## Casirivimab and Imdevimab for the Treatment of Hospitalized 1 **Patients With COVID-19** 2

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- 8 Running title: CAS+IMD in hospitalized patients

# 1 Abstract

2 **Background:** The open-label RECOVERY study reported improved survival in

3 hospitalized, SARS-CoV-2 seronegative patients treated with casirivimab and

4 imdevimab (CAS+IMD).

5 **Methods:** In this phase I/II/III, double-blind, placebo-controlled trial conducted prior to

6 widespread circulation of Delta and Omicron, hospitalized COVID-19 patients were

7 randomized (1:1:1) to 2.4 g or 8.0 g CAS+IMD or placebo, and characterized at baseline

8 for viral load and SARS-CoV-2 serostatus.

**Results:** 1336 patients on low-flow or no supplemental (low-flow/no) oxygen were 9 treated. The primary endpoint was met: in seronegative patients, the least-squares 10 mean difference (CAS+IMD versus placebo) for time-weighted average change from 11 baseline in viral load through day 7 was -0.28 log<sub>10</sub> copies/mL (95% CI, -0.51 to -0.05; 12 P=.0172). The primary clinical analysis of death or mechanical ventilation (death/MV) 13 from day 6–29 in patients with high viral load had a strong positive trend but did not 14 reach significance. CAS+IMD numerically reduced all-cause mortality in seronegative 15 patients through day 29 (relative risk reduction, 55.6%; 95% CI, 24.2–74.0). No safety 16 17 concerns were noted.

Conclusions: In hospitalized COVID-19 patients on low-flow/no oxygen, CAS+IMD reduced viral load and likely improves clinical outcomes in the overall population, with the benefit driven by seronegative patients, and no harm observed in seropositive patients.

22 Trial registration: ClinicalTrials.gov NCT04426695

Key words (3 to 10 keywords): COVID-19, SARS-CoV-2, coronavirus, monoclonal
 antibody, hospitalized.

# 1 Introduction

Progression of coronavirus disease 2019 (COVID-19), caused by severe acute 2 respiratory syndrome coronavirus 2 (SARS-CoV-2), is highly variable; while many cases 3 manifest with relatively mild symptoms, others progress to severe respiratory failure 4 5 requiring supplemental oxygen and/or mechanical ventilation [1-4]. Casirivimab and imdevimab (CAS+IMD) is a monoclonal antibody combination that binds non-6 overlapping epitopes of the SARS-CoV-2 spike protein receptor binding domain [5, 6]. 7 CAS+IMD was previously authorized for treatment and post-exposure prophylaxis of 8 COVID-19 in certain settings in the US for susceptible strains, and for treatment and/or 9 prevention of COVID-19 in other jurisdictions [7-9]. Preclinical data show that CAS+IMD 10 exhibits diminished neutralization against Omicron-lineage variants [10], but retains 11 neuralization potency against all other historical variants of concern. 12

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Studies conducted prior to widespread circulation of the Omicron variant showed 14 that CAS+IMD reduced hospitalization or all-cause death, reduced viral load, and 15 shortened symptom duration in outpatients with COVID-19 [11-13]. Data have also 16 shown that CAS+IMD is highly effective in preventing asymptomatic as well as 17 symptomatic COVID-19 among recently exposed and asymptomatic individuals [14]. In 18 an open-label platform trial of hospitalized patients with COVID-19 in the United 19 Kingdom (RECOVERY), CAS+IMD improved overall survival in patients who had not 20 mounted their own immune response at baseline (seronegative) by 21%, and also 21 increased the probability of being discharged alive within 28 days [15]. Although efficacy 22

- 1 of CAS+IMD was seen throughout the spectrum of disease, evidence suggests that the
- 2 benefit is greatest when treatment is administered early [16].
- Based on the potent anti-viral activity of CAS+IMD, it was prospectively
  hypothesized that reducing viral burden as early as possible would also decrease
  morbidity and mortality associated with SARS-CoV-2 infection in hospitalized patients.
  Here, we describe the final efficacy and safety results from a phase 1/2/3 double-blind
  placebo-controlled trial of CAS+IMD in hospitalized patients with COVID-19, with a
  focus on those on low-flow or no supplemental oxygen.

# 9 Methods

#### 10 Trial Design

This adaptive, phase 1/2/3, double-blinded, placebo-controlled trial evaluated the
efficacy, safety, and tolerability of CAS+IMD in hospitalized adult patients with COVID19. The study was conducted at 103 sites in the United States, Brazil, Chile, Mexico,
Moldova, and Romania between June 10, 2020, and April 9, 2021 (NCT04426695).

Patients were enrolled in 1 of 4 cohorts based on disease severity: no supplemental oxygen (cohort 1A), low-flow oxygen (cohort 1), high-intensity oxygen (cohort 2), or mechanical ventilation (cohort 3; **Supplementary Figure 1**). The trial proceeded through phase 2 for patients requiring no supplemental oxygen (cohort 1A) and phase 3 for patients requiring low-flow oxygen (cohort 1); together, these patients are the subject of this manuscript. The definition of low-flow oxygen was based on the device requirement and not by the amount of flow. As phase 1/2 data from patients on low-flow oxygen were previously unblinded for an interim analysis, they were not included in the
current analysis.

3 For patients requiring high-intensity oxygen (cohort 2) or mechanical ventilation (cohort 3), enrollment was paused early (October 30, 2020) per recommendation of the 4 independent data monitoring committee (IDMC), which observed an imbalance in 5 mortality (see trial adaptations section of the appendix). Data from these cohorts were 6 subsequently unblinded in an interim analysis, and mortality data are presented in 7 Supplementary Table 1 (cohort 2) and Supplementary Table 2 (cohort 3). Due to very 8 low sample size, patients from cohorts 2 and 3 were not included in analyses with 9 patients from cohorts 1 and 1A, for whom the trial proceeded per IDMC 10 recommendation until premature termination by the sponsor due to low enrollment on 11 12 April 9, 2021.

Enrolled patients were randomized 1:1:1 to a single intravenous dose of 2.4 g CAS+IMD (1.2 g casirivimab and 1.2 g imdevimab), 8.0 g CAS+IMD (4.0 g casirivimab and 4.0 g imdevimab), or placebo. Within each cohort, randomization was stratified by standard-of-care treatment (antiviral therapies, non-antiviral therapies; phase 1/2/3) and country (phases 2/3 only). The trial included a screening/baseline period (days –1 to 1), a hospitalization/post-discharge period, a monthly follow-up period, and an end-of-study visit (phase 1 day 169, phase 2/3 day 57; **Supplementary Figure 1**).

#### 20 Patients

The study included patients who were  $\geq$  18 years of age and hospitalized with confirmed SARS-CoV-2  $\leq$  72 hours, with symptom onset  $\leq$  10 days from randomization. Standard-of-care treatments for COVID-19 were permitted. While COVID-19 vaccination
 was not prohibited, the study was conducted prior to widespread use of COVID-19
 vaccines. All participants provided written informed consent. Full inclusion and exclusion
 criteria are in the appendix.

#### 5 SARS-CoV-2 Serostatus Determination

6 All patients were assessed prior to dosing for baseline viral load and anti-SARS-CoV-2

7 antibodies: anti-spike (S1) immunoglobulin (Ig) A (EUROIMMUN), anti-S1 IgG

8 (EUROIMMUN), and anti-nucleocapsid IgG (Abbott) using the cut-offs for negative,

9 positive or borderline as defined per the manufacturer's instructions for use. All serology

assays at baseline were run at a central laboratory (ICON Central Laboratories,

11 Farmingdale, NY, USA). Because serology results were not immediately available,

12 patients underwent randomization regardless of their baseline serostatus, and were

13 later grouped for analyses as seronegative (if all antibody tests were negative),

seropositive (if any antibody test was positive), borderline (if any test was borderline and

other tests were negative), or other (missing, not determined, pending, or inconclusive

16 results).

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#### 17 Outcome Measures

The primary virologic efficacy endpoint was the time-weighted average (TWA) daily change from baseline (day 1) in viral load (nasopharyngeal samples) through day 7 in the seronegative population [13]. The primary clinical efficacy endpoint was the proportion of patients who died or required mechanical ventilation from days 6 to 29 and days 1 to 29 for the high-viral load, seronegative, and overall populations, tested in a statistical hierarchy (Supplementary Table 3). Clinical efficacy from days 6 to 29 was
included as part of the hierarchical testing strategy because several days of viral
suppression in this severe population may be required before clinical impact is
observed. The high viral load population was selected for the first clinical efficacy
endpoint in the hierarchy based on previous experience with treatment in the outpatient
setting [11, 13].

Secondary efficacy endpoints examined all-cause mortality and hospital
discharge/readmission. Safety endpoints included the proportion of patients with
treatment-emergent serious adverse events (SAEs) and adverse events of special
interest (AESIs): infusion-related reactions (IRRs) through day 4, and grade ≥ 2
hypersensitivity reactions through day 29.

#### 12 Statistical Analysis

The statistical analysis plan was finalized prior to database lock and unblinding; all analyses were prespecified in the protocol and statistical analysis plan before database lock. The full analysis set (FAS) was used for safety analyses and includes all randomized patients who received any amount of study drug. The modified FAS (mFAS) was used for efficacy analyses and excludes patients with negative central lab SARS-CoV-2 quantitative reverse transcriptase polymerase chain reaction at baseline. The primary virologic endpoint was analyzed using the analysis of covariance

model; primary clinical endpoints were analyzed using the analysis of covariance
 model; primary clinical endpoints were analyzed using either the exact method for
 binomial distribution or asymptotic normal approximation method, as predefined in the
 statistical analysis plan (also see appendix). Sample size for this adaptive study was

1 estimated separately by phase, as detailed in the **Statistical Analysis Plan**. However, because the trial was stopped earlier than planned (due to low enrollment prior to the 2 surge associated with the Delta variant), the sample size was smaller than anticipated 3 and it was elected to combine the CAS+IMD dose groups and pool patients on no 4 supplemental oxygen (phase 2) and low-flow oxygen (phase 3) for efficacy measures in 5 order to determine if the observed treatment effect exceeds the minimal significant 6 effect in relative risk reduction (also see trial adaptations section of the appendix). The 7 multiplicity adjustment approach, a hierarchical procedure, was used to control the 8 overall type-1 error rate at 0.05 for the primary virologic and clinical outcome endpoints 9 (Supplementary Table 3). If an endpoint in the hierarchy did not reach statistical 10 significance the subsequent data were reported descriptively. Other analyses, including 11 all-cause mortality, were reported descriptively. 12

Safety was assessed in separate analyses for patients receiving no supplemental
oxygen (phase 2) and low-flow oxygen (phase 1/2/3). Prespecified subgroup analyses
using baseline serostatus and viral load were selected based on previous results [13].
Sample size calculations and missing data handling are described in the supplementary
methods appendix.

18

# Results

#### 19 **Demographics and Baseline Characteristics**

A total of 1364 patients on low-flow or no supplemental oxygen were randomized
between June 10, 2020 and April 9, 2021; 1336 were treated. Of those, 1197 (89.6%)
tested positive centrally for SARS-CoV-2 (constituting the mFAS) with 406, 398, and

1 393 in the CAS+IMD 2.4 g, 8.0 g, and placebo groups, respectively (Figure 1;

#### 2 Supplementary Figure 2).

Baseline demographics were well-balanced. The median age was 62 years, 54.1% were male, mean body mass index was 31.1 kg/m<sup>2</sup>, 12.1% identified as Black/African American, and 30.1% identified as Hispanic/Latino (**Table 1**). COVID-19 characteristics were similar except for a higher proportion of seropositive patients in the placebo (51.1%) versus the combined CAS+IMD group (45.9%; **Table 1**). Demographics and baseline characteristics by serostatus are presented in **Supplementary Table 4**.

#### 9 Virologic Efficacy

10 CAS+IMD significantly reduced viral load in seronegative patients on low-flow or no 11 supplemental oxygen; the least-squares (LS) mean (95% confidence interval [CI]) TWA 12 daily change in viral load from baseline through day 7 was  $-1.03 \log_{10}$  copies/mL (95% 13 CI, -1.22 to -0.84) in the placebo group versus  $-1.31 \log_{10}$  copies/mL (95% CI, -1.43 to 14 -1.18) in the CAS+IMD group, with an LS mean difference versus placebo of -0.2815  $\log_{10}$  copies/mL (95% CI, -0.51 to -0.05; P = .0172; **Table 2**).

Both doses of CAS+IMD exhibited similar viral load reductions, showing
improvement over placebo starting at day 3 and reaching significance at day 7, after
which viral load in the CAS+IMD groups continued to fall relative to placebo (Figure 2,
Supplementary Figure 3). The overall population LS mean fell below the lower limit of
quantification (2.85 log<sub>10</sub> copies/mL) 2 days earlier with CAS+IMD (day 9) versus
placebo (day 11) (Supplementary Figure 3). Reductions of viral load were observed in

all populations (Figure 2; Supplementary Figure 3), with greater reductions in
 seronegative patients.

#### **3 Clinical Efficacy**

#### 4 Death or Mechanical Ventilation

Death or mechanical ventilation was examined from days 1 to 29 and days 6 to 29, and 5 6 evaluated in the seronegative, high-viral load, and overall populations using a statistical hierarchy. While the analyses presented herein examine the pooled CAS+IMD dose 7 group and pooled cohorts for low-flow and no supplemental oxygen (Figure 3 and 8 Figure 4), individual dose groups of 2.4 g and 8.0 g of CAS+IMD (Supplementary 9 Figure 4) and separate cohorts by respiratory status (Supplementary Figure 5) also 10 showed trends of benefit in seronegative patients across all clinical endpoints. 11 In the statistical hierarchy (Supplementary Table 3), the first test for clinical 12 efficacy on the endpoint of death or mechanical ventilation in the high viral load 13

population from days 6 to 29 showed a numerically lower risk versus placebo but did not reach statistical significance (relative risk reduction [RRR], 25.5%; 95% Cl, -16.2 to 52.2; *P* = .2048; **Table 2**); accordingly, all subsequent clinical efficacy analyses are

considered descriptive. The endpoint of death or mechanical ventilation in the
seronegative population from days 6 to 29 showed an RRR of 47.1% (95% CI, 10.2–
68.8; **Table 2**); similar trends of improvement were also observed in the overall
population (RRR, 24.2%; 95% CI, -10.9 to 48.2; **Table 2**).

Treatment with CAS+IMD showed a trend in reduction in the proportions of patients who died or required mechanical ventilation, with improvement from days 1 to 29 in the

high viral load (RRR, 35.0%; 95% CI, 6.6–54.8), seronegative (RRR, 47.0%; 95% CI,
17.7–65.8), and overall (RRR, 30.9%; 95% CI, 5.4–49.5) populations (Table 2). While
seronegative patients exhibited the greatest benefit from CAS+IMD treatment, no
meaningful benefit or harm was observed in seropositive patients (RRR, 19.5%; 95%
CI, –32.8 to 51.2; Figure 4).

#### 6 All-Cause Mortality

Treatment with CAS+IMD led to numeric improvement in all-cause mortality through day 7 29 in the seronegative, high-viral load, and overall populations in a pooled analysis of 8 patients on low-flow or no supplemental oxygen receiving 2.4 g or 8.0 g CAS+IMD 9 versus placebo. The greatest reduction in the relative risk of death occurred in 10 seronegative patients; 24/360 (6.7%) died within 28 days in the CAS+IMD group versus 11 24/160 (15.0%) in the placebo group (RRR, 55.6%; 95% CI, 24.2–74.0; Figure 4). No 12 harm or meaningful benefit was observed in the seropositive population (Figure 3). For 13 the overall population, driven by the seronegative group, a numerical reduction in death 14 was observed; 59/804 patients (7.3%) died within 28 days in the CAS+IMD combined 15 dose group versus 45/393 patients (11.5%) in the placebo group (RRR, 35.9%; 95% Cl, 16 7.3–55.7; Figure 4). The improvement with CAS+IMD persisted through study day 57 17 (Supplementary Figure 6). 18

Similar benefits with CAS+IMD treatment were also observed in hospital
discharge (Figure 4, Supplementary Table 5) and readmission (Supplementary
Table 6); see supplementary results appendix.

#### 1 Safety

SAEs were experienced by more patients in the placebo group than the CAS+IMD 2 group for patients on low-flow oxygen (131/469 [27.9%] placebo versus 224/941 3 [23.8%] CAS+IMD) and no supplemental oxygen (43/198 [21.7%] placebo versus 4 61/399 [15.3%] CAS+IMD; Table 3). More patients experienced treatment-emergent 5 adverse events that resulted in death in the placebo group versus CAS+IMD for patients 6 on low-flow oxygen (72/469 [15.4%] placebo versus 108/941 [11.5%] CAS+IMD 7 Supplementary Table 7) and no supplemental oxygen (15/198 [7.6%] placebo versus 8 15/399 [3.8%] CAS+IMD **Supplementary Table 8**). These events were generally 9 considered by the sponsor as associated with COVID-19 and its complications. 10 Grade  $\geq$  2 IRRs occurred in few patients on low-flow (5/469 [1.1%] placebo versus 11 18/941 [1.9%] CAS+IMD) and no supplemental oxygen (1/198 [0.5%] placebo versus 12 8/399 [2.0%] CAS+IMD; **Table 3**). Grade  $\geq$  2 hypersensitivity reactions also occurred in 13 few patients on low-flow (1/469 [0.2%] placebo versus 7/941 [0.7%] CAS+IMD) and no 14 supplemental oxygen (1/198 [0.5%] placebo versus 2/399 [0.5%] CAS+IMD; Table 3). 15

AESIs are further detailed in **Supplementary Table 9** and **Supplementary Table 10**.

### 17

# Discussion

Hospitalized patients with COVID-19 experience high mortality rates, ranging from
10% to 30% [4, 17-19]. Until the recent results from the RECOVERY platform trial [15],
it was unknown whether treatment with CAS+IMD in patients who were already
hospitalized would meaningfully impact clinical outcomes. The current placebocontrolled randomized international trial (with approximately 55% of patients receiving

concomitant remdesivir and 75% receiving steroids) demonstrated and extended the 1 benefit reported in the RECOVERY trial among seronegative patients, and also 2 documented no harm signals among seropositive patients receiving low-flow or no 3 supplemental oxygen. When added to standard-of-care treatment, CAS+IMD may 4 reduce all-cause mortality. While the primary clinical endpoint of death or mechanical 5 6 ventilation from day 6 to 29 in the high viral load population had a strong positive trend but did not reach significance, all clinical endpoints demonstrated numeric 7 improvements, predominantly driven by results in the seronegative population. 8 CAS+IMD also improved the rates of hospital discharge and death or readmission to 9 hospital at day 29, which persisted through day 57, showing possible benefit to patients 10 as well as the overburdened healthcare system. 11

In the current variant-rich world with widespread COVID-19 vaccination, the utility of serostatus is unclear; numerous publications cite that even vaccinated patients with high antibody titers may have little to no neutralizing activity to emerging variants [20-23]. Future studies are needed to further explore the potential clinical benefit in seropositive patients, and in particular, seropositive patients whose antibodies lack neuralization potential for the circulating strain.

18 CAS+IMD is the first monoclonal antibody therapy, and the first SARS-CoV-2 19 antiviral, that significantly lowers viral load and may reduce mortality in hospitalized 20 patients with COVID-19 [15]. Other monoclonal antibodies against the SARS-CoV-2 in 21 hospitalized populations have failed to show such benefit [24, 25]. Very few treatments 22 have demonstrated a mortality benefit in hospitalized COVID-19 patients, and most are 23 designed to modulate the immune response late in the disease course after damage

1 has occurred, rather than to clear SARS-CoV-2. The corticosteroid dexamethasone showed a 17% improvement in 28-day mortality in the RECOVERY trial, with the 2 greatest benefit in patients receiving mechanical ventilation [26]. Baricitinib, a Janus 3 kinase inhibitor, improved 28-day mortality by 38% in hospitalized patients [27]. 4 Interleukin-6 inhibitors such as tocilizumab and sarilumab were recommended by the 5 World Health Organization for use in hospitalized patients, in whom they reduced 6 mortality by 13% [28, 29]. The Food and Drug Administration-approved medication 7 remdesivir has shown some benefit against death and progression to ventilation in 8 hospitalized patients with COVID-19[30]. CAS+IMD's mechanism of action and safety 9 profile should allow combination approaches with any or all of these other agents. 10

11 CAS+IMD in patients on low-flow or no supplemental oxygen was well-tolerated and 12 the safety profile was consistent with that observed previously [13, 15], showing low 13 rates of infusion-related and hypersensitivity reactions. The placebo group experienced 14 a greater frequency of SAEs and adverse events leading to death than the CAS+IMD 15 group, consistent with the clinical benefit of treatment.

The absence of full representation across the spectrum of hospitalized patients on 16 varying degrees of oxygen support is a limitation of this study. The respiratory status of 17 the population in this manuscript includes only those receiving low-flow or no 18 19 supplemental oxygen, as the study did not enroll sufficient numbers of patients on highintensity oxygen or mechanical ventilation prior to pausing of these cohorts early during 20 the conduct of the study due to an imbalance in mortality observed in interim data. This 21 22 imbalance was not observed in the much larger RECOVERY trial, where efficacy was seen across all hospitalized patients regardless of respiratory status [15]. The study was 23

prematurely terminated due to slow recruitment resulting in smaller than planned sample size. As a result, key analyses pooled the 2 remaining patient cohorts (no supplemental oxygen/low-flow oxygen) as well as the 2 doses. Sensitivity analyses did not reveal major efficacy differences across the cohorts or doses. Observed variability in the magnitude of risk reductions, with greater effects for the 2.4-g dose compared to the 8.0-g dose, was likely due to small numbers within each group suggesting either dose can be utilized in hospitalized individuals requiring low-flow or no supplemental oxygen.

As an additional limitation, this study was conducted prior to widespread circulation 8 of the Delta and Omicron variants of SARS-CoV-2. CAS+IMD, which contains 2 distinct 9 neutralizing antibodies [5, 6], retains neutralizing potency against most viral variants of 10 concern including Delta [31], but has been shown to have diminished neutralization 11 activity against Omicron-lineage variants [10], which is the most prevalent lineage at the 12 time of publication. Nonetheless, the results of this trial are informative for use of 13 CAS+IMD against current or future circulating variants susceptible to CAS+IMD and 14 also show promise for future SARS-CoV-2 antibodies that retain neutralizing capacity in 15 the hospitalized population. 16

Taken together with reports from the RECOVERY trial, these data support
CAS+IMD monoclonal antibody therapy as a well-tolerated treatment option to reduce
viral load and likely reduce the risk of mortality in hospitalized patients with susceptible
variants of SARS-CoV-2.

# 1 Notes

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#### 9 **Potential Conflicts of Interest**

- 10 SS-K, SA, YSun, RB, JMei, JMiller, EF-N, CP, VP, YZ, AM, JDD, YK, AC, BK, YSoo,
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#### 7 Data Availability Statement

Qualified researchers may request access to study documents (including the clinical 8 study report, study protocol with any amendments, blank case report form, and 9 10 statistical analysis plan) that support the methods and findings reported in this 11 manuscript. Individual anonymized participant data will be considered for sharing once the product and indication has been approved by major health authorities (eg Food and 12 Drug Administration, European Medicines Agency, Pharmaceuticals and Medical 13 Devices Agency, etc.), if there is legal authority to share the data and there is not a 14 15 reasonable likelihood of participant re-identification. Requests should be submitted to https://vivli.org/. 16

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# 1 Table 1. Demographics and Baseline Characteristics<sup>a</sup>

	Placebo (n = 393)	CAS+IMD 2.4 g IV (n = 406)	CAS+IMD 8.0 g IV (n = 398)	CAS+IMD combined doses (n = 804)	Total (N = 1197)
Age, years					
Median (range)	64.0 (24:100)	60.0 (20:97)	62.0 (20:98)	61.0 (20:98)	62.0 (20:100)
≥ 65	191 (48.6%)	164 (40.4%)	170 (42.7%)	334 (41.5%)	525 (43.9%)
Male sex	210 (53.4%)	221 (54.4%)	216 (54.3%)	437 (54.4%)	647 (54.1%)
Race					
White	239 (60.8%)	246 (60.6%)	264 (66.3%)	510 (63.4%)	749 (62.6%)
Black or African American	46 (11.7%)	57 (14.0%)	42 (10.6%)	99 (12.3%)	145 (12.1%)
Asian	16 (4.1%)	17 (4.2%)	14 (3.5%)	31 (3.9%)	47 (3.9%)
American Indian or Alaska Native	9 (2.3%)	9 (2.2%)	13 (3.3%)	22 (2.7%)	31 (2.6%)
Native Hawaiian or Pacific Islander	0 (0%)	1 (0.2%)	2 (0.5%)	3 (0.4%)	3 (0.3%)
Unknown	26 (6.6%)	28 (6.9%)	22 (5.5%)	50 (6.2%)	76 (6.3%)
Not reported	57 (14.5%)	48 (11.8%)	41 (10.3%)	89 (11.1%)	146 (12.2%)
Ethnicity		)			
Hispanic or Latino	115 (29.3%)	137 (33.7%)	108 (27.1%)	245 (30.5%)	360 (30.1%)
Not Hispanic or Latino	260 (66.2%)	251 (61.8%)	269 (67.6%)	520 (64.7%)	780 (65.2%)
Not reported	18 (4.6%)	18 (4.4%)	21 (5.3%)	39 (4.9%)	57 (4.8%)
Mean weight, kg	87.0±23.4	89.0±24.9	89.0±24.6	89.0±24.7	88.3±24.3
Body-mass index <sup>b</sup>					
Mean	30.8±7.5	31.2±7.9	31.2±8.2	31.2±8.1	31.1±7.9
≥ 30	186 (47.3%)	192 (47.3%)	190 (47.7%)	382 (47.5%)	568 (47.5%)
Median days COVID-19 illness prior to baseline (Q1:Q3)	5.0 (4.0:8.0)	6.0 (4.0:8.0)	6.0 (4.0:8.0)	6.0 (4.0:8.0)	6.0 (4.0:8.0)
Baseline viral load					
Median (Q1:Q3), log <sub>10</sub> copies/mL	6.3 (5.0:7.6)	6.4 (5.1:7.6)	6.5 (5.3:7.8)	6.4 (5.1:7.7)	6.4 (5.1:7.7)
> 10 <sup>4</sup> copies/mL	356 (90.6%)	366 (90.1%)	359 (90.2%)	725 (90.2%)	1081 (90.3%)
> 10 <sup>6</sup> copies/mL	229 (58.3%)	231 (56.9%)	236 (59.3%)	467 (58.1%)	696 (58.1%)

Baseline serology status

Negative	160 (40.7%)	172 (42.4%)	188 (47.2%)	360 (44.8%)	520 (43.4%)
Positive	201 (51.1%)	191 (47.0%)	178 (44.7%)	369 (45.9%)	570 (47.6%)
Other (not determined, borderline)	32 (8.1%)	43 (10.6%)	32 (8.0%)	75 (9.3%)	107 (8.9%)
Presence of neutralizing antibodies for seropositive patients, n/N					
Positive	140/201 (69.7%)	140/191 (73.3%)	129/178 (72.5%)	269/369 (72.9%)	409/570 (71.8%)
Negative	35/201 (17.4%)	30/191 (15. 7%)	31/178 (17.4%)	61/369 (16.5%)	96/570 (16.8%)
Borderline	15/201 (7.5%)	10/191 (5.2%)	8/178 (4.5%)	18/369 (4.9%)	33/570 (5.8%)
Unknown/missing/ indeterminate	11/201 (5.5%)	11/191 (5.8%)	10/178 (5.6%)	21/369 (5.7%)	32/570 (5.6%)
Mean C-reactive protein, mg/L	75.1±68.6	73.9±96.7	71.1±84.5	72.5±91.0	73.4±84.3
Mean neutrophil- lymphocyte ratio	5.9±5.7	2.3±2.1	8.0±4.3	5.6±4.4	5.7±4.9
Concomitant medications					
Remdesivir	220 (56.0%)	212 (52.2%)	225 (56.5%)	437 (54.4%)	657 (54.9%)
Systemic corticosteroids	294 (74.8%)	294 (72.4%)	307 (77.1%)	601 (74.8%)	895 (74.8%)
Use of supplemental oxygen	226 (57.5%)	223 (54.9%)	223 (56.0%)	446 (55.5%)	672 (56.1%)
Non-invasive ventilation or high- flow oxygen devices	1 (0.4%)	0	0	0	1 (0.1%)
Supplemental oxygen <sup>c</sup>	225 (99.6%)	223 (100%)	223 (100%)	446 (100%)	671 (99.9%)
Immunocompromised	85 (21.6%)	87 (21.4%)	85 (21.4%)	172 (21.4%)	257 (21.5%)

Data are n (%), mean ±SD, or median (range). <sup>a</sup>Modified full analysis set presented for pooled phase 3 cohort 1 and
 phase 2 cohort 1A; plus-minus values are means ±SD. <sup>b</sup>The body-mass index is the weight in kilograms divided by
 the square of the height in meters. <sup>c</sup>Not requiring high-flow oxygen devices. Abbreviations; CAS+IMD, casirivimab

4 and imdevimab; COVID-19, coronavirus disease 2019; IV, intravenous; SD, standard deviation.

Hierarchy	Endpoint	Placebo	CAS+IMD 2.4 g IV	CAS+IMD 8.0 g IV	CAS+IMD combined
	Primary virologic outcome <sup>a,b</sup>				
1.	Time-weighted average change in vira seronegative mFAS	al load from	n baseline (da	y 1) to day 7	in
	Patients, n	131	150	160	310
	LS mean change (SE), log <sub>10</sub> copies/mL	-1.03 (0.10)	-1.28 (0.09)	-1.34 (0.09)	–1.31 (0.06)
	95% CI	–1.22 to –0.84	-1.46 to - 1.10	–1.51 to –1.16	–1.43 to – 1.18
	Difference versus placebo at day 7, lo	og <sub>10</sub> copies/	mL		
	LS mean (SE)		-0.25 (0.13)	-0.31 (0.13)	-0.28 (0.12)
	95% CI	-	–0.51 to 0.02	–0.57 to –0.05	–0.51 to –0.05
	<i>P</i> value	-	.0663	.0204	.0172
	Primary clinical outcome <sup>a,c,d</sup>				
2.	Proportion of patients who died or we 29 in high-viral load mFAS	ent on mecl	hanical ventila	ation from da	ay 6 to day
(	N/total n	28/211 (13.3%)	16/220 (7.3%)	28/225 (12.4%)	44/445 (9.9%)
	Relative risk reduction, %	-	45.2	6.2	25.5
	95% Cl, %	-	1.7 to 69.5	-52.9 to 42.5	-16.2 to 52.2
Y.	<i>P</i> value	-	.0431	.7975	.2048
3.	Proportion of patients who died or we	ent on mecl	hanical ventila	ation from da	ay 6 to day

#### Table 2. Primary Virologic and Clinical Endpoints 1

# 29 in seronegative mFAS

N/total n	22/147	8/162	19/179	27/341
	(15.0%)	(4.9%)	(10.6%)	(7.9%)

	Relative risk reduction, %	-	67.0	29.1	47.1
	95% CI, %	-	28.2 to 84.8	–25.9 to 60.0	10.2 to 68.8
4.	Proportion of patients who died o 29 in overall mFAS	r went on mecha	anical ventil	ation from da	ay 6 to day
	N/total n	39/367 (10.6%)	21/387 (5.4%)	41/383 (10.7%)	62/770 (8.1%)
	Relative risk reduction, %	-	48.9	-0.7	24.2
	95% CI, %	-	14.9 to 69.4	-52.5 to 33.4	–10.9 to 48.2
5.	Proportion of patients who died o 29 in high-viral load mFAS	r went on mecha	anical ventil	ation from da	ay 1 to day
	N/total n	43/229 (18.8%)	23/231 (10.0%)	34/236 (14.4%)	57/467 (12.2%)
	Relative risk reduction, %		47.0	23.3	35.0
	95% Cl, %	-	15.0 to 66.9	–15.8 to 49.2	6.6 to 54.8
6.	Proportion of patients who died o 29 in seronegative mFAS	r went on mech	anical ventil	ation from d	ay 1 to day
	N/total n	31/160 (19.4%)	14/172 (8.1%)	23/188 (12.2%)	37/360 (10.3%)
	Relative risk reduction, %	-	58.0	36.9	47.0
. (	95% Cl, %	-	24.0 to 76.8	-3.7 to 61.6	17.7 to 65.8
7.	Proportion of patients who died o 29 in overall mFAS	r went on mecha	anical ventil	ation from d	ay 1 to day
	N/total n	58/393 (14.8%)	32/406 (7.9%)	50/398 (12.6%)	82/804 (10.2%)
	Relative risk reduction, %	-	46.6	14.9	30.9
	95% Cl, %	-	19.6 to 64.5	–21.0 to 40.1	5.4 to 49.5

<sup>a</sup>Pooled phase 3 cohort 1 and phase 2 cohort 1A. <sup>b</sup>LS mean, 95% CI, and *P* value for change from baseline on log

2 scale for each treatment group is based on the analysis of covariance model with treatment group and the type of

3 background standard of care (antiviral therapies and non-antiviral therapies) as fixed effects, and baseline viral load

4 and treatment baseline as covariates. Negative changes imply improvement in viral load. <sup>c</sup>95% CI for the relative risk

5 and relative risk reduction (1 – relative risk) uses the Farrington-Manning method. <sup>d</sup>P value is derived from the

6 Cochran-Mantel-Haenszel test stratified by the type of background standard of care (antiviral therapies and non-

7 antiviral therapies). If  $np \le 5$  or  $n(1-p) \le 5$  in any treatment group, *P* value is based on Fisher's exact test.

8 Abbreviations: CAS+IMD, casirivimab and imdevimab; CI, confidence interval; IV, intravenous; LS, least-squares;

9 mFAS, modified full analysis set.

# 1 Table 3. Overview of Treatment-Emergent Adverse Events

	Placebo	CAS+IMD 2.4 g IV	CAS+IMD 8.0 g IV	CAS+IMD combined
Low-flow oxygen <sup>a</sup>	n = 469	n = 470	n = 471	n = 941
Patients with any TEAE <sup>b</sup>	132 (28.1%)	118 (25.1%)	131 (27.8%)	249 (26.5%)
Patients with any grade 3 or 4 TEAE	93 (19.8%)	68 (14.5%)	82 (17.4%)	150 (15.9%)
Patients with any treatment-emergent SAE	131 (27.9%)	106 (22.6%)	118 (25.1%)	224 (23.8%)
Patients with any treatment-emergent AESI	6 (1.3%)	10 (2.1%)	14 (3.0%)	24 (2.6%)
Patients with any treatment-emergent serious AESI	2 (0.4%)	4 (0.9%)	6 (1.3%)	10 (1.1%)
Patients with any treatment-emergent AESI of infusion-related reactions (grade $\geq$ 2) through day $4^{c}$	5 (1.1%)	7 (1.5%)	11 (2.3%)	18 (1.9%)
Patients with any treatment-emergent AESI of hypersensitivity reactions (grade $\geq$ 2) through day 29	1 (0.2%)	3 (0.6%)	4 (0.8%)	7 (0.7%)
Patients with any TEAE leading to study infusion interruption	1 (0.2%)	1 (0.2%)	1 (0.2%)	2 (0.2%)
No supplemental oxygen <sup>d</sup>	n = 198	n = 202	n = 197	n = 399
Patients with any TEAE <sup>b</sup>	48 (24.2%)	31 (15.3%)	37 (18.8%)	68 (17.0%)
Patients with any grade 3 or 4 TEAE	31 (15.7%)	24 (11.9%)	23 (11.7%)	47 (11.8%)
Patients with any treatment-emergent SAE	43 (21.7%)	29 (14.4%)	32 (16.2%)	61 (15.3%)
Patients with any treatment-emergent AESI	2 (1.0%)	4 (2.0%)	6 (3.0%)	10 (2.5%)
Patients with any treatment-emergent serious AESI	1 (0.5%)	1 (0.5%)	3 (1.5%)	4 (1.0%)
Patients with any treatment-emergent AESI of infusion-related reactions (grade $\geq$ 2) through day	1 (0.5%)	4 (2.0%)	4 (2.0%)	8 (2.0%)

4<sup>c</sup>

Patients with any treatment-emergent AESI of hypersensitivity reactions (grade ≥ 2) through day 29	1 (0.5%)	0	2 (1.0%)	2 (0.5%)
Patients with any TEAE leading to study infusion interruption	0	0	2 (1.0%)	2 (0.5%)

1 Data are n (%). <sup>a</sup>Phase 1/2/3 cohort 1. <sup>b</sup>TEAEs collected include treatment-emergent SAEs, AESIs, and grade 3/4

TEAEs, as well as ad hoc/voluntarily reported TEAEs by some sites. <sup>c</sup>Deemed treatment-related as per investigator

assessment. <sup>d</sup>Phase 2 cohort 1A. Abbreviations: AESI, adverse event of special interest; CAS+IMD, casirivimab and

2 3 4 imdevimab; IV, intravenous; SAE, serious adverse event; TEAE, treatment-emergent adverse event.

#### 1 Figure 1. Flow Diagram for the Phase 2/3 Population Receiving Low-Flow or No

#### 2 Supplemental Oxygen (Cohorts 1 and 1A)

- 3 A flow diagram depicts patients randomized, treated, and discontinued for patients receiving either 2.4 or 8.0 g of
- 4 CAS+IMD, or placebo.
- 5 <sup>a</sup>The FAS includes all randomized patients who received at least 1 dose (full or partial) of the study drug. Analysis of
- 6 the FAS population will be done according to the treatment allocated (as randomized). The FAS is the same as the
- 7 SAF for this study.
- 8 <sup>b</sup>The mFAS includes all FAS patients with a positive SARS-CoV-2 RT-qPCR conducted in the central laboratory in
- 9 nasopharyngeal swab samples at randomization, and analysis is based on the treatment allocated (as randomized).
- <sup>10</sup> <sup>c</sup>The seronegative mFAS is defined as all patients in mFAS with documented seronegative status at baseline.
- 11 Abbreviations: FAS, full analysis set; IV, intravenous; mFAS, modified full analysis set; RT-qPCR, quantitative
- 12 reverse transcription polymerase chain reaction; SAF, safety analysis set; SARS-CoV-2, severe acute respiratory
- 13 syndrome coronavirus 2.

#### 14 Figure 2. Viral Load by Serostatus

- 15 Panel A graph shows LS mean viral load following administration of CAS+IMD (2.4 g, 8.0 g, or combined analysis of
- 16 2.4 and 8.0 g) or placebo for patients who tested negative for all SARS-CoV-2 antibodies at baseline (seronegative).
- 17 Panel B shows the same but for patients who tested positive for any SARS-CoV-2 antibody at baseline (seropositive).
- 18 For both panels, the lower limit of quantification is 2.85 log<sub>10</sub> copies/mL.
- 19 Abbreviations: CAS+IMD, casirivimab and imdevimab; CI, confidence interval; IV, intravenous; mFAS, modified full
- analysis set; LS, least-squares; PBO, placebo; SARS-CoV-2, severe acute respiratory syndrome coronavirus 2; SE,
- 21 standard error; TWA, time-weighted average.

#### 1 Figure 3. Mortality Outcomes by Serostatus for Combined Dose CAS+IMD From

#### 2 Day 1 Though Day 29

- 3 The Kaplan–Meier curve shows the proportion of patients who died through study day 29, after administration of
- 4 CAS+IMD (combined analysis of 2.4 g or 8.0 g) or placebo. Results are analyzed separately for patients who were
- 5 seronegative or seropositive at baseline; + indicates censoring.
- 6 Abbreviations: CAS+IMD, casirivimab and imdevimab

#### 7 Figure 4. Efficacy Outcomes by Serostatus for Combined Dose CAS+IMD From

#### 8 Day 1 Though Day 29

9 Forest plot shows relative risk and relative risk reduction with 95% CIs for CAS+IMD combined dose analysis (2.4 g 10 and 8.0 g) versus placebo. Parameters examined include death within 28 days, discharge alive from hospital from 11 days 1 to 29, and death or mechanical ventilation from days 1 to 29. For all populations, the mFAS was comprised of 12 patients who tested positive for SARS-CoV-2 at baseline. Populations analyzed include patients who tested negative 13 for all SARS-CoV-2 antibodies at baseline (seronegative mFAS), patients who tested positive for any SARS-CoV-2 14 antibody at baseline (seropositive mFAS), those with borderline, inconclusive or missing baseline serology (other), 15 and the overall population regardless of serostatus (overall mFAS). For the proportion of death within 28 days and the 16 proportion of death or mechanical ventilation with 28 days, the lower bounds of the CI of the relative risk reduction 17 were -342.0% and -241.0%, respectively, which are presented as "NA" in the figure.

- 18 Abbreviations: CAS+IMD, casirivimab and imdevimab; CI, confidence interval; mFAS, modified full analysis set;
- 19 SARS-CoV-2, severe acute respiratory syndrome coronavirus 2.

20





#### Relative risk and relative risk reduction from day 1 though day 29

