

CLINICAL SCIENCE

Long-term structural and symptomatic effects of intra-articular sprifermin in patients with knee osteoarthritis: 5-year results from the FORWARD study

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osef S ABSTRACT

Objective The FORWARD (FGF-18 Osteoarthritis Randomized Trial with Administration of Repeated Doses) trial assessed efficacy and safety of the potential diseasemodifying osteoarthritis drug (DMOAD) sprifermin in patients with knee osteoarthritis. Here, we report 5-year efficacy and safety results.

Methods Patients were randomised to intra-articular sprifermin 100 µg or 30 µg every 6 months (a6mo) or 12 months, or placebo, for 18 months. The primary analysis was at year 2, with follow-up at years 3, 4 and 5. Additional post hoc exploratory analyses were conducted in patients with baseline minimum radiographic joint space width 1.5-3.5 mm and Western Ontario and McMaster Universities Osteoarthritis Index (WOMAC) pain 40–90, a subgroup at risk (SAR) of progression. Results 378 (69%) patients completed the 5year follow-up. A significant dose-response in total femorotibial joint cartilage thickness with sprifermin (trend test, p<0.001) and a 0.05 mm mean difference with sprifermin 100 µg g6mo versus placebo (95% CI 0.00 to 0.10; p=0.015) were sustained to year 5. WOMAC pain scores improved ~50% from baseline in all groups. No patient in the 100 µg q6mo group had replacement of the treated knee. 96%-98% of patients receiving sprifermin and 98% placebo reported adverse events, most were mild or moderate and deemed unrelated to treatment. Adverse event-related study withdrawals were <10%. Differentiation in WOMAC pain between sprifermin 100 µg g6mo and placebo in the SAR (n=161) at year 3 was maintained to year 5 (-10.08); 95% CI -25.68 to 5.53).

Conclusion In the longest DMOAD trial reported to date, sprifermin maintained long-term structural modification of articular cartilage over 3.5 years post-treatment. Potential translation to clinical benefit was observed in the SAR.

Trial registration number NCT01919164

INTRODUCTION

Current therapies for osteoarthritis (OA) focus on reducing pain, improving physical function and patient's quality of life.^{1 2} There is an urgent need for disease-modifying osteoarthritis drugs (DMOADs) that improve symptoms and inhibit

Key messages

What is already known about this subject?

Sprifermin is a potential disease-modifying osteoarthritis drug that was shown to increase articular cartilage thickness compared with placebo in patients with knee osteoarthritis at the primary endpoint (year 2) of the FORWARD trial and was well tolerated. Structural benefits with sprifermin were observed in a subgroup at risk (SAR) of progression, who also demonstrated improvements in pain over placebo at year 3 follow-up.

What does this study add?

- Here we show that long-term structural modification of articular cartilage was maintained with sprifermin versus placebo over a 3.5-year to 4-year post-treatment period. Similar maintenance of structural improvements over this time was seen in the SAR, and clinically relevant improvement in pain over placebo in this subgroup was also sustained up to year 5 follow-up.
- There were no target knee replacements in the highest dose group of sprifermin over 5 years and no new safety signals.

How might this impact on clinical practice or future developments?

- These findings confirm the long-term structural benefit of intra-articular sprifermin treatment, with potential long-term clinical benefit and disease modification for knee osteoarthritis and no safety concerns.
- Long-term follow-up in disease-modifying osteoarthritis drug trials may be required to ensure that translation of structural modification to clinical benefit is seen.

structural disease progression.^{3 4} Many DMOAD trials have had limited success or yielded negative results, with the heterogeneous nature of OA and insufficient trial follow-up times being potential contributors.^{5 6}

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Preservation of cartilage integrity is widely considered a highly important goal for a DMOAD.⁷ Sprifermin is a recombinant human fibroblast growth factor 18 that has cartilage regenerative properties and is under investigation as a potential DMOAD for knee OA.⁸⁻¹¹ No measurable systemic effects or safety concerns were associated with sprifermin in phase I and Ib studies.^{12 13}

FORWARD (FGF-18 Osteoarthritis Randomized Trial with Administration of Repeated Doses) was a 5-year, randomised clinical trial that evaluated the efficacy and safety of sprifermin among 549 patients with symptomatic radiographic knee OA.¹⁴ FORWARD was designed with total femorotibial joint (TFTJ) cartilage thickness change as the primary structural endpoint at year 2, and change in Western Ontario and McMaster Universities Osteoarthritis Index (WOMAC) scores over the same period was a secondary endpoint. Follow-up continued up to year 5. The 2-year and 3-year data were reported recently: dosedependent modification of TFTJ cartilage thickness with sprifermin was demonstrated at years 2 and 3, and a mean difference of 0.05 mm in TFTJ cartilage thickness between the highest sprifermin dose (100 µg every 6 months (q6mo); 0.03 mm gain) and placebo (0.02 mm loss) was observed.¹⁴ WOMAC total scores improved by around 50% in all cohorts but did not differ significantly between sprifermin and placebo.¹⁴

Previous studies have revealed that including patients with at least moderate pain at baseline enables greater differentiation of a pain response to treatment.⁷ In addition, inclusion of patients with advanced disease (eg, low radiographic joint space width (JSW)) may enhance the ability to observe disease progression¹⁵ and detect translation of structural modification to clinical benefit.¹⁶ Exploratory post hoc analyses were therefore conducted in a so-called 'subgroup at risk' (SAR) of patients in FORWARD, with narrower medial or lateral minimum JSW (mJSW) and higher WOMAC pain than the overall study population at baseline (n=161).¹⁶ SAR placebo-treated patients had more rapid structural progression compared with the overall study population, whereas net TFTJ cartilage modification with sprifermin versus placebo was similar.¹⁶ Importantly, in the SAR, differentiation in WOMAC pain score change between placebo and the highest sprifermin dose was observed at year 3.¹

Here, we report the long-term structural and symptomatic efficacy and safety of sprifermin in the overall FORWARD study population and SAR up to year 5, that is 3.5 (for the q6mo groups) or 4 years (for the q12mo groups) after the last sprifermin treatment.

PATIENTS AND METHODS

Study design and outcomes

FORWARD was a randomised, double-blind, dose-finding, placebo-controlled trial. The study design was reported previously.¹⁴ Patients at 10 centres in seven countries were randomised 1:1:1:1:1 to receive intra-articular sprifermin 100 or $30 \,\mu g$ q6mo, 100 or $30 \,\mu g$ q12mo or placebo. Sprifermin was administered in cycles consisting of three injections over three consecutive weeks, q6mo (0, 6, 12, 18 months) or q12mo (0 and 12 months, with placebo at months 6 and 18) over an 18-month period (online supplemental figure S1).

FORWARD consisted of a primary 2-year analysis period, with an extended 3-year observation period. The primary endpoint was change in TFTJ cartilage thickness in the target knee at year 2, based on quantitative MRI (qMRI, see online supplemental materials). Secondary endpoints included change from baseline in WOMAC scores (0–100, where 0 represents no symptoms), mJSW in the medial and lateral compartments assessed by fixed-flexion radiography, cartilage thickness in medial and lateral femorotibial compartments (MFTC and LFTC) by qMRI, and the incidence and nature of adverse events (AEs) over 2 years. WOMAC assessments, which referenced the last 48 hours, were performed after a pain medication washout of at least 5 half-lives.

Exploratory follow-up for all efficacy and safety endpoints was performed at years 3, 4 and 5. A change in WOMAC pain by ≥ 10 (of 100) was described as a clinically relevant pain improvement to be perceived by an individual.¹⁷ The incidence of knee replacements (KRs) in the treated knee was a key exploratory endpoint, added post hoc to the year 5 analyses.

The SAR included all patients with baseline medial or lateral mJSW of 1.5–3.5 mm and a WOMAC pain score of 40–90. Post hoc exploratory analyses were conducted in the SAR, including structural (qMRI-based cartilage thickness and radiographic mJSW), symptomatic (WOMAC scores) and safety endpoints up to year 5.

See online supplemental materials for details on statistical analyses.

Study participants

Patients aged 40–85 years with symptomatic radiographic primary femorotibial knee OA, Kellgren-Lawrence Grade (KLG) 2 or 3, medial mJSW \geq 2.5 mm in the target knee and a history of OA-related pain for 6 months (needing pain medication most days or scoring 4–9 on question A1 of the WOMAC pain index after pain medication washout at screening) were eligible. Exclusion criteria included secondary knee OA, malalignment $>5^{\circ}$ (based on the anatomic axis angle on weight-bearing radiographs),¹⁸ clinical signs of inflammation in the target knee, planned surgery within 2 years and corticosteroids or hyaluronic acid administration to either knee 6 months before screening. All patients gave written informed consent.

RESULTS

Patient disposition

Of the 549 patients randomised (intention to treat (ITT)), 494 (90%) were included in the modified ITT (mITT) analysis, 474 (86%) completed treatment and the primary 2-year observation period and 442 (81%) and 378 (69%) completed the 3-year and 5-year extended follow-up periods, respectively. At baseline, 161 patients (29%) met the criteria for the SAR (figure 1). The most common reasons for discontinuation were 'withdrawal of consent' (n=63), followed by 'other' (n=52) and 'adverse event' (n=24).

The patients were 40–84 years old, with 69% being female (online supplemental table S1). Baseline characteristics, including age, sex, body mass index (BMI), mJSW and WOMAC pain were well balanced between study arms. The age, sex, race and BMI of patients in the ITT population and SAR were similar. The SAR, by definition of inclusion, had more severe disease than the ITT population, with a higher proportion of KLG 3 radiographic status (53% vs 31%).

Structural endpoints

The dose-dependent increase in TFTJ cartilage thickness by qMRI with sprifermin relative to placebo detected at year 2 was preserved to year 5 (trend test, p<0.001; figure 2A). In addition, the significant 0.05 mm adjusted mean increase in TFTJ cartilage thickness change between sprifermin 100 μ g q6mo and placebo at year 2 was sustained to year 5 (0.049 mm; 95% CI 0.00 to 0.10; p=0.015; figure 2B). Differences to placebo observed for



Figure 1 Patient disposition. One patient was randomised to placebo but received sprifermin 30 µg at visit 7 and one patient was randomised to sprifermin 30 µg q12mo but received 100 µg at visit 3; these patients were included in the 30 µg q12mo and 100 µg q12mo groups, respectively, for the SAF analysis set. ITT, intention to treat; mITT, modified intention to treat; N, total number; n, subgroup sample size; q6mo, every 6 months; q12mo, every 12 months; SAF, safety; SAR, subgroup at risk.

sprifermin 30 µg q6mo, 100 µg q12mo or 30 µg q12mo at year 5 were not statistically significant. Results for the SAR at year 5 were consistent with the mITT population, with an adjusted mean difference between the highest sprifermin dose and placebo of 0.06 mm (95% CI -0.02 to 0.14; p=0.129; figure 2C).

The adjusted mean difference in cartilage thickness change in the MFTC for the highest sprifermin dose compared with placebo was also maintained at approximately 0.05 mm at each timepoint from years 2-5, although not statistically significant after year 3 (95% CI -0.01 to 0.12; p=0.078 at year 5; figure 3A). The dose-effect of sprifermin on cartilage thickness change in the LFTC was preserved from years 2 to 5 (trend test, p < 0.05). The two highest sprifermin dose groups maintained an adjusted mean increase of 0.04 mm in LFTC compared with placebo to year 5 (100 µg q12mo: 95% CI 0.00 to 0.09; p=0.03; 100 µg q6mo: 95% CI 0.00 to 0.09; p=0.028; figure 3B). Results for the SAR at year 5 were consistent with the mITT population, with a mean difference between the sprifermin 100 µg q6mo and placebo groups of 0.04 mm (95% CI - 0.05 to 0.12) and 0.06 mm (95% CI - 0.03 to 0.14) in cartilage thickness change in MFTC and LFTC, respectively (figure 3C and D).

No effect of sprifermin on change from baseline in medial mJSW was observed at year 2 or up to year 5 (online supplemental figure S2A, table S2). Yet, a dose response was observed for lateral mJSW at each timepoint (p<0.05 at years 2–5). An adjusted mean difference in lateral mJSW change with sprifermin versus placebo of approximately 0.2 mm for the 100 μ g groups was consistently observed over time, but not statistically significant at year 5 (100 μ g q12mo: 95% CI –0.09 to 0.52; p=0.176; 100 μ g q6mo: 95% CI –0.09 to 0.54; p=0.167; online supplemental figure S2B, table S2). For the SAR, a mean difference of 0.16 mm (95% CI –0.31 to 0.62) and -0.03 mm (95% CI –0.48 to 0.42) between the highest sprifermin dose and placebo for medial and lateral mJSW, respectively, was observed at year 5 (online supplemental figure S2C,D).

Symptomatic endpoints

The approximately 20-point (50%) improvement from baseline in WOMAC pain subscore at year 2 was maintained to year 5 in all cohorts, including placebo (dose response: p=0.673; figure 4A, online supplemental table S3). There was no differentiation in WOMAC pain between any sprifermin dose and placebo at year 5 in the ITT cohort; the adjusted mean difference for sprifermin 100 µg q6mo versus placebo was -1.06 (CI -9.10 to 6.99; p=0.990). These results were consistent with WOMAC total scores and WOMAC function subscale scores, which also improved by approximately 20 points (50%) from baseline to year 5 in all groups (online supplemental figure S3A, table S3). The adjusted mean difference in WOMAC total for sprifermin 100 µg q6mo versus placebo was -1.29 (CI -9.01to 6.43).

However, post hoc analysis of the SAR identified a significant dose-dependent improvement in WOMAC pain at year 3 that persisted to year 5 (dose response: p=0.046; figure 4B). Further, a clinically meaningful numerical difference in WOMAC pain scores between sprifermin 100 µg q6mo and placebo at year 3 (adjusted mean -8.58; 95% CI -22.22 to 5.06) was sustained to year 5 (adjusted mean -10.08; 95% CI -25.68 to 5.53). In addition, the estimated mean difference in total WOMAC for the SAR between sprifermin 100 µg q6mo and placebo was clinically significant and persisted to year 5 (-9.50; 95% CI -23.52 to 4.53; online supplemental figure S3B).

Safety

The number of patients who reported AEs over 5 years was similar between the sprifermin groups (96%–98%) and placebo (98%; table 1). AEs were mostly mild or moderate in severity and 79% were deemed unrelated to treatment. Local AEs in the target knee were reported by 49%–51% sprifermin patients and 49% with placebo (table 1). The greatest number of local related AEs were classified as musculoskeletal and soft tissue disorders, the most common being arthralgia; reported by 9%–13% of



Treatment: - Placebo - Sprifermin 100 µg q6mo - Sprifermin 100 µg q12mo - Sprifermin 30 µg q6mo - Spri

patients receiving sprifermin and 9% receiving placebo. No new systemic or local safety concerns were identified.

A total of 181 patients (33%) reported serious AEs (SAEs). The incidence of SAEs was similar between the sprifermin (29%–38%) and placebo (36%) groups, and none were considered treatment related by investigators. The most common SAEs were OA and arthralgia. Withdrawals due to AEs were <10% in all treatment groups. The majority of these were musculoskeletal and soft tissue disorders. One patient in the placebo group died due to gastric cancer approximately 5 months after the last dose; this was not considered treatment related.

Safety results for the SAR were similar to the ITT population (table 1); there was no obvious difference between the sprifermin

and placebo groups and approximately 80% of AEs were unrelated to treatment. There were more SAEs in the placebo (53%) than in the sprifermin groups (31%–37%) for patients in the SAR.

By the end of year 5, a total of 15 patients had partial or total KR of the treated joint (table 1). In the ITT population, the incidence of partial or total KRs in the treated joint was less than 5% in all groups including placebo (n=4, 4.6%), with the exception of the sprifermin $30 \,\mu\text{g}$ q6mo group (n=5, 5.4%). No patient in the sprifermin $100 \,\mu\text{g}$ q6mo group had replacement of the treated knee.

DISCUSSION

DMOADs that not only alleviate symptoms but also modify structure are an important and unmet clinical need for knee



Treatment: — Placebo — Sprifermin 100 µg q6mo — Sprifermin 100 µg q12mo — Sprifermin 30 µg q6mo — Sprifermin 30 µg q12mo Figure 3 Change in MFTC and LFTC cartilage thickness up to year 5. Difference from placebo in cartilage thickness (mm) in the (A) MFTC and (B) LFTC for the mITT population (n=494). Absolute change from baseline in (C) MFTC and (D) LFTC cartilage thickness (mm) for the SAR (n=161). Means and 95% Cls shown. *p<0.05, **p<0.005, ***p<0.001. BL, baseline; LFTC, lateral femorotibial compartment; MFTC, medial femorotibial compartment; mITT, modified intention to treat; q6mo, every 6 months; q12mo, every 12 months; SAR, subgroup at risk; TFTJ, total femorotibial joint.

OA. Sufficient follow-up in DMOAD trials may be necessary to demonstrate that the structural benefit of a DMOAD is maintained and that structure modification eventually translates into clinical benefit. FORWARD is the longest DMOAD study reported to date. The results of the current analyses show that the efficacy of sprifermin, as measured by both the dose-effect and the mean difference versus placebo in TFTJ cartilage thickness at year 2, was maintained up to year 5, that is, for at least 3.5 years post-active treatment. These findings suggest that intra-articular sprifermin has a sustained effect on articular cartilage modification in patients with knee OA, with no detectable systemic exposure or new safety signals observed up to Year 5. Evaluation of the type of cartilage gained with sprifermin by biopsy was not possible in FORWARD due to ethical considerations. Preclinical studies in rat models of OA indicated that sprifermin induces the proliferation of hyaline cartilage-producing articular chondrocytes, hyaline extracellular matrix synthesis and cartilage repair.^{8 10 11} Importantly, the cartilage gained with sprifermin treatment up to year 2 did not decline at a faster rate after the end of treatment than the natural cartilage in the placebo group, indicating robust quality and mechanical properties with similar resilience to natural cartilage.

Statistically significant cartilage modification was observed in the LFTC with sprifermin and while the 0.05 mm net increase in



Figure 4 Change from baseline in WOMAC pain scores up to year 5 in the (A) ITT population (n=549) and (B) SAR (n=161). Means and 95% Cls shown. Δajd., adjusted mean difference (95% Cl) to placebo at year 5; BL, baseline; ITT, intention to treat; q6mo, every 6 months; q12mo, every 12 months; SAR, subgroup at risk; WOMAC, Western Ontaria and McMaster Universities Osetoarthritis Index.

MFTC thickness with sprifermin versus placebo was maintained to year 5, it was not statistically significant. Since the majority of patients in the study had medial disease, less weight load in the lateral compartment (off-loading the joint) may have allowed for a more pronounced effect by sprifermin in the LFTC. Importantly, the medial compartment responded to sprifermin despite continuous weight load.

A large decrease in WOMAC pain of approximately 50% was observed in all groups, including placebo, and maintained up to year 5. These observations suggest that the contextual effect of intra-articular injection that is known to impact WOMAC outcome in knee OA trials¹⁹ is maintained over long periods. This is in line with a meta-analysis of 32 randomised trials that showed intra-articular saline injections to significantly improve short-term and long-term knee pain.²⁰ There was high variation

in WOMAC pain and no statistical differentiation between the highest sprifermin dose and placebo was observed in the ITT cohort at year 5 or previous timepoints. FORWARD was designed to assess structural modification, not symptoms, and as such pain medication was not modified or restricted throughout the study. This may have contributed to the lack of symptomatic differentiation between sprifermin and placebo.

Post hoc analyses identified a subgroup of patients with narrower mJSW (<3.5 mm) and non-acceptable OA-related knee pain (>40) at baseline: the SAR.¹⁶ Interestingly, net structural improvement in cartilage thickness relative to placebo was similar in the SAR and overall study population, although the SAR encountered more rapid cartilage loss compared with the latter. This is an important finding, as it is often presumed that DMOADs would be ineffective in patients with more severe

Table 1 Summary of AEs and KRs in the ITT population (n=547) and SAR at year 5 (n=161)

	ITT		SAR							
	Placebo	Sprifermin				Placebo	Sprifermin			
Patients, n (%)	n=107	30 µg q12mo n=109	30µg q6mo n=111	100µg q12mo n=111	100 µg q6mo n=109	n=34	30 µg q12mo n=36	30µg q6mo n=27	100 µg q12mo n=31	100 µg q6mo n=33
All AEs	105 (98.1)	107 (98.2)	109 (98.2)	107 (96.4)	107 (98.2)	34 (100.0)	35 (97.2)	27 (100.0)	31 (100.0)	33 (100.0)
Local AEs	52 (48.6)	54 (49.5)	57 (51.4)	54 (48.6)	53 (48.6)	18 (52.9)	17 (47.2)	13 (48.1)	18 (58.1)	12 (36.4)
Systemic AEs	103 (96.3)	104 (95.4)	105 (94.6)	105 (94.6)	106 (97.2)	34 (100.0)	35 (97.2)	27 (100.0)	31 (100.0)	33 (100.0)
All SAEs	39 (36.4)	35 (32.1)	34 (30.6)	32 (28.8)	41 (37.6)	18 (52.9)	11 (30.6)	10 (37.0)	11 (35.5)	12 (36.4)
Local SAEs	5 (4.7)	4 (3.7)	6 (5.4)	3 (2.7)	1 (0.9)	4 (11.8)	1 (2.8)	0 (0.0)	0 (0.0)	0 (0.0)
SAEs leading to death	1 (0.9)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	1 (2.9)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)
KRs*	4 (4.6)	4 (4.0)	5 (5.4)	2 (2.0)	0 (0.0)	3 (8.8)	1 (2.8)	1 (3.7)	0 (0.0)	0 (0.0)

*The incidence of partial or total replacement of the treated knee at year 5, presented as a percentage of patients who completed year 2 per group (placebo, N=87; 30 µg q12mo, N=99; 30 µg q6mo, N=92; 100 µg q12mo, N=98; 100 µg q6mo, N=96).

_AEs, adverse events; ITT, intention to treat; KRs, knee replacements; q6mo, every 6 months; q12mo, every 12 months; SAEs, serious adverse events; SAR, subgroup at risk.

disease at baseline and in those with faster structural progression. The current results, however, demonstrate that structural modification by sprifermin is at least as strong in more severe OA as in milder OA.

The overall study population was relatively heterogeneous due to the inclusion criteria allowing a population with diverse levels of baseline pain and structural status. A shift in pain reporting between screening (WOMAC sub-question A1) and baseline (sub-questions A1-A5) was observed, and a relatively high proportion of patients (32%) had a baseline WOMAC pain score of <40. Such heterogeneous cohorts may be less likely to differentiate DMOAD responses on pain from placebo due to a floor effect that contributes to lower average scores across the study population.¹⁶ Patients were selected with different amounts of cartilage thickness at baseline in order to maximise the likelihood of observing structural changes. As a result, many patients (38%) had a baseline medial mJSW of >4.0 mm that approached the JSW of healthy individuals. It is likely that more time is required for these patients to show translation of structural improvement to symptomatic benefit versus placebo.

Importantly, and in contrast with the full ITT cohort, the potential clinically meaningful improvements in pain with the highest sprifermin dose versus placebo observed at year 3 were sustained to year 5 in the SAR. The pain benefit of sprifermin in this subgroup required 2–3 years to become clinically relevant, in line with the hypothesis that this occurs subsequent to structural modification of cartilage thickness. These results are plausible, as patients with less mJSW, lower cartilage thickness and more pain at baseline are more likely to symptomatically benefit from cartilage structural modification than those with only mild disease. The findings also show that relatively long observation intervals may be required to demonstrate the translation of structural modification into symptomatic benefit.

The limitations of this study include that the year 5 analyses were exploratory, and the SAR was identified post hoc and included a modest proportion of patients. Conclusions regarding sprifermin's symptomatic effects are limited by the lack of control/modification of analgesic medication during the study (as per protocol) and the enrolment of patients with limited pain at baseline. No techniques were applied to identify valid pain reporters or limit the placebo effect on pain. Rescue medications and changes in physical activity were not analysed, which could have helped put into context the lack of separation in symptomatic endpoints between the sprifermin and placebo groups. Finally, MRI reading approaches regarding blinding to the sequence of image acquisition differed between time points, although differences between sprifermin-treated and placebo-treated patients at each time point were unaffected by this.

In conclusion, these findings suggest potential long-lasting cartilage structural modification with sprifermin treatment among patients with knee OA. Symptomatic improvements with sprifermin versus placebo were observed in an SAR of structural and symptomatic progression. The FORWARD trial had the longest follow-up time for DMOAD efficacy using quantitative imaging and provides an exemplar for the feasibility of long-term OA trials. Enrichment of patient populations with higher likelihood of structural and symptomatic progression may increase the chance of successful DMOAD development.

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