

RESEARCH ARTICLE

Sickle cell disease: A distinction of two most frequent genotypes (HbSS and HbSC)

Caroline Conceição da Guarda¹, Sètonджи Cocou Modeste Alexandre Yahouédéhou¹, Rayra Pereira Santiago¹, Joelma Santana dos Santos Neres¹, Camila Felix de Lima Fernandes¹, Milena Magalhães Aleluia², Camylla Vilas Boas Figueiredo¹, Luciana Magalhães Fiuza¹, Suellen Pinheiro Carvalho¹, Rodrigo Mota de Oliveira¹, Cleverson Alves Fonseca³, Uche Samuel Ndidi¹, Valma Maria Lopes Nascimento⁴, Larissa Carneiro Rocha⁴, Marilda Souza Goncalves¹*

1 Laboratório de Investigação em Genética e Hematologia Translacional, Instituto Gonçalo Moniz, FIOCRUZ-BA, Salvador, Bahia, Brasil, **2** Departamento de Ciências Biológicas, Universidade Estadual de Santa Cruz, UESC, Bahia, Brasil, **3** Laboratório de Pesquisa em Anemias, Departamento de Análises Clínicas e Toxicológicas, Faculdade de Farmácia, Universidade Federal da Bahia, Salvador, Bahia, Brasil, **4** Fundação de Hematologia e Hemoterapia do Estado da Bahia, HEMOBA, Salvador, Bahia, Brasil

* mari@bahia.fiocruz.br



OPEN ACCESS

Citation: da Guarda CC, Yahouédéhou SCMA, Santiago RP, Neres JSdS, Fernandes CFdL, Aleluia MM, et al. (2020) Sickle cell disease: A distinction of two most frequent genotypes (HbSS and HbSC). PLoS ONE 15(1): e0228399. <https://doi.org/10.1371/journal.pone.0228399>

Editor: Mary Hamer Hodges, Helen Keller International, SIERRA LEONE

Received: October 21, 2019

Accepted: January 14, 2020

Published: January 29, 2020

Copyright: © 2020 da Guarda et al. This is an open access article distributed under the terms of the [Creative Commons Attribution License](https://creativecommons.org/licenses/by/4.0/), which permits unrestricted use, distribution, and reproduction in any medium, provided the original author and source are credited.

Data Availability Statement: All relevant data are within the paper and its Supporting Information files.

Funding: The work from MSG was supported by the Conselho Nacional de Desenvolvimento Científico e Tecnológico (CNPq 470959/2014-2 and 405595/2016-6). SCMAY, RPS, and SPC received scholarship from Coordenação de Aperfeiçoamento de Pessoal de Nível Superior, Brasil (CAPES), Finance Code 001. The funders had no role in study design, data collection and

Abstract

Sickle cell disease (SCD) consists of a group of hemoglobinopathies in which individuals present highly variable clinical manifestations. Sickle cell anemia (SCA) is the most severe form, while SC hemoglobinopathy (HbSC) is thought to be milder. Thus, we investigated the clinical manifestations and laboratory parameters by comparing each SCD genotype. We designed a cross-sectional study including 126 SCA individuals and 55 HbSC individuals in steady-state. Hematological, biochemical and inflammatory characterization was performed as well as investigation of previous history of clinical events. SCA patients exhibited most prominent anemia, hemolysis, leukocytosis and inflammation, whereas HbSC patients had increased lipid determinations. The main cause of hospitalization was pain crises on both genotypes. Vaso-occlusive events and pain crises were associated with hematological, inflammatory and anemia biomarkers on both groups. Cluster analysis reveals hematological, inflammatory, hemolytic, endothelial dysfunction and anemia biomarkers in HbSC disease as well as SCA. The results found herein corroborate with previous studies suggesting that SCA and HbSC, although may be similar from the genetic point of view, exhibit different clinical manifestations and laboratory alterations which are useful to monitor the clinical course of each genotype.

Introduction

Sickle cell disease (SCD) consists of a group of hemoglobinopathies in which individuals inherit hemoglobin variants derived from single point mutations, that causes morphological abnormalities in the red blood cells (RBC) [1]. Sickle cell anemia (SCA) is characterized by the homozygosity for hemoglobin S (HbS) and is the most frequent and severe form of the disease.

analysis, decision to publish, or preparation of the manuscript.

Competing interests: The authors have declared that no competing interests exist.

The point mutation of GAG to GTG in the sixth codon of the β (beta) globin gene (*HBB*), which replaces the glutamic acid for a valine, leading to HbS formation [2]. HbS forms long polymers when the oxygen tension is low, due to the hydrophobic interaction of valine (at 85 position in the globin chain) and phenylalanine (at 88 position in the globin chain) [3]. RBC of SCA individuals are less flexible since the polymers lead to rheological and biochemical changes and hence they impair the blood flow causing vaso-occlusion (VO) [1].

In addition to SCA, hemoglobin SC disease (HbSC) is another genotype of SCD. In this case, individuals inherit HbS in association with hemoglobin C (HbC). The molecular basis of HbSC disease is similar to SCA; however, the point mutation is GAG to AAG, which replaces the glutamic acid for lysine, in the globin chain [2]. The HbC tends to form amorphous aggregate within the RBC which also leads to morphological modifications [4]. In addition, K-Cl cotransporter is also altered in HbSC disease contribute to RBC dehydration, which increases the intracellular hemoglobin concentration, and makes it more dense than HbAA-containing RBC [4].

SCD patients exhibit a wide range of clinical manifestations including acute episodes of pain, pulmonary hypertension (PH), stroke, priapism, leg ulcer, acute chest syndrome (ACS), osteonecrosis and cholelithiasis [1–3]. It is thought that PH, leg ulcer and stroke are associated to the chronic hemolytic feature of SCD, while acute pain crises, osteonecrosis and ACS are associated to VO, which could drive to different subphenotypes [5]. However, this dichotomization is not restricted, very often they overlap and may not be useful for SCA and HbSC individually [5–7]. Moreover, recently it has been suggested that abnormal lipid homeostasis would be surrogate subphenotype, considering the association with both hemolysis and VO [6]. SCA patients usually present clinical events more frequently when compared to HbSC disease, which is considered a milder form of SCD [1,4,8]. Alternatively, retinopathy is more frequently associated to HbSC disease [9].

In addition to different clinical manifestations, laboratory parameters are also important biomarkers useful for the patients' follow-up due to the possibility to monitor anemia, hemolysis, leukocytosis, endothelial dysfunction and to predict many clinical manifestations [10]. In SCA, RBC count and Hb levels are commonly decreased while complete white blood cells (WBC) counts lactate dehydrogenase (LDH) and reticulocyte counts are increased. Regarding HbSC, RBC counts and Hb levels are usually increased whereas mean corpuscular volume (MCV), mean corpuscular hemoglobin (MCH) and red blood cell distribution width (RDW) are decreased [1,4,8,11]. Laboratory determinations seem to translate the pathophysiological mechanism underlying SCD. Once the HbS alone or in association with HbC forms the polymer, the RBC membrane is also altered [4,5]. Irreversibly sickle RBC are more adherent and can bind to vascular endothelial cells as well as to leukocytes and platelets [12]. This heterogeneous multicellular aggregate leads to physical obstruction of the capillaries driving VO, which is a hallmark of SCD [12]. VO is even heightened due to persistent intravascular hemolysis releasing free heme, hemoglobin (Hb) and arginase which decrease nitric oxide (NO) bioavailability and is directly responsible for endothelial dysfunction [13].

Hemoglobin variants have a high frequency worldwide [14], likewise, SCD is also distributed in several different countries, especially in Africa [15]. Brazilian population bears a heterogeneous genetic background with great admixture, thus SCD prevalence is also diversified through the states, and the incidence of SCD is approximately 1 in 650 newborn babies screened in the state of Bahia [16]. Considering the elevated frequency of hemoglobin variants and prevalence of SCD in our population [17,18], and the peculiarities of SCA and HbSC disease we aimed to investigate the association of classical laboratory parameters and clinical manifestations in each of these SCD genotype.

Methods

Study design and casuistic

A cross-sectional study was performed in 181 pediatric SCD children residing in the state of Bahia, Brazil, who were seen at Bahia Hemotherapy and Hematology Foundation (HEMOBA), from October 2016 to September 2017. For inclusion, patients were required to be in steady state, i.e., none had received a blood transfusion 4 months prior to inclusion and no acute events, hospitalization, or infections were reported 3 months prior to blood sampling. Blood samples were taken during a regular clinical visit. Patients with confirmed HbSS or HbSC genotype were included; all the other hemoglobin genotypes were excluded. One hundred and twenty-six patients with SCA (HbSS genotype) aged 14.5 ± 3.5 years of whom 60 (47.6%) were female were enrolled in the study, while 55 with HbSC disease aged 14.1 ± 2.8 years of whom 29 (47.2) were female were also included.

Regarding therapy approaches 62 SCA and 9 HbSC individuals were taking hydroxyurea (HU), moreover, all patients were taking folic acid supplementation. This study received approval from the Institutional Research Board of the São Rafael Hospital (protocol number: 1400535) and was conducted in compliance with the ethical principles established by the revised Declaration of Helsinki. Informed written consent was obtained from each SCD patient's guardian. When applicable, the children's acceptance was also registered.

Clinical data. Data regarding the occurrence and frequency of previous clinical manifestations were collected using a standardized and confidential questionnaire (self-reported or reported by the parents) at the time of the study enrollment and confirmed by the medical records.

Laboratory determinations. Hematological parameters were assessed using a Beckman Coulter LH 780 Hematology Analyzer (Beckman Coulter, Brea, California, USA) and blood smears were stained with Wright's stain and examined by light optical microscopy. Reticulocytes were counted after staining supravitaly with brilliant cresyl blue dye. Hemoglobin patterns were confirmed by high-performance liquid chromatography employing an HPLC/Variant-II hemoglobin testing system (Bio-Rad, Hercules, California, USA).

Biochemical determinations, including lipid profile, total bilirubin and fractions, LDH, iron, hepatic metabolism and renal profile were determined in serum samples using an automated A25 chemistry analyzer (Biosystems S.A, Barcelona, Catalunya, Spain). Ferritin levels were determined using Access 2 Immunochemistry System (Beckman Coulter Inc., Pasadena, California, USA). C-reactive protein (CRP) and alpha-1 antitrypsin (AAT) levels were measured using IMMAGE® Immunochemistry System (Beckman Coulter Inc., Pasadena, California, USA). Determination of NO metabolites (NOm) in serum samples was carried out with the Griess reagent as previously described [19]. Allele-specific PCR was used to investigate the $-\alpha^{3.7Kb}$ -thal as previously described [20]. Laboratory parameters were analyzed at the Clinical Analyses Laboratory of the College of Pharmaceutical Sciences (LACTFAR, Universidade Federal da Bahia).

Statistical analysis. Statistical analyses were performed using the Statistical Package for the Social Sciences (SPSS) version 20.0 software (IBM, Armonk, New York, USA) and Graph-Pad Prism version 6.0 (Graphpad Software, San Diego, California, USA), which was also used to assemble the graphs. Baseline values of selected variables are expressed as means with their respective standard variation. We tested each variable distribution employing the Shapiro-Wilk test. The Mann-Whitney *U* test and independent t-test were used to compare the groups according to the normality of the distribution for each variable. Hierarchical clustering of the laboratory parameters was performed using the Ward method and the square Euclidean distance was measured between the variables. All the parameters were standardized by the Z score. P values <0.05 were considered statistically significant.

Results

Hematological and biochemical parameters are different in SCA and HbSC disease

In order to first distinguish SCA and HbSC individuals we compared laboratory parameters of both groups. We observed that SCA patients had most prominent anemia, hemolysis and increased leukocyte counts, in addition, $\alpha^{3.7kb}$ thalassemia genotype identification was available for 111 SCA individuals and 49 HbSC patients (Table 1). Moreover, SCA patients also presented increased systemic inflammatory mediators. However, HbSC patients exhibited increased lipid profile as well as renal biomarkers, while NOm levels were decreased (Table 2).

Severe clinical manifestations are more frequent in SCA

We investigated the frequency of clinical manifestations in each group. SCA patients had most cases of hospital admissions, pneumonia, splenomegaly, stroke, painful crises (PC), vaso-occlusive events (VO), infections, leg ulcer, acute chest syndrome (ACS), bone alterations and cholelithiasis (Table 3). The main cause of hospital admission in both groups was acute pain crises (Table 3); although some patients underwent hospital admission for more than one cause. Comparing SCA and HbSC disease, we found statistical significance for PC, VO and cholelithiasis. Considering the physiopathological relevance of both PC and VO for the pathogenesis of SCD we decided to further investigate which laboratory parameters would be associated by comparing the groups who had the clinical manifestation with those who had not.

Hematological parameters are associated with clinical manifestations in HbSC disease

Although HbSC individuals presented less complicated anemia and hemolysis, hematological parameters were associated to clinical manifestations. Patients with HbSC and previous history of PC had decreased mean corpuscular hemoglobin concentration (MCHC) (Fig 1A). HbSC patients with previous history of VO exhibited decreased RBC counts (Fig 1B), as well as Hb (Fig 1C) and Ht (Fig 1D) levels.

Considering the association between hematological parameters and clinical manifestations in HbSC disease we also performed a multivariate linear regression model with pain crises as dependent variable. Our model has shown that MCHC, Hb and Ht were independently associated with pain crises in HbSC disease (Table 4).

Hematological and inflammatory determinations are associated to clinical manifestations in SCA

SCA patients exhibit the most severe form of SCD. PC was associated to increased RBC (Fig 2A) and reticulocyte (Fig 2B) counts; in addition to decreased NOm levels (Fig 2C). VO also seems to be associated to a chronic inflammatory response since patients with previous history of VO had increased C-RP (Fig 2D) and AAT (Fig 2E) levels.

Considering the association between hematological and inflammatory parameters and clinical manifestations in SCA we also performed a multivariate linear regression model with pain crises as dependent variable. Our model has shown that NOm was independently associated with pain crises in SCA (Table 4).

Cluster analysis reveals different groups of laboratory parameters in SCA and HbSC disease

We also tested which laboratory parameters would be clustered in each genotype. In HbSC disease cluster analysis reveals that in the distance 25 two large groups were formed. In the upper part of the cluster, in the distance 17 two other groups were formed. The upper, in the distance

Table 1. Hematological characterization of SCA and hemoglobin SC disease patients.

Laboratory parameters	SCA (N = 126)	HbSC (N = 55)	P value
	Mean ± SD	Mean ± SD	
Sex, % of females	60 (47.6)	29 (47.2)	-
Age, years	14.5 ± 3.5	14.1 ± 2.8	-
Hemolysis markers			
RBC, 10 ⁶ /mL	2.74 ± 0.46	4.26 ± 0.47	0.000
Hemoglobin, g/dL	8.47 ± 1.03	11.53 ± 0.89	0.000
Hematocrit, %	25.15 ± 3.38	33.09 ± 6.99	0.000*
MCV, fL	92.42 ± 11.63	80.94 ± 5.76	0.000
MCH, pg	31.33 ± 3.97	27.18 ± 2.08	0.000
MCHC, g/dL	33.92 ± 1.02	33.56 ± 0.56	0.004*
Reticulocyte count, %	5.16 ± 2.31	3.34 ± 1.28	0.000
Reticulocyte counts, /mL	139781 ± 63905	140882 ± 51713	0.636
RDW, %	22.67 ± 3.77	17.19 ± 2.38	0.000
Total bilirubin, mg/dL	3.00 ± 1.67	1.31 ± 0.74	0.000
Direct bilirubin, mg/dL	0.41 ± 0.16	0.28 ± 0.11	0.000
Indirect bilirubin, mg/dL	2.62 ± 1.63	1.09 ± 0.16	0.000
LDH, U/L	1250.72 ± 1292.86	599.33 ± 147.34	0.000
Hb pattern			
HbS, %	83.44 ± 10.29	51.53 ± 4.22	-
HbC, %	-	43.37 ± 3.11	-
HbF, %	9.05 ± 5.68	1.87 ± 2.20	0.000
Leukocytes			
WBC /mL	11473 ± 3445	9064 ± 3238	0.000
Neutrophils /mL	5585 ± 2638	5083 ± 2585	0.124
Monocytes /mL	1098 ± 582	726 ± 350	0.000
Eosinophils /mL	492 ± 488	405 ± 324	0.338
Basophils /mL	93 ± 108	49 ± 75	0.005
Lymphocytes /mL	4130 ± 1329	2798 ± 1014	0.000
Platelets			
Platelet count, x10 ³ /mL	422 ± 137	291 ± 102	0.000
MPV, fL	7.93 ± 0.86	7.98 ± 1.84	0.840*
PCT, %	0.32 ± 0.10	0.22 ± 0.07	0.000
PDW, %	16.29 ± 0.64	17.08 ± 0.81	0.000
α^{3.7kb} thalassemia genotype			
Wild-type	86 (77.5%)	42 (85.7%)	-
Heterozygous	17 (15.3%)	4 (8.2%)	-
Homozygous	8 (7.2%)	3 (6.1%)	-

RBC: red blood cells; MCV: mean corpuscular volume; MCH: mean corpuscular hemoglobin; MCHC: mean corpuscular hemoglobin concentration; RDW: red cell distribution width; LDH: lactate dehydrogenase; HbS: hemoglobin S; HbF: fetal hemoglobin; WBC: white blood cell; MPV: mean platelet volume; PCT: plateletcrit; PDW: platelet distribution width. Bold values indicate significance at p<0.05; p-value obtained using Mann-Whitney U test.

*p-value obtained using independent t-test.

<https://doi.org/10.1371/journal.pone.0228399.t001>

Table 2. Biochemical characterization of SCA and hemoglobin SC disease patients.

Laboratory parameters	SCA (N = 126)	HbSC (N = 55)	P value
	Mean ± SD	Mean ± SD	
Lipid metabolism			
Total Cholesterol, mg/dL	120.92 ± 24.74	135.00 ± 29.53	0.002
HDL-C, mg/dL	35.81 ± 8.72	40.74 ± 11.34	0.008
LDL-C, mg/dL	62.10 ± 21.95	72.17 ± 27.64	0.019
VLDL-C, mg/dL	22.51 ± 11.26	20.50 ± 6.46	0.984
Triglycerides, mg/dL	109.45 ± 50.48	102.54 ± 32.32	0.905
Iron metabolism			
Iron, mcg/dL	111.89 ± 55.03	91.00 ± 32.46	0.030
Ferritin, ng/mL	259.70 ± 437.89	98.83 ± 100.96	0.287
Renal profile			
Urea, mg/dL	17.54 ± 6.54	18.10 ± 5.76	0.130
Creatinine, mg/dL	0.43 ± 0.14	0.62 ± 0.14	0.000
Uric Acid, mg/dL	3.81 ± 1.20	4.23 ± 1.08	0.014
Hepatic profile			
AST, U/L	48.10 ± 18.05	26.69 ± 14.16	0.000
ALT, U/L	21.22 ± 14.00	14.89 ± 14.52	0.000
GGT, U/L	27.30 ± 22.41	23.19 ± 17.81	0.112
Alkaline phosphatase, U/L	135.53 ± 71.10	180.81 ± 101.85	0.007
Inflammatory profile			
CRP, mg/L	5.63 ± 6.78	3.87 ± 4.33	0.001
AAT, mg/dL	82.49 ± 47.32	69.37 ± 49.32	0.029
NOM, μM	23.87 ± 14.22	17.50 ± 7.52	0.000

HDL-C: high-density lipoprotein cholesterol; LDL-C: low-density lipoprotein cholesterol; VLDL-C: very low-density lipoprotein cholesterol; AST: aspartate amino-transferase; ALT: alanine amino-transferase; GGT: gamma glutamyl-transferase; CRP: C-reactive protein; AAT: alpha-1 antitrypsin. NOM: nitric oxide metabolites. Bold values indicate significance at $p < 0.05$; p-value obtained using Mann-Whitney *U* test. *p-value obtained using independent t-test.

<https://doi.org/10.1371/journal.pone.0228399.t002>

7, included PDW, MPV, NOM, triglycerides and VLDL-C while the lower, in the distance 15, included AST, ALT, GGT, ferritin, LDH, MCV, MCH, total bilirubin, indirect bilirubin, MCHC and HbF. In the distance 20 two other groups were formed, the first, in the distance 16, included Hb, Ht, RBC, iron, uric acid, creatinine, AAT, total cholesterol, LDL-C, HDL-C, direct bilirubin, basophils and urea; while in the distance 17 a group was formed consisted of reticulocytes, RDW, CRP, eosinophils, alkaline phosphatase, leukocytes, neutrophils, monocytes, platelets, PCT and lymphocytes (S1 Fig).

Regarding SCA, cluster analysis reveals that in the distance 25 two large groups were formed. In the distance 19 two other groups were formed, the upper in the distance 13 included total bilirubin, indirect bilirubin, AST, ALT, MCHC, RDW, lymphocytes, direct bilirubin, VLDL-C, triglycerides, NOM and LDH. The other group in the distance 14 included platelets, PCT, reticulocytes, leukocytes, neutrophils, monocytes, eosinophils, basophils, MPV, total cholesterol, LDL-C, urea, CRP, PDW, alkaline phosphatase, GGT, AAT, HDL-C and ferritin. The lowest cluster in the distance 14 was consisted of MCV, MCH, iron, creatinine, uric acid, Hb, Ht, RBC and HbF (S2 Fig).

Discussion

Although the molecular basis of each SCD genotype is clear, the mechanisms contributing to clinical manifestations and to the maintenance of inflammation are not fully understood. This

Table 3. Frequency of clinical events in SCA and hemoglobin SC disease patients.

Clinical manifestation	SCA (N = 126)	HbSC (N = 55)	P value
Hospital admissions	118	40	-
<i>Causes of hospital admissions*</i>			
Acute pain crises	93	29	
Pneumonia/ACS	36	10	
Infections	32	13	
Surgery	5	-	
Neurology	4	1	
Cardiology	1	-	
Angiology	1	-	
Nephrology	1	-	
Other clinical manifestation	17	12	
Infections	86	31	0.128
Painful crises	78	46	0.005
Pneumonia	69	24	0.195
Splenomegaly	59	26	1.00
Vaso-occlusive events	46	9	0.008
Cholelithiasis	39	7	0.014
Acute chest syndrome	33	8	0.086
Stroke	13	2	0.155
Leg ulcer	12	7	0.600
Bone alterations	10	4	1.000

Bold values indicate significance at $p < 0.05$. P-value obtained with Fisher's exact test.

*Of note: some patients underwent hospital admission due to multiple clinical complications.

<https://doi.org/10.1371/journal.pone.0228399.t003>

study was conducted to perform a wide characterization of SCD assessing the two most frequent genotypes.

Baseline laboratory characteristics of SCA patients are consistent with previous evaluation, revealing anemia, hemolysis, leukocytosis and the increase of systemic inflammation [8,21,22]. Importantly, total leukocyte counts above 15,000 cells/mL³ as well as low HbF levels were associated with an increased risk of early death [21]. Likewise, intravascular hemolysis is also associated to the severity of clinical outcomes [23]. Acute phase proteins, such C-RP and AAT, are produced especially by the liver during infections or inflammatory conditions [24]. C-RP and AAT levels were shown to be elevated among SCD patients even during steady-state [25]. Altogether, our data reinforce the notion that SCA is the most severe SCD genotype. Laboratory investigation of HbSC individuals revealed increased lipid, creatinine and uric acid levels as well as decreased NOM. Our findings are in agreement with previous laboratory profile of HbSC disease [26], including increased creatinine levels [27] and increased total cholesterol, HDL-C and LDL-C as well as decreased NOM determinations [8]. This lipid profile among HbSC individuals has also been shown in other populations [28].

Clinical events in SCD are driven by the pathophysiological mechanism of VO. Indeed, all the clinical manifestations investigated were more prevalent in the SCA group than in HbSC disease. This is in agreement with previous clinical and laboratory characterization of SCA and HbSC disease patients [8], which corroborate that SCA is more severe. An evaluation of a cohort of ten years has also found that the onset of the complications was earlier in SCA compared to HbSC patients, especially for painful crises and acute chest syndrome [29]. Acute pain

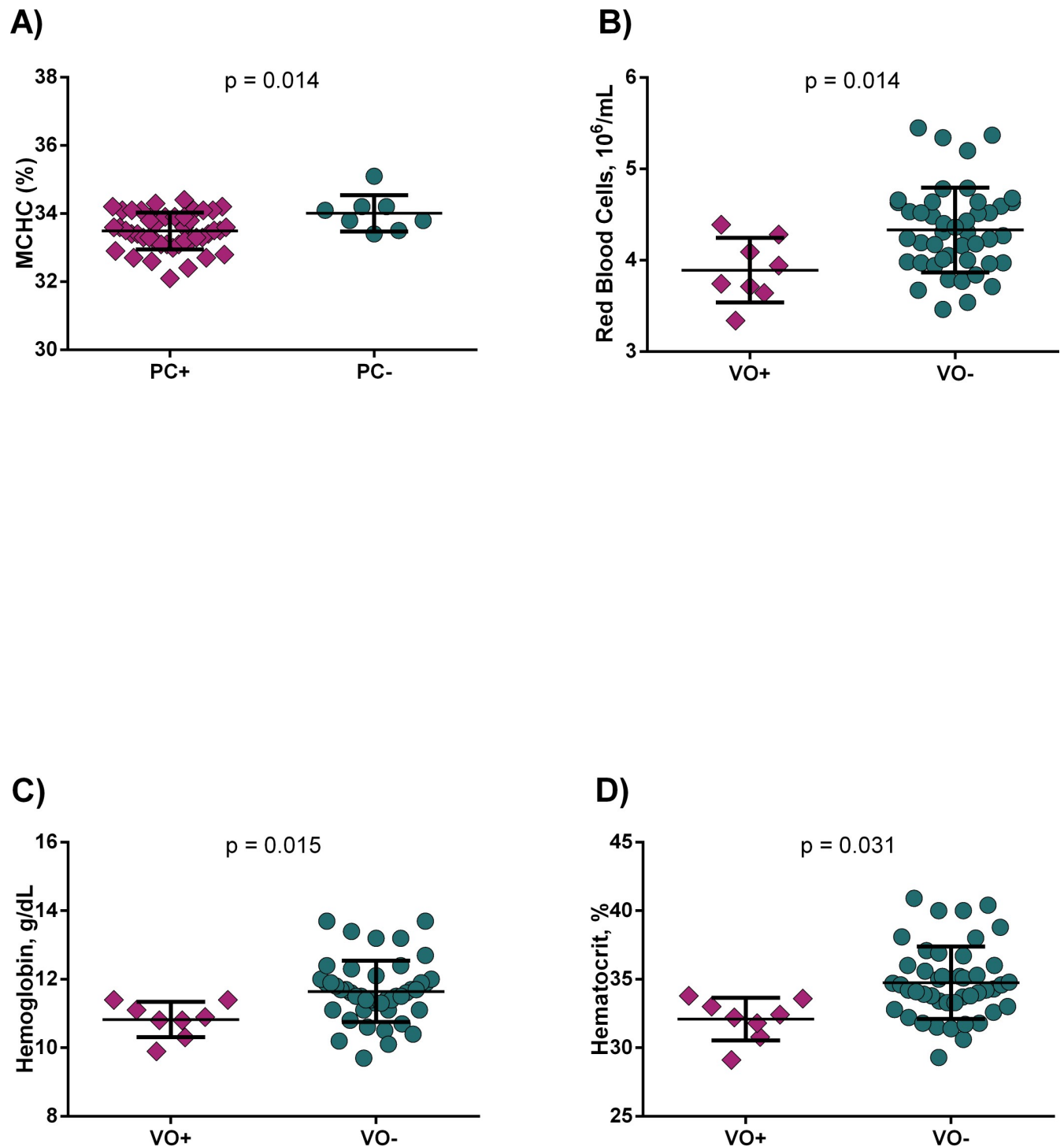


Fig 1. Hematological laboratory parameters are associated to clinical manifestations in HbSC disease. A) Patients with previous history of painful crises (PC) had decreased MCHC; B) Patients with previous history of vaso-occlusion (VO) had decreased red blood cell counts; C) hemoglobin and D) hematocrit levels. p-value obtained using Mann-Whitney *U* test.

<https://doi.org/10.1371/journal.pone.0228399.g001>

crises are the most common cause of hospitalization among SCD patients. In our population we have found that the most frequent cause of hospital admission was acute pain crises in SCA and HbSC disease, which was also observed in different populations where the major cause of

Table 4. Multivariate linear regression model of history of pain crises in association with confounding variables in hemoglobin SC disease and SCA patients.

Independent variables	Dependent variable	β	p-value	R ²	p-value of the model
HbSC					
RBC, 10 ⁶ /mL		-0.201	0.343		
MCHC, %	Pain crises	-1.274	0.003	0.223	.015
Hemoglobin, g/dL		4.284	0.024		
Hematocrit, %		-4.066	0.029		
SCA					
RBC, 10 ⁶ /mL		0.064	0.507		
Reticulocytes, /mL		0.171	0.073		
CRP, mg/L	Pain crises	0.106	0.249	0.125	.012
AAT, mg/dL		0.120	0.194		
NOM, μ M		-0.190	0.046		

R²: coefficient of determination; β : coefficient of regression.

<https://doi.org/10.1371/journal.pone.0228399.t004>

hospital admission was acute painful episodes accounting for 94.6% of the admissions [30]. A survey carried out in England has identified that primary diagnoses for admission was sickle cell crises, followed by acute lower respiratory tract infection and asthma [31]. In addition, cholelithiasis is a frequent complication in SCD patients due to the ongoing hemolysis which results in the production of large amounts of bilirubin, which is conjugated in the liver and its accumulation, may form calcium bilirubinate gallstones [32]. Collectively, these findings suggest that regardless of the SCD genotype, pain crises are the most important clinical event patients have experienced.

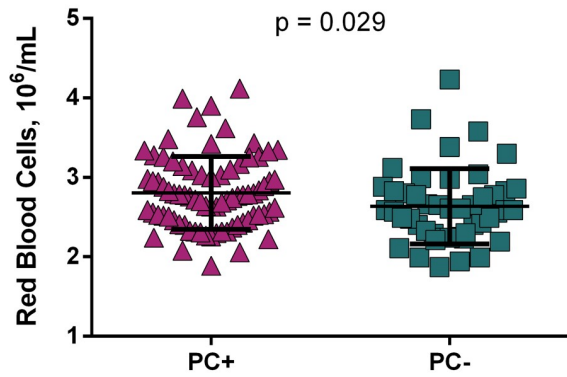
PC and VO were statistically different when SCA was compared to HbSC disease, which lead us to examine laboratory parameters in each group. Hematological and inflammatory parameters were shown to be associated with PC and VO in both HbSC disease and SCA.

Reticulocyte and RBC counts as well as MCHC levels were associated with pain crises in our SCA and HbSC patients which allow us to suggest that hemolysis and anemia are thought to contribute to clinical outcome in SCD. Reticulocytosis has been associated to increase in hospitalization during the first three years of life of children with SCA [33]. Moreover, an extensive hemolysis evaluation has shown that absolute reticulocyte counts and reticulocyte percentage had a strong inverse correlation with mean RBC survival [34]. Correspondingly, HbF levels were shown to be decreased in children with SCA with absolute reticulocyte counts greater than 200 000 cells/mL [35]. Altogether, these findings suggest that hemolysis may be measured through routine hematological evaluation, such as reticulocyte counts, which is important to monitor the patient outcome.

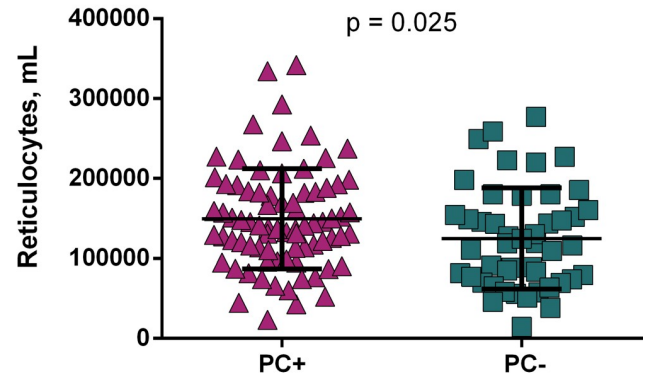
Several pain mediators have been described in SCD such as interleukin-1, bradykinin, histamine, substance P and calcitonin gene related peptide [36]. Pain crises in SCD may be acute, chronic or a combination of both and is usually secondary to vaso-occlusion [36]. Hemolysis leads to endothelial dysfunction since it causes the release of Hb and heme which limits NO bio-availability as well as arginase, which consumes L-arginine, decreasing NO levels even more and contributing to VO [13]. Thus, the association of both pathophysiological mechanisms to the triad of factors (VO, inflammation and nociception) may help to initiate the acute painful crises [37]. Abnormal lipid homeostasis has also been associated with decreased NOM levels [6].

Chronic inflammatory response is a hallmark of SCD influenced by leukocytes, platelets [38], intravascular hemolysis and innate immune response [13] and increased pro-inflammatory mediators [39]. Our cohort of patients with previous history of VO exhibited laboratory parameters associated to anemia and systemic inflammation. Increased AAT levels were found

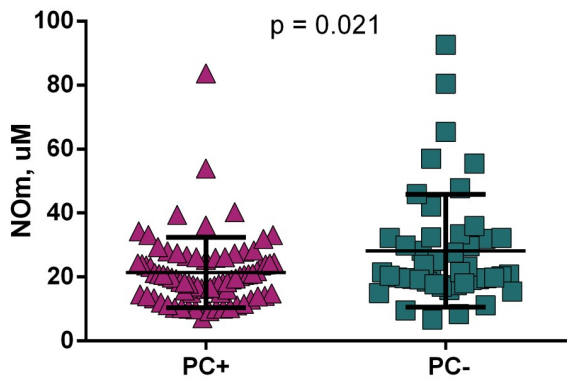
A)



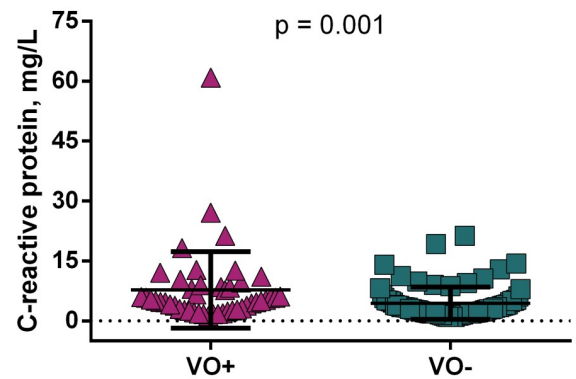
B)



C)



D)



E)

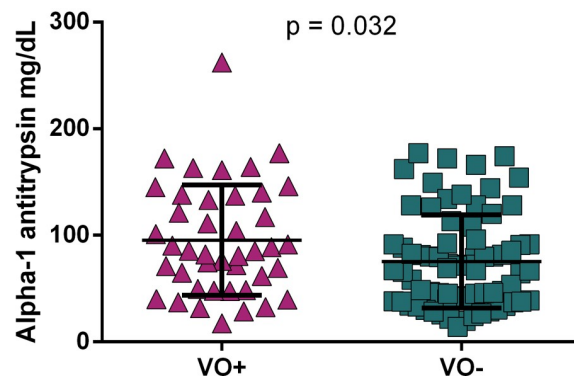


Fig 2. Hematological and inflammatory laboratory parameters are associated to clinical manifestations in SCA. A) Patients with previous history of painful crises (PC) had increased red blood cells and B) increased reticulocyte counts, and C) decreased nitric oxide metabolites (NOM). D) Patients with previous history of vaso-occlusion (VO) had increased C-reactive protein and E) Alpha-1 antitrypsin levels. p-value obtained using Mann-Whitney *U* test.

<https://doi.org/10.1371/journal.pone.0228399.g002>

to be associated to infections, gallstones and blood therapy in SCD [40]; moreover, C-RP levels were progressively increasing as SCA severity score was higher [41]. Our findings are in agreement with the pathophysiological mechanism of VO due to i) heightened ability of sickle RBC to adhere to the vascular endothelium and promote activation of endothelial cells and leukocytes and ii) sickle RBC have the lifespan shortened which also contributes to anemia [42].

Cluster grouping is a very useful approach to identify biomarkers of SCD severity [43]. We designed a cluster analysis in order to group the laboratory parameters of each genotype. Cluster analysis among HbSC disease patients has shown 4 different cluster agglomerations with participation of hemolytic and endothelial dysfunction parameters in the two first, as well as hematological and inflammatory parameters in the latter two. Contrarily, cluster analysis among SCA patients has shown 3 different cluster agglomerations with participation of hemolytic parameters in the first cluster, leukocytes, lipid metabolism and inflammatory parameters in the second cluster and markers of iron metabolism and anemia in the last cluster.

HbSC patients are known to exhibit a phenotype with increased viscosity [4,9,27] which may be corroborated by our findings of clustering hemolysis and endothelial dysfunction markers in the similar groups in these genotype [8,10,13]. As they also present less severe anemia, clustering of hematological and inflammatory markers in similar groups is in agreement with the literature [8,10,13]. SCA patients present the most severe phenotype of SCD and our cluster analysis demonstrate tree groups: hemolysis, inflammation and anemia. These markers are suggestive of the main underlying pathophysiological mechanisms of the disease, which often overlap [1]. In the first cluster the association of NOM and hemolytic markers reinforces the role of endothelial dysfunction [13,44], while in the second the association of leukocytes counts and CRP and AAT highlights the role of inflammation [40,41] and in the last cluster, grouping of RBC counts along with Hb, Ht and iron levels suggest the importance of anemia [21,22]. Curiously HbF was differentially clustered in each genotype. In HbSC patients HbF was clustered along with biomarkers of hemolysis (LDH, AST, indirect bilirubin), while in SCA it was clustered along with biomarkers of anemia (RBC counts, Hb, Ht, MCV and MCH levels). HbF levels are one of the most important biomarker for disease prognostic in SCD [10,35,45], altogether our results suggests that different mechanisms may be associated with HbF in the different SCD genotypes. The different classification of the same laboratory parameters on HbSC disease and SCA suggests that, indeed, the same measurement obtained with one genotype may have a different relevance when compared with the other genotype of the same disease.

Our data suggest that SCA patients exhibit increased hemolysis and inflammatory parameters as well as more clinical complications. In addition, HbSC patients exhibit altered lipid metabolism and milder hemolysis. Moreover, laboratory parameters are also important to monitor the disease. Of note, it is important to point that our cohort is composed by pediatric patients and the clinical course is usually more complicated in the greater ages. Nevertheless, our findings support the differences between SCA and HbSC disease that should be taken into account when considered clinical management.

Supporting information

S1 Fig. Cluster analysis of laboratory biomarkers among HbSC disease. Dendrogram demonstrating cluster agglomeration of laboratory parameters in the group of patients with HbSC disease. The interval was measured by the square Euclidean distance and measurements were

standardized by the Z score.
(TIF)

S2 Fig. Cluster analysis of laboratory biomarkers among SCA patients. Dendrogram demonstrating cluster agglomeration of laboratory parameters in the group of patients with SCA. The interval was measured by the square Euclidean distance and measurements were standardized by the Z score.
(TIF)

Acknowledgments

We would like to thank to all sickle cell disease patients who agreed to participate in the present study. We also would like to thank to all the staff at Fundação de Hematologia e Hemoterapia do Estado da Bahia for the valuable help with the sample collection.

Author Contributions

Conceptualization: Caroline Conceição da Guarda, Rayra Pereira Santiago, Marilda Souza Goncalves.

Data curation: Rayra Pereira Santiago, Marilda Souza Goncalves.

Formal analysis: Caroline Conceição da Guarda, Sètonджи Cocou Modeste Alexandre Yahouédéhou, Rayra Pereira Santiago, Camylla Vilas Boas Figueiredo, Marilda Souza Goncalves.

Funding acquisition: Marilda Souza Goncalves.

Investigation: Sètonджи Cocou Modeste Alexandre Yahouédéhou, Rayra Pereira Santiago, Joelma Santana dos Santos Neres, Camila Felix de Lima Fernandes, Milena Magalhães Aleluia, Camylla Vilas Boas Figueiredo, Luciana Magalhães Fiuza, Suellen Pinheiro Carvalho, Rodrigo Mota de Oliveira, Cleverson Alves Fonseca, Uche Samuel Ndidi.

Methodology: Caroline Conceição da Guarda, Sètonджи Cocou Modeste Alexandre Yahouédéhou, Rayra Pereira Santiago, Joelma Santana dos Santos Neres, Camila Felix de Lima Fernandes, Milena Magalhães Aleluia, Camylla Vilas Boas Figueiredo, Luciana Magalhães Fiuza, Suellen Pinheiro Carvalho, Rodrigo Mota de Oliveira, Cleverson Alves Fonseca, Uche Samuel Ndidi.

Project administration: Suellen Pinheiro Carvalho, Rodrigo Mota de Oliveira, Valma Maria Lopes Nascimento, Larissa Carneiro Rocha, Marilda Souza Goncalves.

Resources: Valma Maria Lopes Nascimento, Larissa Carneiro Rocha.

Supervision: Milena Magalhães Aleluia.

Validation: Marilda Souza Goncalves.

Visualization: Sètonджи Cocou Modeste Alexandre Yahouédéhou.

Writing – original draft: Caroline Conceição da Guarda, Sètonджи Cocou Modeste Alexandre Yahouédéhou, Rayra Pereira Santiago.

Writing – review & editing: Caroline Conceição da Guarda, Marilda Souza Goncalves.

References

1. Kato GJ, Piel FB, Reid CD, Gaston MH, Ohene-Frempong K, Krishnamurti L, et al. (2018) Sickle cell disease. *Nat Rev Dis Primers* 4: 18010. <https://doi.org/10.1038/nrdp.2018.10> PMID: 29542687

2. Serjeant GR, Vichinsky E (2018) Variability of homozygous sickle cell disease: The role of alpha and beta globin chain variation and other factors. *Blood Cells Mol Dis* 70: 66–77. <https://doi.org/10.1016/j.bcmd.2017.06.004> PMID: 28689691
3. Steinberg MH (2008) Sickle cell anemia, the first molecular disease: overview of molecular etiology, pathophysiology, and therapeutic approaches. *ScientificWorldJournal* 8: 1295–1324. <https://doi.org/10.1100/tsw.2008.157> PMID: 19112541
4. Nagel RL, Fabry ME, Steinberg MH (2003) The paradox of hemoglobin SC disease. *Blood Rev* 17: 167–178. [https://doi.org/10.1016/s0268-960x\(03\)00003-1](https://doi.org/10.1016/s0268-960x(03)00003-1) PMID: 12818227
5. Kato GJ, Gladwin MT, Steinberg MH (2007) Deconstructing sickle cell disease: reappraisal of the role of hemolysis in the development of clinical subphenotypes. *Blood Rev* 21: 37–47. <https://doi.org/10.1016/j.blre.2006.07.001> PMID: 17084951
6. Aleluia MM, da Guarda CC, Santiago RP, Fonseca TC, Neves FI, de Souza RQ, et al. (2017) Association of classical markers and establishment of the dyslipidemic sub-phenotype of sickle cell anemia. *Lipids Health Dis* 16: 74. <https://doi.org/10.1186/s12944-017-0454-1> PMID: 28399852
7. Steinberg MH, Sebastiani P (2012) Genetic modifiers of sickle cell disease. *Am J Hematol* 87: 795–803. <https://doi.org/10.1002/ajh.23232> PMID: 22641398
8. Aleluia MM, Fonseca TCC, Souza RQ, Neves FI, da Guarda CC, Santiago RP et al. (2017) Comparative study of sickle cell anemia and hemoglobin SC disease: clinical characterization, laboratory biomarkers and genetic profiles. *BMC Hematol* 17: 15. <https://doi.org/10.1186/s12878-017-0087-7> PMID: 28932402
9. Lionnet F, Hammoudi N, Stojanovic KS, Avellino V, Grateau G, Girot R, et al. (2012) Hemoglobin sickle cell disease complications: a clinical study of 179 cases. *Haematologica* 97: 1136–1141. <https://doi.org/10.3324/haematol.2011.055202> PMID: 22315500
10. Rees DC, Gibson JS (2012) Biomarkers in sickle cell disease. *Br J Haematol* 156: 433–445. <https://doi.org/10.1111/j.1365-2141.2011.08961.x> PMID: 22122125
11. De Castro LM, Jonassaint JC, Graham FL, Ashley-Koch A, Telen MJ (2008) Pulmonary hypertension associated with sickle cell disease: clinical and laboratory endpoints and disease outcomes. *Am J Hematol* 83: 19–25. <https://doi.org/10.1002/ajh.21058> PMID: 17724699
12. Chiang EY, Frenette PS (2005) Sickle cell vaso-occlusion. *Hematol Oncol Clin North Am* 19: 771–784, v. <https://doi.org/10.1016/j.hoc.2005.08.002> PMID: 16214643
13. Guarda CCD, Santiago RP, Fiuza LM, Aleluia MM, Ferreira JRD, Figueiredo CVB, et al. (2017) Heme-mediated cell activation: the inflammatory puzzle of sickle cell anemia. *Expert Rev Hematol* 10: 533–541. <https://doi.org/10.1080/17474086.2017.1327809> PMID: 28482712
14. Piel FB, Tatem AJ, Huang Z, Gupta S, Williams TN, Weatherall DJ. (2014) Global migration and the changing distribution of sickle haemoglobin: a quantitative study of temporal trends between 1960 and 2000. *Lancet Glob Health* 2: e80–89. [https://doi.org/10.1016/S2214-109X\(13\)70150-5](https://doi.org/10.1016/S2214-109X(13)70150-5) PMID: 24748392
15. Stuart MJ, Nagel RL (2004) Sickle-cell disease. *Lancet* 364: 1343–1360. [https://doi.org/10.1016/S0140-6736\(04\)17192-4](https://doi.org/10.1016/S0140-6736(04)17192-4) PMID: 15474138
16. Brazil MoH (2014) Sickle Cell disease: what you should know about genetic inheritance. In: Urgência AHed, editor. 1st ed. Brasília: Ministério da Saúde. pp. 48.
17. Adorno EV, Couto FD, Moura Neto JP, Menezes JF, Rego M, dos Reis MG. (2005) Hemoglobinopathies in newborns from Salvador, Bahia, Northeast Brazil. *Cad Saude Publica* 21: 292–298. <https://doi.org/10.1590/s0102-311x2005000100032> PMID: 15692663
18. Santiago RP, Oliveira RM, Soares LF, Figueiredo CVB, Silva DO, Hurtado-Guerrero AF, et al. (2017) Hemoglobin Variant Profiles among Brazilian Quilombola Communities. *Hemoglobin* 41: 83–88. <https://doi.org/10.1080/03630269.2017.1321014> PMID: 28589738
19. Bryan NS, Grisham MB (2007) Methods to detect nitric oxide and its metabolites in biological samples. *Free Radic Biol Med* 43: 645–657. <https://doi.org/10.1016/j.freeradbiomed.2007.04.026> PMID: 17664129
20. Chong SS, Boehm CD, Higgs DR, Cutting GR (2000) Single-tube multiplex-PCR screen for common deletional determinants of alpha-thalassemia. *Blood* 95: 360–362. PMID: 10607725
21. Platt OS, Brambilla DJ, Rosse WF, Milner PF, Castro O, Steinberg MH, et al. (1994) Mortality In Sickle Cell Disease—Life Expectancy and Risk Factors for Early Death. *New England Journal of Medicine* 330: 1639–1644. <https://doi.org/10.1056/NEJM199406093302303> PMID: 7993409
22. West MS, Wethers D, Smith J, Steinberg M (1992) Laboratory profile of sickle cell disease: a cross-sectional analysis. The Cooperative Study of Sickle Cell Disease. *J Clin Epidemiol* 45: 893–909. [https://doi.org/10.1016/0895-4356\(92\)90073-v](https://doi.org/10.1016/0895-4356(92)90073-v) PMID: 1624972

23. Nouraie M, Lee JS, Zhang Y, Kanias T, Zhao X, Xiong Z, et al. (2013) The relationship between the severity of hemolysis, clinical manifestations and risk of death in 415 patients with sickle cell anemia in the US and Europe. *Haematologica* 98: 464–472. <https://doi.org/10.3324/haematol.2012.068965> PMID: 22983573
24. Sproston NR, Ashworth JJ (2018) Role of C-Reactive Protein at Sites of inflammation and infection. *Front Immunol* 9.
25. Bourantas KL, Dalekos GN, Makis A, Chaidos A, Tsiara S, Mavridis A. (1998) Acute phase proteins and interleukins in steady state sickle cell disease. *Eur J Haematol* 61: 49–54. <https://doi.org/10.1111/j.1600-0609.1998.tb01060.x> PMID: 9688292
26. Santiago RP, Vieira C, Adanho CSA, Santana SS, Guarda CC, Figueiredo CVB, et al. (2017) Laboratory and Genetic Biomarkers Associated with Cerebral Blood Flow Velocity in Hemoglobin SC Disease. *Dis Markers* 2017: 6359871. <https://doi.org/10.1155/2017/6359871> PMID: 28790534
27. Rees DC, Thein SL, Osei A, Drasar E, Tewari S, Hannemann A, et al. (2015) The clinical significance of K-Cl cotransport activity in red cells of patients with HbSC disease. *Haematologica* 100: 595–600. <https://doi.org/10.3324/haematol.2014.120402> PMID: 25749827
28. Lalanne-Mistrih ML, Connes P, Lamarre Y, Lemonne N, Hardy-Dessources MD, Tarer V, et al. (2018) Lipid profiles in French West Indies sickle cell disease cohorts, and their general population. *Lipids Health Dis* 17: 38. <https://doi.org/10.1186/s12944-018-0689-5> PMID: 29506549
29. Gill FM, Sleeper LA, Weiner SJ, Brown AK, Bellevue R, Grover R, et al. (1995) Clinical events in the first decade in a cohort of infants with sickle cell disease. Cooperative Study of Sickle Cell Disease. *Blood* 86: 776–783. PMID: 7606007
30. Ballas SK, Lusardi M (2005) Hospital readmission for adult acute sickle cell painful episodes: frequency, etiology, and prognostic significance. *Am J Hematol* 79: 17–25. <https://doi.org/10.1002/ajh.20336> PMID: 15849770
31. Aljuburi G, Laverty AA, Green SA, Phekoo KJ, Banarsee R, Okoye NV, et al. (2012) Trends in hospital admissions for sickle cell disease in England, 2001/02–2009/10. *J Public Health (Oxf)* 34: 570–576.
32. Martins RA, Soares RS, Vito FB, Barbosa VF, Silva SS, Moraes-Souza H, et al. (2017) Cholelithiasis and its complications in sickle cell disease in a university hospital. *Rev Bras Hematol Hemoter* 39: 28–31. <https://doi.org/10.1016/j.bjhh.2016.09.009> PMID: 28270342
33. Meier ER, Byrnes C, Lee YT, Wright EC, Schechter AN, Luban NL, et al. (2013) Increased reticulocytosis during infancy is associated with increased hospitalizations in sickle cell anemia patients during the first three years of life. *PLoS One* 8: e70794. <https://doi.org/10.1371/journal.pone.0070794> PMID: 23951011
34. Quinn CT, Smith EP, Arbabi S, Khera PK, Lindsell CJ, Niss O, et al. (2016) Biochemical surrogate markers of hemolysis do not correlate with directly measured erythrocyte survival in sickle cell anemia. *Am J Hematol* 91: 1195–1201. <https://doi.org/10.1002/ajh.24562> PMID: 27648808
35. Meier ER, Byrnes C, Weissman M, Lee YT, Miller JL (2015) Absolute Reticulocyte Count Acts as a Surrogate for Fetal Hemoglobin in Infants and Children with Sickle Cell Anemia. *PLoS One* 10: e0136672. <https://doi.org/10.1371/journal.pone.0136672> PMID: 26366562
36. Lakkakula B, Sahoo R, Verma H, Lakkakula S (2018) Pain Management Issues as Part of the Comprehensive Care of Patients with Sickle Cell Disease. *Pain Manag Nurs*.
37. Ballas SK, Gupta K, Adams-Graves P (2012) Sickle cell pain: a critical reappraisal. *Blood* 120: 3647–3656. <https://doi.org/10.1182/blood-2012-04-383430> PMID: 22923496
38. Zhang D, Xu C, Manwani D, Frenette PS (2016) Neutrophils, platelets, and inflammatory pathways at the nexus of sickle cell disease pathophysiology. *Blood* 127: 801–809. <https://doi.org/10.1182/blood-2015-09-618538> PMID: 26758915
39. Carvalho MOS, Araujo-Santos T, Reis JHO, Rocha LC, Cerqueira BAV, Luiz NF, et al. (2017) Inflammatory mediators in sickle cell anaemia highlight the difference between steady state and crisis in paediatric patients. *Br J Haematol*.
40. Carvalho MOS, Souza A, Carvalho MB, Pacheco A, Rocha LC, do Nascimento VML, et al. (2017) Evaluation of Alpha-1 Antitrypsin Levels and SERPINA1 Gene Polymorphisms in Sickle Cell Disease. *Front Immunol* 8: 1491. <https://doi.org/10.3389/fimmu.2017.01491> PMID: 29163550
41. Akinlade KS, Atere AD, Rahamon SK, Olaniyi JA (2013) Serum levels of copeptin, C-reactive protein and cortisol in different severity groups of sickle cell anaemia. *Niger J Physiol Sci* 28: 159–164. PMID: 24937391
42. Manwani D, Frenette PS (2013) Vaso-occlusion in sickle cell disease: pathophysiology and novel targeted therapies. *Blood* 122: 3892–3898. <https://doi.org/10.1182/blood-2013-05-498311> PMID: 24052549

43. Du M, Van Ness S, Gordeuk V, Nouraie SM, Nekhai S, Gladwin M, et al. (2018) Biomarker signatures of sickle cell disease severity. *Blood Cells Mol Dis* 72: 1–9. <https://doi.org/10.1016/j.bcmd.2018.05.001> PMID: [29778312](https://pubmed.ncbi.nlm.nih.gov/29778312/)
44. Kato GJ (2018) Sickle cell vasculopathy: Vascular phenotype on fire! *J Physiol*.
45. Sebastiani P, Wang L, Nolan VG, Melista E, Ma Q, Baldwin CT, et al. (2008) Fetal hemoglobin in sickle cell anemia: Bayesian modeling of genetic associations. *Am J Hematol* 83: 189–195. <https://doi.org/10.1002/ajh.21048> PMID: [17918249](https://pubmed.ncbi.nlm.nih.gov/17918249/)