



# Development and validation of a prognostic nomogram for elderly-onset pancreatic neuroendocrine carcinoma: a prospective cohort study from the SEER database

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**Background:** The incidence of elderly-onset pancreatic neuroendocrine carcinoma (PanNEC) is increasing. This study investigated independent risk factors affecting cancer-specific survival (CSS) and constructed a nomogram to predict CSS in patients with elderly-onset PanNEC.

**Methods:** PanNEC patients older than 50 years from the Surveillance, Epidemiology, and End Results database were retrospectively selected from 2010 to 2021 and were randomly divided into a training set and a validation set. Independent factors affecting CSS were selected by univariate and multivariate analyses. The nomogram was built using significant variables. The discrimination and calibration of the nomogram were evaluated by the area under the receiver operating characteristic curve (AUC), calibration curves, and decision curve analysis.

**Results:** A total of 407 patients were selected and randomly assigned to a training set or a validation set at a 6:4 ratio. In the selected population, 227 individuals (55.8%) were male, 313 (76.9%) were white, with a mean age of 69.4 years. Among them, 318 individuals (78.1%) died due to the tumor, with a CSS time of 6 months. Multivariate Cox analysis showed that age [hazard ratio (HR): 1.56, 95% confidence interval (CI): 1.10–2.22,  $P=0.01$ ], surgery (HR: 2.32, 95% CI: 1.27–4.23,  $P=0.006$ ), chemotherapy (HR: 2.39, 95% CI: 1.68–3.38,  $P<0.001$ ), tumor, nodes, and metastasis (TNM) stage (HR: 3.96, 95% CI: 1.19–13.19,  $P=0.03$ ), and liver metastasis (HR: 1.75, 95% CI: 1.16–2.65,  $P=0.008$ ) were independent risk factors that shortened CSS. The AUCs of the nomogram for the 6-month, 1-year, and 2-year CSS were 0.826, 0.791, and 0.8 in the training set and 0.848, 0.775, and 0.781 in the validation set, respectively. Calibration curves showed that the nomogram could accurately predict the 6-month, 1-year, and 2-year CSS in both datasets. Furthermore, decision curve analysis indicated that the nomogram had clinical benefits.

**Conclusions:** The nomogram for CSS in patients with elderly-onset PanNEC showed good predictive power, enabling clinicians to understand patient's prognosis and make appropriate decisions.

**Keywords:** Pancreatic neuroendocrine carcinoma (PanNEC); survival analysis; nomogram; prognosis

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## Introduction

The incidence of pancreatic neuroendocrine neoplasm (PanNEN) has increased over the past 40 years and the incidence of pancreatic neuroendocrine carcinoma (PanNEC) has also increased (1,2). According to the 2019 World Health Organization (WHO) classification, PanNENs are divided into well-differentiated pancreatic neuroendocrine tumor (PanNET), PanNEC with histological types of large-cell neuroendocrine carcinoma and small-cell neuroendocrine carcinoma, and mixed neuroendocrine–non-neuroendocrine neoplasm of the pancreas (3). PanNEN is a very rare type of tumor, with recent guidelines reporting an incidence of approximately 0.8/100,000 in the United States (4). PanNEC accounts for only 10–20% of PanNEN cases (5). There is a significant difference in prognosis between PanNET and PanNEC, primarily due to the higher invasiveness and frequent distant metastasis observed in PanNEC (6–9).

With the age-associated increase in lifespan, the incidence of PanNENs is much higher in older people (aged  $\geq 50$  years) than in younger individuals (2,10). Moreover, clinical features and prognosis differ between early-onset PanNEC ( $< 50$  years old) and elderly-onset PanNEC ( $\geq 50$  years old) (11–14). Due to the rarity of the tumor and the poor prognosis of elderly-onset PanNEC, there is currently a lack of large sample studies exploring the clinical characteristics

and prognosis of elderly-onset PanNEC classified according to the new WHO criteria.

This study investigated the clinical features and prognosis of elderly-onset PanNEC and constructed a nomogram model that could accurately predict cancer-specific survival (CSS) based on an analysis of the Surveillance, Epidemiology, and End Results (SEER) database which collected data from 17 regions of the United States, covering approximately 28% of the total U.S. We present this article in accordance with the TRIPOD reporting checklist (available at <https://jgo.amegroups.com/article/view/10.21037/jgo-24-344/rc>).

## Methods

### Patient selection

Eligible patients were screened from the SEER database using SEER\*Stat version 8.4.3. According to the latest WHO classification criteria, we collected data from the SEER database for patients diagnosed between 2010 and 2021 with primary pancreatic tumors and histological codes from the International Classification of Diseases for Oncology, Third Edition (ICD-O-3) 8013 (large cell neuroendocrine carcinoma) and 8041 (small cell carcinoma, not otherwise specified). The exclusion criteria were (I) patients with missing demographic and crucial clinical information, (II) patients aged  $< 50$  years at diagnosis, and (III) patients with incomplete survival data. The patient selection flowchart is illustrated in *Figure 1*. The study was conducted in accordance with the Declaration of Helsinki (as revised in 2013).

### Assessment of covariates

The following demographic and clinicopathological data were extracted from the SEER database: age, sex, race (white, black, others), marital status (married, others), primary site (body and tail, head, others), tumor size ( $\leq 20$ , 21–40,  $> 40$  mm, unknown), primary site surgery (yes, no), radiation (yes, no/unknown), chemotherapy (yes, no/unknown), the tumor, nodes, and metastasis (TNM) stage (I, II/III, or IV), lymph nodes metastasis (yes, no, unknown), liver metastasis (yes, no), bone metastasis (yes, no, unknown), brain metastasis (yes, no, unknown), lung metastasis (yes, no, unknown), survival time, the status of survival, and cause-specific death. Tumor node metastasis (TNM) staging was based on the following codes proposed by the American Joint Committee on Cancer (AJCC) Cancer Staging Manual (8<sup>th</sup> edition): derived AJCC T,

### Highlight box

#### Key findings

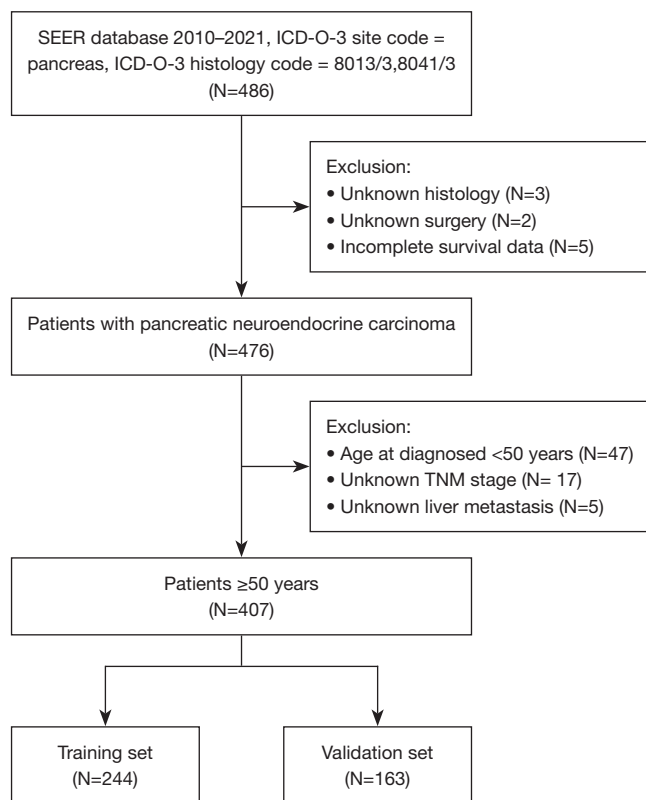
- We identified independent prognostic factors for pancreatic neuroendocrine carcinoma (PanNEC) patients aged 50 years and older through the Surveillance, Epidemiology, and End Results (SEER) database, and subsequently developed a clinical prediction model to assist clinicians in better predicting cancer-free survival for these patients.

#### What is known and what is new?

- PanNEC occurring in individuals aged  $\geq 50$  years is referred to as elderly-onset PanNEC. It is characterized by poor prognosis and its incidence is increasing annually.
- We conducted the first exploration of prognostic factors for elderly-onset PanNEC patients and established a predictive model based on their independent prognostic factors.

#### What is the implication, and what should change now?

- We developed a relatively simple yet highly accurate clinical prediction model, which can assist clinicians in understanding patients' prognosis and making appropriate decisions.



**Figure 1** Flow chart of patients' selection. SEER, Surveillance, Epidemiology, and End Results; ICD-O-3, International Classification of Diseases for Oncology, Third Edition; TNM, tumor, nodes, and metastasis.

AJCC 6th edition (2004–2015); derived AJCC T, combined T (SEER 2016–2017); EOD 2018 T (2018+); derived AJCC N, AJCC 6th edition (2004–2015); derived SEER combined N (SEER 2016–2017), derived EOD 2018 N (2018+); derived AJCC M, 6th edition (2004–2015); derived SEER combined M (SEER 2016–2017), derived EOD 2018 M (2018+), regional nodes examined (1988+), positive regional nodes (1988+), CS extension (2004–2015), CS lymph nodes (2004–2015), CS Mets at DX (2004–2015). The study endpoint is defined as either death due to the primary tumor or the last follow-up date before November 2023. The primary outcome was the death due to the cancer.

### Statistical analysis

The study population was randomly assigned to a training set (N=244) and a validation set (N=163) (ratio of 6: 4). CSS in patients with elderly-onset PanNEC was estimated using

the Kaplan-Meier method and compared between groups using the log-rank test. We performed multivariate Cox regression analyses both including and excluding treatments in the training set. The results of univariate and multivariate Cox proportional hazards regression were expressed as hazard ratios (HRs) and 95% confidence intervals (CIs). Variables with significance ( $P < 0.05$ ) from the multivariate Cox regression were used to construct nomograms, either incorporating treatments or excluding them. The nomogram was generated using the rms package based on independent variables of the training set and was validated in the validation set. The accuracy and discrimination of the nomogram were evaluated by the area under the receiver operating characteristic curve (AUC) and calibration curves. The clinical benefit was assessed using decision curve analysis (DCA). Statistical analyses were conducted using R statistical software version 4.2.2. A two-sided  $P$  value of less than 0.05 was considered statistically significant.

## Results

### Patients' characteristics

A total of 407 patients with elderly-onset PanNEC were selected from the SEER database according to the inclusion and exclusion criteria (Figure 1). In this cohort, 55.8% were males, 76.9% were white, and 69.0% were married. Of the tumor specimens, 46.7% were larger than 40 mm, and 84.3% of the patients were classified as stage IV, 318 individuals (78.1%) died due to the tumor. The median CSS time was 6 months. The percentage of patients receiving chemotherapy, surgery, and radiation was 61.7%, 11.6%, and 11.6%, respectively. The clinical features of the patients are summarized in Table 1.

### Independent risk factors for CSS in patients with elderly-onset PanNEC

We conducted univariate and multivariate Cox regression analyses in the training group, which consisted of 244 individuals, 184 (75.4%) of whom experienced the outcome event. Univariate Cox regression analysis showed that age, TNM stage, liver metastasis, surgery, chemotherapy and lung metastasis were significantly ( $P < 0.05$ ) correlated with CSS. Multivariate Cox analysis showed that age (HR: 1.56, 95% CI: 1.10–2.22,  $P = 0.01$ ), surgery (HR: 2.32, 95% CI: 1.27–4.23,  $P = 0.006$ ), chemotherapy (HR: 2.39, 95% CI: 1.68–3.38,  $P < 0.001$ ), TNM stage (HR: 3.96, 95% CI: 1.19–

**Table 1** Demographic and clinicopathological characteristics in patients with elderly-onset PanNEC

Variables	Total set (n=407)	Training set (n=244)	Validation set (n=163)	P value
Age (years)				0.37
<75	282 (69.29)	165 (67.62)	117 (71.78)	
≥75	125 (30.71)	79 (32.38)	46 (28.22)	
Sex				0.70
Female	180 (44.23)	106 (43.44)	74 (45.40)	
Male	227 (55.77)	138 (56.56)	89 (54.60)	
Race				0.94
White	313 (76.90)	189 (77.46)	124 (76.07)	
Black	49 (12.04)	29 (11.89)	20 (12.27)	
Others	45 (11.06)	26 (10.66)	19 (11.66)	
Marital status				0.74
Married	281 (69.04)	170 (69.67)	111 (68.10)	
Others	126 (30.96)	74 (30.33)	52 (31.90)	
Primary site				0.96
Body and tail	112 (27.52)	66 (27.05)	46 (28.22)	
Head	183 (44.96)	110 (45.08)	73 (44.79)	
Others	112 (27.52)	68 (27.87)	44 (26.99)	
Tumor size (mm)				0.92
≤20	29 (7.13)	16 (6.56)	13 (7.98)	
21–40	124 (30.47)	76 (31.15)	48 (29.45)	
>40	190 (46.68)	115 (47.13)	75 (46.01)	
Unknown	64 (15.72)	37 (15.16)	27 (16.56)	
Surgery				0.71
Yes	47 (11.55)	27 (11.07)	20 (12.27)	
No	360 (88.45)	217 (88.93)	143 (87.73)	
Radiation				0.10
Yes	47 (11.55)	23 (9.43)	24 (14.72)	
No/unknown	360 (88.45)	221 (90.57)	139 (85.28)	
Chemotherapy				0.92
Yes	251 (61.67)	150 (61.48)	101 (61.96)	
No/unknown	156 (38.33)	94 (38.52)	62 (38.04)	
TNM stage				0.99
I	18 (4.42)	11 (4.51)	7 (4.29)	
II/III	46 (11.30)	28 (11.48)	18 (11.04)	
IV	343 (84.28)	205 (84.02)	138 (84.66)	

**Table 1** (continued)

Table 1 (continued)

Variables	Total set (n=407)	Training set (n=244)	Validation set (n=163)	P value
Liver metastasis				0.65
No	125 (30.71)	77 (31.56)	48 (29.45)	
Yes	282 (69.29)	167 (68.44)	115 (70.55)	
Lymph nodes metastasis				0.19
No	270 (66.34)	156 (63.93)	114 (69.94)	
Yes	122 (29.98)	76 (31.15)	46 (28.22)	
Unknown	15 (3.69)	12 (4.92)	3 (1.84)	
Bone metastasis				0.82
No	351 (86.24)	209 (85.66)	142 (87.12)	
Yes	47 (11.55)	30 (12.30)	17 (10.43)	
Unknown	9 (2.21)	5 (2.05)	4 (2.45)	
Brain metastasis				0.01
No	373 (91.65)	230 (94.26)	143 (87.73)	
Yes	23 (5.65)	7 (2.87)	16 (9.82)	
Unknown	11 (2.70)	7 (2.87)	4 (2.45)	
Lung metastasis				0.78
No	332 (81.57)	198 (81.15)	134 (82.21)	
Yes	67 (16.46)	42 (17.21)	25 (15.34)	
Unknown	8 (1.97)	4 (1.64)	4 (2.45)	

Data are presented as n (%). PanNEC, pancreatic neuroendocrine carcinoma; TNM, tumor, nodes, and metastasis.

13.19,  $P=0.03$ ), and liver metastasis (HR: 1.75, 95% CI: 1.16–2.65,  $P=0.008$ ) were independent prognostic factors for CSS in patients with elderly-onset PanNEC (Table 2).

Furthermore, we also excluded several treatment variables in both univariate and multivariate Cox regression analyses. We found that age (HR: 2.25, 95% CI: 1.63–3.10,  $P<0.001$ ), TNM stage (HR: 4.12, 95% CI: 1.27–13.40,  $P=0.02$ ), and liver metastasis (HR: 1.76, 95% CI: 1.17–2.66,  $P=0.007$ ) were independent risk factors affecting patient prognosis (Table S1).

### Construction and validation of a nomogram for predicting CSS in patients with elderly-onset PanNEC

The nomogram was based on independent variables of the training set (Figure 2). The most significant risk factor for CSS was TNM stage (Figure S1). We also developed a nomogram that excluded treatment, as shown

in Figure S2. The calibration curve based on independent prognostic factors incorporating treatment demonstrated consistent predicted and observed results for 6-month, 1-year, and 2-year CSS (Figure 3). The calibration curve excluding treatments yielded similar results (Figure S3). The AUCs for 6-month, 1-year, and 2-year CSS in the model incorporating treatment were 0.826, 0.791, and 0.800 in the training set and 0.848, 0.775, and 0.781 in the validation set (Figure 4), while the model excluding treatment achieved AUCs of 0.725, 0.758, and 0.807 in the training set and 0.692, 0.683, and 0.695 in the validation set (Figure S4), demonstrating high accuracy in predicting CSS. Furthermore, DCA indicated that the nomogram had clinical benefits (Figure 5, Figure S5).

## Discussion

This study developed a nomogram containing independent

**Table 2** Univariate and multivariate cox regression analyses of prognostic factors for CSS of elderly-onset PanNEC

Variables	Univariate analysis		Multivariate analysis	
	HR (95% CI)	P value	HR (95% CI)	P value
Age (years)				
<75	1.00 (reference)		1.00 (reference)	
≥75	2.09 (1.54–2.85)	<0.001	1.56 (1.10–2.22)	0.01
Sex				
Female	1.00 (reference)			
Male	0.97 (0.72–1.30)	0.83		
Race				
White	1.00 (reference)			
Black	1.06 (0.69–1.64)	0.79		
Others	1.05 (0.64–1.71)	0.86		
Marital status				
Married	1.00 (reference)			
Others	0.84 (0.61–1.16)	0.29		
Primary site				
Body and tail	1.00 (reference)			
Head	1.26 (0.88–1.80)	0.21		
Others	1.32 (0.89–1.97)	0.17		
Tumor size (mm)				
≤20	1.00 (reference)			
21–40	0.74 (0.39–1.38)	0.34		
>40	0.83 (0.45–1.51)	0.54		
Unknown	0.89 (0.45–1.75)	0.73		
Surgery				
Yes	1.00 (reference)		1.00 (reference)	
No	2.56 (1.52–4.30)	<0.001	2.32 (1.27–4.23)	0.006
Radiation				
Yes	1.00 (reference)			
No/unknown	1.44 (0.86–2.40)	0.17		
Chemotherapy				
Yes	1.00 (reference)		1.00 (reference)	
No/unknown	2.02 (1.49–2.72)	<0.001	2.39 (1.68–3.38)	<0.001
TNM stage				
I	1.00 (reference)		1.00 (reference)	
II/III	3.51 (1.04–11.79)	0.042	3.28 (0.96–11.27)	0.06
IV	5.97 (1.89–18.87)	0.002	3.96 (1.19–13.19)	0.03

**Table 2** (continued)

Table 2 (continued)

Variables	Univariate analysis		Multivariate analysis	
	HR (95% CI)	P value	HR (95% CI)	P value
Liver metastasis				
No	1.00 (reference)		1.00 (reference)	
Yes	2.04 (1.46–2.84)	<0.001	1.75 (1.16–2.65)	0.008
Lymph nodes metastasis				
No	1.00 (reference)			
Yes	0.94 (0.68–1.29)	0.70		
Unknown	0.93 (0.48–1.77)	0.82		
Bone metastasis				
No	1.00 (reference)			
Yes	1.29 (0.82–2.01)	0.27		
Unknown	2.34 (0.95–5.72)	0.06		
Brain metastasis				
No	1.00 (reference)			
Yes	1.34 (0.55–3.28)	0.52		
Unknown	2.18 (0.96–4.94)	0.06		
Lung metastasis				
No	1.00 (reference)		1.00 (reference)	
Yes	0.99 (0.66–1.50)	0.98	0.83 (0.55–1.27)	0.40
Unknown	3.70 (1.35–10.12)	0.01	2.21 (0.80–6.07)	0.13

CSS, cancer-specific survival; PanNEC, pancreatic neuroendocrine carcinoma; HR, hazard ratio; CI, confidence interval; TNM, tumor, nodes, and metastasis.

prognostic factors (age, TNM stage, liver metastasis, surgery and chemotherapy) for CSS in patients with elderly-onset PanNEC. The AUCs were higher than 0.8, indicating the high accuracy and discrimination of this model.

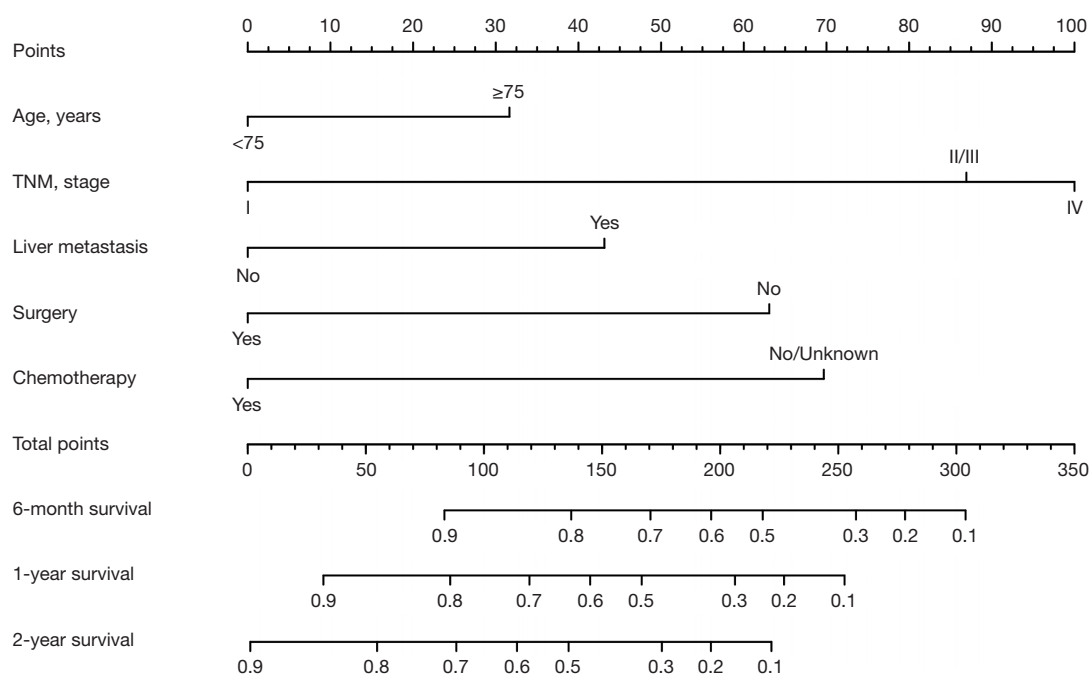
We excluded PanNEC patients under 50 years old from our study due to literature indicating that PanNEC can be categorized by age into early-onset PanNEC (under 50 years) and classic or late-onset PanNEC (50 years and older), with differences in prognosis and clinical characteristics (11). The early-onset PanNEC constitutes less than 10% of cases in our study. To ensure the accuracy of our results, we focused solely on elderly-onset PanNEC patients aged 50 and older.

In our study group, we observed that age was a significant predictor of survival rates, with decreasing relative survival rates observed as age increased. These findings are

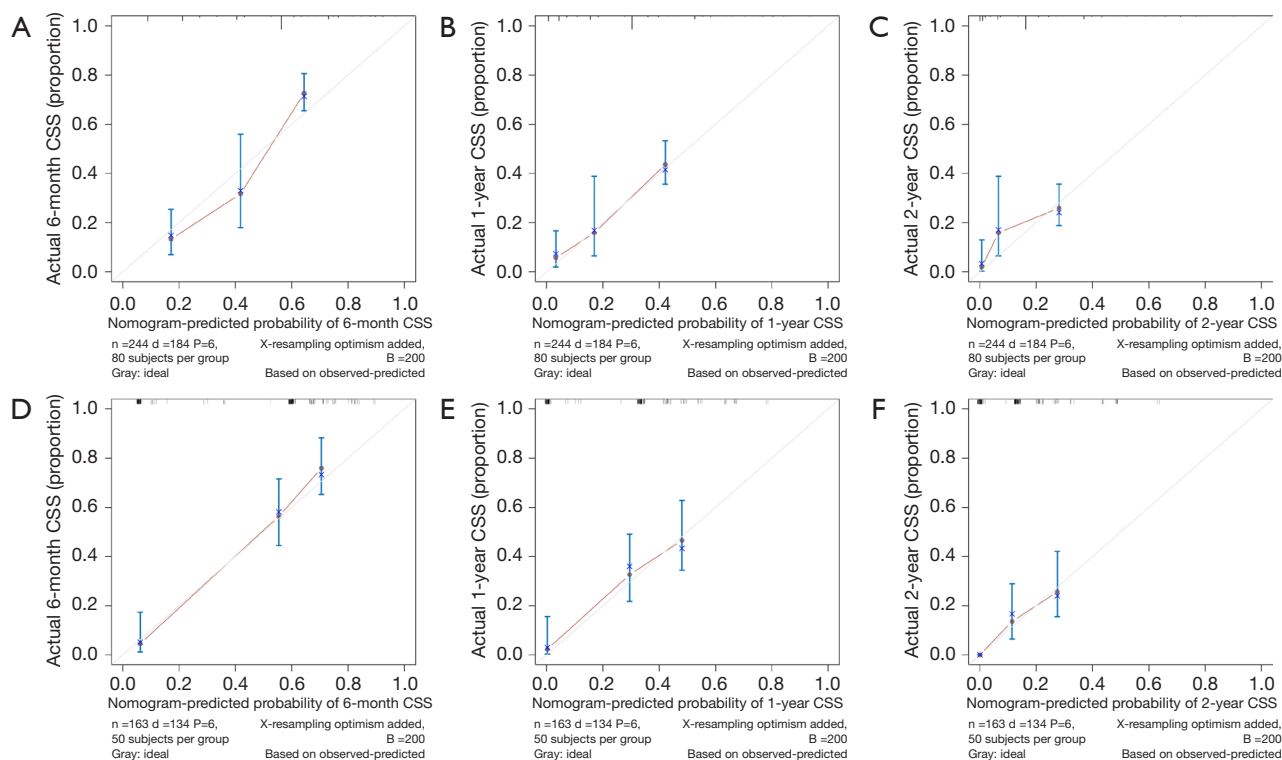
consistent with previous studies that have reported similar outcomes (4,15). The poorer ability to tolerate the extensive treatment and genetic differences might be the causes.

PanNENs are treated with surgery and systemic therapy including cytotoxic chemotherapy, local radiation, peptide receptor radiotherapy (PRRT), somatostatin analogs and targeted therapy (5,16-18). Compared with PanNET, PanNEC has more limited treatment options due to its rarity and diagnostic novelty and the treatment of PanNEC is more personalized according to tumor grade, KI-67, functionality and tumor behavior (19). For localized PanNEC, radical surgery is usually recommended (18). For PanNEC patients with metastasis, a small number of cases with relatively slow progression may still be eligible for surgical treatment (18). Previous studies have revealed that surgery was associated with improved survival for PanNEC



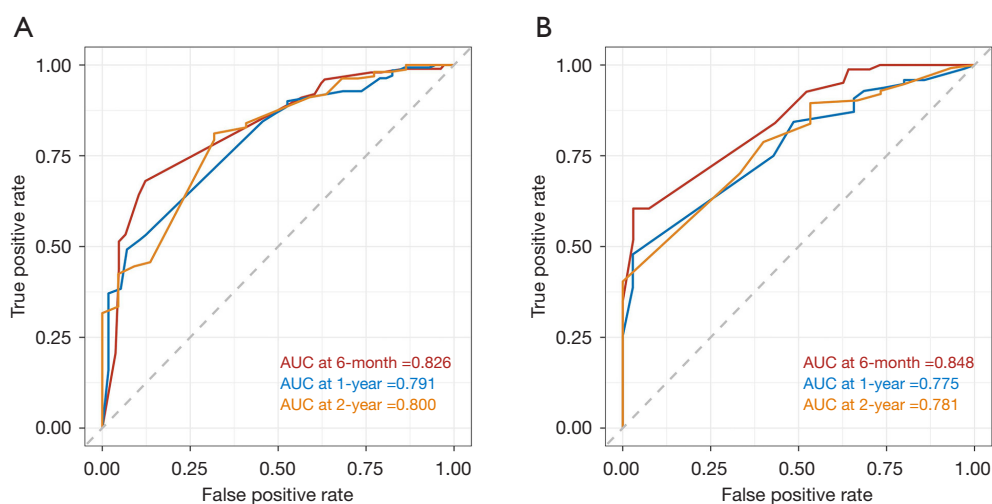


**Figure 2** Nomogram of the cancer-specific survival for elderly-onset pancreatic neuroendocrine carcinoma. TNM, tumor, nodes, and metastasis.

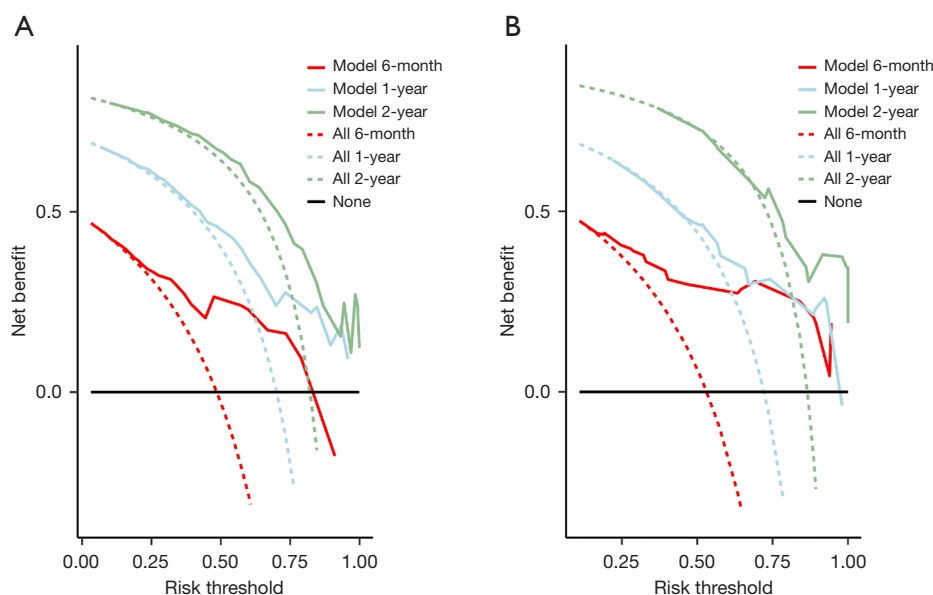


**Figure 3** Calibration of the nomogram for half year (A in training dataset; D in validation dataset), one year (B in training dataset; E in validation dataset) and two years (C in training dataset; F in validation dataset) CSS of elderly-onset pancreatic neuroendocrine carcinoma. CSS, cancer-specific survival.





**Figure 4** ROC curves of the nomogram for half year, one year and two years cancer-specific survival of elderly-onset pancreatic neuroendocrine carcinoma in training dataset (A) and validation dataset (B). AUC, area under the ROC curve; ROC, receiver operating characteristic.



**Figure 5** DCA of the nomogram for half year, one year and two years cancer-specific survival of elderly-onset pancreatic neuroendocrine carcinoma in training dataset (A) and validation dataset (B). DCA, decision curve analysis.

(20,21). However, the studies that adopted the 2010 WHO classification of PanNEC did not distinguish G3 PanNET from PanNEC. Currently, Yoshida *et al.* demonstrated that the survival of PanNEC with surgery was longer with no statistical significance (22). Our data showed that surgery significantly improved the prognosis of elderly-onset

PanNEC patients, consistent with a previous study (23).

Platinum-based chemotherapy is the first-line treatment for PanNEC in several guidelines, and FOLFIRI (fluorouracil, folinic acid, and irinotecan), FOLFOX (folinic acid, fluorouracil, and oxaliplatin) and CAPTEM (capecitabine and temozolomide) are considered as the

second-line selection (5,24-26). Chemotherapy based on platinum compounds, including cisplatin and etoposide, is indicated in cases of unresectable PanNEC (18,27,28). Sorbye *et al.* found that additional systemic chemotherapy after surgery improved survival for unresectable PanNEC (29). In our study, chemotherapy was also found to improve the CSS of PanNEC patients. However, there are no prospective clinical trials about the effect of the different types of chemotherapy.

The information regarding the effect of the radiotherapy in PanNEC is limited. Iwata *et al.* found that the radiotherapy could improve the survival probability in localized PanNEC (30). Radiotherapy is often palliative care for unresectable PanNEC. PRRT is a novel treatment modality that primarily targets PanNEN with overexpressed somatostatin receptors (17), but the effect of PRRT for PanNEC is unclear. In our study, radiotherapy can not improve the CSS for PanNEC; however, the effects of radiotherapy need to be further studied.

The clinical features of PanNEC are similar to exocrine pancreatic tumors (31), so the 8<sup>th</sup> AJCC stage originally applied to exocrine pancreatic tumors is also applicable for PanNEC. In addition, the TNM stage was a good prognostic factor for CSS in PanNEC in our study, and stage IV had the worst prognosis, probably because tumors at this stage have metastasized.

In our study, nearly 70% of PanNEC patients had liver metastasis, and liver metastasis was identified as an independent risk factor for poor prognosis, which is consistent with previous research findings (18,32,33). Liver metastasis had also been found to be associated with the survival of PanNEC in our study, which might contribute to an increased tumor progression and a lower chance of receiving surgical treatment (18).

To our knowledge, this study is the first to build a nomogram based on a multicenter database to predict the prognosis of elderly-onset PanNEC. Our findings improve clinical management by allowing the identification of independent prognostic factors in patients with elderly-onset PanNEC.

Our study has limitations. First, family history, history of drinking and smoking, and immunotherapy were not obtained from the SEER database. Second, the study's subjects are primarily white, so caution is needed when applying the findings to other ethnic groups, especially Asian populations. Third, our model was not validated externally. Large scale prospective studies are needed to validate the results.

## Conclusions

We built and validated a nomogram that could accurately predict the prognosis of patients with elderly-onset PanNEC, enabling clinicians to predict CSS in these patients.

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## Footnote

**Reporting Checklist:** The authors have completed the TRIPOD reporting checklist. Available at <https://jgo.amegroups.com/article/view/10.21037/jgo-24-344/rc>

**Peer Review File:** Available at <https://jgo.amegroups.com/article/view/10.21037/jgo-24-344/prf>

**Conflicts of Interest:** All authors have completed the ICMJE uniform disclosure form (available at <https://jgo.amegroups.com/article/view/10.21037/jgo-24-344/coif>). The authors have no conflicts of interest to declare.

**Ethical Statement:** The authors are accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved. The study was conducted in accordance with the Declaration of Helsinki (as revised in 2013).

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