### 1 *Mtb* specific HLA-E restricted T cells are induced during *Mtb* infection but not 2 after BCG administration in non-human primates and humans

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# 17 Abstract

Novel vaccines targeting the world's deadliest pathogen Mycobacterium tuberculosis (Mtb) 18 are urgently needed as the efficacy of the Bacillus Calmette-Guérin (BCG) vaccine in its 19 20 current use is limited. HLA-E is a virtually monomorphic unconventional antigen presentation molecule and HLA-E restricted *Mtb* specific CD8<sup>+</sup> T cells can control intracellular *Mtb* growth, 21 22 making HLA-E a promising vaccine target for *Mtb*. In this study, we evaluated the frequency and phenotype of HLA-E restricted Mtb specific CD4<sup>+</sup>/CD8<sup>+</sup> T cells in the circulation and 23 24 bronchoalveolar lavage fluid of two independent non-human primate (NHP) studies and from humans receiving BCG either intradermally or mucosally. BCG vaccination followed by Mtb 25 26 challenge in NHPs did not affect the frequency of circulating and local HLA-E/Mtb CD4<sup>+</sup> and 27 CD8<sup>+</sup> T cells, and we saw the same in humans receiving BCG. HLA-E/*Mtb* T cell frequencies 28 were significantly increased after *Mtb* challenge in unvaccinated NHPs, which was correlated 29 with higher TB pathology. Together, HLA-E/Mtb restricted T cells are minimally induced by 30 BCG in humans and rhesus macaques (RMs) but can be elicited after Mtb infection in unvaccinated RMs. These results give new insights into targeting HLA-E as a potential 31 immune mechanism against TB. 32

33 Key words: HLA-E; Vaccine; BCG; Tuberculosis; T cells

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### 35 **1. Introduction**

Tuberculosis (TB) disease, caused by infection with Mycobacterium tuberculosis (Mtb), is a 36 37 significant global health problem accounting for more than a million deaths each year[1]. Bacillus Calmette-Guérin (BCG) is the only licensed vaccine to protect against TB, however 38 39 it has poor efficacy in adults in its current use. There is an urgent need for better protective 40 vaccines against TB. Non-human primates (NHPs), specifically cynomolgus and rhesus macagues (CMs and RMs), are arguably the best pre-clinical models to evaluate novel vaccine 41 42 strategies against TB and to increase our understanding of lung mucosal immune responses against Mtb. NHPs can develop the same pathology as human TB disease, including the 43 44 formation of lung granulomas, lymph node involvement and the occurrence of latency[2,3].

45 Donor-unrestricted T cells (DURTs) recognize non-polymorphic antigen presentation molecules and most DURT subsets express invariant T cell receptors (TCRs)[4]. Vaccination 46 47 approaches targeting DURTs can thus potentially induce protection irrespective of the genetic 48 diversity in the human population. HLA-E restricted T cells belong to the DURT family because 49 humans express two functional HLA-E alleles, HLA-E\*01:01 and \*01:03, that only differ in one amino acid located outside the peptide binding groove. This limited diversity suggests that 50 both alleles have a comparable peptide binding repertoire[5,6]. HLA-E was first discovered as 51 a ligand for the CD94/NKG2A(C) co-receptor inhibitory complex expressed on Natural Killer 52 cells, which is an important surveillance mechanism to scan for, and subsequently clear, cells 53 with defects in their antigen presentation machinery[7-9]. TCRs can also recognize HLA-54 E/peptide complexes[10] and HLA-E restricted CD8+ T cells have been identified in the 55 circulation in patients with malignancies and infections, as we have shown previously in active 56 TB (aTB) or *Mtb* infected (TBI) individuals with and without HIV co-infection[11-13]. Individuals 57 with concomitant aTB and HIV infection demonstrated the highest frequency of circulating 58 HLA-E restricted *Mtb* specific CD8<sup>+</sup> T cells and revealed an unorthodox phenotype 59 characterized by the secretion of T-helper 2 (Th2) associated cytokines, cytolysis of HLA-60 E/Mtb presenting target cells and inhibition of intracellular Mtb growth in Mtb-infected 61 macrophages[13-22]. Moreover, in the absence of Qa-1<sup>b</sup>, the mouse equivalent of HLA-E, mice 62 succumbed earlier from Mtb infection, suggesting a possible functional role of HLA-E in host 63 64 defence[23].

The potential of HLA-E as a target for vaccination has been illustrated previously in RMs vaccinated with strain 68-1 rhesus cytomegalovirus (RhCMV) vectors encoding SIV antigens (called 68-1 RhCMV/SIV)[24]. Vaccination with this vector inhibited SIV replication and cleared SIV infection in more than half of the vaccinated RMs[25]. Importantly, the induction of MHC-E restricted CD8<sup>+</sup> T cells following vaccination was essential to establish

this protective effect[25,26]. Besides its virtual monomorphism, another advantage of targeting HLA-E by vaccination is that HLA-E is not downregulated upon HIV co-infection, in contrast to classical HLA-I molecules, which is an important benefit as infection with HIV and TB significantly overlap in endemic areas[27]. Together, these findings illustrate the potential for targeting HLA-E restricted T cells as a vaccination strategy against TB.

75 As individuals in TB endemic areas are routinely vaccinated with BCG, we sought to determine if HLA-E restricted Mtb specific T cells are induced in the circulation and 76 bronchoalveolar lavage (BAL) fluid following BCG and/or *Mtb* challenge in two NHP studies 77 78 and in humans after receiving BCG either intradermally (ID) or by aerosol. If induced, HLA-E 79 might be a promising target to induce *de novo* T cell responses in BCG vaccinated individuals. Our results show that HLA-E/*Mtb* CD4<sup>+</sup> and CD8<sup>+</sup> T cell frequencies remained stable in the 80 circulation of humans after receiving BCG, and in the BAL and circulation of BCG vaccinated 81 and *Mtb* challenged RMs. Frequencies were increased in the BAL of unvaccinated and *Mtb* 82 challenged RMs. These findings expand our knowledge on the induction of HLA-E restricted 83 84 T cells after receiving BCG and upon *Mtb* infection in both the periphery as well as at the local 85 site of infection.

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# 87 2. Material and Methods

88 2.1 HLA-E TM folding and production

HLA-E\*01:01 and \*01:03 tetramers (TMs) were produced and correct folding of the monomers
with the peptides was confirmed by staining LILRB1 expressing cells and mass spectrometry,
as described previously[28]. Table 1 shows the HLA-E TMs used in each study.

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**Table 1.** Overview of the HLA-E *Mtb* and CMV derived peptide (pools) used for HLA-E TM staining on

PBMC and BAL samples in the human BCG revaccination and infection studies, RM studies 1 and 2and the CM study.

Peptide name	Sequence	Allele	Fluorochrome	
Human BCG infection studies 1 and 2: <i>Mtb</i> pool and CMV				
		HLA-E*01:01 + HLA-E*01:03	55	
p34	VMTTVLATL	separately HI A-E*01:01 + HI A-E*01:03	PE	
p55	VMATRRNVL	separately	PE	
		HLA-E*01:01 + HLA-E*01:03		
p62	RMPPLGHEL	separately	PE	
p68	VLRPGGHFL	HLA-E 01:01 + HLA-E 01:03 separately	PE	
pCMV	VLAPRTLLL	HLA-E <sup>-</sup> 01:01 + HLA-E <sup>-</sup> 01:03 separately	APC	
Human BCG revaccination study: <i>Mtb</i> pool and CMV				
		HLA-E*01:01 + HLA-E*01:03		
p34	VMTTVLATL	combined	PE	

p55 p62 p68	VMATRRNVL RMPPLGHEL VLRPGGHFL	HLA-E*01:01 + HLA-E*01:03 combined HLA-E*01:01 + HLA-E*01:03 combined HLA-E*01:01 + HLA-E*01:03 combined	PE PE PE		
pCMV	VLAPRTLLL	combined	APC		
NHP studies: <i>Mtb</i> pool 1					
p55	VMATRRNVL	HLA-E*01:03	PE		
p62	RMPPLGHEL	HLA-E*01:03	PE		
NHP studies: <i>Mtb</i> pool 2					
MTBHLAE_31	VLPAKLILM	HLA-E*01:03	PE		
MTBHLAE_34	LLPIKIPLI	HLA-E*01:03	PE		
MTBHLAE_63	ILAFEAPEL	HLA-E*01:03	PE		
MTBHLAE_93	RLEAVVMLL	HLA-E*01:03	PE		
NHP studies: CMV					
pCMV	VLAPRTLLL	HLA-E*01:03	PE		
NHP studies: p44					
p44	RLPAKAPLL	HLA-E*01:03	PE		

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#### 98 2.2 Human BCG revaccination study

Eighty-two participants of a TBRU-supported BCG revaccination trial were enrolled at the 99 100 South African Tuberculosis Vaccine Initiative, Cape Town, South Africa [29,30]. This trial was approved by the Medicines Control Council (MCC) of South Africa (now called South African 101 Health Products Regulatory Authority, SAHPRA), by the University Hospital Cleveland 102 103 Medical Center Institutional Board and the Human Research Ethics Committee of the 104 University of Cape Town (387/2008). Written informed consent was obtained from all 105 participants. The TBRU cohort comprised healthy tuberculin skin test positive, HIV uninfected 106 adults who received routine BCG vaccination at birth. Participants were randomly assigned to 107 two groups. Group 1 received at least six months of Isoniazid preventive therapy (IPT) before intradermal BCG revaccination and group 2 received IPT six months after intradermal BCG 108 revaccination. Samples from twenty individuals of group 1 were used in the current study. 109 Peripheral blood mononuclear cells (PBMCs) were collected and cryopreserved before BCG 110 111 revaccination and 3, 5 and 52 weeks after BCG revaccination (Figure S1A for study overview). Cryopreserved PBMCs were thawed in pre-warmed (37°C) media containing DNAse (Sigma-112 Aldrich) and were washed in PBS. PBMCs were then stained with LIVE/DEAD<sup>™</sup> Fixable Near-113 IR Dead Cell Stain (Invitrogen) (1:1000 in PBS) for 20 min at room temperature (RT) in the 114 dark. PBMCs were again washed in PBS and blocked with 12.5 µg/mL purified anti-CD94 115 antibody (BD Bioscience) followed by another wash in PBS/0.1% BSA. PBMCs were then 116

stained with a pool of HLA-E\*01:01 and \*01:03 TMs (0.4 µg/TM) folded with the peptides 117 shown in Table 1 for 15 min at 37°C in the dark. PBMCs were washed in PBS/0.1% BSA and 118 were stained with APC-H7 CD14 (clone MOP9, BD Bioscience), APC-H7 CD19 (clone SJ25-119 C1, BD Bioscience), AlexaFluor700 CD3 (clone UCHT1, BioLegend), BV510 CD4 (clone RPA-120 T4, BioLegend), BV785 CD8 (clone SK1, BioLegend), BV605 CD26 (clone L272, BD 121 Bioscience), BV650 CD161 (clone DX12, BD Bioscience), PE-Cy7 TRAV1.2 (clone 3C10, 122 BioLegend), PE-Cy5 HLA-DR (clone L243, BioLegend), BV711 γδ TCR (clone B1, BD 123 Bioscience), PE-CF594 CCR7 (clone 3D12, BD Bioscience) and PerCP-eFluor710 CD45RA 124 (clone HI100, BioLegend) with pre-defined dilutions in BD Brilliant Stain Buffer (BD 125 Bioscience) for 20 min at 4°C in the dark. PBMCs were washed in PBS/0.1% BSA, fixated in 126 1% paraformaldehyde, and acquired on a BD LSR-II flow cytometer. The gating strategy to 127 determine HLA-E CD4<sup>+</sup> and CD8<sup>+</sup> T cell frequencies and their phenotype is shown in Figure 128 129 S2A.

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### 131 2.3 Controlled human BCG infection studies 1 and 2

Two controlled human BCG infection studies were performed at the University of Oxford (UK). 132 The trial protocol of the first BCG controlled human infection study was approved by the 133 Medicines and Healthcare products Regulatory Agency (EudraCT: 2015-004981-27) and the 134 135 South-Central Oxford A Research Ethics Committee (REC) (15/SC/0716). Twelve BCG-naïve 136 UK adults received the BCG Bulgaria strain (InterVax Ltd.) via aerosol inhalation of 1x10<sup>7</sup> 137 Colony Forming Units (CFU) using an Omron MicroAir mesh nebuliser and twelve adults via standard intradermal injection of 1x10<sup>6</sup> CFU[31]. Blood was taken from all twenty-four 138 139 volunteers at the start and seven and fourteen days after infection (Figure S1B for study 140 overview). The trial protocol of the second aerosol BCG infection study was approved by the 141 Central Oxford A REC (18/SC/0307) and is registered at ClinicalTrials.gov (NCT03912207). Six healthy BCG-naïve UK adults received the BCG Danish strain (AJVaccine) via aerosol 142 inhalation of 1x10<sup>7</sup> CFU using an Omron MicroAir mesh nebuliser. Bronchoalveolar lavage 143 144 (BAL) and blood were taken fourteen days after receiving BCG from all individuals (Figure S1C for study overview). PBMCs were isolated and cryopreserved. Flow cytometric analysis 145 was performed after thawing the PBMC samples whereas BAL samples were directly stained 146 upon collection and isolation. BAL and PBMC (3x10<sup>6</sup> cells each) were stained (1x10<sup>6</sup> cells / 147 well) with fixable LIVE/DEAD<sup>™</sup> Vivid (Thermofisher) for 10 min at 40°C. Samples were then 148 blocked with purified αCD94 (clone HP-3D9, BD Biosciences) and were stained with either: 149 150 HLA-E\*01:01, HLA-E \*01:03 and CMV control TMs (Table 1) or left unstained to serve as gating control for TMs. Cells were incubated for 15 min at 37°C and were then washed before 151

152 adding the surface staining antibody mix. The following antibodies were added to all cells: 153 CD3-AF700 (Clone UCHT1, Thermofisher), CD4-FITC (Clone: RPA-T4, BioLegend), CD8-154 APC/H7 (Clone SK1, BD Bioscience), CD14-Pacific blue (Thermofisher) and CD19-Pacific blue (Thermofisher). Cells were incubated with the surface antibody mix for 30 min at 4°C and 155 156 were then washed before being acquired on LSR Fortessa v.2 Std X20 flow cytometer using BD FACSDiva 8.0.2 and the data was analysed on FlowJo v9. The gating strategy to 157 determine HLA-E CD4<sup>+</sup> and CD8<sup>+</sup> T cell frequencies in human PBMC and BAL for studies 1 158 159 and 2 is shown in Figure S2B.

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#### 161 2.4 Rhesus macaque study 1: Ethics, animal care, vaccination and pathology assessment

Archived samples from the study published by White et al. were used in the current study[32]. 162 Study design and animal welfare procedures were approved by the UK Health Security 163 Agency Animal Welfare and Ethical Review Body and was authorised under UK Home Office 164 Licence P219D8D1A. Nineteen healthy Indian-type rhesus macaques (Macaca mulatta) from 165 a closed UK breeding colony were housed in groups in accordance with the UK Home Office 166 167 Code of Practice and NC3Rs guidelines on Primate Accommodation. Six animals received the 168 BCG Danish strain 1331 via intradermal injection into the upper left arm and six animals 169 received BCG by aerosol exposure using an Omron MicroAir mesh nebuliser with an 170 estimated dose of 2-8x10<sup>6</sup> CFU/mL. The control group consisted of seven unvaccinated 171 animals. Twenty-one weeks after BCG vaccination, all animals were challenged with a calculated single ultra-low dose of 3 CFU Mtb Erdman K01 via aerosol delivery. The apparatus 172 173 and procedure for aerosol delivery of *Mtb*, including the calculation of the presented dose were 174 performed as described previously[32]. Blood from all RMs was collected and PBMCs were cryopreserved. Samples at the time points shown in Figure S1D were shipped to the LUMC, 175 The Netherlands for flow cytometry analysis. PBMCs were thawed for staining with HLA-176 E\*01:03 TMs folded with Mtb pool 1 and pool 2, p44 and CMV (Table 1) and cell surface 177 markers as outlined in detail below. Samples were acquired on a BD FACS Lyric 3L12C (BD 178 179 Biosciences).

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#### 181 2.5 Rhesus macaque study 2: Ethics, animal care, vaccination, and pathology assessment

Archived samples from the study published by Dijkman *et al.* were used in the current study[33]. Ethical approval of the study protocol was obtained from the independent ethics committee Dier Experimenten Commissie (DEC) (761subB) and the institutional animal 185 welfare body of the Biomedical Primate Research Center (BPRC). The approved housing and 186 animal care procedures are described previously[33]. Twenty-four healthy male Indian-type 187 rhesus macaques (Macaca mulatta) from the in-house breeding colony were stratified into three groups of eight animals. BCG vaccination or placebo was randomly assigned to each 188 group. Two of the three groups received between 1.5-6.0x10<sup>5</sup> CFU of the BCG strain Sofia 189 (InterVax Ltd.), either via intradermal injection or via endobronchial instillation (referred to as 190 mucosal vaccination) into the lower left lung lobe. Animals receiving saline via endobronchial 191 192 instillation served as the control group. Twelve weeks after BCG vaccination or saline 193 treatment all animals received a weekly calculated limiting dose of 1 CFU Mtb Erdman K01 strain for eight consecutive weeks. Infection was confirmed with IFN-y ELISpot. Blood and 194 BAL samples were collected, PBMCs and BAL cells were isolated and cryopreserved. 195 Samples at the time points shown in Figure S1E were shipped to the LUMC, The Netherlands. 196 197 PBMCs and BAL cell samples were thawed for staining with HLA-E\*01:03 TMs folded with Mtb pool 1 and pool 2, p44 and CMV (Table 1) and cell surface markers as outlined in detail 198 below. Samples were acquired on a BD FACS Lyric 3L12C (BD Biosciences). Bacterial loads 199 200 (i.e., CFU counts) in lung tissues and post-mortem pathology scores (i.e., lesion size and 201 granuloma formation) were determined at the BPRC.

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#### 203 2.6 Cynomolgus macaque study: Ethics, animal care, vaccination and pathology assessment

204 Archived samples from the study published by White et al. were used in the current study[34]. Study design and animal housing was approved by the Establishment Animal Welfare and 205 206 Ethical Review Committee and authorised under UK Home Office Project License 207 P219D8D1A. The approved housing and animal care procedures are described previously[34]. 208 Nine cynomolgus macaques (Macaca fascicularis) from a closed UK breeding colony were stratified into three groups of three animals. One group received between 2-8x10<sup>5</sup> CFU/mL 209 BCG Danish strain 1331 via intradermal injection into the upper left arm. Twenty-one weeks 210 after vaccination all animals were challenged with a calculated dose of 5 CFU Mtb Erdman 211 strain K01 via aerosol delivery. Sixteen weeks after *Mtb* challenge, all groups were challenged 212 with 106-107 (TCID)<sub>50</sub> SIVmac32H 11/88. Infection with SIV was confirmed via culturing 213 214 PBMCs with C8166 cells to examine the cytopathic effect. Blood from all animals was collected, and PBMCs were isolated and cryopreserved at the time points shown in Figure 215 S1F. Cryopreserved PBMCs were shipped to the LUMC, The Netherlands for flow cytometry 216 217 analysis. PBMCs were thawed for staining with HLA-E\*01:03 TMs folded with Mtb pool 1 and 2, p44 and CMV (Table 1) and cell surface markers as outlined in detail below. Samples were 218 219 acquired on a BD FACS Lyric 3L12C (BD Biosciences).

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#### 221 2.7 Flow cytometry staining, acquisition and analysis of NHP PBMC and BAL samples

222 NHP samples were processed at the Biosafety Level 3 (BSL3) laboratory at the LUMC, The Netherlands. PBMC and BAL samples were thawed and rested for 2 hrs in PBS with 0.2 223 mg/mL DNase I (Roche Diagnostics GmbH) at 37°C with 5% CO<sub>2</sub> to adhere monocytic cells. 224 Cells were washed (10 min, 450 x g) and stained using previously developed and optimized 225 226 staining procedures for high dimensional flow cytometry panels[35]. Samples were stained 227 with LIVE/DEAD<sup>™</sup> Fixable Violet (Invitrogen) according to manufacturer's instructions in PBS 228 for 30 min at room temperature (RT) in the dark. Cells were then washed (5 min, 450 x g) with 229 PBS, blocked with 5% pooled normal human serum in PBS for 10 min at RT to block Fc receptors and prevent non-specific binding and washed once with PBS/0.1% BSA. Cells were 230 subsequently stained with an antibody cocktail containing True-Stain Monocyte Blocker (1:20, 231 BioLegend) to block non-specific binding of tandem dyes to monocytes, Brilliant Stain Buffer 232 233 Plus (1:10, BD Biosciences) and the chemokine receptor antibodies PE-Cy7 CCR4 (1:100, 234 clone 1G1, BD Biosciences), BV605 CCR6 (1:100, clone 11A9, BD Biosciences), BV785 CCR7 (1:50, clone G043H7, BioLegend) and APC-Cy7 CXCR3 (1:50, clone G025H7, 235 BioLegend) in PBS/0.1% BSA for 30 min at 37°C in the dark. After incubation, cells were 236 washed once with PBS/0.1% BSA and incubated with the HLA-E\*01:03 TM conditions (5.4 237 µg/mL per TM) shown in Table 1 in PBS/0.1% BSA for 30 min at 37°C in the dark. Peptide 238 p44 is a high affinity *Mtb*-derived HLA-E restricted peptide that adopts a similar conformation 239 240 in the peptide binding groove as classical HLA-I leader sequence derived peptides[36] and served as a control. Cells were then washed once with PBS/0.1% BSA, fixated with 1% 241 242 paraformaldehyde (Pharmacy LUMC, Leiden) for 10 min at RT and washed with PBS/0.1% 243 BSA. Cells were subsequently stained with an antibody cocktail containing Brilliant Stain 244 Buffer Plus (1:10) and the surface antibodies BV421 CD14 (1:100, clone M5E2, BD Biosciences) and BV421 CD19 (1:200, clone 2H7, BD Biosciences) as dump channel together 245 with the LIVE/DEAD stain, PerCP-Cy5.5 CD3 (1:25, clone SP34-2, BD Biosciences), R718 246 CD4 (1:50, clone L200, BD Biosciences), FITC CD8 (1:50, clone SK1, BD Biosciences), 247 BV510 CD45RA (1:200, clone 5H9, BD Biosciences), BV711 CD16 (1:100, clone 3G8, BD 248 Biosciences) and APC NKG2A (1:100, clone Z199, Beckman Coulter) in PBS/0.1% BSA for 249 250 30 min at 4°C in the dark. For the BAL samples, because of high autofluorescence, BV605 251 CD8 (1:100, clone SK1, BD Biosciences) was used instead of FITC CD8 and therefore CCR6 was not included in the BAL sample analysis. After incubation, cells were washed twice with 252 253 PBS/0.1% BSA, fixated with 1% paraformaldehyde for 10 min at RT and washed twice with PBS/0.1% BSA. Cells were then resuspended in PBS/0.1% BSA and acquired on a BD FACS 254

Lyric 3L12C (BD Biosciences). Flow cytometry data was analysed using FlowJo v10.9.0. Subsequent (statistical) analysis was performed in GraphPad Prism v9.3.1. The gating strategy to determine HLA-E CD4<sup>+</sup> and CD8<sup>+</sup> T cell frequencies and their phenotype in PBMC and BAL samples are shown in Figure S3A and B, respectively.

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#### 260 **3 Results**

3.1 The frequency of circulating HLA-E/Mtb CD4<sup>+</sup> and CD8<sup>+</sup> T cells remains stable in
 humans and RMs after receiving BCG

The frequency of circulating HLA-E/*Mtb* CD4<sup>+</sup> and CD8<sup>+</sup> T cells remained stable after intradermal BCG revaccination in healthy adults (Figure 1A), similar to the stability observed in BCG naïve humans receiving primary intradermal or aerosol BCG (Figure 1B). BCG administered as a boost or prime therefore did not induce HLA-E/*Mtb* CD4<sup>+</sup> and CD8<sup>+</sup> T cells in humans.

268 Representative density plots for p44 (negative control), CMV and Mtb pool 1 and 2 before and after BCG vaccination in RMs are shown in Figure S4A and B, respectively. The 269 frequency of circulating HLA-E/Mtb CD4<sup>+</sup> and CD8<sup>+</sup> T cells was unchanged post-BCG 270 vaccination compared to pre-vaccination in both RM study 1 and 2 with no differences 271 observed between administration routes (Figure 1C and D). HLA-E CMV TMs were only 272 recognized by CD8<sup>+</sup> T cells, whereas HLA-E/Mtb TMs were recognized by both CD4<sup>+</sup> and 273 CD8<sup>+</sup> T cells in RMs and the recognition between CD4<sup>+</sup> and CD8<sup>+</sup> HLA-E/*Mtb* T cells was 274 strongly correlated in both humans and RMs (Figure 1E). The frequency of HLA-E/CMV CD8+ 275 276 T cells in the circulation was overall higher compared to HLA-E/Mtb CD4<sup>+</sup> and CD8<sup>+</sup> T cells (10% vs. 0.3%). Combined, BCG administration in RMs did not increase the frequency of HLA-277 278 E/Mtb T cells in the circulation irrespective of the administration route.



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Figure 1. HLA-E/*Mtb* and CMV CD4<sup>+</sup> and CD8<sup>+</sup> T cell frequencies in the circulation remain stable after 280 281 receiving BCG in humans and RMs. Peptide pools for HLA-E TM staining on human and RM samples are shown in Table 1. (A) HLA-E\*01:03 and \*01:01 CD4+ and CD8+ T cell frequencies for CMV (left) 282 and the Mtb pool (right) at week 0 and 3, 5 and 52 weeks after intradermal BCG revaccination in healthy 283 TST<sup>+</sup> HIV<sup>-</sup> volunteers (n=20); (B) HLA-E\*01:01 (left) and \*01:03 (right) CD4<sup>+</sup> and CD8<sup>+</sup> T cell 284 frequencies for the Mtb pool on day 0 (circles) and 7 (squares) and 14 (triangles) days after aerosol 285 286 BCG inhalation (n=12) or intradermal BCG administration (n=12) in healthy volunteers; (C) HLA-E\*01:03 CD4+ and CD8+ T cell frequencies for CMV (left), Mtb pool 1 (middle) and 2 (right) in RM study 287

288 1 at the pre-vaccination time point (white circles), 10 (blue circles) and 16 weeks post-vaccination (blue 289 triangles); (D) Same as C, but then for RM study 2 at the pre-vaccination time point (white circles) and 290 9 weeks post-vaccination (blue circles); (E) Correlating HLA-E CD4+ T cell frequencies (X-axis) and 291 HLA-E CD8<sup>+</sup>T cell frequencies (Y-axis) at all time points in the human studies for the *Mtb* pool (left) and 292 in RM study 1 and 2 combined for Mtb pool 2 (right). Shaded bars represent the median frequency, and 293 the error bars represent the 95% confidence interval. Significance was tested using a repeated 294 measures (RM) two-way ANOVA with multiple comparison correction (A-D) and a Spearman's rank 295 correlation (E). \* = p < 0.05, \*\* = p < 0.01, \*\*\*\* = p < 0.0001.

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# 3.2 The frequency of circulating HLA-E/Mtb CD4<sup>+</sup> and CD8<sup>+</sup> T cells does not change after Mtb challenge in unvaccinated and BCG vaccinated RMs

Representative density plots for *Mtb* pool 2 (which shares the same recognition profile as *Mtb*pool 1; see Figure S5 for results on *Mtb* pool 1) on pre- and post-*Mtb* challenge samples from
one aerosol BCG vaccinated RM are shown in Figure S4C. *Mtb* challenge with or without prior
BCG vaccination did not affect the frequency of circulating HLA-E/*Mtb* CD4<sup>+</sup> and CD8<sup>+</sup> T cells
in both RM studies and no differences were observed between the BCG administration routes
(Figure 2A and B). As such, the frequency of circulating HLA-E/*Mtb* CD4<sup>+</sup> and CD8<sup>+</sup> T cells
was not changed after *Mtb* challenge only or following BCG vaccination in RMs.





307 Figure 2. Mtb challenge only or following BCG vaccination in RMs results in stable HLA-E/Mtb and 308 CMV CD4<sup>+</sup> and CD8<sup>+</sup> T cell frequencies in the circulation. Peptide pools for HLA-E TM staining on RM 309 samples are shown in Table 1. (A) HLA-E\*01:03 CD4+ and CD8+ T cell frequencies for CMV (upper panel) and Mtb pool 2 (lower panel) in RM study 1 at the pre-challenge time point (blue triangles) and 310 311 2 (orange circles), 6 (orange triangles) and 10 weeks (orange squares) post-Mtb challenge; (B) Same 312 as A, but then in RM study 2 at the pre-challenge time point (blue circles) and 3 (orange circles) and 7 313 weeks (orange triangles) post-Mtb challenge. Shaded bars represent the median frequency, and the error bars represent the 95% confidence interval. Significance was tested using a repeated measures 314 (RM) two-way ANOVA with multiple comparison correction. \* = p<0.05, \*\* = p<0.01, \*\*\*\* = p<0.0001. 315

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# 3.3 Increased frequency of HLA-E/Mtb CD4<sup>+</sup> and CD8<sup>+</sup> T cells in the BAL after Mtb challenge in unvaccinated RMs

Representative density plots for p44, CMV, *Mtb* pool 1 and 2 in the BAL from an unvaccinated RM after *Mtb* challenge are shown in Figure 3A. Both in RMs and humans, the frequency of HLA-E/*Mtb* CD4<sup>+</sup> and CD8<sup>+</sup> T cells was significantly higher in the BAL compared to the circulation, including for HLA-E/CMV CD8<sup>+</sup> T cells in RMs (Figure 3B and C). Similar as in the circulation, the frequency of HLA-E/CMV CD8<sup>+</sup> T cells was higher than HLA-E/*Mtb* CD4<sup>+</sup> and CD8<sup>+</sup> T cells in the BAL (Figure 3B). In contrast to BCG vaccination, *Mtb* challenge markedly and significantly increased the frequency of HLA-E/*Mtb* CD4<sup>+</sup> and CD8<sup>+</sup> T cells in the BAL of





Figure 3. HLA-E/*Mtb* and CMV CD4<sup>+</sup> and CD8<sup>+</sup> T cell frequencies are increased after *Mtb* challenge in BAL of BCG unvaccinated RMs. Peptide pools for HLA-E TM staining on RM and human samples are shown in Table 1. (A) Density plots for HLA-E p44, CMV and *Mtb* pool 1 and 2 CD4<sup>+</sup> and CD8<sup>+</sup> T cell frequencies in a BAL sample of one representative unvaccinated RM of study 2 post-*Mtb* challenge. HLA-E TMs in PE are shown on the X-axis and CD4 and CD8 are shown on the Y-axis; (B) HLA-E\*01:03 CD4<sup>+</sup> and CD8<sup>+</sup> T cell frequencies for CMV and *Mtb* pool 1 and 2 in BAL (orange) and PBMCs (blue), 8 and 7 weeks, respectively, post-*Mtb* challenge in unvaccinated RMs of RM study 2 (n=8); (C)

HLA-E\*01:01 (triangles) and \*01:03 (circles) CD4+ and CD8+ T cell frequencies in BAL (orange) and in 334 335 PBMCs (blue) for the *Mtb* pool, 14 days after aerosol BCG inhalation in healthy volunteers (n=12); (D) 336 HLA-E\*01:03 CD4+ and CD8+ T cell frequencies in BAL for CMV (left) and Mtb pool 2 (right) in RM study 337 2, 12 weeks post-BCG vaccination (blue) and 8 weeks post-Mtb challenge (orange); (E) Correlating the 338 CFU counts (Y-axis) and the PA scores (X-axis) in the total lung (left), primary lobe (middle) and 339 secondary lobe (right) for RM study 2. Grey dots represent the unvaccinated group, blue dots the 340 intradermal BCG vaccinated group, and orange dots the mucosal BCG vaccinated group. Dotted lines 341 represent the 95% confidence interval; (F) Same as E, but then correlating HLA-E\*01:03 Mtb CD3+T cell frequencies in BAL (Y-axis) and the PA scores in the total lung (left) and primary lobe (right) (X-342 343 axis) 8 weeks post-Mtb challenge. Shaded bars represent the median frequency, and the error bars represent the 95% confidence interval. Significance was tested using a repeated measures (RM) two-344 345 way ANOVA with multiple comparison correction (A-D) and a Spearman's rank correlation (E-F). \* = 346 *p*<0.05, \*\* = *p*<0.01, \*\*\*\* = *p*<0.0001.

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unvaccinated RMs (Figure 3D). There was a positive and significant correlation between the 348 PA scores and CFU counts in the lung, primary and secondary lobe of all RMs, which was 349 most pronounced in unvaccinated RMs (Figure 3E). HLA-E/Mtb CD3<sup>+</sup> T cell frequencies in the 350 351 BAL and the PA scores in the total lung and primary lobe were significantly correlated as well (Figure 3F), which suggests that BCG vaccination can contribute to protection, reflected by 352 353 the lower PA scores in the vaccinated RMs, but likely by other mechanism than HLA-E, if 354 increased frequencies of HLA-E/*Mtb* restricted T cells is required for protection.

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#### 3.4 HLA-E/Mtb CD3<sup>+</sup> T cells have a similar memory phenotype but altered chemokine 356 357

receptor expression compared to total T cells

Circulating HLA-E/Mtb CD4<sup>+</sup> and CD8<sup>+</sup> T cells had a similar distribution of memory subsets 358 compared to total T cells in both RM studies, which was not changed upon BCG vaccination 359 or Mtb challenge (Figure 4A and B). In contrast, HLA-E/CMV CD8<sup>+</sup> T cells had a dominant 360 effector memory (EM) and terminally differentiated (EMRA) phenotype that did not change 361 after BCG vaccination and Mtb challenge in both RM studies (Figure 4A and B). Both CD4+ 362 and CD8<sup>+</sup> T cells can recognize HLA-E/Mtb (Figure 1E), therefore CCR expression was 363 evaluated on CD3<sup>+</sup> T cells. HLA-E/Mtb T cells had a significantly higher expression of CCR4 364 and lower expression of CXCR3 compared to HLA-E/CMV T cells and a higher expression of 365 366 CXCR3 compared to total T cells post-BCG vaccination (RM study 1 in Figure 4C and RM 367 study 2 in 4D). The expression of CCR6 was similar on HLA-E/CMV, HLA-E/Mtb T cells and total T cells in RM study 1 (Figure 4C), but significantly increased on HLA-E/CMV T cells 368 369 compared to HLA-E/Mtb T cells in RM study 2 (Figure 4D). Similar findings for CCR expression

370 were found in the BAL, although the overall expression of CXCR3 was higher in the BAL compared to the circulation (Figure 4E). Whereas the expression of CCR4, CCR6 and CXCR3 371 on HLA-E/Mtb T cells in the circulation and the BAL did not change upon Mtb challenge in 372 unvaccinated and vaccinated RMs (Figure 4F, G and H), HLA-E/CMV T cells in unvaccinated 373 RMs in study 2 had decreased expression of CXCR3 and CCR6 in the circulation and of 374 CXCR3 in the BAL after *Mtb* challenge compared to vaccinated RMs (Figure 4G and H). These 375 findings reveal that (i) BCG vaccination followed by *Mtb* challenge did not change the memory 376 phenotype of HLA-E/Mtb T cells in the circulation and that (ii) HLA-E/Mtb T cells have different 377 CCR expression levels compared to HLA-E/CMV T cells and total T cells, suggesting 378 pathogen specific expression. 379 380

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Figure 4. Phenotypic analysis of HLA-E/Mtb CD4+ and CD8+ T cells after BCG vaccination and Mtb 384 challenge in RMs. Peptide pools for HLA-E TM staining on RM samples are shown in Table 1. (A) 385 386 Memory subset identification of HLA-E\*01:03 Mtb and CMV CD3+ T cells relative to total CD3+ T cells 387 in the circulation of RM study 1 (n=19), 4 weeks pre-BCG vaccination (left), 16 weeks post-BCG 388 vaccination (middle) and 10 weeks post-Mtb challenge (right); (B) Same as A, but then in RM study 2 (n=24) 2 weeks pre-BCG vaccination (left), 9 weeks post-BCG vaccination (middle) and 7 weeks post-389 390 Mtb challenge (right); (C) CCR4, CCR6 and CXCR3 expression on HLA-E\*01:03 CMV (blue circles), 391 Mtb pool 1 (orange circles) and 2 (orange triangles) CD3<sup>+</sup> T cells relative to total CD3<sup>+</sup> T cells (white

circles) in the circulation 16 weeks post-BCG vaccination in RM study 1 (n=19); (D) Same as C, but 392 393 then for RM study 2 (n=24) 9 weeks post-BCG vaccination; (E) Same as D (without CCR6), but then in 394 the BAL 12 weeks post-BCG vaccination; (F) CCR4, CCR6 and CXCR3 expression on HLA-E\*01:03 395 CMV (upper panel) and Mtb pool 2 (lower panel) CD3<sup>+</sup> T cells in the circulation 16 weeks post-BCG 396 vaccination (blue) and 10 weeks post-Mtb challenge (orange) in RM study 1; (G) Same as F, but then 397 for RM study 2, 9 weeks post-BCG vaccination (blue) and 7 weeks post-Mtb challenge (orange); (H) Same as G, but then in the BAL 12 weeks post-BCG vaccination (blue) and 8 weeks post-Mtb challenge 398 399 (orange). Shaded bars and stacked bars represent the median and mean frequency, respectively, and the error bars represent the 95% confidence interval. Significance was tested using a repeated 400 measures (RM) two-way ANOVA with multiple comparison correction (C – H). \* = p<0.05, \*\* = p<0.01 401 402 \*\*\* = *p*<0.001, \*\*\*\* = *p*<0.0001.

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# 3.5 Simian Immunodeficiency Virus (SIV) co-infection in Mtb-challenged cynomolgus macaques and HLA-E/Mtb CD4<sup>+</sup> and CD8<sup>+</sup> T cell frequencies

Although only three CMs per group, BCG vaccinated, Mtb and SIV co-infected CMs tended to 406 have a lower frequency of HLA-E/Mtb CD4<sup>+</sup> and CD8<sup>+</sup> T cells compared to unvaccinated Mtb 407 408 and SIV co-infected CMs, both after *Mtb* and SIV infection (Figure 5A). This was not observed 409 for HLA-E/CMV CD8<sup>+</sup> T cells suggesting some level of protection by BCG. HLA-E/Mtb and 410 CMV CD4<sup>+</sup> and CD8<sup>+</sup> T cell frequencies were similar in *Mtb* SIV co-infected and *Mtb* only 411 infected CMs, both in the absence of BCG vaccination, suggesting no effect of SIV co-infection (Figure 5B), and after *Mtb* challenge before SIV infection (Figure 5C), similar to the findings in 412 RMs (Figure 2). 413



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#### 428 4 Discussion

429 We determined HLA-E restricted *Mtb* specific T cell frequencies and their memory phenotypes 430 after BCG vaccination and/or Mtb infection in NHPs and in harmonized controlled BCG 431 infection studies in humans. Mucosal and intradermal BCG vaccination did not modulate the frequency of circulating and local HLA-E/*Mtb* CD4<sup>+</sup> and CD8<sup>+</sup> T cells in RMs, nor in humans 432 433 receiving aerosol or intradermal BCG. Previously, intradermal BCG revaccination was found 434 to have no effect on the frequencies of various DURT subsets in the circulation of adults, including MAIT cells, yδ T cells, NKT cells, CD1b and germline-encoded mycolyl-reactive 435 (GEM) T cells[29]. However, γδ T cells were increased after primary vaccination in infants[29]. 436 Our findings show that, similar to other DURT subsets, frequencies of HLA-E/Mtb restricted T 437 cells were unchanged after BCG revaccination. A previous study showed that intravenous 438 BCG vaccination in RMs induced the highest level of *Mtb* specific T cell responses in the 439 circulation and the BAL and induced the lowest CFU counts compared to intradermal and 440 aerosol. Intravenous BCG might also be more efficient at inducing HLA-E/Mtb T cells, though 441 this has to be evaluated in future studies[37]. As the aligned studies in RMs and humans show 442 an almost identical effect of BCG on HLA-E/Mtb T cell frequencies, this underscores the 443 444 relevance of RMs as a model for human mycobacterial infections.

*Mtb* challenge significantly increased frequencies of HLA-E/*Mtb* CD3<sup>+</sup> T cells in the 445 BAL of unvaccinated RMs, suggesting migration to the primary infection site. This increase 446 correlated with higher pathology scores compared to vaccinated RMs, hinting that HLA-E/Mtb 447 CD3<sup>+</sup> T cells might serve as a marker for *Mtb* infection. HLA-E CMV CD3<sup>+</sup> T cells were 448 449 detected in the BAL of each RM as well, which is surprising as CMV does not infect the airways 450 like Mtb. HLA-E/Mtb CD4<sup>+</sup> and CD8<sup>+</sup> T cell frequencies remained unchanged in the circulation 451 after Mtb challenge in BCG unvaccinated and vaccinated RMs, whereas in active TB and Mtb 452 infected humans HLA-E/Mtb CD8<sup>+</sup> T cell frequencies were higher relative to healthy controls, shown previously[13]. Disparities between species, *Mtb* strains and controlled exposure to a 453 454 defined *Mtb* dose instead of naturally acquiring TB could account for the differences between humans and RMs. The route of administration for mucosal BCG vaccination and *Mtb* challenge 455 in RMs was similar but only *Mtb* increased frequencies of HLA-E/*Mtb* CD4<sup>+</sup> and CD8<sup>+</sup> T cells, 456 457 suggesting that differences in the infection cycle or virulence influenced the capacity to induce HLA-E/*Mtb* restricted T cells. 458

459 Our findings show that HLA-E CMV TMs were primarily recognized by CD8 expressing 460 T cells, as described earlier[38,39], whereas HLA-E/*Mtb* TMs were recognized by both CD4 461 and CD8 expressing T cells that significantly correlated in both humans and RMs. Future 462 studies should be directed to understand priming of HLA-E restricted T cells, as this likely 463 deviates from conventional T cell priming, both for canonical peptides and especially for HLA-

464 E restricted peptides that are sequentially unrelated to canonical peptides (pathogen-derived),

also to establish if co-receptor independent recognition is an HLA-E specific phenomenon.

Due to poor staining of the memory markers on BAL samples, the memory phenotype 466 could only be evaluated in PBMCs. Whereas the memory phenotype of circulating HLA-E/Mtb 467 468 CD3<sup>+</sup> T cells remained unchanged after BCG vaccination in RMs and BCG infection in humans (Figure S6) and after *Mtb* challenge in RMs, HLA-E CMV CD8<sup>+</sup> T cells had an effector memory 469 and terminally differentiated phenotype in RMs, possibly because of prolonged exposure to 470 CMV via circulation in the RM colonies, confirming findings of previous studies[38,40]. The 471 472 differential CCR expression pattern on HLA-E/Mtb, HLA-E/CMV and total T cells might suggest that HLA-E/Mtb T cells are more Th2-like T cells and HLA-E/CMV T cells more Th1-473 like T cells, as described earlier in humans[17,40]. Functional responses, such as the secretion 474 of cytokines, which was not assessed because of limited material availability, should be 475 476 evaluated for further substantiation.

While the frequency of HLA-E/*Mtb* CD4<sup>+</sup> and CD8<sup>+</sup> T cells was similar between *Mtb*/SIV co-infected and *Mtb* only infected CMs, we previously demonstrated that aTB/HIV co-infected individuals had the highest frequency of HLA-E/*Mtb* CD8<sup>+</sup> T cells compared to individuals with aTB and TBI[13]. These individuals were not recently vaccinated with BCG as in CMs and the order of infections as controlled in the CM study was unknown in humans, which could explain the difference between CMs and the previous results on human PBMC samples.

Prominent differences were observed between T cells recognizing HLA-E CMV and HLA-483 E/Mtb in terms of frequencies, memory phenotype and co-receptor expression, which 484 precludes that the findings for HLA-E/Mtb T cells were merely an effect of non-specific binding 485 486 or stickiness of the HLA-E/Mtb TMs. The frequencies of HLA-E/Mtb T cells were low and might have been increased by including more HLA-E/Mtb peptides than the four included in this 487 study. It is known that TCR affinity for HLA-E/peptide complexes in general, but especially for 488 489 peptides that are different to self-peptides, is much lower than for classical HLA-I/peptide complexes, which can also account for the overall low frequencies of HLA-E/Mtb T 490 cells[10,14,21]. Furthermore, our results were limited by the number of animals and humans 491 included per study, in particular in the *Mtb*/SIV co-infection study, and the limited material that 492 493 was available per individual/NHP.

In contrast to classical HLA-I molecules, HLA-E is not downregulated by HIV, has limited genetic diversity, can bind to *Mtb* peptides and can be recognized by T cells. These advantages highlight HLA-E's potential as a vaccine target, either to induce *de novo* responses in BCG vaccinated individuals or as a primary vaccine, for example, via vaccination with various immunogenic *Mtb* epitopes in a formulation capable of inducing protective HLA-E restricted T cell responses. Hansen et al. already showed that MHC-E CD8<sup>+</sup> T cells were essential to protect against SIV in SIV-infected RMs, but future studies are needed to assess

the contribution of HLA-E restricted T cell responses in TB to confirm its efficacy as a primary
 target[24,41]. Consequently, our study expands current knowledge on the induction of HLA-E
 restricted T cells after BCG administration and *Mtb* infection and provides new insights into
 the exploration of HLA-E as a potential protective immune mechanism for TB.

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506 **Supplementary Materials:** Figure S1: Timelines of the human and NHP studies; Figure S2: Gating strategies to determine HLA-E\*01:01 and \*01:03 CD4+ and CD8+ T cell frequencies 507 and their memory phenotype in PBMCs of the human BCG revaccination study (A) and in 508 509 PBMCs and the BAL of the human BCG infection studies (B-C); Figure S3: Gating strategies to determine HLA-E\*01:03 CD4<sup>+</sup> and CD8<sup>+</sup> T cell frequencies, chemokine receptor expression 510 and memory phenotype in PBMCs (A) and the BAL (B) of NHP samples in RM studies 1 and 511 2 and in PBMCs from CMs; Figure S4: Representative density plots to determine HLA-E T 512 513 cells; Figure S5: Data of HLA-E\*01:03 T cells for *Mtb* pool 1; Figure S6: Memory phenotype analysis of HLA-E\*01:03 *Mtb* CD3<sup>+</sup> T cells in BCG revaccinated healthy volunteers. 514

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530 Institutional Review Board Statement: The human BCG revaccination study was approved 531 by the Medicines Control Council (MCC) of South Africa (now called South African Health Products Regulatory Authority, SAHPRA), by the University Hospital Cleveland Medical 532 Center Institutional Board and the Human Research Ethics Committee of the University of 533 534 Cape Town (387/2008). The trial protocol of the first BCG controlled human infection study was approved by the Medicines and Healthcare products Regulatory Agency (EudraCT: 2015-535 004981-27) and the South-Central Oxford A Research Ethics Committee (REC) (15/SC/0716). 536 537 The trial protocol of the second aerosol BCG infection study was approved by the Central 538 Oxford A REC (18/SC/0307) and is registered in ClinicalTrials.gov (NCT03912207). The first 539 RM study was approved by the UK Health Security Agency Animal Welfare and Ethical Review 540 Body and was authorised under UK Home Office Licence P219D8D1A. The study protocol of the second RM study approved by the independent ethics committee Dier Experimenten 541 Commissie (DEC) (761subB) and the institutional animal welfare body of the Biomedical 542 Primate Research Center (BPRC). The CM study design and animal housing was approved 543 by the Establishment Animal Welfare and Ethical Review Committee and authorised under 544 UK Home Office Project License P219D8D1A. 545 546

- Informed Consent Statement: Informed consent was obtained from all individuals involvedin the study.
- 549

550 **Data Availability Statement:** The original contributions presented in the study are included 551 in the article/supplementary material, further inquiries can be directed to the corresponding 552 author.

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- 559

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#### 756 Supplementary Materials





Figure S 1. Timelines of the human and NHP studies. Timeline of the human BCG revaccination study.
Blood was collected at indicated timepoints; (A) Timeline of the controlled human BCG infection study
Blood was collected at indicated timepoints; (B) Timeline of the controlled human BCG infection study

- 2. Blood and BAL were collected at indicated timepoints; (C) Timeline of BCG vaccination and Mtb
- challenge in RM study 1. Blood was collected at indicated timepoints; (D) Timeline of BCG vaccination
- and *Mtb* challenge in RM study 2. Blood and BAL were collected at indicated timepoints; (E) Timeline
- of BCG vaccination, *Mtb* challenge and SIV infection in the CM study. Blood was collected at indicated
- 765 timepoints.



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767 Figure S 2. Gating strategies to determine HLA-E\*01:01 and \*01:03 CD4+ and CD8+ T cell frequencies

and their memory phenotype in PBMCs of the human BCG revaccination study (A) and in PBMCs andBAL of the human BCG infection studies (B).



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- **Figure S 3.** Gating strategies to determine HLA-E\*01:03 CD4<sup>+</sup> and CD8<sup>+</sup> T cell frequencies, chemokine
- receptor expression and memory phenotype in PBMCs (A) and BAL (B) of NHP samples in RM studies
- 1 and 2 and in PBMCs from CMs.



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Figure S 4. Representative density plots to determine HLA-E T cells. Peptide pools for HLA-E TM 776 777 staining on RM samples are shown in Table 1. (A) Representative density plots for p44, CMV and Mtb pool 1 and 2 HLA-E\*01:03 CD4+ and CD8+ T cell frequencies in one aerosol BCG vaccinated RM of 778 study 1 at the pre-vaccination time point; (B) Representative density plots for Mtb pool 2 HLA-E\*01:03 779 780 CD4<sup>+</sup> and CD8<sup>+</sup> T cell frequencies at the pre-vaccination time point and 10 and 16 weeks post-BCG 781 vaccination in one aerosol vaccinated RM of study 1; (C) Representative density plots for *Mtb* pool 2 782 HLA-E\*01:03 CD4+ and CD8+ T cell frequencies, 16 weeks post-BCG vaccination and 2, 6 and 10 weeks post-*Mtb* challenge in one aerosol vaccinated RM of study 1. In A-C, HLA-E TMs labelled with 783 PE are shown on the X-axis and CD4 and CD8 are shown on the Y-axis. 784





786 Figure S 5. Data of HLA-E\*01:03 T cells for *Mtb* pool 1. Peptides and sequences for HLA-E TM staining with Mtb pool 1 on RM samples are shown in Table 1. (A) HLA-E\*01:03 CD4+ and CD8+ T cell 787 788 frequencies in RM study 1 at the pre-*Mtb* challenge time point (blue triangles) and 2 (orange circles), 6 (orange triangles) and 10 weeks (orange squares) post-Mtb challenge; (B) Same as A, but then for RM 789 790 study 2 at the pre-Mtb challenge time point (blue circles) and 3 and 7 weeks (orange circles and orange 791 triangles) post-Mtb challenge; (C) HLA-E\*01:03 CD4+ and CD8+ T cell frequencies in BAL of RM study 792 2 at the post-BCG vaccination time point (blue) and the post-Mtb challenge time point (orange); (D) 793 Correlating the frequency of HLA-E\*01:03 CD3<sup>+</sup> T cells in BAL (Y-axis) and the PA scores in the total

794 lung (left) and primary lobe (right) (X-axis) of RM study 2. Grey dots represent the unvaccinated group, 795 blue dots the intradermal BCG vaccinated group and orange dots the mucosal BCG vaccinated group. 796 Dotted lines represent the 95% confidence interval; (E) CCR4, CCR6 and CXCR3 expression on HLA-797 E\*01:03 CD3+ T cells in RM study 1, 16 weeks post-BCG vaccination (blue) and 10 weeks post-Mtb 798 challenge (orange); (F) Same as E, but then for RM study 2, 9 weeks post-BCG vaccination (blue) and 799 7 weeks post-Mtb challenge (orange); (G) Same as F (without CCR6), but then in BAL 12 weeks post-800 BCG vaccination (blue) and 8 weeks post-Mtb challenge (orange); (H) Correlating the frequency of 801 CCR4+ (left) and CXCR3+ (right) HLA-E\*01:03 CD3+ T cells in BAL on the Y-axis and the PA scores in the total lung on the X-axis, 8 weeks post-Mtb challenge in RM study 2. Grey dots represent the 802 803 unvaccinated group, blue dots the intradermal BCG vaccinated group and orange dots the mucosal 804 BCG vaccinated group. Dotted lines represent the 95% confidence interval. Shaded bars represent the 805 median frequency and the error bars represent the 95% confidence interval. Significance was tested 806 using a repeated measures (RM) two-way ANOVA with multiple comparison correction (A - C, E - G)and a Spearman's rank correlation (D, H). \* = p < 0.05, \*\* = p < 0.01 \*\*\* = p < 0.001, \*\*\*\* = p < 0.0001. 807





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Figure S 6. Memory phenotype analysis of HLA-E\*01:03 *Mtb* CD3<sup>+</sup> T cells in BCG revaccinated healthy volunteers. Peptides and sequences for HLA-E TM staining with the *Mtb* pool on human samples are shown in Table 1. Memory subset identification of HLA-E\*01:03 CD3<sup>+</sup> T cells for the *Mtb* pool relative to total CD3<sup>+</sup> T cells in BCG revaccinated human volunteers (n=20) 0, 3, 5 and 52 weeks post-BCG revaccination relative to total CD3<sup>+</sup> T cells. Stacked bars show the mean frequency.