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RESEARCH ARTICLE

Distinctive variation in the U3R region of the 5' Long Terminal Repeat from diverse HIV-1 strains

Christelle Mbondji-wonje^{1,2*}*, Ming Dong³, Xue Wang¹, Jiangqin Zhao¹, Viswanath Ragupathy¹, Ana M. Sanchez⁴, Thomas N. Denny⁴, Indira Hewlett^{1*}*

- 1 Laboratory of Molecular Virology, Division of Emerging and Transfusion Transmitted Diseases, Center for Biologics Evaluation and Research, Food and Drug Administration, Silver Spring, Maryland, United States of America, 2 Department of Molecular Biology, Faculty of Medicine, Pharmacy and Biomedical sciences, University of Douala, Douala, Cameroon, 3 U.S. Military HIV Research Program, Silver Spring, Maryland United States of America, 4 Department of Medicine, Duke Human Vaccine Institute, Duke University Medical Center, Durham, North Carolina, United States
- Eurrent address: Laboratory of Molecular Virology, CBER/FDA, Silver Spring, MD, United States of America
- * Christelle.mbondji@fda.hhs.gov (CM); Indira.hewlett@fda.hhs.gov (IH)

Abstract

Functional mapping of the 5'LTR has shown that the U3 and the R regions (U3R) contain a cluster of regulatory elements involved in the control of HIV-1 transcription and expression. As the HIV-1 genome is characterized by extensive variability, here we aimed to describe mutations in the U3R from various HIV-1 clades and CRFs in order to highlight strain specific differences that may impact the biological properties of diverse HIV-1 strains. To achieve our purpose, the U3R sequence of plasma derived virus belonging to different clades (A1, B, C, D, F2) and recombinants (CRF02_AG, CRF01_AE and CRF22_01A1) was obtained using Illumina technology. Overall, the R region was very well conserved among and across different strains, while in the U3 region the average inter-strains nucleotide dissimilarity was up to 25%. The TAR hairpin displayed a strain-distinctive cluster of mutations affecting the bulge and the loop, but mostly the stem. Like in previous studies we found a TATAA motif in U3 promoter region from the majority of HIV-1 strains and a TAAAA motif in CRF01_AE; but also in LTRs from CRF22_01A1 isolates. Although LTRs from CRF22_01A1 specimens were assigned CRF01 AE, they contained two NF-kB sites instead of the single TFBS described in CRF01 AE. Also, as previously describe in clade C isolates, we found no C/EBP binding site directly upstream of the enhancer region in CRF22_01A1 specimens. In our study, one-third of CRF02 AG LTRs displayed three NF-kB sites which have been mainly described in clade C isolates. Overall, the number, location and binding patterns of potential regulatory elements found along the U3R might be specific to some HIV-1 strains such as clade F2, CRF02 AG, CRF01_AE and CRF22_01A1. These features may be worth consideration as they may be involved in distinctive regulation of HIV-1 transcription and replication by different and diverse infecting strains.



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Introduction

The high diversity of HIV-1 has led to its classification into four groups named M, N, O and P. Group M which is the most prevalent worldwide has been further subdivided into at least nine genetically distinct subtypes or clades (A-D, F-H, J, and K), several circulating recombinant forms (CRFs) and unique recombinant forms (URFs) [1]. Several studies have reported the impact of HIV-1 strains on transmission, replication, pathogenesis, diagnosis and response to therapy [2, 3]. Thus, subtype A was shown to be less pathogenic than non-A subtypes and to have a lower replication rate than subtype C [4, 5]. The heterosexual transmission rate of HIV-1 appeared to be higher in subtype A compared with D, and in CRF01_AE compared with subtype B [6, 7]. Subtype D has been associated with faster disease progression compared with subtype A, C and CRFs [8-10]. The overall influence of inter- and intra-clade genetic variability on the biological properties of HIV-1 is not fully understood. However, there is increasing evidence that the genetic diversity of the LTR has the potential to affect the replication rate and expression of HIV-1 [11-14]. For example, it was shown that a single mutation changing one of the two canonical targets for NF-κB into a binding site for GABP transcription factor, results in higher replication rate and transmission efficiency of CRF01 AE compared with subtype B [15]. Also, mutations in the sequence motifs targeted by the CCAAT/enhancer-binding proteins (C/EBP) and specificity proteins 1 (Sp1) were correlated with disease severity and neurologic impairment [16, 17].

During the life cycle of HIV-1, transcriptional regulation of the integrated provirus is a key step ahead of viral replication and expression. This early step is regulated by a synergistic interaction of host transcription factors and viral proteins that bind to specific targets in the 5'LTR of the HIV-1 genome. The HIV-1 5'LTR is a complex structure of approximately 640 bp in length with a high concentration of transcription factor binding sites (TFBS). It has been divided into the U3, R and U5 functional regions. The U3 region located upstream of the transcription start site was subdivided into the modulatory (nt -454 to -104), the enhancer (nt -105 to -79) and the promoter (nt -78 to -1) segments [13, 18]. The modulatory segment contains numerous TFBS [19], and in certain HIV-1 variants, this segment is extended with an insert of 15 to 34 nucleotides known as the Most Frequent Naturally occurring Length Polymorphism (MFNLP) [20–22]. The enhancer region contains binding sites for the NF-kB family of transcription factors, and the promoter region carries the TATA box and three binding sites for Sp1 [18]. Following the promoter, the R region which is, by definition, the transcription initiation site comprises the trans-activating responsive (TAR) element that mediates activation of transcription through its binding to the viral Tat protein [23, 24], and the polyadenylation signal (poly A) involved in the addition of the 3'-poly (A) tail [25]. Along with these elements, various binding sites for different families of transcription factors have also been described in the R region [26-29]. Interaction between the TAR element and the viral protein Tat is required to enhance transcriptional elongation and gene expression of HIV-1 [30, 31]. However, prior to the presence of Tat, the 5'LTR is able to support basal transcription that results in the production of short transcripts allowing viral proteins such as Tat to be made [31]. This capacity of the 5'LTR to control HIV-1 basal transcription is driven by TFBS in the U3 promoter and enhancer segments primarily [31], but also involves cooperative action of regulatory elements in the U3 modulatory segment [32]. Besides its role in transcription, the U3 and the R region of the 5'LTR (U3R) have also been involved in HIV-1 replication, expression and silencing [33-38]. Therefore, characterization of the U3R from different HIV-1 strains is of great importance as it might contribute to a better understanding of the influence of HIV-1 variants on the fitness, pathogenicity and disease progression. To date, only few studies have



investigated the genetic diversity the HIV-1 5'LTR in non-B and non-C strains and in most of them the common approach was the clone-based Sanger sequencing methodology [39–41]. This method is costly, time-consuming and labor intensive. Also, it may be limited by preferential selection that can occur during molecular cloning and only a few hundred clones can be generated and sequenced [42, 43]. Next-generation sequencing (NGS) technology is a relevant alternative to overcome these limitations by allowing massively parallel amplification at high coverage of thousands of reads per base pair. Thus, NGS provides the potential to reduce the time and facilitate the detection of intra-specimen variability without the need for cloning PCR amplicons before sequencing. The main objective of this study is to describe the genetic variability of the U3R from viruses representing various clades and diverse CRFs isolated from plasmas samples, by using NGS Illumina sequencing technology.

Materials and methods

Specimens and RNA isolation

Sixty five high titer virus derived from de-identified plasma specimens obtained from DUKE EQAPOL viral diversity program [44], and five CRF02_AG virus isolated from stored and de-identified plasma specimens [45–47] as previously described [48] were selected for this study. The study was approved by the Duke University Institutional Review Board for clinical investigation (Pro0029507) and the Review Board of the US HHS/Food and Drug Administration Research in Human Subjects Committee (exempt reference number 01-044B). The selected seventy virus stocks included clade B (n = 18), clade A1 (n = 10), clade C (n = 9), clade D (n = 8), clade F2 (n = 3), clade G (n = 4), CRF02_AG (n = 9), CRF01_AE (n = 5), CRF22_01A1 (n = 4). Viral RNA was extracted using Virus QIAamp Viral RNA Mini Kit (QIAGEN) according to the manufacturers' instructions.

Primer design

References and consensus sequences representing the first 1000 nucleotides of the HIV-1 genome from multiple subtypes and CRFs were downloaded from Los Alamos National Laboratory HIV database and aligned in MEGA version 7 software [49]. Regions with the highest degree of conservation were screened and used to design PCR primers that were optimized for melting temperatures, GC content and reduced hairpin and/or primer dimer formation (NCBI/primer3-BLAST; SMS). Due to mismatches in some strains, selected primers were designed with degenerate nucleotides to match all group M isolates.

cDNA synthesis, PCR amplification and purification

Reverse transcription was performed by using Superscript III First-strand synthesis System for RT-PCR (Invitrogen 18080–051). The cDNA was amplified by touchdown (TD) polymerase chain reaction (PCR) procedure with 2 rounds of amplification. Each amplification run was performed in a 50μl reaction volume using Promega PCR master mix (Promega, Madison, WI, USA cat M7505) supplemented with 0.4μM of primer Table 1. Cycling conditions for both outer and nested TD-PCR was as follows: initial incubation at 95°C for 3 min followed by 10 cycles at 95°C for 30 s, 64°C for 30 s with a 1°C decrement per cycle, and 72°C for 50 s. Subsequently, 25 cycles were performed at 95°C for 30 s, 55°C for 30 s, and 72°C for 50 s followed by a final extension of 5 min at 72°C. The second round PCR amplicons were separated by gel electrophoresis. The expected PCR product size of about 850 pb were excised from the gel and purified using QIAquick Gel Extraction Kit (Qiagen).



Table 1. Primers used for amplification of the 5'end of the HIV-1 genome.

Primers	Reaction	Sequence (5'to 3')	Position ^a
HF01F (+)	cDNA	TGGAAGGCTAATTTGGTCCCA	1–22
UNINEF 7' (-)		GCACTCAAGGCAAGCTTTATTGAGGCTT	9605–9632
HF01Fd (+)	1 st PCR	TGGAWGGGYTAATTTGGTCCCA	1–22
RVN910_M (-)		GCTCCCTGCTTGCCCATACT	891–910
5'NCF1_M (+)	2 nd PCR	GGMTWCTTCCCTGATTGGCA	66–85
PBSBR (-)		CTTAATACCGACGCTCTCGCACCCAT	790-815

^{(+),} forward primer; (-) Reverse primer;

https://doi.org/10.1371/journal.pone.0195661.t001

Ultra-deep sequencing

Miseq (Illumina, San Diego, CA) sequencing of the gel purified PCR product was carried out at the core facility of the Food and Drug Administration (FDA, White oak, MD, USA). Briefly, concentration of purified amplicons was measured by using Qubit dsDNA BR Assay System (Covaris, Woburn, MA, USA) and (2 ng of DNA purified product was processed for NGS library preparation using the Nextera XT DNA Sample Preparation Kit. Specimens were run in the Miseq instrument using a MiSeq v2 kit (500 cycles) to produce paired-end reads of approximately 250pb. After automated cluster generation in MiSeq, the sequencing was processed and fastq files were generated.

Sequence analysis

All fastq files were imported into CLC Genomics Workbench version 9.0 (CLC bio/Qiagen, Aarhus, Denmark) using default option. Reads were paired and trimmed to obtain reads with an average Phred score ≥ 20. Contigs were generated using de novo assembly tools with the default options. The reads were mapped back to contigs using previous published parameters [50]. For characterization of the 5'LTR region, the minimum contig length was set at 400bp. For each specimen, contigs with a minimum coverage of 1000 reads were exported from the assembly and used for further analysis. The subtype of each full length LTR contig was assigned using COMET HIV-1 subtype tool [51]. Sequences from the same subtypes were aligned in MEGA 7 using the 5'end of HXB2 sequence (from nucleotide 76 to 850, K03455) as a reference to position the U3R sequence and to locate regulatory motifs. Mutations were identified by comparing sequences from each HIV-1 studied strain to the reference HXB2 and within matched subtype. Identification of potential TFBS was based on similarity with a reported binding site pattern and prediction with the Match 1.0 Public program available online (http://gene-regulation.com/pub/programs.html). A pairwise comparison in CLC Genomics Workbench was used to calculate the percentage of identity.

Results

Subtype assignment

Near full length LTR sequences were not obtained for 11% (8/70) of selected specimens due to failure in amplification and /or sequencing. From the 62 specimens successfully sequenced, more than one contig was obtained for several specimens. Thus, we retrieved a total of 80 contigs that were subtyped as follows: clade B (n = 20), CRF12_BF (n = 5), clade C (n = 8), clade D (n = 10), clade F2 (n = 5), clade G (n = 3), clade A1 (n = 10), CRF02_AG (n = 9) and CRF01_AE (n = 10). For majority of the sequences analyzed, the subtype assigned to the 5'LTR of the HIV-1

^a Position according to the HXB2 coordinates



isolate was concordant with its previously reported primary genotype [44–46, 52]. However, we found that additional contigs from 5 clade B specimens were subtyped as CRF12_BF (BF), one of the two contigs from a CRF02_AG (AG) specimen was assigned to be F2, and all the LTR sequences retrieved from the CRF22_01A1 (01A1) specimens were subtyped as CRF01_AE (AE) Table 2.

Variability of the U3R region of the 5'LTR

Compared to HXB2, the U3 region was the most conserved in clade B with about 88% nucleotide identity versus less than 80% in average for all the other strains. The variability of the U3 region was less than 12% between sequences from the same strains and increased to an average of 23% between sequences from different strains. For most of our studied strains, the highest variability was found in the U3 modulatory segment with less than 75% of nucleotide identity. Nevertheless, the enhancer segment of clade C 5'LTRs share less than 65% of identity with the other strains. Although the number of mutations were higher in the modulatory segment than in the other segments of the U3 region, it also appears to be well conserved within sequences from the same HIV-1 strain (>85% of nucleotides identity). In the enhancer segment the intra-strain diversity varied from 2% (CRF01_AE) to 26% (CRF02_AG), while it was about 6% in the promoter segment. With an average nucleotide identity of 85%, this latter segment of the U3 region displayed few changes when sequences from different strains were compared.

Variability of the U3 region

U3 modulatory spanning nucleotides -378 to -176. Numerous transcription factors have been described in this portion of the U3 modulatory segment [13]. Nucleotide sequence from -378 to -364 which encompasses targets for proteins related to the NF-1 and CREB/ATF family of transcription factors [53, 54], include the CTGATTGGC motif (nt -378 to -370) that was conserved in 56% of the sequences analyzed. Its variant CAGATTGGC was mainly observed in the CRFs as well as in clades G and D specimens, while CAGACTGGC was shown in 1/3 of the CRF02_AG specimens (Fig 1A). The adjacent sequence (nt -369 to -364) with the consensus motif AGAA (C/T) T was conserved in 55% of the sequences analyzed, while other variants such as ACAACT were mainly found in CRF01_AE and CRF22_01A1 viruses (Fig 1A). TFBS such as COUP-TF, AP-1, ETS-1 and GATA have been reported within the sequence spanning nt -356 to -325 [55-57]. In our dataset, the first half of the binding site for COUP/AP-1 (AG GGCCA; nt -356 to -350) was highly conserved (80%) with few variants such as the consensus G (G/C) GACCA found in clade C LTRs (Fig 1A). On the contrary, the second half of this TFBS (nt -349 to -343) which includes a functional target for AP-1 family of proteins (AP-1 (II)), was more variable (Fig 1A). AP1 (II) overlaps a GATA binding site (nt -343 to -338) with the motifs AGATT (T/C) mostly found in F2, CRF12_BF, G and CRF02_AG LTRs, and AGATA (T/C) predominant in all the other studied strains. Further downstream (nt -333 to -327), the TGACCTT consensus for the reported AP-1(I), was found in all the strains studied except in CRF01_AE, CRF22_01A 1 and in clade A1 viruses. Indeed, the AP-1 (I) variant TATGTTT was shared by 80% of CRF01_AE and 40% of CRF22_01A1 specimens. In the remaining sequence from CRF22_01A1 viruses, the consensus sequence TGTG (T/C) TT was found. In clade A1 LTRs, the variant TAACATT generates with its 3'end overlapping nucleotides a CATTTG motif (nt -330 to -325), that matches the E-box consensus CANNTG (Fig 1A).

Contiguously, the GATA-like binding site GGATGG (nt -326 to -319) [58], was mutated to GGGTGG in CRF02_AG LTRs and in some sequences from various other strains (Fig 1A). The consensus TGCTACAAG (C/T) (nt -320 to -310) of the first half of the binding site for the negative regulator of transcription (NRT-1)[59], was mainly conserved in CRF22_01A1 (80%)



Table 2. Specimens description and LTR subtype assignment.

Original ID	Country of origin	Primary genotype	Source Genbank	LTR ID	LTR subtype	Genbank
DEMB10US007	USA	В	KC473828	DEMB10US007	В	MH045863
DEMB10US011	USA	В	KC473830	DEMB10US011	В	MH045864
DEMB10US003	USA	В	KC473826	DEMB10US003	В	MH045865
DEMB10US004	USA	В	KC473827	DEMB10US004	В	MH045866
DEMB11US004	USA	В	KC473832	DEMB11US004	В	MH045867
DEMB10US009*	USA	В	KC473829	DEMB10US009_1	В	MH045868
				DEMB10US009_2	CRF12_BF	MH045869
DEMB05FR001	France	В	JX140652	DEMB05FR001	В	MH045870
DEMB08FR002*	France	В	JX140654	DEMB08FR002_1	В	MH045871
				DEMB08FR002_2	В	MH045872
DEMB10CN002*	China	В	JX140658	DEMB10CN002_1	В	MH045873
				DEMB10CN002_2	В	MH045874
DEMB10VE001	Venezuela	В	JX140659	DEMB10VE001	В	MH045875
DEMB03JP004*	Japan	В	KC473846	DEMB03JP004_1	В	MH045876
				DEMB03JP004_2	В	MH045877
				DEMB03JP004_3	CRF12_BF	MH045878
DEMB10ES003*	Spain	В	KC473843	DEMB10ES003_1	В	MH045879
	-1			DEMB10ES003_2	CRF12_BF	MH045880
DEMB10ES002	Spain	В	KC473842	DEMB10ES002	В	MH045881
DEMB09ES007	Spain	В	KC473841	DEMB09ES007	В	MH045882
DEMB12JP001	Japan	В	KF716498	DEMB12JP001	В	MH045883
DEMB09FR001*	France	В	KF716494	DEMB09FR001_1	В	MH045884
				DEMB09FR001_2	CRF12_BF	MH045885
DEMB09BO001*	Bolivia	В	JX140656	DEMB09BO001_1	В	MH045886
			,	DEMB09BO001_2	CRF12_BF	MH045887
DEMC08ZA011	South Africa	С	JX140666	DEMC08ZA011	C	MH045888
DEMC09MW007	Malawi	С	KP109524	DEMC09MW007	С	MH045889
DEMC09MW009	Malawi	С	KP109526	DEMC09MW009	C	MH045890
DEMC00IN009	India	С	KP109484	DEMC00IN009	С	MH045891
DEMC12ZA087	South Africa	С	KP109516	DEMC12ZA087	С	MH045892
DEMC00IN006*	India	С	KP109481	DEMC00IN006_1	С	MH045893
				DEMC00IN006_2	С	MH045894
DEMC00IN007	India	С	KP109482	DEMC00IN007	С	MH045895
DEMD07UG007	Uganda	D	KF716503	DEMD07UG007	D	MH045896
DEMD07UG002*	Uganda	D	KC596071	DEMD07UG002_1	D	MH045897
				DEMD07UG002_2	D	MH045898
DEMD08UG001	Uganda	D	KC596072	DEMD08UG001	D	MH045899
DEMD10UG004*	Uganda	D	KF716479	DEMD10UG004_1	D	MH045900
				DEMD10UG004_2	D	MH045901
DEMD11UG003*	Uganda	D	KF716480	DEMD11UG003_1	D	MH045902
				DEMD11UG003_2	D	MH045903
				DEMD11UG003_3	D	MH045904
DEMD10CM009	Cameroon	D	JX140670	DEMD10CM009	D	MH045905
DEMF110ES001	Spain	F1	JX140671	DEMF110ES001	F2	MH045906
DEMF210CM001*	Cameroon	F2	JX140672	DEMF210CM001_1	F2	MH045907
	- Cumerosii)	DEMF210CM001_1 DEMF210CM001_2	F2	MH045908
DEMF210CM007	Cameroon	F2	JX140673	DEMF210CM007	F2	MH045909

(Continued)



Table 2. (Continued)

Original ID	Country of origin	Primary genotype	Source Genbank	LTR ID	LTR subtype	Genbank
NYU6542*	Cameroon	CRF02_AG	NA	NYU6542_1	F2	MH045910
				NYU6542_2	CRF02_AG	MH045911
DE00208CM004	Cameroon	CRF02_AG	JX140647	DE00208CM004	CRF02_AG	MH045912
DE00208CM001	Cameroon	CRF02_AG	JX140646	DE00208CM001	CRF02_AG	MH045913
MDC-021	Cameroon	CRF02_AG	NA	MDC-021	CRF02_AG	MH045914
MDC-024	Cameroon	CRF02_AG	NA	MDC-024	CRF02_AG	MH045915
MDC-046	Cameroon	CRF02_AG	NA	MDC-046	CRF02_AG	MH045916
MDC-055*	Cameroon	CRF02_AG	NA	MDC-055_1	CRF02_AG	MH045917
				MDC-055_2		MH045918
MDC-067	Cameroon	CRF02_AG	NA	MDC-067	CRF02_AG	MH045919
DEMG09KE001	Kenya	G	KF716477	DEMG09KE001	G	MH045920
DEMG09ES002	Spain	G	JX140675	DEMG09ES002	G	MH045921
DEMG10CM008	Cameroon	G	JX140676	DEMG10CM008	G	MH045922
DE00109CN003	China	CRF01_AE	KC596061	DE00109CN003	CRF01_AE	MH045923
DE00109CN004	China	CRF01_AE	KC596062	DE00109CN004	CRF01_AE	MH045924
DE00110CN001	China	CRF01_AE	KC596063	DE00110CN001	CRF01_AE	MH045925
DE00111CN003	China	CRF01_AE	KC596065	DE00111CN003	CRF01_AE	MH045926
DE00111CN002	China	CRF01_AE	KC596064	DE00111CN002	CRF01_AE	MH045927
DE02210CM010	Cameroon	CRF22_01A1	KF716460	DE02210CM010	CRF01_AE	MH045928
DE02210CM011	Cameroon	CRF22_01A1	KF716461	DE02210CM011	CRF01_AE	MH045929
DE02210CM014	Cameroon	CRF22_01A1	KF716463	DE02210CM014	CRF01_AE	MH045930
DE02210CM012*	Cameroon	CRF22_01A1	KF716462	DE02210CM012_1	CRF01_AE	MH045931
				DE02210CM012_2		MH045932
DEMA03RW001	Rwanda	A1	KF716499	DEMA03RW001	A1	MH045933
DEMA11KE001	Kenya	A1	KF716475	DEMA11KE001	A1	MH045934
DEMA110UG001	Uganda	A1	KF859745	DEMA110UG001	A1	MH045935
DEMA108RU003	Russia	A1	KF716491	DEMA108RU003	A1	MH045936
DEMA108RU004	Russia	A1	KF716492	DEMA108RU004	A1	MH045937
DEMA106ES002	Spain	A1	JX140651	DEMA106ES002	A1	MH045938
DEMA110UG009	Uganda	A1	KF716486	DEMA110UG009	A1	MH045939
DEMA105TZ001	05TZ001 Tanzania A1		JX140650	DEMA105TZ001	A1	MH045940
DEMA07RW002*	Rwanda	A1	KP109528	DEMA07RW002_1	A1	MH045941
				DEMA07RW002_2		MH045942

NA, not available;

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isolates. It was changed to a TGCTTCAAG(C/T) consensus in a majority of non -CRF02_AG, non- clade G and D specimens, and to TG(C/T)TTCAAAC variant in clade G and CRF02_AG LTRs. The second half of the NRT-1 was reported to include an E-box/c-Myb and AP-1 sites [60]. In our study, the E-box consensus (CANNTG, nt -303 to -298) was not found in clade G and CRF02_AG LTRs. It was replaced by the non-specific variant CA(A/G)TGG also shared with others strains such as CRF22_01A1 isolates (Fig 1A). This latter variant also modified the overlapping AP-1 motif (nt -299 to -293). The contiguous GATA target [60, 61], displayed two major variants in our study (Fig 1A), GGTAGA (45%) and AGTAGA (26%) that were closely related but not identical to the canonical (A/T)GATA(A/G) binding site for GATA factors. Nucleotides spanning the AP1-1 and GATA binding sites (nt -296 to -283) lead to a consensus

^{*}highlighted in gray are specimens in which more than one contig was retrieved



Position relative	Predominant variant	Reported TFBS		Varia	nt fre	quen	y in	each	HIV-	1 stud	ied strai	n (%)	_ Potential TFBS	Consensus
to HXB2			BF	F2	В	D	С	A1	G	AG	AE	01A1		
nt -378	CTGATTGGC	NF-1/CREB/ATF	80	80	80	20	100	90			20	20		
nt -378 to -370	CAGATTGGC	NF-1/CREB/ATF	20		15	80			100	67	80	80		
10 -3/0	CAGACTGGC			20						33				
	AGAAYT		100	100	65	20		90	100	67				
nt -369	AAAACT				10	80	88				40	20		
to -364	ACAACT				10						60	60		
	ATAACT				5					11		20		
nt -356	AGGGCCA	COUP-TF	100	80	95	100		90	100	56	100	80		
nt -350 to -350	AGGACCA			20			25	10		33		20		
10 -330	GSGACCA						63							
	GGGATCA			20	20		10	30			40	40		
	GGGGTCA	AP-1 (II)		20	20	10	60	30				40		
nt -349	GGGACCA		80	40	30	10		10		10				
to -343	GGGACTA		20		10	40		10	70	40				
	GGGATTA					40			30	20	60			
	GGGGTTA				20					10				
nt -343	AGATAY	GATA		40	70	70	60	50	30	20	60	100		
	AGATTY		100	60	30		10	30	70	60	40			
to -338	AGGTAY					30		20		20				
	TGACCTT	AP-1	80	100	60	90	80	10	100	90				
	TGTGYTT				20			10				60		
nt -333	TAACATT							70				-		
to -327	TATGTTT										80	40		
	TAACCTT		20		20									
	CCTTTG		100	100	70	80	100		100	100				
nt -330	GTTTTG				10						100	80		
to -325	CATTTG							80					E-box	CANNTG
	GCTTTG				20			10				20		
nt -326	GGATGG		80	80	60	80	50	60	70		100	100	GATA	WGATAR
to -319	GGGTGG		20	20	50	20	50	40	30	100				
	TGCTACAAGY				10		30	30				80		
-4 200	TGYTTCAAAC			20					100	80				
nt -320	TGCTTCAAGY		100	80	90		80	70		10	100	20		
to -310	TGYTTCGAGC					50								
	TGCTTCGAGY					30								
	CANNTG	Ebox/c-myb	80	80	70	90	60	80			100	40		
	CARTGG	c-myb-like			15			10	100	100		40		
nt -303	CAGTWR	,			10	10		10				20		
to -298	CAGTCR		20	20	5		13							
	CCGTKG						25							
	TGABCCA	AP-1	80	80	65	60	75	70			100	40		
	GGAYCCA	74 1		-	5	•••	13		100	100		40		
nt -299	TGATGCA				Ů	30				,				
to -293	CARCCCA		20	20			13							
	AGAGCAA				10		.0							
	BCMAGABVAGGTRG				70									
	YCCAGAGGAGGTAG		100	100									Ets/NFAT	MGGAW
	BCCAGADGAARTRG							70				20	Ets/NFAT	11.007.117
nt -296	TSCAAAGGAGGYAG					70							Ets/NFAT	
to -283	CCCAAGGGAAGTAG						63							
200	TCCAGCAGAARTAG						-		100					
	TCCAAGAGAAGTAG								100		60			
	CCCAAMAGARGTAG										vv	60		

Position relative	Predominant variant	Reported TFBS		Varia	ant fre	quen	cy in	each	HIV-	1 stud	died str	ain (%)	Potential TFBS	Consensus
to HXB2			BF	F2	В	D	С	A1	G	AG	AE	01A1		
	RATAGA	GATA			10			20	33		20			
nt -287	GGTAGA		100	100	65	40	13			67	40		GATA	WGATAR
to -282	AGTAGA						75	70	67		20	100		
10 -202	RGTGGA				25			10		22				
	NGYAGM					60				11				
	RAAGGC		100	80	25			70					GABP	CGGAAR
	AGAGGC				30	20	75	20						
nt -281	RGAAGC				15		13		100	67				
to -276	AGARGA					60	13							
	GGARGA										100	20		
	RGAGAR											80		
	AAYGAAG		100	100	70		50	10		33				
	ACTGARG					90		40	33					
	AACAAAG						13				100	40	LEF-1/TCF	GWAACWW
nt -274	AATAAAG									33		60	EE. 111-01	011111111111111111111111111111111111111
0 -268	ACTGSAG				15					22		00		
0 -200	ACCGAAG				10		38			22				
	AAYGAGG				10		30	30						
								30	67					
	ACTAGGG													
nt -270	MAGGAG	NFAT	100	100	90	80	88	20	33	100	60	100	Ets/NFAT	MGGAW
to -265	AGGGAG					10		70						
	GGGGAG								67					
	YAACTG		100	100	60	70	63	30			40	40	Ebox/c-myb	YAACKG
nt -260	YAACAG				20		38	60	67	100				
o -255	CAGCTG				20		00	00	07	100	60	60	AP-4	CAGCTG
	CAMYTG				10	30					00	00	Ebox	CANNTG
	CTTRCTACA	C/EBP (US3)		20	15	20	25	10					LDOX	CANITO
	CTTRTTACA	C/EBP (US3)		20	60	60	20	10	67					
	TTTRCTRCA	C/EBP (US3)			00		50		0/	11				
nt -254		C/EBP	400	00		10	13		22					
0 -246	TTTRTTRCA		100	80			13	-00	33	56	400	400		
	CCTGTTACA				15			20			100	100		
	CCTATTACA					10		70						
	TCTATTACA									33				
	CCTATR		100		85	30	75	70					GATA	WGATAR
	CCCATG			40	5						100	80		
nt -244	CCCMTC								100	100				
o -239	CCTSTG					30	25							
	CCTGCR					40								
	CCTSTA							20						
	TATGAR		20		60		38	20						
	TATAAG		80	60	25		13						TATAA	
	CATGAR			40	5						100	80		
nt -242	CATCTG								100	89			E-box/AP-4	CAGATG
to -237	TRTGTG					30	50	30	-					
	TMTATG							30						
	TSTGAG					30								
	TGCGTG					30								
			20	40	EC		20	20			40	90	AP-1/ATF/ CREE	3 TGAKTCA
	TGAGCCW		20	40	50	30	38	20			40	80	AP-IIATH CREE	IGARICA
	TAAGCCW		80	60	35			10	400	400		20		
nt -240	TCTGYCW					01		0.0	100	100				
to -234	TGTGCCW					30	50	30						
	TGAACCW				15						60			
								40						
	TATGYCA CGTGCCA					30		40						

Position relative	Predominant variant	Reported TFBS		Varia	int fre	quen	cy in	each	HIV-	1 stud	ied strai	n (%)	Potential TFBS	Consensus
to HXB2	1 TOOMINGHE VEHICLE	reported 11 Do	BF	F2	В	D	С	A1	G	AG	AE	01A1	_ Totorital TTD0	00110011000
	RCAYGGAATGGA		20	60	10	70	75	80	33	67	40	80	Ets/GATA	MGGAW / WGATAR
	GCATGGGATGGA				80		13	10						
nt- 232	ACATGGAATAGA		80	40										
to -222	GCATGGAATAGA						13			22	20			
	GCATGGAGYAGA					30								
	ACATGGAGCAGA								67					
	GCATGGAATGGG										40			
	TGACCCR				70	10		10					AP-1/ATF/CREB	TGAKTCA
	TGATGAD							80				60		
	TGACGAM										40			
nt -221	GGATGAA			20			88			22		40		
to 215	GGACGMA		100	60						22	60			
	GGACMCG				5	70								
	GGATGGA								67					
	TGAAGAM						25	10				80		
	TGAACAC						63							
nt -218	TGAAGAK			20				20		22				
to -212	TGATGAD							30		11		20	AP-1/ATF/CREB	TGAKTCA
	TGAGGAR							20		11		20	AP-1/ATF/CREB	TGAKTCA
	GAGAGAGARGTG	NFAT			20	90							74 II/III/ONLED	TOTALLO
	GAGAAAGAAGTR	141741			45			10					C/EBP	MTTNCNNM
	GAAAGAGAAGTG				70			10		11	100	60	OrLDI	INIT I I VOTAL VIOL
nt -216	GACAGGGAAGTG		100	40							100			
to -203	GAYARAGARGTG		100	-10						55		20	GATA	WGATAR
10 200	CACARAGAAGTA						63			00		20	Ortin	WOMPH
	GAGAGAGARACA						00	30						
	GAAGGAGAAGTR						25	00					Ets	MGGAW
	GAAACAT				10		20	50					LEF-1/TCF	GWAACWV
nt -208	GAAGTGY		100	80	55	80	13	30	33	67	100	100	ELI-MOI	OWNER
to -202	GAAGTAT		100	20	10	00	88	10	00	07	100	100		
10 -202	GAGGTGC			20	15		00	10	67	22				
	TAAWGTGGA		100	80	15	60	50	50	01	22				
	TGATRTGGA		100	30	20	30	50	10			100	100	AP-1/ATF/CREB	TGAKTCA
nt -201	TGGTCTGGA				20			10		100	100	100	AI - WATTIONED	TOAKTOA
to -193	TGGTGTGGA				20	30		10		100				
10 -133	TGAGATGGA				20	30		10	67				AP-1/ATF/CREB	TGAKTCA
	TGAAATGGA								33				W-INVITIONED	TOAKTOA
nt -188	TGACAGY		100	100	an	10	100	100		77	100	60		
to -182	TAACAGY		100	100	30	80	100	100	100	11	100	00		
10 -182	TAMONOT					60								

Position relative	Predominant variant	Reported TFBS		Varia	int fre	quen	y in	Potential TFBS	Consensus					
to HXB2			BF	F2	В	D	С	A1	G	AG	AE	01A1	_	
	RGCMTTNCRNCA	C/EBP			95			20						
	RGCATTNANNCA							40		40				
	NGCACRCAGACA						88					40		
nt -176	RGCATTTGARCA					100				33			E-box	CANNTG
to -165	AGCMMTGAGACA		80	60									AP-1/ATF/CREB	TGAKTC
	AGCACGGAGRCA		20	20					33			40		
	AGCAMGAAAACA										80			
	GGCACGGAGACA								67					
	CACRTGGC	USF-1			70		13					20		
	CAYMTGGC						50						E-box	CANNTO
nt -166	CACRTAGC		100	80	15			20	33	44	100	80		
to -159	CAYAAGGC				15	100							Ets	MGGAV
	CACARAGC							30		33				
	CACTTAGC								67					
	CCCGAG				55	20		60	100		100	100		
	CCARAG		100	80	15	30		10		22				
nt -158	CCCGCG				30		100							
to -154	CTCGAG									33				
	CWAAAR									33				
	CCAARA					30								
	AGATRCAT				10			20		11		40	GATA	WGATA
	AGCTGYAT				55	10		20	100			40	G/1//	110/11/1
nt -153	AGAAAYAT		100	80	20			30		11			LEF-1/TCF	GWAACV
to -146	AGYTACAT						88	10				20		
	AACTGCGT										80			
	ATCTGCAT									22	20			
nt -148	YATCCGGA		80	60	90	90	100	90	100			80		
to -141	CRTCCWGA		20	20	10	10		10			100	•••		
nt -145	CCGSAGT		80	80	90	60	100	70	100	89		100	AP-1/ATF/CREB	TGAKTC
to -139	CCWGAGT		20	20	10	10		10		11	80	100	74 10111101120	100 0110
	WWCAAWG		100	60	25	80	63	80		78	20	100	LEF-1/TCF	GWAACW
nt -136	TACAAGR		100	20	45	00	25	10		70	20	100	ELI-MOI	OWNER
to -130	TATAARR			20	10	10			67	22	60		TATAA	
	ACTGAGA		80	100	5				٠,				AP-1	TGACTO
Insert	ACTGAGG		20	100	5									10/1010
	ACTAAAG		20					10		11				
	ACTGCTGA	RBE III	100	100	85	100	75	100	100	89	100	100		
nt -129	ACTGATGA	NDL III	100	100	15	100				00	100	100		
to -122	ATTGCTGA				10		13			11				
10 111	A-TGCTGA						13			- 11				
	TGACATC	AP-1/ATF/CREB			25		10							
	TGACACA	74 III/III/OILED	100	80	20		88	90	33	89		80		
nt -124	TGACACY		100	00	20	100	00	00	00	00		00		
to -118	TGACAKT				45	100		10						
10-110	TGACAMG			20	70			10		44				
	TGACAAM			20						44	80	20		
	MNFLP	RBE III/AP-1	80	80	10	40	38	20	33	67	00	100		ACYGCTO
	ARHTTTCTACA	C/EBP	00	00	85	60	30	20	33	01		100		MTTNCNN
	RAGTTRCTGAC	ULDE			03	00		70	100	100				INTLINCININ
nt -116	AAGATTCTAAR		80	60				70	100	100				
to -106	AAGTTTCTAAC		00	00							100			
	AAGAATCTAAG			40							100			

 $\textbf{Fig 1. Variability in the U3 modulatory region of diverse HIV-1 subtypes and CRFs.}\ N = any \ base; \ W = A \ or \ T; \ R = A \ or \ G; \ Y = C \ or \ T; \ K = G \ or \ T; \ M = A \ or \ C; \ S = G \ or \ C; \ D = A, \ G \ or \ T; \ H = A, \ C \ or \ T; \ B = C, \ G \ or \ T.$

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with a fairly high variability in LTRs from clades B and A as well as CRF02_AG specimens, but with some specific patterns in the other strains analyzed. The HXB2 sequence GCCAGAGAA GTTAG was mutated to CCCAAGGAAGTAG in clade C, to T(G/C)CAAAGGAGG(C/T) AG in clade D, and to TCCAAGAGAAGTAG in CRF22_01A1 isolates. These variants probably contain specific TFBS, as observed with the clade D included motif AAGGAG that matches a recognition site for Ets-2 [62].

In the subsequent sequence, motifs such as (G/A) AAGGC (nt -283 to -277), fitting the canonical motif for GABP factors [63], was observed in all F2 and CRF12_BF as well as in A1 (70%) and B (25%). The AACAAAG motif (nt -274 to -268) conformed to the LEF-1/TCF consensus [64], was conserved only in LTRs from CRF01_AE (100%) and CRF22_01A1 (40%). In 60% of CRF22_01A1 and in 33% of CRF02_AG isolates, the LEF-1/TCF motif was changed to a homologue of the polyadenylation signal motif AATAAA (Fig 1B). The overlapping consensus (A/C) AGGAG (nt -270 to -265) which resembles a binding site for NFAT and Ets-2 factors [62, 65–67], was fairly well conserved across almost all our analyzed sequences except for majority of sequences from clades A1 and G isolates (Fig 1B). Overlapping the NFAT footprint [68], the consensus motif CAACTG (nt -260 to -255) perfect fit to the E-box /c-Myb consensus [69, 70], was mainly found in non-A1, non-G and non-CRFs specimens. In 60% of the sequence assigned to be CRF01_AE, we found the variant CAGCTG similar to the recognition site for the AP4 factor [71]. On the other hand, the c-Myb variant (C/T) AACAG, predominant in sequences from CRF02_AG (100%), G (67%) and A1 (60%) specimens, was also found in clades C (38%) and B (20%).

Although it displayed one nucleotide mismatch with the (C/A) TTNCNN (C/A) A consensus described as the best fit for the binding of the C/EBP family of transcription factors [72–74], the sequence CTTGTTACA in HXB2 (nt -254 to -246) was reported as a C/EBPβ target (US3). In our study, the US3 consensus (CTTNTNNCA) was found in at least 60% of clades B, D and G assigned LTRs, and the variant TTTNCNNCA mainly found in clade C LTR was also shown to be able to bind C/EBP proteins [74–76]. In most of the other studied strains, variants of the US3 generally included more than one nucleotide mismatches with the C/EBP consensus motif (Fig 1C). The adjoining sequence (nt -244 to -234) seemed to include various overlapping TFBS with some strain specific motifs (Fig 1C). Thus, at the relative location of the GATA-like motif CCTAT (G/A) (nt -244 to -239) that was found in non-clade G and non-CRFs isolates, the CCCATG variant was shown in LTRs subtyped as CRF01_AE only, while CCCATC was found in clade G and CRF02_AG only. Subsequently, we observed a CREB/ATF/AP-1-like binding site in several studied isolates, except for clade G and CRF02_AG. In these latter specimens, we found an E-box with the sequence motif CATCTG. Majority of sequences subtyped as F2 (60%), CRF12_BF (80%) as well as some clade B (25%) displayed a TATAA motif within nucleotides spanning this location (Fig 1C). Further downstream, the nucleotide sequence includes a potential Ets/GATA- like element (nt- 232 to -222) and other various potential TFBS such as TGACCC (A/G) in clade B or TGA (G/T) GA (A/G) in clade A1 which resemble were a CREB/ATF/AP-1 target (nt -221 to -212).

The sequence GAGAGAGAGTG in HXB2 (nt -216 to -203), reported to encompass a second NFAT footprint in this segment of the U3 region [65, 68], was mainly conserved in clade D isolates. In our dataset, this NFAT footprint displayed strain specific mutations generating motif that might potentially be recognized by other transcription factor (Fig 1C). For example, the GAGAAGAGT (G/A) consensus was mainly found in clade B LTRs (45%) include C/EBP-like motif, while the GA(C/T)A(G/A)A(G/A)ACT variant predominant in CRF02_AG isolates includes a GATA-like motif. The highest variability of this NFAT footprint was found in clade A1 isolates in which we noticed that 50% of the variants contain a GAAACAT motif



(nt -208to -202) closely related to the LEF-1/TCF recognition site [64]. The adjacent motif TAGAGTGGA (nt -201 to -193) displayed some specific motifs in most of the HIV-1 non-B strains with some the variant containing an AP-1/ATF/CREB-like motif (Fig 1C). The following nucleotide sequence (nt -188 to -182) was characterized by low intra-clade variability and majority of the variants found contain a motif homologous to the AP-1/ATF/CREB family of proteins target (Fig 1C).

U3 modulatory spanning nucleotides -176 to -106. Several consensus binding sites for transcription factors such as C/EBP, USF-1, Ets, LEF-1 and RBF-2 have been described within the nucleotide sequence from position -176 to the end of the modulatory segment [41, 73, 77–79]. In this part of the U3 region, the US2 and US1 targets for C/EBP proteins were reported within the HXB2 sequence AGCATTTCATCA (nt -176 to -165) and AGCTTGCTACA (nt -116 to -106) respectively [80]. In our study, the consensus motif (A/G) GC (A/C) TTNC (A/G) NCA found in clades B (95%) and A1 (20%) was the only US2 variant conformed to the canonical binding site for C/EBP proteins. Non-canonical distinct variants were observed among non-B strains and some of them displayed up to three nucleotides mismatches with the consensus (Fig 1D). The consensus (A/G) GCATTNANNCA was found in about 40% of A1 and 45% of CRF02 AG LTRs, while NGCAC (A/G) CAGACA predominant in sequences from clade C (88%) was also found in LTRs from CRF22_01A1 specimens (40%). In our study, the sequence CGCAGACA included in this latter US2 variant was no longer strictly associated to clade C isolates as previously reported [39, 81], as it was also found in the emerging CRF22_01A1 recombinant. On the other hand, we observed that the US2 consensus AGCA (C/A) GAAAACA was only displayed by CRF01_AE specimens. In all the clade D and in some CRF02_AG LTRs, the US2 variant (A/G) GCATTTGA (A/G) CA includes the E-box motif CATTTG similar to what we reported earlier in clade A1 viruses (nt- 330 to -325); whereas the AGC (C/A) (C/A) TGAGACA consensus in CRF12_BF (80%) and F2 (60%) LTRs, contains a TGAGACA motif closely related to an AP-1/CREB/ATF target.

Overlapping with the US2 target, the nucleotide sequence CACATGGC in HXB₂ (nt -166 to -159) contains the well described E-box consensus motif CAC (G/A) TG recognized by bHLHzip proteins such as USF-1 or TF3 [75, 82, 83]. This USF-1 consensus was mainly found in clade B LTRs (70%), in some CRF22_01A1 (20%), and in few clade C isolates (13%). At this position, additional E-boxes with non-USF-1 consensus motifs were found in clade C (50%), while none of the variants found in the other studied strains match the CANNTG consensus (Fig 1D). According to a previous study, these non-CANNTG variant do not interact with a recombinant USF-1 factor in vitro [84]. We noticed that the A5T mutation of the USF-1 variant CACAAGGC displayed by the clade D and few clade B LTRs, generates an Ets-like core motif (AAGGC). The sequence downstream of the USF-1 motif (nt -153 to -146), includes a potential GATA motif with a high variability across our dataset (Fig 1D). In this sequence, we also observed that the consensus AGAAA (C/T) AT predominant in F2 and CRF12_BF LTRs (Fig 1D), contains a potential recognition site for TCF/LEF family of proteins [64].

Contiguously, the consensus motif (C/T) ATCCGGA (nt -148 to -141) binding site for RBF-1/Ets-1 was found to be very well conserved across all our studied HIV-1 strains except for CRF01_AE isolates mainly in which it was changed to a C(G/A) TCC (T/A) GA variant as previously described [14, 40, 41]. Here, the RBF-1/Ets-1 target overlaps two major consensus motifs CCG (G/C) AGT and CC (T/A) GAGT (mainly CRF01_AE) in 79% and 14% of the analyzed LTRs respectively (Fig 1D). These two consensuses contain a motif homologous to the recognition site the ATF/CREB/AP-1 family of transcription factors. The canonical consensus for LEF-1/TCF factors commonly reported in proximity of the Ets-1 target [82], was found in about 60% of the non-B, non-G and non-CRF01_AE specimens in our study. For this TFBS, a TATAA (A/G) (A/G) variant which includes a TATAA motif was predominant in G (67%)



and CRF01_AE (60%) isolates. Nonetheless, this TATAA motif has been shown to be non-functional in CRF01_AE isolates [41]. The highest variability was observed in clade B LTRs where only 25% of the TCF/LEF variants matched the canonical motif. Adjacent to the binding site for TCF/LEF, an insert of 7 nucleotides with the consensus motif ACTGAGA was specifically found in F2 and CRF 12_BF sequence. In this study, we noticed that this insert reported as a partial RBE site motif [41], was closely related to the AP-1- like element involved in the expression of the tumor suppressor protein p53 [85].

Further downstream, the ACTGCTGA motif of the RBEIII site (nt -129 to -122) targeted by the RBF-2 complex [22, 77], was particularly well conserved (93%) across HIV-1 clades and CRFs in our study. This RBE III intersects an AP1-like element (nt -124 to -118) that was conserved in only 25% of the clade B LTRs. Besides the most frequent variant TGA CACA predominant in sequences from non-B, D, G and non-CRF01_AE isolates, the AP-1-like sequence displayed some strains distinctive motifs (Fig 1D). It was followed by the MFNLP which in accordance with previous reports [21, 22], was displayed by about 33% of the LTRs in our study. Commonly observed in LTRs from CRF22_01A1 specimens as well as in LTRs subtyped as F2, CRF12_BF (80%) and CRF02_AG (67%), this insert was less frequent (< 40%) in clades A1, G, C and D. It was scarcely found in LTRs subtyped as B, and was totally absent in the LTRs from CRF01_AE isolates. The MFNLP includes a duplicate of the RBEIII and/or the AP-1-like motif along with a GATA-like motif in the LTRs subtyped as CRF02 AG, CRF12 BF and F2 or a C/EBP-like motif in LTRs from clade D isolates. At the end of the modulatory segment, the US1 consensus A (A/G) (C/A/T) TTTCTACA found in clades B (70%) and D (80%) LTRs, was the only in our dataset to be conformed to a reported binding site for C/EBP proteins. In all the other analyzed 5'LTR sequences, the following variants were found: (A/G) AGTT (G/A) CTGAC in A1, G, and CRF02_AG, AAGATTCTAA (G/A) in F2 and CRF12_BF, while AAGTTTCTAAC which overlaps a TCF/LEF-like motif (CTA ACTA) was found in CRF01_AE specimens (Fig 1D). In our study, there was no US1 variant in sequences from clade C isolates as previously reported [76], neither in LTRs from CRF22_01A1 specimens.

U3 enhancer spanning nucleotides -104 to -80. The two canonical sequences GGGA CTTTCC for the binding of NF-κB factor in the enhancer segment of the U3 region were very well conserved. Nevertheless, with an overall percentage of similarity of 85%, NF-κB2 (nt -104 to -95) was slightly less conserved than NF-κB1 (nt -90 to -81) which showed a nucleotide similarity of about 91% with HXB2. Besides the consensus A (G/A) GACTTCC specifically found in CRF01_AE specimens, we observed within the NF-κB2 site some point mutations such as C9A in 20% of CRF02_AG, T6C in 40% of CRF12_BF LTRs and C10T in 40% of the LTRs from CRF22_01A1 isolates (Fig 2). The C10T mutation was also the most frequent NF-κB1variant and was observed only in CRF12_BF (80%) and F2 (40%) LTRs. This C10T variant found was shown to have no impact on the affinity and the functionality of the NF-κB factors [86]. In our study, an additional binding site for NF-kB with the consensus motif GGGGCGTTCC was found in majority of clade C specimens as previously reported [15, 41] and with the canonical NF-kB motif in one-third of CRF02 AG LTRs.

The NF- κ B2/ NF- κ B1 interspace motif GCTG (nt -94 to -91) was overall well conserved. This spacer is embedded within the AP-2 recognition sequence CCGCTGGGGA [87, 88], and overlaps motif targeted by GABP factors. Indeed, it has been shown that GABP binds with high affinity to the variant CTTCCG of overlapping the NF- κ B2 site of CRF01_AE viruses only; and with the low affinity to the variant CTTTCCG present in most of the other studied strains [15]. In our dataset, we observed three major variants of the interspace motif (Fig 2): 1) ACTG scattered among several specimens and predominant in CRF22_01A1, 2) (G/A) CCT found in clade G, and 3) AAAG found in CRF12_BF and F2 LTRs only. This latter variant, by overlapping NF- κ B2, led to a CTTTCCAAA motif conformed to the canonical consensus for the



Position	Predominant variant	Departed TEDO		Varia	nt fre	quen	cy in	each	HIV-	1 stud	ied strai	in (%)	Potential TFBS	Concon
relative to HXB2	Predominant variant	Reported TFBS	BF	F2	В	D	С	A1	G	AG	AE	01A1		Consensus
	GGGACTTTCC	NF-kB2	60	100	100	100	100	100	100	67		60		
nt -104	GGGACTTTCT									11		40		
to -95	GGGACCTTCC		40											
10 -95	GGGACTTTAC									22				
	ARGACTTCC	GABP									100			CGGAAR
	GCTG			40	95	80	88	70		56	100	20		
	ACTG		20	20	5	20		10		33		60		
nt -94	AAAG		80	40										
to -91	RCCT						13		100					
	ACCG											20		
nt -90	GGGACTTTCC	NF-kB1	20	60	100	90	100	100	100	100	100	100		
to -81	GGGACTTTCT	THE NOT	80	40	100		100		100		100	100		
10 01	GGGGCGTTCC	NF-kB3	00	70			75							
insert	KGGGCGTKCC	INI-KD3					25							
mocit	GGGACTTTCC						20			33			NF-kB	GGGRNYYYC
	GAGGCGTGGC	C= III			20					33			IAL-VD	GGGKNITTC
	GAGGCGTGGC	Sp III			20		25	80		89		40		
					20		25			09	400	40		
	GAGGTGTGGC				30			10			100			
	GAGGCGTAMC					80								
nt -77	AAGGCGKGCC		80	60										
to -68	GAGGCGCGGC				25				67					
	GRGGRGTGGT						75							
	GAGGCGTGAY				10			10	33					
	GAGGWGGGGT											60		
	AGGGCGTWCC		20	40										
	TGGGCGGGAC	Sp II			90	30	88	10						
	TGGGCGGAGT							90				100		
	TGGGAGGAGT									100				
nt -66	GGGGCGGGAC		20		5	60								
to -57	GAGGGCGGGAC		80	60									GABP	CGGAAR
	RGGGMGGAGT										100			
	TGGGAGGGRC								100					
	RGGGAGGGRC			40										
	GGGGAGTGGC	Sp I	20	60	80	20	25	90	67	89	60	100		
	TGGGAGTGGC					80	13		33	11				
nt -55	GGGGAGTGGT		80	40			25				20			
to -46	TGGGAGTGGT		-	-10			38							
	AGGGAGTGGC				10		00				20			
	GCGAGCCCT				85						20			
	GCTAACCCT		20	40	00	100	13	90	100	67	80	100		
	GTCAACCCT		20	40		100	63	90	100	07	20	100	Ebox/c-myb	YAACKG
nt -47	GTTAACCC		80	40			03				20		Ebox/c-myb	YAACKG
to -39	GCGAACCYT		00	40	15			10					EDUX/C-IIIyD	TAACKG
10 - 39	GCCAGCCCT				15		25	10						
							25			00				
	GCTAGCCCT									33				
1 00	GCTTGCCCT			20										
nt -38	CAGATG	Ebox	100	100	95	100	100	100		67	100	100		
to -33	CAGAAG								100	33				
nt -29	CATATAA	TATA box	100	100	100	100	100	100	100	89	40	20		
to -23	CATAAAA										60	80		
	TATATAA									11				
nt -21	CAGCTG	Ebox/AP-4	100	80	80	100	100	100		56				
to -16	CAGCCG			20	20				100	44	100	100		
	CTTTTTGCCT			20	55	70			100	11	100	100		
			100	100	55	/0	75	20		11				
	CTTTTCGCCT		100	100			75	20	400	70				
nt - 15	CTTTKCGCTT						0.5	30	100	78	40	00		
to -6	CTTTTCGCTT						25	20			40	80		
	CTTTGCGCTT										60	20		
	CTTTCTGCCT				25									
	CTSTTTGCCT					30								

Fig 2. Variability in the U3 enhancer and promoter region of diverse HIV-1 subtypes and CRFs. N = any base; W = A or T; R = A or G; Y = C or T; K = G or T; M = A or G; $M = A \text{ or$

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binding of C/EBP proteins ((C/A) TTNCNN(C/A)A). Furthermore, we noticed that the mutation of the AP-2 target in CRF02_AG appeared only in LTRs containing an extra NF- κ B site.

U3 promoter spanning nucleotides -78 to -1. Three sites named Sp III, Sp II and Sp I for the binding of Sp1 and related factors have been described along with the TATA box in this segment of the U3 region. In our study, the Sp binding sites displayed a high degree of intraclade conservation and some inter-clade variability. Of the three, the sequence of the Sp III proximal to NF-κB1 displayed the highest variability (Fig 2). Thus, the HXB2 Sp III consensus motif GAGGCGTGGC (nt -77 to -68) was found in 20% of clade B LTR only, while specific variants, such as GAGGCGTA (A/C) C was found in clade D isolates, and GAAGGCG (T/G) GCC which include a GABP-like motif (GAAGGC) was found in F2 (60%) and CRF12_BF (80%) LTRs (Fig 2). For this TFBS, the variant GAGGTGTGGT (5T10T) predominant in LTRs from clades A1 and CRF02_AG viruses (\geq 80%) was also found in sequences from CRF22_01A1 (50%) and clade C (22%), and GAGGTGTGGC (5T) seen in all the LTRs from CRF01_AE was



shared with clade B LTRs as previously observed [89, 90]. Overall, variability of the Sp III in our study was concordant with previous studies reporting a high conservation of the central Gs (GGCG) and the frequent C5T/A mutation [90, 91]. The HXB₂ Sp II motif TGGGCGGGAC (nt -66 to -57), mainly conserved in clades B and C sequences was mutated to TGGGCGGAGT in LTRs from clade A1 (90%) and CRF22_01A1 (100%) viruses. In our study, Sp II strain specific variants such as TGGGAGGG (G/A) C, TGGGAGGAGT, and (G/A) GGG (A/C) GGAGT were respectively observed in LTRs from clade G, CRF02_AG and CRF01_AE specimens (Fig 2). These three consensus were not conformed to the GC box consensus (GGGCGGPuPuPy) reported as recognition site for Sp1 family of proteins [92]. Sp I proximal to the TATA box (nt -55 to -46), was the most conserved of the three targets for Sp proteins, with the consensus motif (G/T) GGGAGTGG (C/T) found in more than 90% of the sequences analyzed.

Overlapping with the Sp I site, the subsequent nucleotide sequence GCGAGCCCT in HXB₂ (nt -47 to -39) was conserved in clade B LTRs only (Fig 2). In our study, this sequence displayed several variations such as GTCAACCCT predominant in clade C, GTTAACCC seen only in F2 (40%) and CRF12_BF (80%) sequences, and the consensus GCTAACCCT observed in most of the other studied LTRs. We noted that these variants contained a potential E-box/c-Myb element. Further downstream, the TATA box motif (TATAA) flanked at its 3' and 5' end by an Ebox motif, was extremely well conserved in all our strains studied except for CRF01_AE and CRF22 01A1 where it was changed to TAAAA in 60% and 80% LTR sequence respectively (Fig 2A). The CAGATG motif of the 5'E-box predominant in almost all the LTRs analyzed, was mutated to CAGAAG in clade G (100%) and in CRF02_AG (33%) viruses. However, we noted that in these two HIV-1 strains, a motif similar to the 5'E-box was observed in the sequence adjacent to the US3 target located in the modulatory segment (nt -242 to -237). The 3'E-box consensus sequence CAGCTG reported as a binding site for bHLH factors such as AP-4 [71], was mainly conserved in non-G and non-CRFs specimens where the CAGCCG variant was predominant (Fig 2). Thus, unlike what was previously reported [41, 74], our study suggests that the variability of the 3'E-box motif might not be restricted to clade G and CRF01 AE isolates. The sequence between the 3'E-box and the transcriptional start site was reported to contain a target for Oct-1/Oct-2 factor [93]. In this sequence, the CTTTT (T/C) GCCT consensus (nt -15 to -6) was the major variant in non-G, non-A1 and non CRFs isolates (Fig 2), whereas CTTC TCGC (C/T) T consensus was shared by clade A1 (30%), clade G (100%) and CRF02_AG (78%) LTRs, and CTTT (G/T) CGCTT was predominant in CRF01_AE and CRF22_01A1 specimens.

Variability of the repeat (R) region

The TAR element (nt 1 to 59) fold into a hairpin structure composed of a bulge, a loop and a stem. In our study, we found that all the constitutive elements of the TAR structure were affected by singles and clustered sets of mutations across different HIV-1 strains. In general, the stem displayed the highest variability followed by the bulge (nt 23 to 25, with the TCT motif in HXB₂) and the loop (nt 30 to 35, with a CTGGGA motif in HXB₂).

In accordance with previous reports, we found a C24T change in most of the CRF02_AG and majority of clades D, F2, and G isolates [39, 40], but also in CRF12_BF LTRs. Also, we found a deletion of T25 leading to a two nucleotides bulge in clade A1 and -CRF01_AE specimens as previously observed [5, 89], and in CRF22_01A1 as well (Fig 3). Furthermore, we noted that mutations of the bulge in clade A1 (60%) and CRF01_AE (80%) isolates mainly also affect the overlapping consensus $\underline{T}GAGCC$ (T/C) reported as a AP-1 recognition site [29]. The consensus motif of the loop was changed to a CCGGGA variant in most of the CR01_AE viruses as previously reported [89], and also in isolates CRF22_01A1 (60%), clades C (50%), and Al



Position	Budania de de	December 4 TERO		Varia	nt fre	quen	cy in	each	HIV-	1 stud	lied stra	in (%)	- D. I. I'I. TEDO	0
relative to HXB2	Predominant variant	Reported TFBS	BF	F2	В	D	С	A1	G	AG	AE	01A1	Potential TFBS	Consensus
nt 10T;	10T; 51A		100	100	100	100	75	90		10	100	80		
nt 51A	10T; 51G						25	10	100	80		20		
III VIA	10G; 51A									10				
nt 11G:	11G/T; 50T/G		100	100	80	10	13	50		11		20		
nt 50T	11T/A; 50A/T				5	10	88	50	100	89	100	20		
11001	11T; 50T				15	80						60		
	13G/T; 48T/G			40	60	70	88	60	33	33		100		
nt 13T:	13T; 48A		100	60	35	20								
nt 48A	13G/C; 48C/G					10	12		67	67				
TIL HOM	13G; 48A							10			80			
	13G; 48G							30			20			
nt 22A;	22A; 40T		100	100	100	100	100	90	100	100		20		
nt 40T	22G; 40T							10			100	80		
	TCT	Bulge	20	20	80	30	100	10						
nt 23	ПП		80	80	10	70			100	80				
to 25	П							40			20	100		
10 23	TC							10			80			
	TA							40						
	TGAGCCY	AP-1	100	100	85	100	100	60	100	78	20	100		
nt 25	MGAGCCT				15			30		22				
to 31	CGAGCCC										80			
	AGAGTCY							20						
	CTGGGA	Loop	80	80	95	50	50	70	100	100	20	40		
nt 30	CCGGGA					10	50	20			80	60		
to 35	CTGAGA				5	40		10						
	CTGGGC		20	20										
	ACTGCTTAAG	CREB-like	20	60	100	100	88	80	100	100				
nt 60	ACTGCTTAAAG										100			
to 69	ACTGCTTGAAG											100		
	ATTGCTTAAG		80	40									C/EBP	MITTNCNNMA
nt 74 to 79	AATAAA		100	100	100	100	100	100	100	100	100	100		
	TGAGTGCY	AP-1	60	100	100	100	100	90	100	100	100	100		
nt 88	TGAGCGCT		40											
to 95	TGAGTGTT							10						
	TCAAGTAGT				35	40		40	100	78			AP-1/ATF/CREB	TGAKTCA
	TTAAGTAGT		20	60	35	10	13	20						
	TAAAGTAGT				30	30		10			20	20		
nt 96	CTRAGYAGT					50	88							
to 104							-00				80	40		
	TAAAGTGGT							20		00	00	40		
	TCAAGCAGT							30		22				
	TAAGTGGT											40		
	TCAAGTGGT					20								

Fig 3. Variability in the R region of diverse HIV-1 subtypes and CRFs. N = A or T; R = A or T.

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(20%). Additionally, a CTGAGAG variant of the loop was observed in clade D LTRs (40%) and in few sequences subtyped as A1 (10%) and B (5%). This latter variant of the loop converted the well conserved GGGAGCTCTC sequence reported as a NF- κ B binding site [27], into a GAGAGCTCTC motif.

Mutations in the stem principally affect the A10:51T, G11:T50, T13:A48 and A22:T40 base pairing. We observed that most of the non-B strains displayed a cluster of point mutations rather than a single point mutation (Fig 3). Thus, while the single mutation A48G (affecting the T13:A48 pairing) was found in most of clade B LTRs, this change was associated with G11T clade D or with 11T22G in CRF22_01A1 specimens (Fig 3). In clade G and CRF02_AG specimens, we observed that the pairing T11:A50 and C13:G48 were associated with various other mutations especially in CRF02_AG viruses which displayed the highest variability for this feature of the TAR element. The T:A pairing at position 11 and 50 also found in CRF01_AE and clade C isolates, was associated with 13G and 13G48T co-variation respectively. The stem overlaps the footprint of a sequence reported to contain binding sites for cellular factors such as LBP-1 (nt -4 to +21) and CTF/NF-I [94, 95].

In the Poly-A hairpin (nt 61 to 105), the polyadenylation signal motif AATAAA (nt 74 to 79) as well as the AP-1 target (nt 88 to 95) [96, 97], were extremely well conserved in our study (Fig 3). We observed that mutation affecting the stem of the poly A hairpin lead to some strains specific patterns. Thus, the ACTGCTTAAG sequence (nt 60 to 69) which includes a CREB-like recognition site [98], was changed to ACTGCTTAAAG in CRF01_AE and to ACTGCTTGAAG in CRF22_01A1 specimens. In CRF12_BF (80%) and F2 (40%) LTRs, a C61T mutation transformed the CREB-like target ACTGCTTAA into an ATTGGCTTAA motif conformed to the



canonical sequence for the binding of C/EBP proteins (Fig 3). The highest inter-clade variability of the poly A hairpin was observed within the HXB2 sequence TCAAGTAGT (nt 96 to 104) ending the R region. Indeed, this motif conserved in less than one-third of our analyzed sequences, was changed in a strains specific consensus such as \underline{CT} (A/ \underline{G}) AG (\underline{C} /T) AGT in clade C LTRs and \underline{TAA} (A) \underline{iGTGGT} is LTRs subtyped as CRF01_AE (Fig 3). The variant $\underline{TTAAAGCAGT}$ found in CRF12_BF and F2 LTRs includes a perfect match to the consensus binding site for CREB factors [98]. This potential TFBS may compensate the conversion of the reported CREB-like site (nt 60 to 69) into a potential C/EBP-like observed earlier in these LTR sequences.

Discussion

To the best of our knowledge, this study is the first to describe the diversity of the 5'LTR U3R region from diverse HIV-1 strains including the newly emerging CRF22_01A1 HIV-1 strain. The CRF01_AE subtype assigned to sequences from CRF22_01A1 may be explained by the close relation of the two strains. Indeed, it has been reported that the gag, nef and segments of the env genes of a typical CRF22_01A1 strain, can be subtyped as CRF01_AE [47, 52]. The finding of additional contigs with different subtypes that are different from the primary genotype in some of our specimens can be explained as artefacts and/or contamination during our experiment. Alternatively, they can also result from the intra-host diversity of HIV-1 population. This highlights the fact that Next-generation sequencing, by detecting low-frequency mutation [42], might be a more reliable tool to analyze the genetic diversity of HIV-1. The intra-host heterogeneity of HIV-1 population reflects its high mutation rate and rapid turnover, but also the co-circulation of various HIV-1 strains within the same patient. Thus, the F2 contig found in a CRF02_AG specimen from Cameroon might corroborates the recent report of dual-infection with CRF02_AG and F2 in this country [99, 100]. Three out of five contigs assigned to be CRF12 BF were from clade B specimens collected in Bolivia, Spain and Japan. In these countries, a high prevalence or emerging BF recombinant circulating along with pure B have been reported [101–105].

The U3R region of the HIV-1 5'LTR is involved in the control of both basal and trans-activated transcription of the HIV-1 genome though its interaction with several cellular transcription factors. Therefore, mutations affecting its nucleotide sequence might drive potentials differences in the biological property of diverse HIV-1 strains. Here, we found that the degree of genetic variability of the U3R can vary from very low to fairly high, depending on the segment analyzed. Nevertheless, it has been demonstrated that even minor changes in this region could influence the replication rate and the disease severity of HIV-1 infection [15, 55].

In the U3 modulatory segment, besides binding sites for the RBE III and Ets-1 sites that were conserved in almost all the LTRs, majority of the TFBS were distinctive of one or few HIV-1 strains. Several motifs aligned with the TFBS reported in HXB2 displayed a sequence that varied from the reported consensus. As most of the regulatory elements that were studied belonged to clade B LTRs, functions of these TFBS described in non-B strains remains to be determined. Although the affinity for C/EBP proteins of the motifs found in non-B, C and D is yet to be investigated, it has been suggested that the binding ability and functions of C/EBP proteins can be modulated by interaction with other factors such as ATF/CREB/ AP-1 [106], E-boxes targeted proteins [107], and TATAA-binding proteins [108]. This might explain the finding of potential E-box (in CRF02_AG, clades B, C, D and G), AP-1 like (clade F2, CRF12_BF and CRF22_01A1) and Ets (CRF22_01A1 and CRF01_AE) binding sites in close proximity or embedded into the reported C/EBP binding site in our sequences analyzed. Thus, the differential repartition of these TFBS according to the HIV-1 strain might probably direct a distinctive mechanism of regulation



of HIV-1 transcription. As previously reported, we also observed a high variability of the GATA binding motif. This variability has addressed the affinity, specificity and function of GATA factors [109]. Indeed, it has been reported that the specificity of GATA binding sites along with the spanning TFBS influence the fate of GATA-3 mediated T-cell differentiation and function [110]. Thus, the combination of strains-specific GATA binding sites along with distinctive spanning mutations may potentially impact pathogenicity of HIV-1 according to the strain.

Several TFBS have been shown to be able to activate or repress the transcriptional activity of HIV-1 depending on their affinity with the targeted factor and the spanning nucleotides [35, 71, 83, 84, 111–113]. Thus, in accordance with a recent report [35], the presence of the AP-1 variant TGACACA in CRF02_AG, CRF22_01A1, CRF12_BF, as well as in clades F2, C and A1 isolates may increase their capacity to establish HIV-1 latent infection compared to other strains. This predisposition may be affected by intra-clade variability due to the duplication of this variant within the MNFLP present in some of these specimens. On the other hand, the presence of an insert motif similar to the AP-1 activator of the p53 promoter might contribute to an increase reactivation of HIV-1 in F2 and CRF12_BF, as it has been shown that p53 could reactivate HIV-1 replication from its latency in U1 cells with upregulation/activation of host transcription factors such as AP-1 (Wang; X., et al, 2017, unpublished data).

In our study, the important TATA box element as well as key TFBS such as NF-κB was fairly well conserved. In accordance with previous reports [66, 114-116], the configuration and copy number of NF-kB sites found in our study; suggest inter-strain differences of the NF-κB induced activity. Also, as suggested by our finding in CRF02_AG specimens, this activity might also vary within isolates from the same HIV-1 strain. Furthermore, the variability found in the NF-κB inter-space required for the optimal binding of factors such as NFAT, Ets and AP-2 [87, 88, 117, 118], may also favor a distinctive modulation of the NF-κB activity in CRF01 AE, CRF22 01A1, CRF12 BF and clade F2. The 5T variant of the Sp III was shown to be able to abrogate the binding of Sp1 factors [90], and to be associated with HIV-1 disease progression [17]. As the mechanism leading to faster HIV-1 disease progression observed with CRF01 AE and some clade B isolates remain to be explored [114, 119–121], the contribution of Sp III along with other TFBS specificities should be considered. Also, it was reported that Sp1 and GABP factors cooperates to activate various genes such as CD18 which overexpression during HIV-1 infection has been related to disease severity [122]. The potential GABP binding site included in the Sp III variant of F2 and CRF12_BF LTRs, could also differentially impact the outcome of HIV-1 infection with these isolates.

In our study, the bulge residue T23, the loop as well as paired nucleotides below (A22:T40; G21:C41) and above (G26:C39 and A27:T38) shown to be important of the TAR activity were conserved in majority of the sequence analyzed. However, strain specific mutations within and outside these the features, might explained some differences in the transcriptional activity and replication rate between subtypes and CRFs [41, 66]. Changes in the Watson-Crick complementarity observed here, might also affect the TAR structure which has been shown to be important for its function, and for the activity of the downstream 5'LTR U5 region and the Gag leader sequence [123–125]. Also, it has been reported that regulation of the transcriptional activity driven by TAR-tat interaction can be enhanced through synergic action with various TFBS such the AP-1 targets with a crucial role in the replication and transcription of HIV-1 [97]. In most of the sequence analyzed in our study, the TFBS in the R region were well conserved assuming that the biological mechanism in which these TFBS are involved might be similar for various HIV-1 strains. Nevertheless, the potential loss of one of the AP-1/ATF/CREBlike sites in CRF01_AE and CRF22_01A1 specimens, and the relocation of the CREB-like in CRF12_BF and some F2 LTRs associated with the presence of a potential C/EBP site might suggest a distinctive TAR mediated activity for these isolates.



It is known that the differential activity of the LTR due to the lack or mutation of a TFBS can be compensated by various mechanisms such as the gain of additional factors, the interplay between TFBS in close proximity or the delocalization of the TFBS. On the other hand, association of potential strains distinctive mutations in the U3R may contribute to strain-specific function of the 5'LTRs [66, 116]. For CRF01_AE isolates for example, it was suggested that its 2nt bulge (TC), 22G31C and TAAAA co-mutations promote its higher transcriptional activity and replication rate compared with clade B [41, 66, 126].

In this study, we have reported distinguishing patterns within and outside the reported regulatory element in the U3R region from diverse HIV-1 strains. Due to the important role of this region in the control of the HIV-1 transcription, it is reasonable to suggest that strain specific variability greatly contributed to the effectiveness of replication and gene expression of different HIV-1 subtypes and CRFs. Intra and inter-clade variability of the U3R region should be further explored in future studies related to the replicative capacity and pathogenicity of HIV-1, as this may lead to a better understanding of phenotypic effects of HIV-1genetic diversity.

Supporting information

S1 Table. Genbank accession number of the 5'LTR sequence from diverse HIV-1 subtypes and CRFs.

(XLSX)

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Author Contributions

Conceptualization: Christelle Mbondji-wonje, Indira Hewlett.

Data curation: Christelle Mbondji-wonje, Ming Dong, Indira Hewlett.

Formal analysis: Christelle Mbondji-wonje, Ming Dong, Jiangqin Zhao.

Funding acquisition: Indira Hewlett.

Investigation: Christelle Mbondji-wonje, Ming Dong, Xue Wang.

Methodology: Christelle Mbondji-wonje, Xue Wang, Jiangqin Zhao, Viswanath Ragupathy.

Project administration: Indira Hewlett.

Resources: Christelle Mbondji-wonje, Ming Dong, Ana M. Sanchez, Thomas N. Denny.

Software: Christelle Mbondji-wonje.

Supervision: Indira Hewlett.



Validation: Christelle Mbondji-wonje, Indira Hewlett.

Writing - original draft: Christelle Mbondji-wonje.

Writing – review & editing: Christelle Mbondji-wonje, Ana M. Sanchez, Thomas N. Denny, Indira Hewlett.

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