Expi293F cell line stably expressing domains 1 and 2 of CD4 fused to the N terminus of the heavy chain of the selected nnAb. We then transfected the plasmid containing the appropriate kappa or lambda light chain. The production of Ab-CD4s using this method typically yielded 6 to 19 mg of properly folded product per liter of culture. The typical purification protocol included protein A affinity chromatography, followed by size exclusion chromatography (SEC). The calculated molecular weight of the Ab-CD4 bifunctional molecule is roughly 200 kDa compared to 150 kDa for wild-type MAb. The SEC polishing step usually removed any protein aggregates or dimers. Fig. 1C and D shows the SDS-PAGE and SEC analyses, respectively, of the final Ab-CD4 preparations. Under the reducing condition of SDS-PAGE,



**FIG 1** Generation of the Ab-CD4 hybrid proteins targeting the cluster A region and the Co-Receptor Binding Site (CoRBS). (A) Cartoon representation showing the N terminus glutamic acid at position 1 ( $E^1$ ) and glutamine at position 1 ( $Q^1$ ) of the heavy chains of 17b MAb and A32 MAb, respectively, as conjugation positions to the C terminus lysine at position 181 ( $K^{181}$ ) of human receptor CD4 (domains 1 and 2). Conjugation positions are circled in red. The flexible  $(G_4S)_6(G_4T)_2$  peptide linker is represented by a red dashed line. The molecular surface is displayed over gp120 and colored based on the sequence conservation. Residues differed from the Hxhc2 sequence between 0.2 and 7% are colored with dark blue, and residues differed between 87 and 99.9% are colored in red. Hxhc2 is a clade B Env that is used as a reference sequence for the residue numbering in the field (68). Domains 1 and 2 of CD4 are colored in darker and lighter green, respectively. 17b Fab and A32 Fab are shown as a ribbon diagram. The heavy chain and light chain of MAb 17b are colored in magenta and light pink, respectively. The heavy chain and light chain of MAb A32 are colored in orange and light orange, respectively. The CoRBS and cluster A epitope footprints are highlighted in purple and orange on the gp120 surface, respectively. The sequence of gp120, including the variable region, is shown based on the conservation of each residue. The CoRBS and cluster A epitope footprints are highlighted with purple line and orange line, respectively. (B) Schematic drawing of Ab-CD4 molecules. Domains 1 and 2 of CD4 protein (green) were linked to the N terminus of the

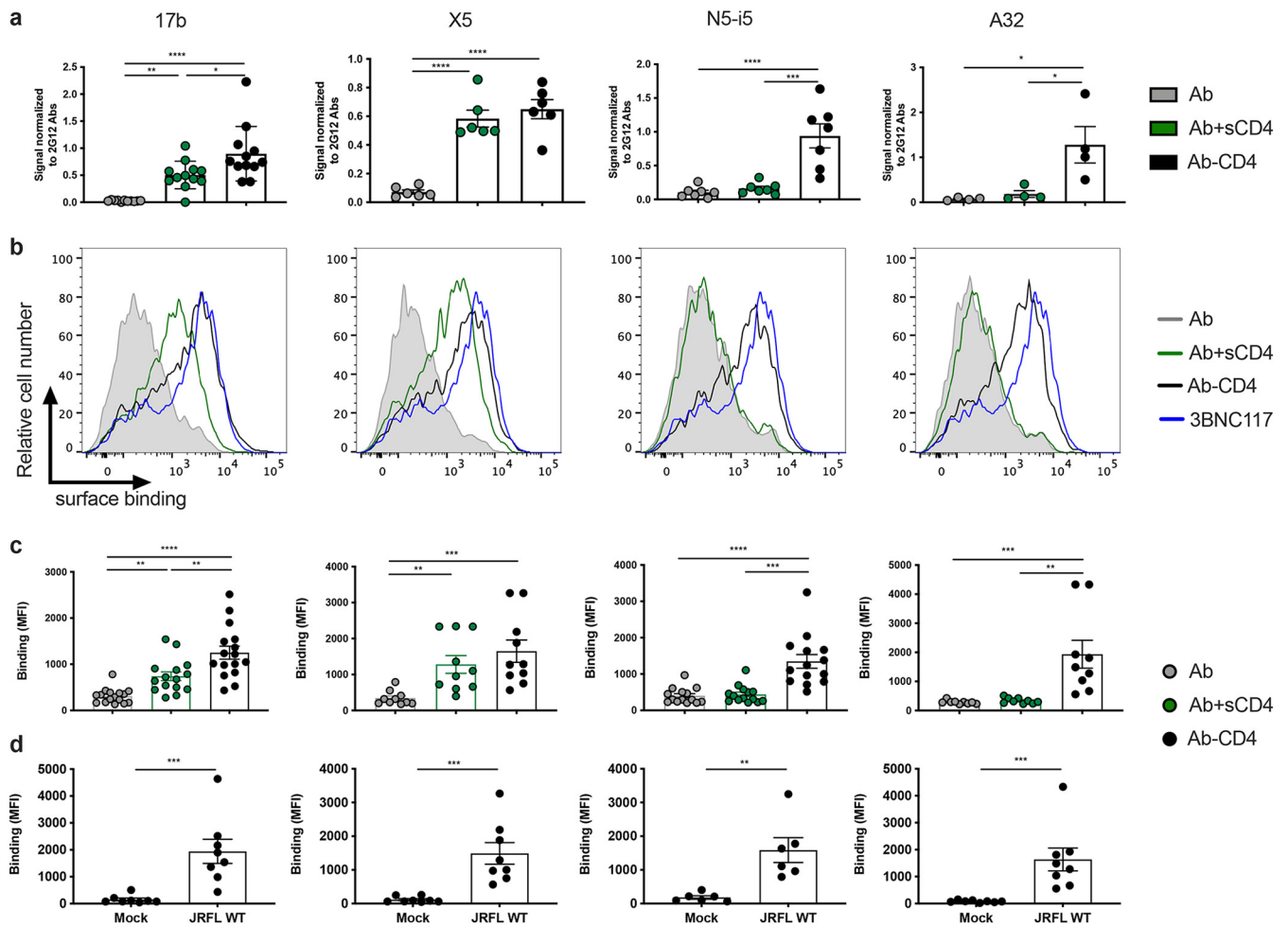
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all the MAbs, alone or linked to sCD4, were resolved at the expected molecular weights (Fig. 1C). The heavy chains of all Ab-CD4s migrate to form a band with a molecular weight of 75 kDa that corresponds to the sum of the heavy chain (~50 kDa) plus the d1d2 domain of CD4 (~25 kDa). In SEC analyses, one distinct peak corresponding to the Abs-CD4 was observed eluting earlier than a 158-kDa peak of MAb alone (Fig. 1D).

**Ab-CD4 single chain molecules recognize the unliganded Env present at the surfaces of HIV-1-infected cells.** Ab-CD4 molecules were developed to recognize the “closed” Env trimer available at the surface of HIV-1-infected cells to trigger and expose CD4i epitopes. Therefore, we first evaluated their binding capacity to unliganded cell-surface Env using a previously described cell-based enzyme-linked immunosorbent assay (ELISA) (46, 47). Briefly, HOS cells were transfected with a plasmid encoding the HIV-1<sub>JRFL</sub> Env. Two days later, transfected cells were incubated with Abs alone (17b, X5 and A32, N5-i5), Abs plus soluble CD4 (sCD4) mix, or Ab-CD4s, and binding was detected using horseradish peroxidase (HRP)-conjugated secondary Abs. In agreement with the data available for the exposure of CoRBS and cluster A epitopes, neither of nnAbs tested alone was able to recognize Env-expressing cells (Fig. 2a). When mixed with sCD4, the CoRBS-specific nnAbs 17b and X5, but not cluster A-specific nnAbs A32 and N5-i5, recognized cell surface Env. This is consistent with published information on Env conformations after sCD4 binding, where sCD4 is able to trigger the conformation changes required to expose the CoRBS but not the cluster A region (21, 23, 29). However, Ab-CD4 single chain molecules consisting of the Ab arm of either of the two CD4i nnAb classes efficiently recognized Env-expressing cells. Interestingly, the binding levels of cluster A Ab-CD4s were comparable to CoRBS Ab-CD4s specificities, indicating the same level of epitope exposure. This is of particular interest since the cluster A region maps to the Env trimer interior and requires significant structural rearrangements of the trimer after CD4 binding for exposure (19, 48). This also indicates that the requirement of cooperativity between CoRBS and cluster A nnAbs for efficient recognition of unliganded cell surface Env pretreated with sCD4/CD4mc (21, 49) can be overcome with a single-chain cluster A Ab-CD4. We further tested the capacity of the developed Ab-CD4s to recognize HIV-1-infected cells by flow cytometry (Fig. 2b to d). Activated primary CD4<sup>+</sup> T cells were infected with the primary HIV-1 isolate JRFL and, 2 days later, the infected cells were incubated with Ab-CD4 or nnAb. The broadly neutralizing Ab (bNAb) 3BNC117, targeting the CD4 binding site and recognizing the trimeric Env, was also used as a reference. Antibody binding was measured using an Alexa Fluor 647-conjugated secondary Abs. Consistent with the protective activities of Nef and Vpu proteins, which limit cell surface Env-CD4 interaction, infected primary CD4<sup>+</sup> T cells were mainly resistant to recognition by MAbs targeting the CoRBS (17b and X5) or the cluster A (N5-i5 and A32) regions when used alone (Fig. 2b and c). Although the addition of sCD4 significantly enhanced the capacity of the CoRBS Abs 17b and X5 to recognize HIV-1-infected cells, both CoRBS Ab-CD4 molecules (17b-CD4 and X5-CD4) directly recognized infected cells. Interestingly, the capacity of CoRBS Ab-CD4s to recognize HIV-1-infected cells was similar or superior to that of their unconjugated Ab counterpart. In contrast, cluster A Abs failed to recognize infected cells either when used alone or in the presence of sCD4, and only cluster A Ab-CD4s (N5-i5-CD4 and A32-CD4) efficiently bound to JRFL-infected cells. Both families of Ab-CD4 molecules recognized infected cells to similar levels compared to the bNAb 3BNC117. Importantly, all Ab-CD4 specifically recognized HIV-1-infected cells over autologous mock-infected cells (Fig. 2d) and triggered Env conformational changes, as indicated by increased binding of the anti-gp41 MAbs F240 upon Ab-CD4 interaction (see Fig. S1). Since F240 targets a gp41 epitope that is only exposed late in the entry process after CD4 binding similar to CD4i Env epitopes (50), these data suggest that Ab-CD4 molecules

#### FIG 1 Legend (Continued)

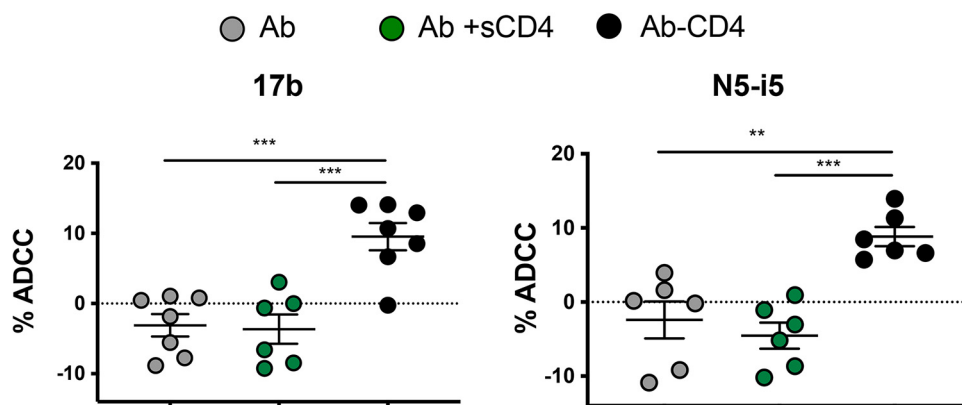
antibody heavy chain through a 40-aa linker (six repeats of G<sub>2</sub>S and two repeats of G<sub>2</sub>T motifs). VH, variable heavy (light blue); VL, variable light (light orange); CH, constant heavy (blue); CL, constant light (orange). (C) SDS-PAGE gel of four wild-type antibodies (WT-Abs) and four Ab-CD4 molecules under reducing condition after size exclusion chromatography. The heavy chains of WT-Abs migrate at ~50 kDa, and the heavy chains of Ab-CD4 migrate at ~75 kDa. A NuPAGE 4 to 12% Bis-Tris protein gel (Invitrogen) was used to separate the protein bands with a Mark 12 unstained protein standard (Invitrogen) in the first lane for reference. (D) SEC analyses of all four purified Ab-CD4 molecules using Superdex 200 Increase 5/150 GL column compared to the gel filtration protein standards (Bio-Rad, catalog no. 1511901).



**FIG 2** CoRB5 Ab-CD4 and cluster A Ab-CD4 directly recognize HIV-1-infected cells. (a) Recognition of cellularly expressed trimeric Env by indicated Ab-CD4 or Ab in the presence or absence of sCD4 (3  $\mu\text{g}/\text{ml}$ ) was evaluated by cell-based ELISA. Data shown represent mean RLU values  $\pm$  the standard errors of the mean (SEM) from at least four independent experiments performed in quadruplicate, with the signal obtained from cells transfected with an empty pcDNA3.1 plasmid (no Env) subtracted, normalized to Env levels as determined by the signal obtained with the broadly neutralizing antibody (bNAbs) 2G12. (b to d) Recognition of primary CD4<sup>+</sup> T cells infected with HIV-1 JRFL or mock-infected by indicated Ab-CD4 or Ab (10  $\mu\text{g}/\text{ml}$ ) in the presence or absence of sCD4 (10  $\mu\text{g}/\text{ml}$ ) was evaluated by flow cytometry. (b) Histograms depicting representative staining. (c and d) The graphs shown represent the compiled median fluorescence intensities on the infected (p24<sup>+</sup>) cell population (infected cells) or mock-treated cell population. Each point represents an independent experiment. Error bars indicate means  $\pm$  the SEM for at least six independent experiments. Statistical significance was tested using a one-way ANOVA or Kruskal-Wallis test based on statistical normality (\*,  $P < 0.05$ ; \*\*,  $P < 0.01$ ; \*\*\*,  $P < 0.001$ ; \*\*\*\*,  $P < 0.0001$ ).

“push” the Env trimer into similar downstream conformational states. This conformation is likely “more open” than that present when triggered by sCD4 alone or with the sCD4-nnAb mix, as indicated by the more efficient F240 epitope exposure for Env treated with Ab-CD4 compared to nnAb, sCD4, or the sCD4-nnAb mix.

**Ab-CD4s eliminate HIV-1-infected cells through an ADCC mechanism.** The efficient recognition of HIV-1-infected cells by Ab-CD4s prompted us to test whether this translates into ADCC against infected cells. The ADCC activity was evaluated using a previously described flow cytometry-based ADCC assay (39) with primary CD4<sup>+</sup> T cells infected with JRFL as target cells and autologous peripheral blood mononuclear cells (PBMCs) as effector cells. The ADCC-mediated elimination of infected cells was determined by the loss of p24<sup>+</sup> target cells upon treatment with Ab-CD4 or Ab and effector cells. Since Ab-CD4 showed similar biological activity within each class of nnAb, one fusion Ab from each class was tested (17b-CD4 and N5-i5-CD4). Previous studies showed that the CoRB5 Ab 17b does not mediate efficient ADCC, even against cells with exposed CD4-bound Env, such as infected cells treated with CD4mc or cells infected with Nef- and Vpu-defective virus (21, 23, 24, 29). Accordingly, JRFL-infected cells were found to be resistant to ADCC mediated by 17b, even in the presence of sCD4 (Fig. 3). In contrast, an Ab-CD4 molecule 17b mediates ADCC against JRFL-infected cells (Fig. 3). This

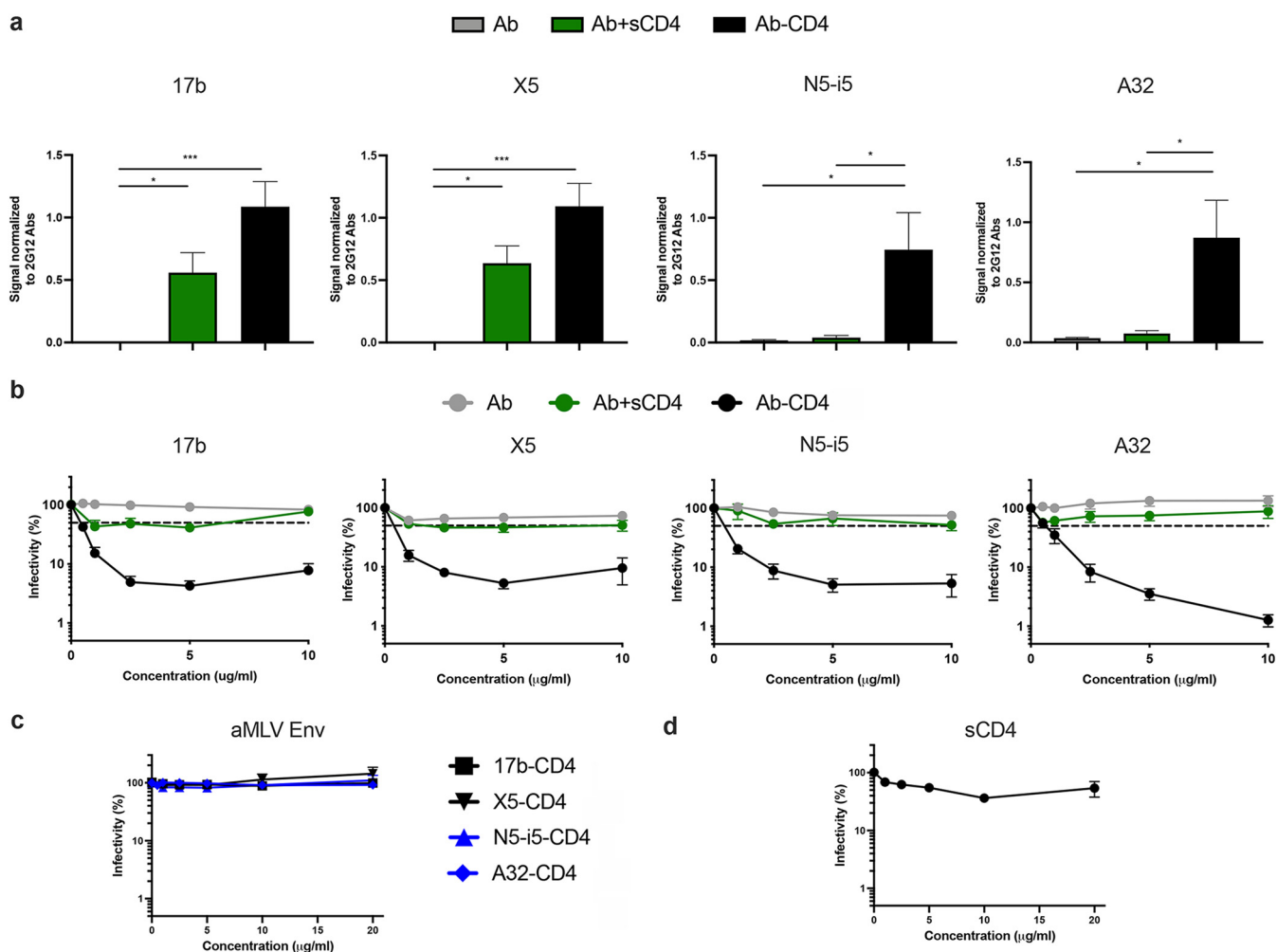


**FIG 3** CoRBS Ab-CD4 and cluster A Ab-CD4 mediate ADCC responses against HIV-1-infected cells. Primary CD4<sup>+</sup> T cells infected with HIV-1 JRFL were used as targets, and autologous PBMCs were used as effector cells in a FACS-based ADCC assay. The graphs represent the percentages obtained in the presence of indicated Ab-CD4 or Ab in the presence or absence of sCD4. These results were obtained in at least six independent experiments. Statistical significance was tested using a one-way ANOVA test (\*\*,  $P < 0.01$ ; \*\*\*,  $P < 0.001$ ).

confirms that effective recognition of infected cells translated to ADCC. Most importantly, we also observed a similar ADCC killing with the N5-i5-CD4, indicating effective triggering of effector cells and ADCC by single-chain CD4 molecules targeting the cluster A region. Although it is known that cluster A Abs are capable of ADCC of cells exposing Env in the CD4-bound conformation (19–21, 24, 26, 29, 51), the infected cell recognition and ADCC is poor due to epitope occlusion (21, 23, 29). Consequently, primary CD4<sup>+</sup> T cells infected with JRFL were found to be resistant to ADCC by N5-i5 alone or in combination with sCD4 (Fig. 3). These results suggest that both classes of Ab-CD4 can directly recognize and mediate ADCC of HIV-1-infected cells.

**Ab-CD4s show neutralizing activity against tier 1 and 2 HIV-1 Env.** While some Abs specific for CoRBS are capable of weak neutralization of tier 1 viruses (52–54), the cluster A Abs represent a group of canonical nonneutralizing Abs incapable of impacting virus through neutralization. Since Ab-CD4 molecules with an Ab arm of either CoRBS or cluster A specificity bound to Env present on infected cells, we decided to verify whether they could recognize the trimeric Env present on HIV-1 virions. We therefore used a previously described virus-capture assay (31) that measures binding of HIV-1 virions by MAbs immobilized on ELISA plates. Viral particles were produced by cotransfecting HEK293T cells with the pNL4.3 Nef(–) Luc Env(–) construct, a plasmid encoding the tier-2 HIV-1<sub>JRFL</sub> Env, and a plasmid encoding the G glycoprotein from the vesicular stomatitis virus (VSV-G). This generates a virus capable of a single round of infection. Virus-containing supernatants were added to plates coated with Ab or Ab-CD4, and unbound virions were removed by washing. Antibody-mediated retention of HIV-1 virions was assessed by the addition of HEK293T cells. Infection of this CD4<sup>–</sup> cell line is mediated by VSV-G and can be measured by luciferase activity at 2 days postinfection. Env from primary HIV-1 isolates, such as JRFL, naturally adopt a closed conformation in which the CoRBS and the cluster A regions are not exposed (14, 21). Accordingly, CoRBS Abs (17b and X5) and cluster A Abs (N5-i5 and A32) failed to capture HIV-1 virions (Fig. 4a). Furthermore, in agreement with the pattern observed before for HIV-1-infected cells, we observed an enhanced capacity for CoRBS Abs to capture HIV-1 viral particles in the presence of sCD4, but this was not the case for cluster A Abs, which were unable to retain virions either in the presence or absence of sCD4. For cluster A Abs, we observed virion capture only when the Ab was directly linked to CD4, confirming again that cluster A-CD4 molecules can trigger conformational rearrangements of the Env trimer required for C1C2 epitope exposure (Fig. 4a). Notably in all cases, the retention of virions by Ab-CD4 tend to be superior to their unconjugated counterpart Ab with or without sCD4.

Finally, we evaluated whether the increased capacity of Ab-CD4s to capture viral particles translated into direct neutralizing activity (Fig. 4b). Although CoRBS (17b or X5) and cluster A (N5-i5 or A32) Abs alone failed to neutralize pseudoviruses bearing the Env from

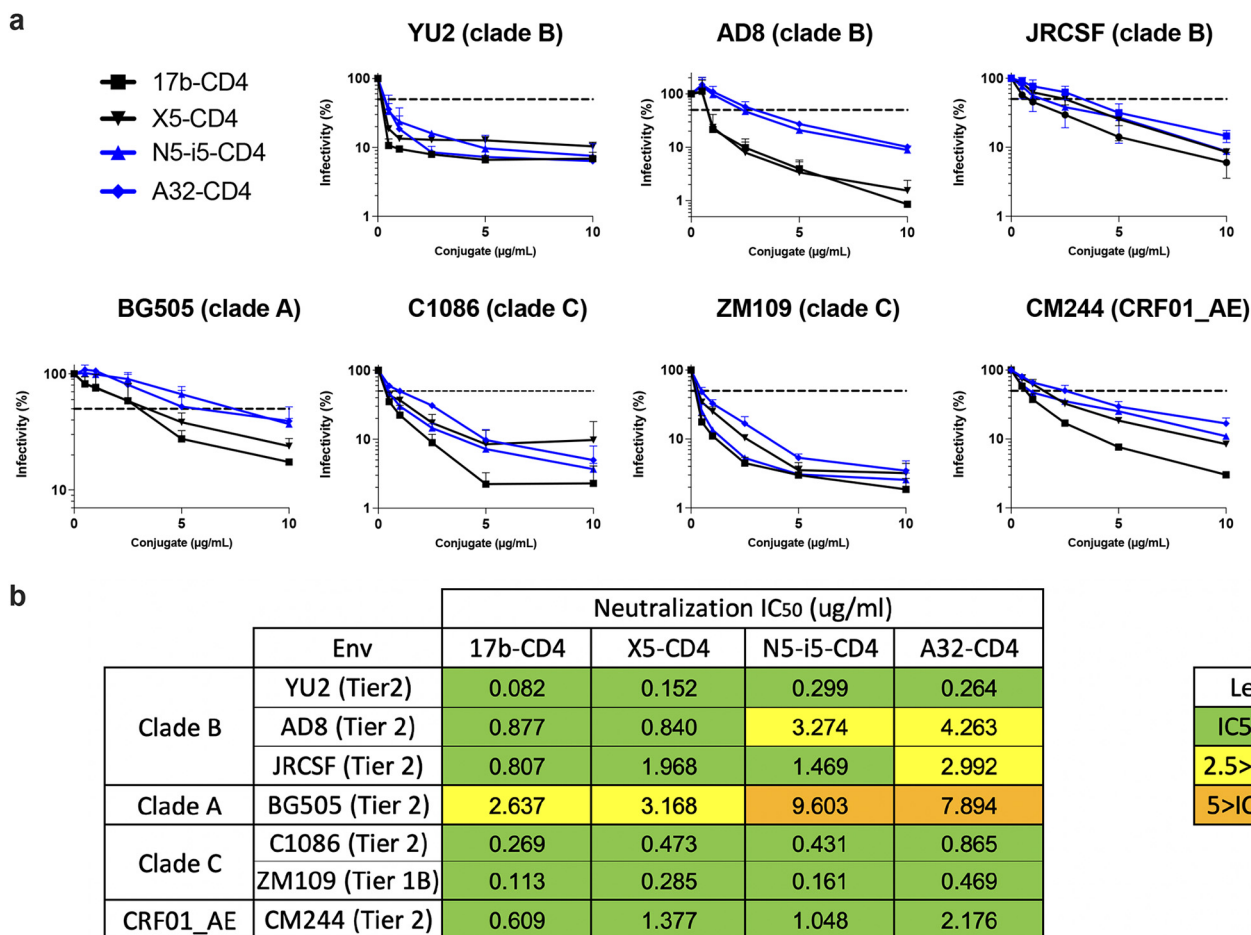


**FIG 4** CD4 attachment enhances the capacity of CoRBS and cluster A Abs to capture and neutralize HIV-1 virus particles. (a) The capacity of the indicated Ab-CD4 or Ab to capture VSV-G-pseudotyped viral particles expressing HIV-1<sub>JRFL</sub> Env was assessed by a virus-capture assay. Virus particles were added to plates coated with different Ab-CD4s or counterpart Abs in the presence or absence of sCD4. Free virions were washed away, and HEK293T cells were added to the wells. After 48 h, the cells were lysed, and the luciferase activity was measured. Luciferase signals were normalized to those obtained with the 2G12 antibody. These results were obtained in three independent experiments. Error bars indicate means  $\pm$  the SEM. Statistical significance was calculated using one-way ANOVA or Kruskal-Wallis test based on a normality test. (b to d) Virus particles pseudotyped with HIV-1<sub>JRFL</sub> Env (b and d) or the A-MLV Env (c) were incubated with serial dilutions of the indicated Ab-CD4, Ab (with or without 5  $\mu$ g/ml of sCD4), or sCD4 before infecting TZM-bl cells. The luciferase activity in the cell lysates was measured to determine the infectivity. Infectivity was normalized to 100% in the absence of the ligand. The dashed line represents 50% of infectivity. The data shown are representative of results from at least three experiments.

JRFL, both Ab-CD4 classes did (Fig. 4b). This activity was specific to HIV-1 Env, since no neutralization was observed against pseudoviruses bearing A-MLV Env (Fig. 4c). Importantly, the neutralizing activity of Ab-CD4 was superior to sCD4 alone or in combination with nnAbs, confirming that these hybrid molecules represent an improvement over the mixture of both moieties together (Fig. 4b and d). We then tested the neutralizing capacity of these molecules against a panel of virus or pseudoviruses bearing HIV-1 Env from tier 1 and 2 strains. Consistent with the conserved nature of the epitopes targeted by the two classes of Ab-CD4 (Fig. 1A), they showed neutralization activities against viruses or pseudoviruses bearing HIV-1 Env from clade A, B, and C and CRF01\_AE strains (Fig. 5).

**The biological activities of Ab-CD4s rely on both Ab and CD4 moiety interaction with Env.** Next, we aimed to investigate the mechanism of interaction of our Ab-CD4s with the Env trimer, in particular, to determine whether both the CD4 and the Ab arms are binding to Env on HIV-1-infected cells/viral particles and are involved in the ADCC/neutralization. To assess the specific role of the CD4 moiety, we introduced a D368R mutation into the CD4 binding site of HIV-1<sub>JRFL</sub> Env. This mutation was shown to abrogate the Env-CD4 interaction (16, 40, 49, 55) (see Fig. S2). As presented in Fig. 6A, introduction of this



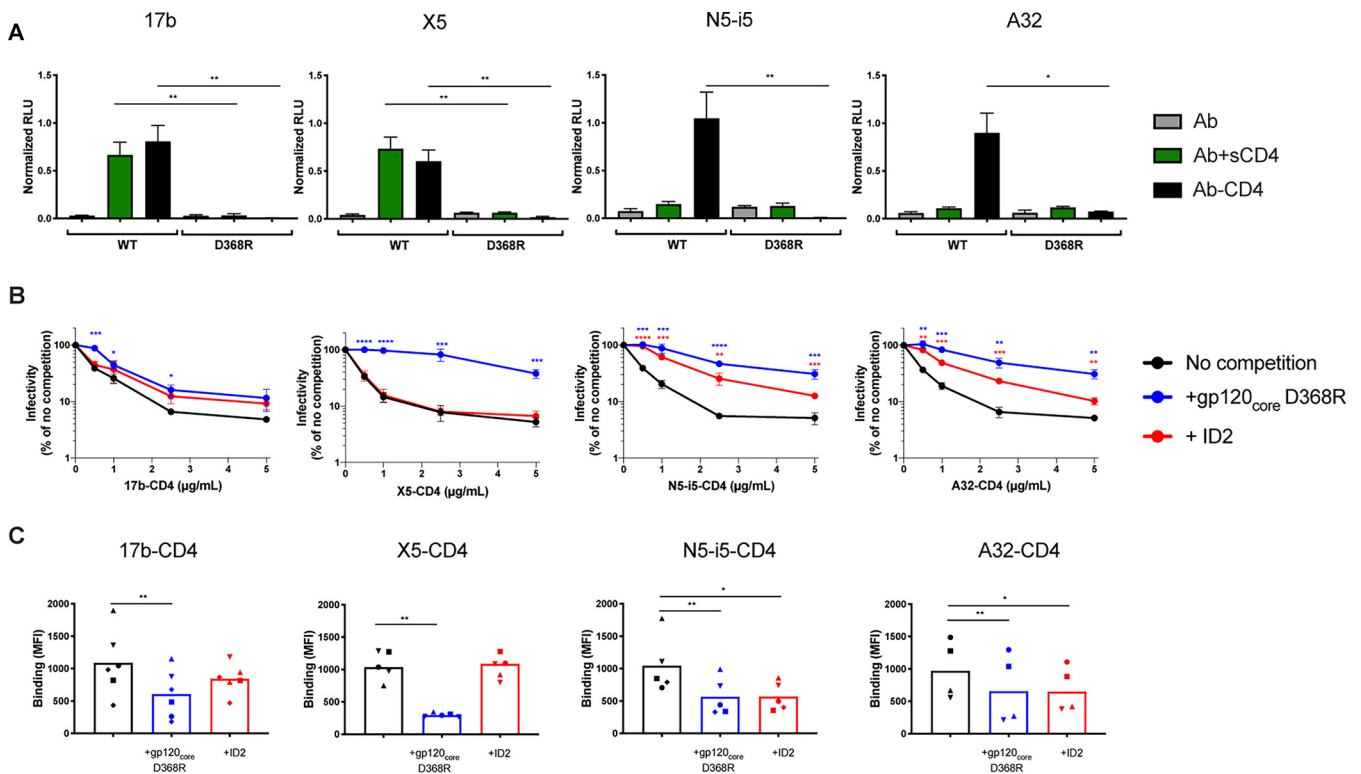


**FIG 5** CoRBS Ab-CD4 and cluster A Ab-CD4 neutralize viruses from different clades. (a) Virus particles from HIV-1 AD8, JR-CSF, or pseudotyped with Env HIV-1<sub>YU2</sub>, HIV-1<sub>BG505</sub>, HIV-1<sub>C1086</sub>, HIV-1<sub>ZM109</sub>, or HIV-1<sub>CM244</sub> were incubated with serial dilution of indicated Ab-CD4 before infecting TZM-bl cells. The luciferase activity in cell lysates was measured to determine the infectivity. Infectivity was normalized to 100% in the absence of Ab-CD4s. The dashed line represents 50% of infectivity. Data shown are representative of two independent experiments. The error bars represent means  $\pm$  the SEM. (b) The neutralization half-maximal inhibitory concentrations of different Ab-CD4s for each virus are summarized.

mutation totally inhibited the capacity of both classes of Ab-CD4 to recognize cell surface expressed HIV-1<sub>JRFL</sub> Env. This confirms that the sCD4 moiety of these Ab-CD4 is required to target the unbound “closed” Env. To assess the specific role of the antibody moiety in the biological activities of our developed Ab-CD4, competition experiments were performed with two different gp120 probes. We first used a CD4-bound stabilized gp120 core protein, lacking variable regions V1, V2, V3, and V5 and harboring the CD4-binding site D368R mutation. This recombinant protein can interact with CoRBS and cluster A Abs but not with CD4 (56, 57) (see Fig. S3). Preincubation of CoRBS Ab-CD4 or cluster A Ab-CD4 with this probe significantly reduced their capacity to neutralize HIV-1 and to recognize HIV-1-infected primary CD4<sup>+</sup> T cells (Fig. 6B and C). Conversely, competition with an inner domain stabilized gp120 probe (ID2), able to interact with cluster A Abs (41) but not with CoRBS Abs or CD4 (see Fig. S3), only affected the biological activities of N5-i5-CD4 and A32-CD4 (Fig. 6B and C). This suggests that competing with the Ab moiety significantly reduces the capacity of developed Ab-CD4 to neutralize HIV-1 and to target infected cells. Together, these results suggest that the biological activities mediated by the Ab-CD4 depend on both antibody and CD4 moiety interaction with HIV-1 Env.

## DISCUSSION

Conformational CD4i gp120 epitopes within the CoRBS and C1C2 cluster A map to conserved regions of HIV-1 Env (12, 13, 18, 19, 41, 58). Whereas the CoRBS is used as a



**FIG 6** Both CD4 and antibody moieties are required to allow Ab-CD4s to target trimeric Env present on virions and infected cells. (A) Recognition of cellular-expressed trimeric WT or D368R Env by indicated Ab-CD4 or Ab in the presence or absence of sCD4 (3  $\mu\text{g}/\text{ml}$ ) was evaluated by cell-based ELISA. The data shown represent mean RLU values  $\pm$  the SEM from at least three independent experiments performed in quadruplicate, with the signal obtained from cells transfected with an empty pcDNA3.1 plasmid (no Env) subtracted, normalized to Env levels as determined by bNAb 2G12. (B and C) Ab-CD4s were preincubated or not with (10  $\mu\text{g}/\text{ml}$ ) of indicated gp120 proteins. (B) Virus particles pseudotyped with HIV-1<sub>JRFL</sub> Env were incubated with serial dilution of these Ab-CD4s before infecting TZM-bl cells. The luciferase activity in the cell lysates was measured to determine the infectivity. Infectivity was normalized to 100% in the absence of ligand and competition. The data shown are representative of three independent experiments. (C) Recognition of primary CD4<sup>+</sup> T cells infected with JRFL by Ab-CD4 preincubated or not with gp120 proteins. The graphs shown represent the compiled median fluorescence intensities on the infected (p24<sup>+</sup>) cell population. Error bars indicate means  $\pm$  the SEM for at least four independent experiments. Statistical significance (with or without competition) was tested for each Ab-CD4 concentration using an unpaired (A and B) or paired (C) *t* test (\*,  $P < 0.05$ ; \*\*,  $P < 0.01$ ; \*\*\*,  $P < 0.001$ ; \*\*\*\*,  $P < 0.0001$ ).

second attachment point by the virus to specifically engage the coreceptor, the cluster A region maps to the interior of HIV-1 trimer and is directly involved in interprotomer contacts (15, 18, 41). The high conservation of these two sites results directly from their functional role; the CoRBS serves as the coreceptor attachment site, and cluster A is located at the gp41-gp120 interface of the trimer in its “closed” conformation that contributes to structural integrity (22). The critical functional importance of these sites for viral entry might explain why the virus maintains them occluded for Ab recognition. Recent data indicate that viral resistance to these targets extend into virus-infected cells that express and retain most of Env trimers on their surface in the “closed” conformation. This greatly limits the potential for CD4i epitopes to be targets for antibodies capable of ADCC of infected cells (16, 17, 24, 27–29). This represents a significant drawback that limits the potential of CoRBS and cluster A Abs as agents capable of effective infected cell elimination (21, 23, 29). This is of particular importance since cluster A Abs are known to be very efficient mediators of ADCC toward cells exposing Env in the “open,” membrane CD4-bound conformation (19–21, 24, 26, 29, 51).

Structural and functional analyses indicate that CoRBS and cluster A epitopes become available for Ab recognition sequentially upon triggering with sCD4 or CD4mc of the “closed” Env trimer residing on virions or infected cells. Whereas CoRBS epitopes are “easily” induced and are fully formed upon triggering of Env with sCD4 or CD4mc (21, 23, 29), the cluster A region is not so easily exposed. Additional energy is required to further trigger the structural rearrangements of the trimer that ultimately leads to the exposure of the cluster A region. This additional energy could be provided by membrane anchored CD4 or an Ab partner with a

CoRBS specificity (17, 21, 23, 29, 31). Interestingly, the bivalency of the CoRBS Ab partner is required since Fabs failed as helpers in this process.

Here, we aimed to develop a single-chain molecule capable of revealing the full potential of CoRBS and cluster A as targets for ADCC of HIV-1-infected cells and to improve or activate their neutralization activity. This was of particular interest for cluster A epitopes because these map to the most conserved regions of HIV Env (12, 18, 22, 41). They are also targeted by Abs that share similar characteristics with a moderate length CDR H3 and a low degree of  $V_H$  affinity maturation and therefore lack unusual structural features typical for Abs involved in neutralization (12, 13, 18, 41). This is a positive feature because it might limit the immunogenicity of therapeutics developed based on these Abs. To preserve Fc effector capacity of our hybrid Ab-CD4 molecules, we used the whole IgG1 Ab of human origin (CoRBS or cluster A specificity) linked to the d1d2 domains of human CD4. The resultant multivalent molecule consists of an IgG1 with two CD4 moieties attached through a flexible peptide linker,  $\sim 152$  Å long, to allow for the potential interaction of all four binding moieties to cognate targets on the Env trimer (59). Mechanistic analyses indicate engagement of CD4 and Fab moieties in Env binding and the functionality of Ab-CD4s, confirming that the Ab target epitopes are exposed. However, at this point, it is difficult to describe the exact mechanism by which the multivalent Ab-CD4 molecules trigger multiple Env trimers on virions or at the infected cell surface. The Ab-CD4 hybrid proteins are multivalent molecules consisting of four binding arms (two Ab Fabs and two CD4 moieties) capable of binding a total of six binding sites on Env trimer “open” to expose the Ab epitope (schematically shown for 17b-CD4 in Fig. S4a). Our attempts to visualize Ab-CD4 mediated cross-linking of BG505 SOSIP trimer, a soluble Env trimer preparation, with electron microscopy (EM) were inconclusive (see Fig. S4b). In this study, we used BG505 SOSIP trimer and the Ab-CD4 molecules targeting the CoRBS only. This was due to limitations of BG505 SOSIP, which is stabilized by an inter gp120-gp41 disulfide bond and proline substitutions within gp41 (60). The introduced mutations do not interfere with the CoRBS exposition, but an interprotomer disulfide bond might restrain the structural rearrangements of the CD4-bound trimer limiting exposure of the cluster A region, which maps to the gp41-gp120 interface. We observed heavy precipitation of BG505 SOSIP Env when an excess of 17b-CD4 to SOSIP was used, indicating multivalent cross-linking of soluble trimers. Therefore, for EM experiments, we mixed BG505 SOSIP with 17b-CD4 at a 3:1 molar ratio to give a large excess of trimer to minimize multivalent 17b-CD4 binding. The complex was then purified by SEC (see Fig. S5). Representative EM images of SOSIP Env trimer treated with a mixture of Ab (17b) and sCD4 and the corresponding Ab-CD4 (17b-CD4) hybrid are shown in Fig. S4. Extensive cross-linking and aggregation of BG505 SOSIP was observed in both preparations. This is consistent with our functional data that indicates that the CoRBS is “easily induced” and becomes readily available for Ab recognition with addition of sCD4. The aggregates observed for samples treated with the mixture of 17b and sCD4 result from cross-linking through 17b IgG, which binds to epitopes exposed by CD4. The scale of the observed cross-linking is similar for SOSIPs treated with the mixture of 17b and CD4 and for the 17b-CD4 hybrid molecules, with a slightly higher abundance of oligomers with larger size in the sample treated with hybrid molecules. The limited resolution of EM images enables a direct comparison between the conformation states of the SOSIPs and the valency of binding for both preparations. Higher-resolution images or structural studies with surface-bound Env trimers are required to further investigate this mechanism.

Functional studies fully validated the Ab-CD4 hybrid proteins consisting of either CoRBS or Cluster A Ab arms showed effective virus recognition and ADCC killing of cells infected with the primary isolate JRFL. Interestingly, the level of binding and the ADCC activities of Ab-CD4 molecules with cluster A specificity were comparable to those observed for the Ab-CD4s of CoRBS specificity, indicating a similar epitope exposure. This is interesting given the existing constraints related to cluster A region exposure. Moreover, this indicates that cluster A Ab-CD4 molecules acting through multivalent binding can overcome the energy barrier required to expose the cluster A targets residing at the infected cell surface. Studies are under way to fully understand

this mechanism and to describe the overall conformation of the Env trimer in the CoRBS Ab-CD4- and cluster A-CD4-bound states.

Finally, our Ab-CD4 hybrid molecules showed efficient, cross-clade neutralization of tier 1 and 2 viruses. Whereas this observation is not surprising for CoRBS Ab-CD4 molecules, it is new and significant for the cluster A Ab-CD4 class. The utility of the CoRBS as a plausible target for Abs with neutralizing activity was already demonstrated by several single-chain molecule approaches, including a single-chain variable region construct of a CoRBS Ab linked to sCD4 or eCD4-Ig variants linking CD4-IgG1 to CoRBS specific sulfopeptides (36, 38). In contrast, the cluster A region is known to be targeted by Abs lacking any neutralizing activity, including for the easily neutralized tier 1 viruses (19). Cluster A Ab-CD4 molecules can therefore stabilize Env in more “open” conformations, potentially prematurely unleashing the energy required to fuel viral entry. This is, to our knowledge, the first successful attempt to develop a hybrid protein with nonneutralizing cluster A Abs that results in a molecule capable of potent ADCC and gaining neutralizing activity against HIV-1 using these conserved and important Env targets.

## MATERIALS AND METHODS

**Ethics statement.** Written informed consent was obtained from all study participants, and all research adhered to the ethical guidelines of CRCHUM and was reviewed and approved by the CRCHUM institutional review board (ethics committee, approval CE16.164-CA). Research adhered to the standards indicated by the Declaration of Helsinki. All participants were adults and provided informed written consent prior to enrollment in accordance with Institutional Review Board approval.

**Cell lines and isolation of primary cells.** HEK293T human embryonic kidney cells, HeLa TZM-bl cells, and human osteosarcoma (HOS) cells were grown as previously described (22, 46). Expi293F cells (Thermo Fisher Scientific) were cultured in Gibco Expi293 expression medium supplemented with 100 IU penicillin and 100  $\mu$ g/ml streptomycin solution at 37°C, 8% CO<sub>2</sub>, and 90% humidity with shaking at 125 rpm according to the manufacturer’s protocol. Primary PBMCs and CD4<sup>+</sup> T cells were isolated, activated, and cultured as previously described (39). Briefly, PBMCs were obtained by leukapheresis, and CD4<sup>+</sup> T lymphocytes were purified from resting PBMCs by negative selection using immunomagnetic beads according to the instructions of the manufacturer (StemCell Technologies, Vancouver, Canada) and were activated with phytohemagglutinin-L (10  $\mu$ g/ml) for 48 h and then maintained in RPMI 1640 complete medium supplemented with recombinant interleukin-2 (100 U/ml).

**Plasmids, vectors, and proviral constructs.** The JR-CSF infectious molecular clone (IMC) and the pNL4.3 Nef(–) Luc Env(–) plasmid were obtained from the AIDS Research and Reference Reagent Program, Division of AIDS, NIAID, NIH. The JRFL and pHIV-1<sub>AD8</sub> IMCs were previously described (61, 62). Plasmids expressing the full-length Envs HIV-1<sub>JRFL</sub>, HIV-1<sub>YU2</sub>, HIV-1<sub>CM244</sub>, HIV-1<sub>C1086</sub>, HIV-1<sub>BG505</sub>, and HIV-1<sub>ZM109</sub> were previously reported (22, 63–65). The CD4-IgH plasmid consists of domains 1 and 2 of CD4 fused to the heavy chain through a flexible 40-aa linker (six repeats of G<sub>3</sub>S and two repeats of G<sub>3</sub>T motifs). Two restriction sites—NheI at the 5′ end and BamHI at the 3′ end—were incorporated into the CD4-polypeptide linker plasmid, which was then inserted into pACP-tag(m)-2 plasmid (New England Biolabs) to obtain pACP-CD4. The IgGH gene lacking the leader sequence was amplified by PCR using the given primers and purified using a MinElute reaction cleanup kit (Qiagen), followed by digestion with BamHI-Hf and NotI restriction enzymes (New England Biolabs). The digested fragment was purified by agarose gel electrophoresis and then ligated into the BamHI and NotI sites of pACP-CD4 to afford the respective pACP-CD4-IgH vector, which was transformed into NEB 5-alpha F′Iq competent *E. coli* according to the manufacturer’s protocol (New England Biolabs). Vectors containing CD4 and heavy-chain genes were then sequenced and compared to the original heavy-chain sequences. In preparation for larger-scale protein production, plasmids were grown under ampicillin selection and purified using a GeneJET plasmid Midiprep kit (Thermo Scientific) according to the protocol specified by the manufacturer. The VSV-G-encoding plasmid pSVMV-IN-VSV-G was reported previously (66).

**Generation of stable CD4-IgH cell lines.** Expi293 cells were seeded at 10<sup>6</sup> cells/ml (viability >90%) and transfected with CD4-IgH plasmid encoding domains 1 and 2 of CD4 fused to the N termini of the heavy chains of selected nAbs using an EndoFectin Max transfection reagent (GeneCopoeia) according to the manufacturer’s protocol. Transfected cells were incubated for 5 days at 37°C in a humidified atmosphere of 8% CO<sub>2</sub> at 125 rpm. The cell culture supernatants were then replaced and maintained using Gibco Expi293 expression medium supplemented with 50  $\mu$ g/ml Geneticin until cell viability reached and remained at >90%.

**Expression and purification of recombinant proteins and CD4-IgG conjugates.** Soluble CD4 (sCD4) was produced and purified as previously described (22). The recombinant gp120 proteins  $\Delta$ V1V2V3V5 WT,  $\Delta$ V1V2V3V5 D368R, and ID2 were produced and purified as previously reported (41, 56). CD4-conjugated antibodies were produced in stable cell lines via transient transfection of plasmid-coding light chains using EndoFectin Max transfection agent (GeneCopoeia). Transfected cells were incubated at 37°C in a humidified atmosphere of 8% CO<sub>2</sub> on an orbital shaker (125 rpm). At 5 days posttransfection, cell culture supernatants were collected, centrifuged, and filtered through 0.22- $\mu$ m PES membranes to remove cell debris. Antibody conjugates were affinity purified using protein A affinity chromatography according to the manufacturer’s instructions. The eluted proteins were concentrated, buffer-exchanged with Dulbecco phosphate-buffered saline (DPBS; pH 7) and purified over a Superdex 200 Increase 10/300 GL column (GE Healthcare) in DPBS. The purified proteins were pooled, concentrated with Amicon Ultra-15 centrifugal filters (MWCO 30,000; Millipore), analyzed

by reducing SDS-PAGE with Coomassie blue staining, and further purified by size exclusion chromatography (Superdex 200 Increase 5/150 GL column; GE Health Sciences). Finally, the protein concentration was quantified by using a Pierce BCA protein assay (Thermo Scientific), aliquoted, and stored at  $-20^{\circ}\text{C}$ .

**Negative-stain electron microscopy.** The SOSIP CD4i Ab complexes were prepared by mixing a 3:1 molar ratio of BG505 SOSIP.664 and CD4i Abs. The resulting mixture was incubated at room temperature for 2 h and purified by SEC on a Superdex 200 Increase 10/300 GL column. Fractions containing SOSIP-CD4Ab complexes were analyzed by negative-stain EM. A  $5\text{-}\mu\text{l}$  portion of sample were applied for 1 min to a carbon-coated 400 Cu mesh grid which had been glow discharged at 25 mA for 2 min, followed by negative staining with 2% uranyl acetate for 1 min. Data were collected with a JEOL LEM-1011 microscope operating at 100 keV, with a magnification of  $\times 120,000$ .

**Viral production and infections.** VSV-G-pseudotyped HIV-1 JRFL virus was produced and titrated as previously described (21). Viruses were then used to infect activated primary CD4<sup>+</sup> T cells from healthy HIV-1-negative donors by spin infection at  $800 \times g$  for 1 h in 96-well plates at  $25^{\circ}\text{C}$ . For the viral neutralization assay, TZM-bl cells were infected with either single-round luciferase-expressing HIV-1 pseudovirions or fully replicative WT viruses. Briefly, 293T cells were transfected by the calcium phosphate method with the proviral vector pNL4.3 Nef(-) Luc Env(-) and a plasmid expressing the indicated HIV-1 Env at a ratio of 2:1 or with full IMC constructs. At 2 days after transfection, the cell supernatants were harvested. Each virus preparation was frozen and stored in aliquots at  $-80^{\circ}\text{C}$  until use.

**Viral capture assay.** The assay was modified from a previously published method (31). Briefly, pseudoviral particles were produced by transfecting  $2 \times 10^6$  HEK293T cells with pNL4.3 Nef(-) Luc Env(-) (3.5  $\mu\text{g}$ ), pSVMV-IN-VSV-G (1  $\mu\text{g}$ ) and plasmid (2.5  $\mu\text{g}$ ) encoding for JRFL full-length Env using the standard calcium phosphate protocol. After 48 h, virion-containing supernatants were collected, and the cell debris was removed through centrifugation ( $486 \times g$  for 10 min). To immobilize antibodies on ELISA plates, white Pierce protein A-coated 96-well plates (Thermo Fisher Scientific, Waltham, MA) were incubated with 5  $\mu\text{g}/\text{ml}$  of tested antibodies diluted in 100  $\mu\text{l}$  of PBS overnight at  $4^{\circ}\text{C}$ . Unbound antibodies were removed by washing the plates twice with PBS. The plates were subsequently blocked with 3% bovine serum albumin (BSA) in PBS for 1 h at room temperature. After two washes with PBS, 200  $\mu\text{l}$  of virion-containing supernatant was added to the wells in the presence or absence of sCD4 (10  $\mu\text{g}/\text{ml}$ ). Viral capture by any given antibodies was visualized by adding  $1 \times 10^4$  HIV-1-resistant HEK293T cells in full Dulbecco modified Eagle medium (DMEM) per well. At 48 h postinfection, the cells were lysed by the addition of 30  $\mu\text{l}$  of passive lysis buffer (Promega, Madison, WI) and three freeze-thaw cycles. An LB941 TriStar luminometer (Berthold Technologies) was used to measure the luciferase activity of each well after the addition of 100  $\mu\text{l}$  of luciferin buffer (15 mM  $\text{MgSO}_4$ , 15 mM  $\text{KH}_2\text{PO}_4$  [pH 7.8], 1 mM ATP, 1 mM dithiothreitol) and 50  $\mu\text{l}$  of 1 mM D-luciferin potassium salt (Prolume, Randolph, VT). Luciferase signals were then normalized to those obtained with the 2G12 antibody.

**Viral neutralization assay.** TZM-bl target cells (NIH AIDS reagent program) were seeded at a density of  $1 \times 10^4$  cells/well in 96-well luminometer-compatible tissue culture plates (Perkin-Elmer Life Sciences, Waltham, MA) 24 h before infection. Then, 100- $\mu\text{l}$  portions of recombinant viruses were mixed, followed by incubation with 100  $\mu\text{l}$  of Ab (with or without sCD4) or Ab-CD4 for 1 h at  $37^{\circ}\text{C}$ . For the competition experiments, Ab-CD4 was preincubated with 10  $\mu\text{g}/\text{ml}$  recombinant gp120 proteins prior to incubation with recombinant virus. Each mix of virions and Ab or Ab-CD4 was then split into two and added to the target cells, followed by incubation for 4 h at  $37^{\circ}\text{C}$ . Next, 100  $\mu\text{l}$  of fresh 5% DMEM/1% FCS/Pen-Strep was added to the cells, which were incubated for an additional 48 h at  $37^{\circ}\text{C}$ . The cells were then lysed by the addition of 30  $\mu\text{l}$  of passive lysis buffer (Promega), followed by one freeze-thaw cycle. An LB941 TriStar luminometer (Berthold Technologies) was used to measure the luciferase activity of each well after the addition of 100  $\mu\text{l}$  of luciferin buffer and 50  $\mu\text{l}$  of 1 mM D-luciferin potassium salt (Prolume). The neutralization half-maximal inhibitory concentration was calculated using GraphPad Prism version 8.0.1.

**ELISA.** The capacity of recombinant gp120 proteins to interact with CD4, CoRBS and cluster A Abs was tested by ELISA, as previously described (67). BSA and the recombinant gp120 proteins ( $\Delta\text{V1V2V3V5}$  WT,  $\Delta\text{V1V2V3V5}$  D368R, and ID2) were prepared in PBS (0.1  $\mu\text{g}/\text{ml}$ ) and adsorbed to MaxiSorp Nunc plates (Thermo Fisher Scientific) overnight at  $4^{\circ}\text{C}$ . BSA was used as a negative control. Coated wells were subsequently blocked with blocking buffer (Tris-buffered saline [TBS] containing 0.1% Tween 20 and 2% [wt/vol] BSA) for 90 min at room temperature. The wells were then washed four times with washing buffer (TBS containing 0.1% Tween 20). Abs (17b, N5I5, and CD4-Ig) were diluted in blocking buffer, followed by incubation for 120 min at room temperature. The wells were washed four times with washing buffer, followed by the incubation of HRP-conjugated antibody specific for the Fc region of human IgG (Pierce) for 90 min at room temperature. The wells were then washed four times with washing buffer. The HRP enzyme activity was determined after the addition of a 1:1 mix of Western Lightning ECL reagents (Perkin-Elmer). Light emission was measured with an LB 941 TriStar luminometer (Berthold Technologies, Bad Wildbad, Germany).

**Cell-based ELISA.** Recognition of trimeric HIV-1<sub>JRFL</sub> envelope glycoprotein (Env)  $\Delta\text{CT}$  at the surfaces of HOS cells was performed by cell-based ELISA, as previously described (47). Briefly, HOS cells were seeded in T-25 flasks ( $2 \times 10^6$  cells per flask) and transfected the next day with a total of 12  $\mu\text{g}$  of pcDNA3.1 expressing the codon-optimized HIV-1<sub>JRFL</sub> Env $\Delta\text{CT}$ , either WT or containing the CD4-binding site D368R mutation, per flask using the standard polyethylenimine (PEI; Polysciences, Inc., Warrington, PA) transfection method. At 24 h after transfection, the cells were plated in 384-well plates ( $2 \times 10^4$  cells per well). One day later, the cells were incubated in blocking buffer (washing buffer [25 mM Tris, pH 7.5; 1.8 mM  $\text{CaCl}_2$ ; 1.0 mM  $\text{MgCl}_2$ ; 140 mM NaCl] supplemented with 10 mg/ml nonfat dry milk and 5 mM Tris [pH 8.0] for 30 min) and then coincubated for 1 h with indicated Ab-CD4 or unconjugated Ab (1  $\mu\text{g}/\text{ml}$ ) in the presence or absence of sCD4 (3  $\mu\text{g}/\text{ml}$ ) in PBS diluted in blocking buffer. The cells were then washed five times with blocking buffer and five times with washing buffer. An HRP-conjugated antibody specific for the Fc region of human IgG (Pierce) was then incubated with all the samples for 45 min,

followed by another washing as just described. All incubations were performed at room temperature. To measure the HRP enzyme activity, 20  $\mu$ l of a 1:1 mix of Western Lightning oxidizing and enhanced luminol reagents (Perkin-Elmer) was added to each well. Chemiluminescence signal was acquired for 1 s/well with a TriStar LB 941 luminometer (Berthold Technologies).

**Flow cytometry analysis of cell surface staining.** Cell surface staining of infected cells was performed as previously described (39). Binding of cell surface HIV-1 Env by Ab-CD4 or unconjugated Ab (10  $\mu$ g/ml) was performed at 48 h postinfection in the presence or absence of sCD4 (10  $\mu$ g/ml). For competition experiments, Ab or Ab-CD4 was preincubated 30 min at room temperature with purified soluble gp120  $\Delta$ V1V2V3V5 D368R or ID2 protein (10  $\mu$ g/ml) prior to incubation with infected cells for 45 min. The cells were then washed twice with PBS and stained with 2  $\mu$ g/ml goat anti-human (Alexa Fluor 647; Invitrogen) secondary Abs for 15 min in PBS. After two more PBS washes, the cells were fixed in a 2% PBS-formaldehyde solution. To evaluate F240 epitope exposure, infected cells were stained with Alexa Fluor 647-conjugated F240 Abs in the presence of Ab-CD4 or unconjugated Ab (10  $\mu$ g/ml) with or without sCD4 (10  $\mu$ g/ml). Infected cells were then stained intracellularly for HIV-1 p24 using a Cytofix/Cytoperm fixation/permeabilization kit (BD Biosciences, Mississauga, Canada) and fluorescent anti-p24 MAb (phycoerythrin [PE]-conjugated anti-p24, clone KC57; Beckman Coulter/Immuntotech). The percentage of infected cells (p24<sup>+</sup>) was determined by gating the living cell population on the basis of viability dye staining (Aquavid; Thermo Fisher Scientific). Samples were acquired on an LSR II cytometer (BD Biosciences), and data analysis was performed using FlowJo vX.0.7 (Tree Star, Ashland, OR).

**FACS-based ADCC assay.** Measurement of ADCC using a fluorescence-activated cell sorting (FACS)-based assay was performed at 48 h postinfection as previously described (46). Briefly, infected primary CD4<sup>+</sup> T cells were stained with Aquavid viability dye and cell proliferation dye (eFluor670; eBioscience) and used as target cells. Autologous PBMC effector cells, stained with another cellular marker (cell proliferation dye eFluor450; eBioscience), were added at an effector/target ratio of 10:1 in 96-well V-bottom plates (Corning, Corning, NY). Antibodies (with or without sCD4) or Ab-CD4 were added to appropriate wells, and the cells were incubated for 15 min at room temperature. The plates were subsequently centrifuged for 1 min at 300  $\times$  g, followed by incubation at 37°C and 5% CO<sub>2</sub> for 5 h before being fixed in a 2% PBS-formaldehyde solution. Samples were acquired on an LSR II cytometer (BD Biosciences), and data analysis was performed using FlowJo vX.0.7 (Tree Star). The percentage of ADCC resulting from gating performed on infected lived target cells was calculated according to the following formula: [(percentage of p24<sup>+</sup> cells in targets plus effectors) – (percentage of p24<sup>+</sup> cells in targets plus effectors plus Abs)]/(percentage of p24<sup>+</sup> cells in targets).

**Statistical analysis.** Statistics were analyzed using GraphPad Prism version 8.4.3 (GraphPad, San Diego, CA). Every data set was tested for statistical normality, and this information was used to apply the appropriate (parametric or nonparametric) statistical test. *P* values of <0.05 were considered significant, and significance values are indicated in the figures by asterisks (\*, *P* < 0.05; \*\*, *P* < 0.01; \*\*\*, *P* < 0.001; \*\*\*\*, *P* < 0.0001).

## SUPPLEMENTAL MATERIAL

Supplemental material is available online only.

**FIG S1**, TIF file, 1.1 MB.

**FIG S2**, TIF file, 0.6 MB.

**FIG S3**, TIF file, 2.7 MB.

**FIG S4**, TIF file, 2.6 MB.

**FIG S5**, TIF file, 0.7 MB.

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J.R., D.N.N., W.D.T., A.F., and M.P. conceived the study. J.R., D.N.N., W.D.T., R.G., S.D., D.V., S.Y.G., J.P., G.G.-L., H.M., S.G., A.F., and M.P. generated reagents, performed experiments, and

analyzed the data. J.R., D.N.N, W.D.T., A.F., and M.P. wrote the paper. All authors contributed to editing the manuscript.

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