## Combining NK cells and mAb9.2.27 to combat NG2-dependent and anti-inflammatory signals in glioblastoma

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Glioblastoma is a deadly brain cancer with limited treatment options. Targeting chondroitin sulfate proteoglycan 4 (CSPG4, best known as NG2) with the monoclonal antibody mAb9.2.27 and activated natural killer (NK) cells abrogated the tumor growth and prolonged the survival of glioblastoma-bearing animals by favoring the establishment of a proinflammatory microenvironment. The combination of NK cells and mAb9.2.27 recruited ED1+CCR2<sup>low</sup> macrophages that stimulated ED1+ED2<sup>low</sup>MHCII<sup>high</sup> microglial cells to exert robust cytotoxicity. Our findings demonstrate the therapeutic potential of targeting salient tumor associated-antigens.

Glioblastoma (GBM) is the most frequent primary tumor of the brain in adults. The median survival of GBM patients is 14.6 mo,1 despite aggressive multimodal therapy. Thus, there is an urgent need for novel therapies for the treatment of GBM. One promising approach in this sense is represented by approaches that target functionally validated tumor-associated antigens (TAAs). Chondroitin sulfate proteoglycan 4 (CSPG4, best known as NG2) is involved in several processes that favor GBM progression and high NG2 expression levels have been shown to negatively impact disease outcome.<sup>2-5</sup> As a proteoglycan on the cells surface, NG2 is amenable to antibody-based immunotherapy.

Although the immunoprivileged status of the nascent brain has been contested, there is a general consensus that GBM establishes multiple, coordinated mechanisms of escape from immunosurveillance,<sup>6</sup> both de novo and as result of treatment. GBM cells express high levels of MHC class I molecules, that are ligands for inhibitory killer

immunoglobulin-like receptors (KIRs), thus inhibiting the antineoplastic activity of natural killer (NK) cells. In the GBM microenvironment, the cytokine balance is skewed toward the elicitation of antiinflammatory T<sub>H</sub>2 responses and cancer cells mainly recruit immune cells with immunosuppressive properties. Although NK cells are not abundant within GBM lesions, representing 2.11 ± 0.54% of all lymphocytes, they exhibit the unusual CD56<sup>dim</sup>CD16<sup>-</sup> phenotype<sup>6</sup>. Moreover, GBM-infiltrating NK cells generally expressed activating killer cell lectin-like receptor subfamily K, member 1 (KLRK1, best known as NKG2D) receptors, which recognize stress ligands expressed by malignant cells.<sup>6</sup> Pellegata and colleagues observed an increase in circulating, interferon  $\gamma$  (IFN $\gamma$ )-producing NK cells that was associated with improved survival in GBM patients subjected to dendritic cell-based vaccination.7 Thus, anticancer treatments may activate endogenous NK cells against GBM. Taken together, these findings suggest that adoptively transferred, allogeneic NK cells may constitute a therapeutic option for GBM

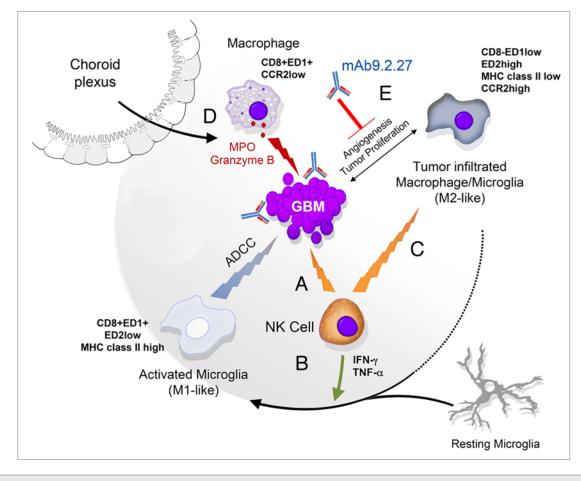
patients. Nevertheless, the therapeutic potential of purified NK cells against solid tumors has not been fully explored yet.

We have recently demonstrated that combining activated NK cells with a monoclonal antibody targeting NG2 (mAb9.2.27) inhibits tumor growth and prolongs the survival of GBMbearing rats.8 The administration of NK cells plus mAb9.2.27 resulted in the recruitment to neoplastic lesions ED1+CCR2<sup>low</sup> of pro-inflammatory macrophages via the choroid plexus and blood vessels (Fig. 1). These macrophages differentiated into and/or drove the differentiation of ED1+ED2<sup>low</sup>MHCII<sup>high</sup> microglial cells that exerted robust cytotoxic effects against GBM cells. The depletion of ED1+CCR2<sup>low</sup> macrophages abrogated the therapeutic effect of NK cells plus mAb9.2.27 while promoting the accumulation of tumor-associated macrophages (TAMs) exhibiting an  $ED2^+CCR2^{high}$ anti-inflammatory phenotype as well as the differentiation of ED2<sup>high</sup> microglial cells that promoted GBM survival ex vivo.8 Remarkably, mAb9.2.27 reversed the tumor-promoting

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**Figure 1.** Adoptively transferred natural killer cells as initiators of glioblastoma regression. The intracranial administration of activated natural killer (NK) cells promotes cellular-dependent cytotoxicity against glioblastoma (GBM) (**A**) The NK cell-GBM cell interaction leads to the secretion of interferon  $\gamma$  (IFN $\gamma$ ) and tumor necrosis factor  $\alpha$  (TNF $\alpha$ ) by NK cells, in vitro and in vivo (**B**) IFN $\gamma$  and TNF $\alpha$  can activate the resting microglia and/or anti-inflammatory tumor-associated macrophages (TAMs) to promote the establishment of pro-inflammatory conditions, ex vivo. Such a pro-inflammatory environment is maintained by NK cells, which kill anti-inflammatory microglial cells (**C**) Activated microglial cells and/or macrophages mediate natural as well as antibody-dependent cytotoxic functions, for instance upon the binding of mAb9.2.27 to NG2<sup>+</sup> GBM cells (**D**) Moreover, mAb9.2.27 inhibits tumor growth and angiogenesis, mainly in this rat tumor model as it blocks the tumor-supporting functions of TAMs and microglial cells (**E**).

effects of TAMs derived from a GBM biopsy or tumor xenografts. However, the ability of NK cells to kill GBM cells was not augmented by mAb9.2.27 in vitro, indicating that the major role of NK cells in this setting is to secrete cytokines. Indeed, NK cells produced Т\_1 pro-inflammatory cytokines including IFN $\gamma$  and tumor necrosis factor  $\alpha$  (TNF $\alpha$ ) upon exposure to GBM cells in vitro. Of note, the amounts of IFN $\gamma$ and TNF $\alpha$  were increased in the cerebral spinal fluid of rats receiving NK cells plus mAb9.2.27, whereas the levels of other (immunosuppressive) cytokines such as interleukin (IL)-10, IL-6, and IL-1B were diminished. IFNy increased the cytotoxic activity of the microglia against GBM cells in vitro. The synergistic interaction

between NK cells and mAb9.2.27 might therefore originate from the combined effects of NK cell-derived IFNγ and mAb9.2.27 on the cytotoxic activity of microglial cells.

Our study did not elucidate the molecular mechanisms mediating the conversion of TAMs from tumorsupporting ED2<sup>high</sup>CCR2<sup>high</sup> cells to ED1<sup>+</sup>ED2<sup>low</sup>CCR2<sup>low</sup> cells exhibiting robust pro-inflammatory activity. This process may be regulated by miR-124, which has previously been shown to regulate the activation of microglial cells and macrophages in the central nervous system.9 It is therefore conceivable that the pro-inflammatory environment established by NK cells plus mAb9.2.27 modulates miR-124, promoting the activation of

macrophages and microglial cells as well as their maintenance in a classically activated phenotype.

Our findings support the notion that the immunomodulation properties of NK cells, and notably (1) their ability to secrete pro-inflammatory cytokines, and (2) their capacity to influence the activity of the microglia and macrophages, may be exploited to boost the efficacy of passive immunotherapies targeting validated TAAs. One novelty of our approach related to the use of purified NK cells for the treatment of GBM, as attempts near-to-invariably previous employed autologous lymphokine activated killer (LAK) cells, which are a mixture of NK and T cells. The T-cell component of LAKs may actually

generate immunosuppressive regulatory T cells (Tregs), which would limit the therapeutic efficacy of such an approach.

The use of mAb9.2.27 as a standalone therapeutic intervention induced temporary tumor regressions, presumably due to the immunoediting of NG2expressing cells. Thus, simultaneously using monoclonal antibodies that are specific for several TAAs might reduce the selection of antigen loss tumor variants. Nevertheless, combining mAb9.2.27 with NK cells converted the tumor-promoting, anti-inflammatory microenvironment into a setting that allowed for therapeutically relevant tumor-specific immune responses. The selection of donors with NK cells expressing particular activating KIRs cognate to the MHCligands expressed by the patient GBM cells might further enhance the therapeutic potency of NK cells against GBM. However since NK cells may induce thrombocytopenia, and activated microglia are implicated in several neurological diseases, the safety, tolerable doses and duration of such a therapeutic approach requires stringent

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evaluations. Although it is desirable to study heterogeneous, patient-derived GBMs that pose significant therapeutic challenges, the role of Tregs might have been largely underestimated in studies relying on immunocompromised models. As NG2 is expressed on both malignant and angiogenic pericytes, humanized and/ or bispecific monoclonal antibodies that recognize NG2 epitopes on both these cell compartments may induce antibodydependent cell-mediated cytotoxicity (and hence mediate tumor destruction) more efficiently than molecules targeting either compartment alone. Furthermore, mAb9.2.27 may render cancer cells more susceptible to adjuvant chemoand radiotherapy, as we have previously demonstrated that NG2 signaling augments chemo and radioresistance in GBM cells.<sup>4,5</sup> Moreover, the therapeutic potential of NK cells might be further enhanced by the co-administration of multiple agents, such as the proteasome inhibitor bortezomib, which not only sensitized GBM cells to death receptordependent apoptosis, but also stimulates

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the expression of stress-induced ligands. Additional NK cell-stimulatory agents include ligands for activatory receptors, anti-KIR antibodies, Toll-like receptor ligands and CpG oligodeoxynucleotides.<sup>10</sup> In summary, NK cells plus mAb9.2.27 converted anti-inflammatory the GBM microenvironment into a proinflammatory one dominated by M1-like macrophages and microglial cells that mediated tumor rejection. Our findings demonstrate the potential of targeting the tumor microenvironment as a means to stimulate endogenous immune responses and exert therapeutic effects against GBM.

## Disclosure of Potential Conflicts of Interest

No potential conflicts of interest were disclosed.

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