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Fundamental limits on the suppression of molecular fluctuations

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Abstract

Negative feedback is common in biological processes and can increase a system's stability to internal and external perturbations. But at the molecular level, control loops always involve signaling steps with finite rates for random births and deaths of individual molecules. By developing mathematical tools that merge control and information theory with physical chemistry we show that seemingly mild constraints on these rates place severe limits on the ability to suppress molecular fluctuations. Specifically, the minimum standard deviation in abundances decreases with the quartic root of the number of signaling events, making it extraordinarily expensive to increase accuracy. Our results are formulated in terms of experimental observables, and existing data show that cells use brute force when noise suppression is essential, e.g. transcribing regulatory genes 10,000s of times per cell cycle. The theory challenges conventional beliefs about biochemical accuracy and presents an approach to rigorously analyze poorly characterized biological systems.

Life in the cell is a complex battle between randomizing and correcting statistical forces: births and deaths of individual molecules create spontaneous fluctuations in abundances^{1,2,3,4} – noise – while many control circuits have evolved to eliminate, tolerate or exploit the noise^{5,6,7,8}. The net outcome is difficult to predict because each control circuit in turn consists of probabilistic chemical reactions. For example, negative feedback loops can compensate for changes in abundances by adjusting the rates of synthesis or degradation⁷, but such adjustments are only certain to suppress noise if the individual deviations immediately and surely affect the rates⁵. Even the simplest transcriptional autorepression by contrast involves gene activation, transcription and translation, introducing intermediate probabilistic events that can randomize or destabilize control. Negative feedback may thus either suppress or amplify fluctuations depending on the exact mechanisms, reaction steps and parameters⁹ – details that are difficult to characterize at the single cell level and that differ greatly from system to system. This raises a fundamental

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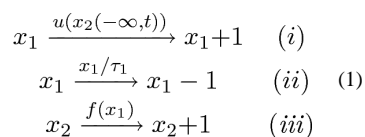
Author contributions The three authors (I.L., G.V., and J.P.) contributed equally, and all conceived the study, derived the equations, and wrote the paper.

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question: to what extent is biological noise inevitable and to what extent can it be controlled? Could evolution simply favor networks – however elaborate or ingeniously designed – that enable cells to homeostatically suppress any disadvantageous noise, or does the nature of the mechanisms impose inherent constraints that cannot be overcome?

Control is limited by information loss

To address this question without oversimplifying or guessing at the complexity of cells, we consider a chemical species X_1 that affects the production of a second species X_2 , which in turn indirectly controls the production of X_1 via an arbitrarily complicated reaction network with any number of components, nonlinear reaction rates, or spatial effects (Fig. 1). For generality, we only specify three of the chemical events of the larger network:



where x_1 and x_2 are numbers of molecules per cell, the birth and death rates are probabilistic reaction intensities, τ_1 is the average lifetime of X_1 molecules, f is a specified rate function, and the unspecified control network allows u to be dynamically and arbitrarily set by the full time history of X_2 values. Death events for X_2 are omitted because the results we derive rigorously hold for all types and rates of X_2 degradation mechanisms, as long as they do not depend on X_1 . The generality of u and f allows X_1 to represent many different biological species: an mRNA with X_2 as the corresponding protein, a protein with X_2 as either its own mRNA or an mRNA downstream in the control pathway, an enzyme with X_2 as a product, or a self-replicating DNA with X_2 as a replication control molecule.

The arbitrary birth rate u represents a hypothetical ‘control demon’ that knows everything about past and present values of x_2 and uses this information to minimize the variance in x_1 . This corresponds to an optimal reaction network capable of any type of time-integration, frequency-based control, spatially extended dynamics, or other exotic actions. The sole restriction is that the control system depends on x_1 only via reaction (iii), an example of a common chemical signaling relay where a concentration determines a rate. Because individual X_2 birth events are probabilistic, some information about X_1 is then inevitably and irrecoverably lost and the current value of X_1 cannot be perfectly inferred from the X_2 time-series. Specifically, the number of X_2 birth events in a short time period is on average proportional to $f(x_1)$, with a statistical uncertainty that depends on the average number of events. If x_1 remained constant, the uncertainty could be arbitrarily reduced by integrating over a longer time, but because it keeps changing randomly on a time scale set by τ_1 , integration can only help so much. The problem is thus equivalent to determining the strength of a weak light source by counting photons: each photon emission is probabilistic, and if the light waxes and wanes, counts from the past carry little information about the current strength. The otherwise omniscient control demon thus cannot know the exact state of the component it is trying to control.

We then quantify how finite signaling rates restrict noise suppression, without linearizing or otherwise approximating the control systems, by analytically deriving a feedback-invariant upper limit on the mutual information¹⁰ between X_1 and X_2 – an information-theoretic entropic measure for how much knowing one variable reduces uncertainty about another – and derive lower bounds on variances in terms of this limit. We use a continuous stochastic differential equation for the dynamics of species X_1 , an approximation that makes it easier to extend the results to more contexts and processes, but keep the signaling and control processes discrete. After considerable dust has settled, this theory (summarized in Box 1 and detailed in the Supplementary Information, SI) allows us to calculate fundamental lower bounds on variances.

Box 1

Outline of underlying theory

Statistical uncertainties and dependencies are often measured by variances and correlation coefficients, but both uncertainty and dependence can also be defined purely in terms of probabilities (p_i), without considering the actual states of the system. The Shannon entropy $H(X) = -\sum p_i \log p_i$ measures inherent uncertainty rather than how different the outcomes are, and the mutual information between random variables $I(X_1; X_2) = H(X_1) - H(X_1|X_2)$ measures how much knowing one variable reduces entropic uncertainty in another, regardless of how their outcomes may correlate^{10,27}. Despite the fundamental differences between these measures, however, there are several points of contact that can be used to predict limits on stochastic behavior.

First, because imperfectly estimating the state of a system fundamentally restricts the ability to control it (SI), there is a hard bound on variances whenever there is incomplete mutual information between the signal X_2 and the controlled variable X_1 . We quantify the bound by means of Pinsker's nonanticipatory epsilon entropy²⁸, a rarely utilized information-theoretic concept that exploits the fact that the transmission of information in a feedback system must occur in real time. This shows (SI) how an upper bound on the mutual information $I(X_1; X_2)$ – i.e. a limited Shannon capacity in the channel from X_1 to X_2 – imposes a lower bound on the mean squared estimation error $E(X_1 - \hat{X}_1)^2$, where the 'estimator' \hat{X}_1 is an arbitrary function of the discrete signal X_2 time series and the X_1 dynamics at equilibrium is described by a stochastic differential equation. Since the capacity of the molecular channels we consider is not increased by feedback, this results in a lower limit in the variance of X_1 , in terms of the channel capacity C , that holds for arbitrary feedback control laws: $\sigma_1^2 / \langle x_1 \rangle \geq (1 + C\tau_1)^{-1}$.

Second, the Shannon capacity is potentially unlimited when information is sent over point process 'Poisson channels'²⁹, $x_2 \xrightarrow{f} x_2 + 1$, as in stochastic reaction networks where a controlled variable affects the rate of a probabilistic signaling event. However, infinite capacity requires that the rate $f(x_1)$ is unrestricted and thus that X_1 is unrestricted – contrary to the purpose of control. Here we consider two types of restrictions. First, if the rate has an upper limit f_{\max} it follows³⁰ that $C = K \langle f \rangle$ where $K = \log(f_{\max} / \langle f \rangle)$. The channel capacity then equals the average intensity multiplied by the natural logarithm of the effective dynamic range $f_{\max} / \langle f \rangle$, and the noise bound follows

$\sigma_1^2/\langle x_1 \rangle^2 \geq 1/(N_1(KN_2+1))$. This allows for any nonlinear function $f(x_1)$ but, for specific functions, restricting the variance in x_1 can further reduce the capacity. For example, we analytically show that the capacity of the generic Poisson channel subject to mean and variance constraints follows $C = \langle f \rangle \log(1 + \sigma_f^2/\langle f \rangle^2)$. Having less noise in x_1 will reduce the variance in f and thereby make it harder to transmit the information that is fundamentally required to reduce noise. Combining this expression for the channel capacity with the feedback limit above reveals hard limits beyond which no improvements can be made: any further reduction in the variance would require a higher mutual information, which is impossible to achieve without instead increasing the variance. When f is linear in x_1 this produces the result in Eq. (2). Analogous calculations allow us to derive capacity and noise results when f is a Hill function, or for processes with bursts, extrinsic noise, parallel channels, and cascades (SI). Finite channel capacities are the only fundamental constraints considered here, so at infinite capacity perfect noise suppression is possible by construction.

Noise limited by 4th root of signal rate

When the rate of making X_2 is proportional to X_1 , $f = \alpha x_1$, for example when X_1 is a template or enzyme producing X_2 , the hard lower bound on the (squared) relative standard deviation created by the loss of information follows:

$$\frac{\sigma_1^2}{\langle x_1 \rangle^2} \geq \frac{1}{\langle x_1 \rangle} \times \frac{2}{1 + \sqrt{1 + 4N_2/N_1}} \approx \begin{cases} 1/N_1 & \text{for } N_2 < N_1 \\ 1/\sqrt{N_1 N_2} & \text{for } N_2 > N_1 \end{cases} \quad (2)$$

where $\langle \dots \rangle$ denotes population averages and $N_1 = \langle u \rangle \tau_1 = \langle x_1 \rangle$ and $N_2 = \alpha \langle x_1 \rangle \tau_1$ are the numbers of birth events of X_1 and X_2 made on average during time τ_1 . Thus no control network can significantly reduce noise when the signal X_2 is made less frequently than the controlled component. When the signal is made more frequently than the controlled component, the minimal relative standard deviation (square root of Eq. (2)) at most decreases with the *quartic* root of the number of signal birth events. Reducing the standard deviation of X_1 10-fold thus requires that the signal X_2 is made at least 10,000 times more frequently. This makes it hard to achieve high precision, and practically impossible to achieve extreme precision, even for the slowest changing X_1 in the cell where the signals X_2 may be faster in comparison.

Systems with nonlinear amplification before the infrequent signaling step are also subject to bounds. For arbitrary nonlinear encoding where f is an arbitrary functional of the whole x_1 time history – corresponding to a second control demon between X_1 and X_2 – the quartic root limit turns into a type of square root limit (Box 1 and SI). However, gene regulatory functions typically saturate at full activation or leak at full repression, as the generalized Hill function $f = v(K_1 + x_1^h)/(K_2 + x_1^h)$ with $K_1 < K_2$. Here X_1 may be an activator or repressor, and X_2 an mRNA encoding either X_1 or a downstream protein. Without linearizing f or restricting the control demon, an extension of the methods above (SI) reveals similar quartic root bounds as in Eq. (2), with the difference that N_2 is replaced by $\gamma N_{2,\max}$ where γ is on the order of one in a wide range of biologically relevant parameters (SI), and $N_{2,\max} = v \tau_1 =$

$N_2 v/\langle f \rangle$. Cells can then produce much fewer signal molecules without reducing the information transfer, depending on the maximal rate increase $v/\langle f \rangle$, but the quartic root effect still strongly dampens the impact on the noise limit. If X_2 is an mRNA, $N_{2,\max}$ is also limited because transcription events tend to be relatively rare even for fully expressed genes.

Many biological systems show much greater fluctuations due to upstream sources of noise, or sudden ‘bursts’ of synthesis^{4,11,12}. If X_1 molecules are made or degraded in bursts (size b_1 , averaged over births and deaths) there is much more noise to suppress, and if signal molecules X_2 are produced in bursts (size b_2) each independent burst only counts as a single signaling event in terms of the Shannon information transfer, and:

$$\frac{\sigma_1^2}{\langle x_1 \rangle^2} \geq \frac{b_1}{\langle x_1 \rangle} \times \frac{2}{1 + \sqrt{1 + 4 \frac{N_2/b_2}{N_1/b_1}}} \quad (3)$$

The effective average number of molecules or events is thus reduced by the size of the burst, which can increase the noise limits greatly in many biological systems. The effect of slower upstream fluctuations in turn depends on their time-scales, how they affect the system, and whether or not the control system can monitor the source of such noise directly. If noise in the X_1 birth rate is extrinsic to X_1 but not directly accessible by the controller, the predicted noise suppression limits can follow similar quartic root principles for both fast and slow extrinsic noise, while for intermediate time-scales the power-law is between $3/8$ and $1/4$ (SI, and Fig 2).

Information losses in cascades

Signaling in the cell typically involves numerous components that change in probabilistic events with finite rates. Information about upstream states is then progressively lost at each step much like a game of ‘broken telephone’ where messages are imperfectly whispered from person to person. If each signaling component X_{i+1} decays exponentially and is produced at rate $a_i x_i$, an extension of the theory (SI) shows that if a control demon monitors X_{n+1} and controls X_1 , N_2 above is replaced by

$$N_{eff} = \left(\sum_{j=2}^{n+1} N_j^{-1} \right)^{-1} \quad (4)$$

where N_j is the average number of birth events (or bursts, as in Eq. (3)) of species j during time period τ_1 . Information transfer in cascades is thus limited by the components made in the lowest numbers, and because the total average number of birth events over the n steps obeys $N_{tot} \approx n^2 N_{eff}$, a five-step linear cascade requires *at least* 25 times more birth events to maintain the same capacity to suppress noise as a single-step mechanism. This effect of information loss is superficially similar to noise propagation where variation in inputs cause variation in outputs, but though both effects reflect the probabilistic nature of infrequent reactions, the governing principles are very different. In fact, the mechanisms for preventing noise propagation – such as time-averaging or kinetic robustness to upstream changes⁶ –

cause a greater loss of information, while mechanisms that minimize information losses – such as all-or-nothing nonlinear effects¹³ – instead amplify noise. Large variation in signaling intermediates is thus not necessarily a sign of reduced precision but could reflect strategies to minimize information loss, which in turn allows tighter control of downstream components.

The rapid loss of information in cascades also suggests another trade-off: effective control requires a combination of appropriately nonlinear responses and small information losses, but nonlinear amplification in turn requires multiple chemical reactions with a loss of information at each step. The actual bounds may thus be much more restrictive than predicted above, where assuming Hill functions or arbitrary control networks conceals this trade-off. One of the greatest challenges in the cell may be to generate appropriately nonlinear reaction rates without losing too much information along the way.

Parallel signal and control systems can instead improve noise suppression, since each signaling pathway contributes independent information about the upstream state. However, for a given total number of signaling events, parallel control cannot possibly reduce noise below the limits above: the loss of information is determined only by the total frequency of the signaling events, not their physical nature. The analyses above in fact implicitly allow for arbitrarily parallel control with f interpreted as the total rate of making control molecules affected directly by X_1 (SI).

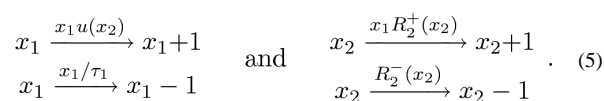
Systems selected for noise suppression

The results above paint a grim picture for suppression of molecular noise. At first glance this seems contradicted by a wealth of biological counterexamples: molecules are often present in low numbers, signaling cascades where one component affects the rates of another are ubiquitous, and yet many processes are extremely precise. How is this possible if the limits apply universally? First, the transmission of chemical information is not fundamentally limited by the number of molecules present at any given time, but by the number of chemical events integrated over the time-scale of control (i.e., by N_2 rather than $\langle x_2 \rangle$ above). Second, most processes that have been studied quantitatively in single cells do in fact show large variation, and the anecdotal view of cells as microscopic-yet-precise largely comes from a few central processes where cells can afford a very high number of chemical events at each step, often using post-translational signaling cascades. Just like gravity places energetic and mechanistic constraints on flight but does not confine all organisms to the surface of the earth, the rapid loss of information in chemical networks places hard constraints on molecular control circuits but does not make any level of precision inherently impossible.

It can also be tempting to dismiss physical constraints simply because life seems fine despite them. For example, many cellular processes operate with a great deal of stochastic variation, and central pathways seem able to achieve sufficiently high precision. But such arguments are almost circular. The existence of flight does not make gravity irrelevant, nor do winged creatures simply fly sufficiently well. The challenges are instead to understand the trade-offs

involved: what performances are selectively advantageous given the associated costs, and how small fitness differences are selectively relevant?

To illustrate the biological consequences of imperfect signaling we consider systems that must suppress noise for survival and must relay signals through gene expression, where chemical information is lost due to infrequent activation, transcription, and translation. The best characterized examples are the homeostatic copy number control mechanisms of bacterial plasmids that reduce the risk of plasmid loss at cell division. These have been described much like the example above with X_1 as plasmids and X_2 as plasmid-expressed inhibitors⁵, except that plasmids self-replicate with rate $u(x_1)$ and therefore are bound by the quartic root limit for all values of N_1 and N_2 (SI, Fig. 2). To identify the mechanistic constraints when X_1 production is directly inhibited by X_2 , rather than by a control demon that is infinitely fast and that delivers the optimal response to every perturbation, we consider a closed toy model:



where X_1 degradation is a proxy for partitioning at cell division, and the rate of making X_2 is proportional to X_1 because each plasmid copy encodes a gene for X_2 . We then use the logarithmic gains^{6,14} $H_{12} = -\ln u / \ln x_2$ and $H_{22} = \partial \ln(R_2^- / R_2^+) / \partial \ln x_2$ to quantify the percentage responses in rates to percentage changes in levels without specifying the exact rate functions. Parameter H_{12} is similar to a Hill coefficient of inhibition, and H_{22} determines how X_2 affects its own rates, increasing when it is negatively auto-regulated and decreasing when it is degraded by saturated enzymes. The ratio H_{12}/H_{22} is thus a total gain, corresponding to the eventual percentage response in u to a percentage change in x_1 . With τ_2 as the average lifetime of X_2 molecules, stationary fluctuation-dissipation approximations^{6,15} (linearizing responses, SI) then give:

$$\frac{\sigma_1^2}{\langle x_1 \rangle^2} = \overbrace{\frac{1}{\langle x_1 \rangle} \times \left(\frac{H_{22} + \frac{\tau_2}{\tau_1} \times \frac{1}{H_{22}} \right)}^{\text{Noise from low } X_1 \text{ numbers}} + \overbrace{\frac{1}{\langle x_2 \rangle} \times \frac{H_{12}}{H_{22}} \times \frac{\tau_2}{\tau_1}}^{\text{Noise from low } X_2 \text{ numbers}} \geq \overbrace{\frac{2}{\sqrt{N_1 N_2}}}^{\text{Lower limit to total noise}} \quad . \quad (6)$$

where the limit holds for all H_{ij} and τ_i (SI). This reflects a classic trade-off in control theory: higher total gain suppresses spontaneous fluctuations in X_1 but amplifies the transmitted fluctuations from X_2 to X_1 . Numerical analysis confirms that even a Hill-type inhibition function u can get close to the limit (not shown), and thus that direct inhibition can do almost as well as a control demon. However, the parameter requirements can be extreme: the signal molecules must be very short-lived, and the optimal gain

$(H_{12}/H_{22})_{opt} \approx \sqrt{N_2/N_1}$ may be so high that introducing any delays or ‘extrinsic’ fluctuations^{6,16} would destabilize the dynamics. Regardless of the inhibition control network, plasmids thus need to express inhibitors at extraordinarily high rates, and generate strongly nonlinear feedback responses without introducing signaling cascades. Most plasmids indeed take these strategies to the extreme, for example transcribing control genes tens of thousands of times per cell cycle using several gene copies and some of the strongest

promoters known. Some plasmids also eliminate many of the cascade steps inherent in gene expression, using small regulatory RNAs, and still create highly nonlinear responses using proofreading-type mechanisms (Fig. 3, *left*). Others partially avoid indirect control by ensuring that the plasmid copies themselves prevent each others' replication (Fig. 3, *right*), or suppress noise without closing control loops^{17,18} by changing the Poisson nature of the X_1 and X_2 chemical events (Eq. (1)). Though such schemes may have limited effects on variances¹¹, some plasmids seem to take advantage of them⁵.

Outlook

Several recent studies have generalized control-theoretic notions^{19,20} or applied them to biology^{21,22}. Others have demonstrated physical limits on the accuracy of cellular signaling^{13,23,24,25}, for example using fluctuation-dissipation approximations to predict estimation errors associated with a constant number of diffusing molecules hitting a biological sensor²⁶. Interestingly, the latter show that the minimal relative error decreases with the square root of the number of events, regardless of detection mechanism. Some studies have also analyzed the information transfer capacity of open-loop molecular systems²⁵, or extracted valuable insights from Gaussian small-noise approximations. Here we extend these works by developing exact mathematical methods for arbitrarily complex and nonlinear real-time feedback control of a dynamic process of noisy synthesis and degradation. In such systems, the minimal error decreases with the *quartic* root of the integer number of signaling events, making a decent job 16 times harder than a half-decent job. This perhaps explains why there is so much biochemical noise – correcting it would just be too costly – but also constrains other aspects of life in the cell. For example, the noise levels may increase or decrease along signaling cascades, depending on the kinetic details at each step, but information about upstream states is always progressively and irreversibly lost. Though it is tempting to believe that large reaction networks are capable of almost anything if the rates are suitably nonlinear, the opposite perspective may thus be more appropriate: having more steps where one component affects the rates of another creates more opportunities for losing information and fundamentally prevents more types of behaviors. While awaiting the detailed models that predict what single cells actually do – which require every probabilistic chemical step to be well characterized – fusing control and information theory with stochastic kinetics thus provides a useful starting point: predicting what cells cannot do.

Supplementary Material

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Acknowledgments

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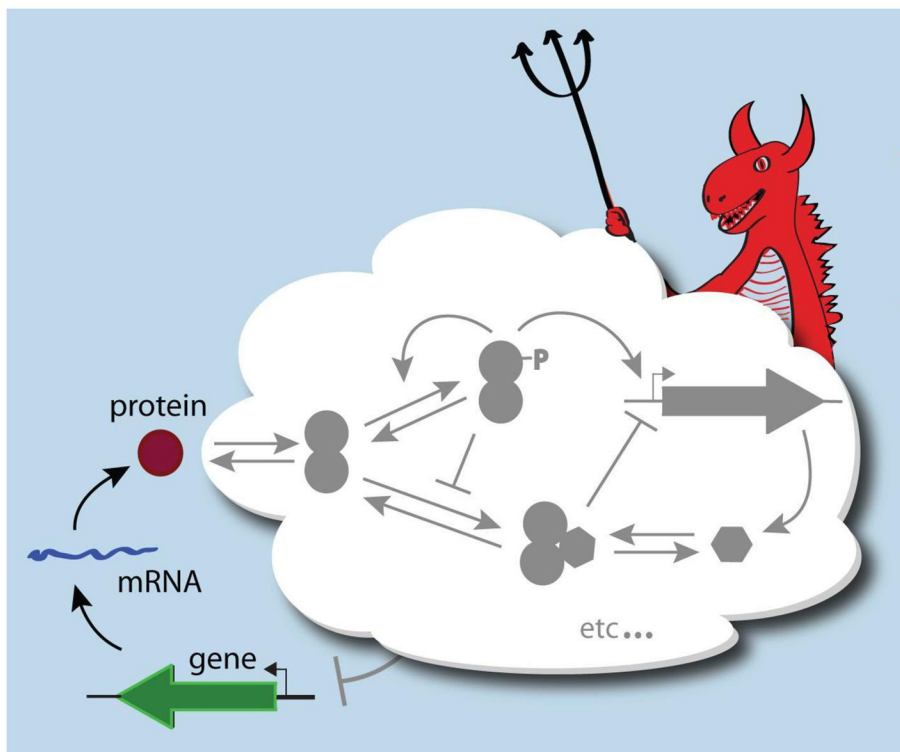


Figure 1. Schematic of optimal control networks and information loss

Biological networks can be overwhelmingly complex, with numerous feedback loops and signaling steps. Predictions about noise then rely on quantitative estimates for how every probabilistic reaction rate responds to every type of perturbation. To investigate bounds on behavior, most of the network is here replaced by a ‘control demon’ representing a controller that is optimized over all possible network topologies, rates and mechanisms. The bounds are then calculated in terms of the few specified features.

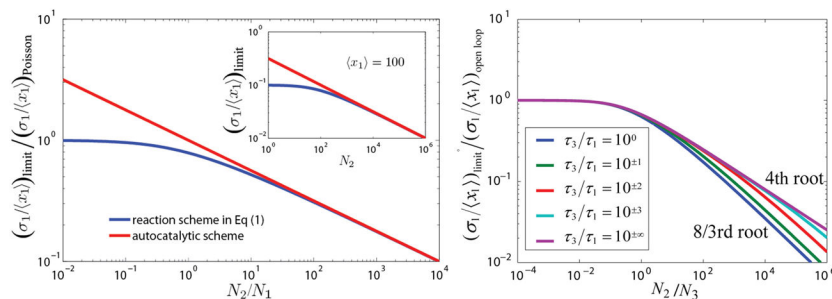


Figure 2. Hard limits on standard deviations

(left) Intrinsic noise (Eq. (1)). The lower limit on the relative standard deviation normalized by that of a Poisson distribution, as a function of the ratio N_2/N_1 . Blue curve corresponds to reaction scheme (1), and red to the autocatalytic scheme described above Eq. (5). The quartic root is the strongest relative response along either curve, while at low relative signaling frequencies the limit is an even more damped function of N_2/N_1 . (Left, inset) The same lower limit for an average of 100 X_1 molecules, as a function of N_2 . (Right) Extrinsic noise. X_1 is made at rate x_3u , where X_3 is born with constant probability and decays exponentially with rate $1/\tau_3$, while intrinsic birth and death noise in X_1 is ignored. For $\tau_3 \ll \tau_1$ or $\tau_3 \gg \tau_1$, the quartic root asymptotic still applies, essentially because the process mimics a one-variable random process in both cases. At intermediate time-scales the N_2 dependence is less strict and $\tau_3 = \tau_1$ produces an asymptotic power law exponent of $3/8$ rather than $1/4$, partly supporting previous^{6,16} conclusions that extrinsic noise is slightly easier to suppress. However, many actual control systems may find intermediately slow noise the hardest to eliminate and any predictions about suppressing extrinsic noise will depend on the properties of that noise. The predicted extrinsic noise limit is also a conservative estimate, and the actual magnitude of the noise limit may be slightly higher (SI).



Figure 3. Plasmid replication control

(*Left*) Plasmid ColE1 expresses an inhibitor that prevents replication, similarly to the self-replication model in the main text with X_1 as plasmid and X_2 as inhibitor. Because plasmids are under selection for noise suppression the theory predicts it must maximize expression rates and minimize the length of signaling cascades while still achieving ‘cooperative’ nonlinear effects in the control loop. ColE1 indeed expresses a short-lived anti-sense RNA inhibitor (RNA I) tens of thousands of times per cell cycle ($\sim 10\text{Hz}$), that directly and irreversibly blocks the maturation of a constitutively synthesized sense-RNA replication pre-primer (RNA II)⁵ – eliminating both the translation step and binding and unbinding to genes and making it energetically and mechanistically possible to produce inhibitors at such high rates. ColE1 could also create strongly nonlinear control kinetics by exploiting kinetic proofreading in RNA II elongation^{5,31}. Many unrelated plasmids similarly express anti-sense inhibitors at high rates, avoid cascades, and use multistep inhibition kinetics. (*Right*) Plasmids such as P1, F, and pSC101 use ‘handcuffing’ mechanisms, where repeated DNA sequences (iterons) bind each other and prevent replication³². This can achieve similar homeostatic dynamics as monomer-dimer equilibria where a higher fraction of molecules are in dimer form at higher abundance. Using DNA itself as inhibitor this could eliminate the need for indirect signaling altogether, but because the mechanisms seem incapable of strongly nonlinear corrections³², most such plasmids use additional control systems that go through gene expression and thus are subject to information loss. Plasmids also commonly use counteracting loops, where replication inhibitors also auto-inhibit their own synthesis – a counter-intuitive strategy that in fact can improve control greatly (increasing H_{22} for a given high H_{21} in Eq. (4)).