

# TALEN-Mediated Gene Editing of *HBG* in Human Hematopoietic Stem Cells Leads to Therapeutic Fetal Hemoglobin Induction

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**Elements within the  $\gamma$ -hemoglobin promoters (*HBG1* and *HBG2*) function to bind transcription complexes that mediate repression of fetal hemoglobin expression. Sickle cell disease (SCD) subjects with a 13-bp deletion in the *HBG1* promoter exhibit a clinically favorable hereditary persistence of fetal hemoglobin (HPFH) phenotype. We developed TALENs targeting the homologous *HBG* promoters to de-repress fetal hemoglobin. Transfection of human CD34<sup>+</sup> cells with TALEN mRNA resulted in indel generation in *HBG1* (43%) and *HBG2* (74%) including the 13-bp HPFH deletion (~6%). Erythroid differentiation of edited cells revealed a 4.6-fold increase in  $\gamma$ -hemoglobin expression as detected by HPLC. Assessment of TALEN-edited CD34<sup>+</sup> cells *in vivo* in a humanized mouse model demonstrated sustained presence of indels in hematopoietic cells up to 24 weeks. Indel rates remained unchanged following secondary transplantation consistent with editing of long-term repopulating stem cells (LT-HSCs). Human  $\gamma$ -hemoglobin expressing F cells were detected by flow cytometry approximately 50% more frequently in edited animals compared to mock. Together, these findings demonstrate that TALEN-mediated indel generation in the  $\gamma$ -hemoglobin promoter leads to high levels of fetal hemoglobin expression *in vitro* and *in vivo*, suggesting that this approach can provide therapeutic benefit in patients with SCD or  $\beta$ -thalassemia.**

## INTRODUCTION

Hemoglobin disorders, including sickle cell disease (SCD) and  $\beta$ -thalassemia, comprise the most common group of human single-gene diseases and exert a major impact on global public health. Recent WHO data suggest that 1% of all couples are at risk for having a child with a hemoglobin disorder, and population-modeling indicates that disease prevalence is on the rise.<sup>1,2</sup> A unifying feature of this heterogeneous group of diseases is reduced functional hemoglobin due to altered protein structure in SCD or insufficient  $\beta$ -hemoglobin pro-

duction in thalassemia, respectively.<sup>3</sup> Clinical management includes blood transfusion and use of hydroxyurea and pain control in SSD. Morbidity, however, remains high and life expectancy lags behind population averages.<sup>4,5</sup> Currently, the only curative therapy for hemoglobin disorders is allogeneic stem cell transplant, and this approach is limited by donor availability and transplant-associated medical complications.<sup>6</sup>

Hemoglobin disorder disease phenotype varies based upon both the underlying genetic mutation and genetic background. Patients who also carry gene variants or deletions that induce expression of fetal ( $\gamma$  or HbG) hemoglobin (HbF) can exhibit a less severe phenotype and decreased morbidity and mortality.<sup>7</sup> A naturally occurring 13-bp deletion (d13) within the *HBG1* promoter (–102 to –114) was initially reported in two siblings with the SCD mutation. Strikingly, this deletion resulted in increased expression of HbF and amelioration of SCD disease symptoms, a phenotype referred to as hereditary persistence of fetal hemoglobin (HPFH).<sup>8</sup> HPFH represents a rare genetic condition that can effectively modulate the severity of  $\beta$ -hemoglobin disorders, and recent advances in the development of designer nucleases capable of mediating targeted double-stranded DNA cleavage make the artificial disruption of this site possible.<sup>9–12</sup> Consistent with this idea, recent work has demonstrated that CRISPR/Cas9-mediated disruption of the *HBG1* or 2 promoter region predominantly results in the generation of a 13-bp deletion. Importantly, gene disruption also created a broad range of unique smaller indels (>40) that also induced HbF expression in primary hematopoietic stem cells *in vitro*.<sup>13</sup>

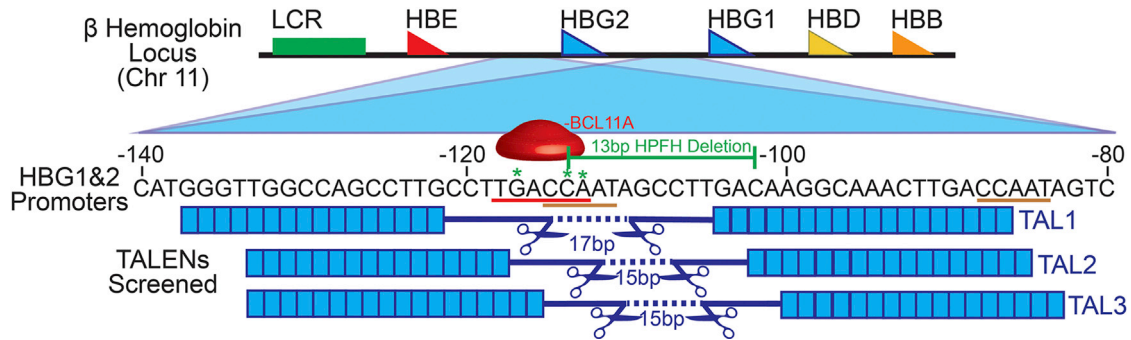
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**Figure 1. Human  $\beta$  Hemoglobin Locus and TALEN Design**

Schematic of the human  $\beta$  hemoglobin locus on chromosome 11 highlighting the homologous sequences of the *HBG1* and *HBG2* ( $A\gamma$  and  $G\gamma$ ) promoters and structural elements. The sequences for each promoter (shown schematically for the  $-80$  to  $-140$  region) are entirely homologous. The putative BCL11A binding sequence (TGACCA) is underlined in red and a red representation of the transcription factor is shown above the sequence. Green \* indicates the location of published HPFH SNPs. The bracketed green line highlights the 13-bp HPFH deletion found naturally in *HBG1*. TALENs selected *in silico* and tested *in vitro* are shown below the promoter sequence. Blue boxes represent the repeat variable diresidues (RVDs), and their positions overlay the corresponding nucleotide bound within the conserved HBG promoter regions. Scissors represent FokI endonuclease, and the dotted line and indicated bp numbers represent the spacer length between the TALEN pairs. The distal ( $-111$  to  $115$ ) and proximal ( $-84$  to  $88$ ) CCAAT boxes in the *HBG1* and *HBG2* promoters are underlined in brown.

To directly evaluate and expand upon this potential therapeutic approach, we generated TALEN (transcription activator-like effector nucleases) pairs targeting regulatory elements within the *HBG1* ( $A\gamma$ ) promoter proximal to the distal CCAAT box ( $-111$  to  $-115$ ). We determined whether delivery of TALEN pairs using mRNA transfection functioned to disrupt the *HBG1* and *HBG2* promoter in mobilized human CD34<sup>+</sup> peripheral blood stem cells (hPBCs). We show that TALEN delivery achieves our goal of efficient generation of double-strand breaks leading, via non-homologous end joining (NHEJ), to a variety of deletions including the naturally occurring 13-bp HPFH deletion and multiple smaller deletions in the promoter of *HBG1* and *HBG2*. We also demonstrate that these events lead to efficient de-repression of fetal hemoglobin expression *in vitro* following differentiation of hPBCs into erythroid cells. Most notably, we show that TALEN-edited hPBCs with therapeutic edits engraft *in vivo* in both primary and secondary recipients in a humanized mouse model. Taken together, these findings indicate that this approach can result in sustained therapeutic editing in human long-term hematopoietic stem cells, suggesting that this strategy may be amenable to direct clinical translation.

## RESULTS

### TALEN Design for Editing of the *HBG1* and *HBG2* Promoter Region

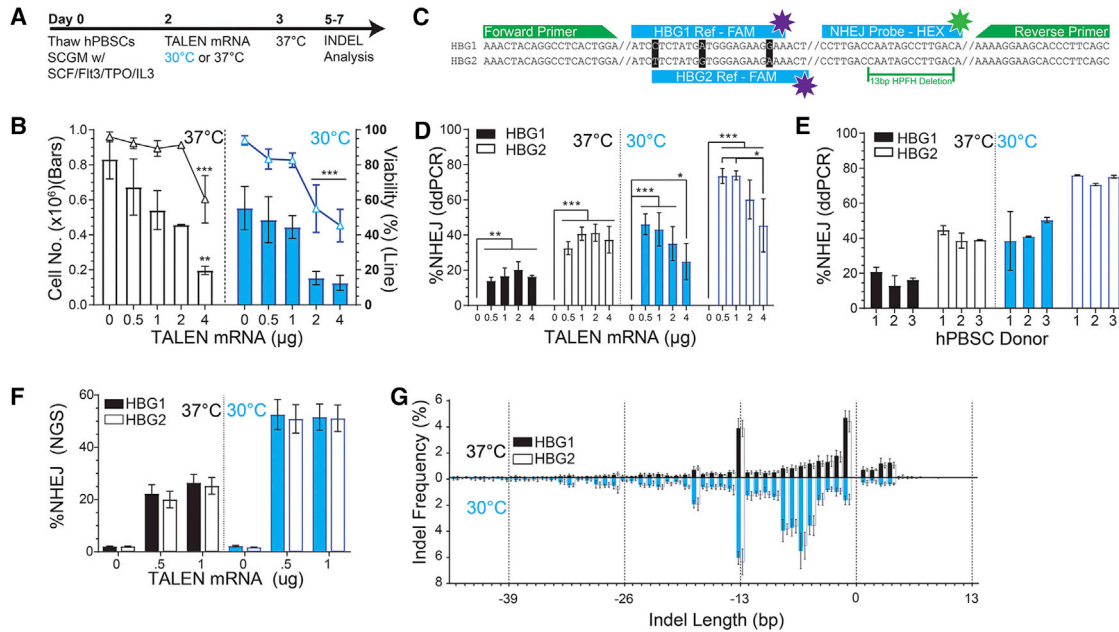
Hemoglobin switching in the post-natal period is orchestrated by key transcription factors binding the promoter elements within the  $\beta$ -hemoglobin gene cluster. Elements of the *HBG1* ( $A\gamma$ ) promoter proximal to the distal CCAAT box ( $-111$  to  $-115$ ) serve as putative binding sites for transcription factors that negatively regulate  $\gamma$ -globin expression (Figure 1). While several transcription factors likely play roles in hemoglobin silencing, recent evidence suggests that a key player is the zinc finger protein BCL11A.<sup>8,14–18</sup> The naturally occurring 13-bp HPFH deletion is located at position  $-102$  to  $-114$  within

the *HBG1* promoter and is predicted to impact the binding of BCL11A (Figure 1).

TALENs comprise an attractive designer nuclease platform for several reasons. First, TALENs function to introduce targeted double-stranded breaks by means of stringent, protein-based DNA sequence recognition. DNA binding is paired with endonuclease cleavage via use of a FokI nuclease fused to each of the respective DNA-binding domains requiring two unique DNA binding sites in a defined proximity leading to a low degree of off-target cutting.<sup>19</sup> TALEN delivery as mRNA avoids the requirement for viral delivery or for protein co-transfection and may thereby avoid pre-existing adaptive immunity to viral components or to Cas9.<sup>20</sup> Based upon this combined rationale, we used *in silico* prediction to design three alternative TALEN pairs to bind sequences flanking the distal CCAAT box of the *HBG* promoter with varied repeat variable diresidue (RVD) sequences and spacer lengths (Figure 1). Prognosis off-target prediction and subsequent sequence-based screening yielded no evidence for significant cleavage (Figure S7). Screening of TALEN pairs in hPBCs using a T7 assay showed that only the “TAL1” pair resulted in significant insertion or deletion (indel) generation in both the *HBG1* and *HBG2* promoters and was used in all subsequent studies (Figure S1).

### Optimized Editing of *HBG1* and *HBG2* in Human PBCs

We next optimized the transfection of hPBCs with TAL1 mRNA (Figure 2A). Total cell number and viability decreased with increasing mRNA concentration with a 4- $\mu$ g transfection resulting in a 35% reduction in viability and 75% decrease in cell expansion at 24 h compared to mock-transfected (0  $\mu$ g) cells. Transient cold shock (16 h recovery at 30°C) led to additional cell toxicity when using mRNA concentrations  $>1$   $\mu$ g (Figure 2B). Despite initial cell losses at higher TALEN doses, overall cell viability and culture growth rates



**Figure 2. Optimizing TALEN Editing Conditions**

(A) Experimental timeline for TALEN transfection of hPBSCs.

(B) Cell viability (right axis) and total cell number (left axis) at 24 h post-TALEN transfection and assessed at two recovery temperatures (37°C and 30°C) versus increasing doses of TALEN mRNA (n = 6 /condition, ANOVA \*p < 0.05, \*\*p < 0.005, \*\*\*p < 0.0005).

(C) Schematic of ddPCR fall-off assay designed to detect NHEJ events at both the *HBG1* and *HBG2* promoters. A common set of primers (green) are used to amplify the *HBG1* and *HBG2* alleles. A common NHEJ ddPCR probe linked to HEX binds over the TALEN target cut site and is designed to fall off if a single base insertion or deletion is present in the binding region. Unique *HBG1* and *HBG2* Ref ddPCR probes linked to FAM bind to a region with three unique nucleotides allowing for editing of *HBG1* and *HBG2* to be assessed independently.

(D) ddPCR results for hPBSCs edited with increasing concentrations of TALEN mRNA at two recovery temperatures (data pooled from three donors).

(E) ddPCR-based assessment of NHEJ rates following transfection with 1  $\mu$ g TALEN across three donors.

(F) NHEJ rates as assessed Illumina MiSeq-based amplicon sequencing; data pooled from three donors.

(G) Indel frequency in *HBG1* and *HBG2* according to size (bp) in alternative recovery temperatures (37°C upper bars; 30°C lower bars). Solid bars represent *HBG1*; open bars represent *HBG2*; data pooled from three donors.

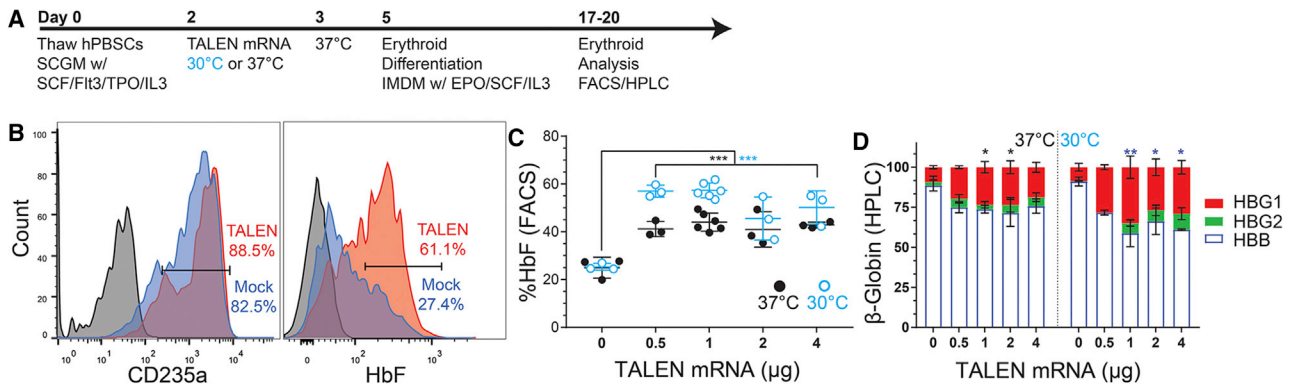
Error bars represent SD.

normalized in all treatment conditions between 48 and 72 h post-electroporation.

We developed a Droplet Digital Polymerase Chain Reaction (ddPCR) drop-off probe assay to estimate indel generation within both the *HBG1* and *HBG2* targets (Figure 2C). Editing rates at *HBG1* and *HBG2* increased  $\sim$ 2-fold when cells were exposed to 30°C cold shock compared with 37°C culture when using 1  $\mu$ g TALEN mRNA ( $17\% \pm 4.1\%$  to  $43.3\% \pm 9.3\%$  and  $41\% \pm 3.6\%$  to  $74\% \pm 2.4\%$ , respectively). Dose titration of TALEN mRNA from 0.5 to 4  $\mu$ g did not significantly increase indel rates with 37°C culture (*HBG1*  $14\% \pm 1.8\%$  to  $20\% \pm 4.5\%$ , *HBG2*  $33\% \pm 3\%$  to  $41\% \pm 5\%$ ). In contrast, 30°C cold shock was associated with maximal editing rates using 0.5–1  $\mu$ g of mRNA and a progressive decline in editing rates as mRNA concentration increased above 1  $\mu$ g (Figure 2D) correlated with decreased cell number and viability at higher mRNA concentrations. Importantly, high rates of gene editing using the 30°C condition were consistent across multiple independent PBSC donors (n = 3). Editing rates at both *HBG* promoters were also consistent across donors regardless of recovery

temperature (Figure 2E) or mRNA concentration (Figure 2D). Notably, despite identical target sites at each locus, editing rates were consistently higher ( $\sim$ 40%–50% relative increase) at *HBG2* compared *HBG1* (Figures 2D and 2E).

As an independent means to assess both indel rates and sizes, we utilized next-generation sequencing (NGS). Overall, indel rates at both *HBG1* and *HBG2* assessed by NGS were similar, although slightly lower, than those obtained by ddPCR. Consistent with ddPCR findings, NGS demonstrated a significant increase in editing following mRNA delivery in the setting of a 30°C cold shock with indel rates increased  $\sim$ 2-fold from  $26\% \pm 3\%$  to  $52\% \pm 4\%$  at the 1- $\mu$ g mRNA transfection dose (p < 0.0005; Figure 2F). With respect to the spectrum of indels, the majority comprised 0- to 10-bp deletions centered around the TALEN cut site. Notably, there was also a consistent and significant increase in 13-bp deletions (3.8% at 37°C and 6.3% at 30°C) recapitulating the naturally occurring HPFH deletion (Figure 2G).<sup>8</sup> As previously described,<sup>13</sup> this specific deletion likely reflects the impact of micro-homology-templated DNA repair of



**Figure 3. TALEN Editing Drives Fetal Hemoglobin Expression in Differentiated hPBCs**

(A) Experimental timeline for erythroid differentiation and analysis following transfection.

(B) Representative flow cytometry data at day 14 comparing erythroid progeny in mock (blue) versus TALEN-edited (orange) hPBCs and isotype control in brown. Left, CD235a<sup>+</sup> staining; Right, HbF expression.

(C) Combined analysis of HbF expression at increasing doses of TALEN mRNA and at different recovery temperatures (30°C, blue circles; 37°C, black circles).

(D) Hemoglobin expression by HPLC following transfection with 1 μg TALEN mRNA at alternative recovery temperatures. (ANOVA \* $p < 0.05$ , \*\* $p < 0.005$ , \*\*\* $p < 0.0005$ ); data pooled from three donors.

Error bars represent SD.

double-stranded DNA breaks in this region. Importantly, TALEN mRNA delivery in the setting of 30°C cold shock not only resulted in increased editing but also shifted the indel spectrum to favor larger deletions with 17% versus 3.4% of all sequences exhibiting deletions of at least 5–8 bp in the cold shock compared to 37°C condition (Figure 2G). These smaller deletions, similar to the 13-bp deletion, would be predicted to limit the recruitment of transcriptional repressors, including BCL11A and others, to the –110 to –120 region containing the distal CCAAT box adjacent to the TAL1 nuclease cleavage site.

#### TALEN-Induced Deletions Promote Fetal Hemoglobin Expression in Differentiated Erythroid Cells

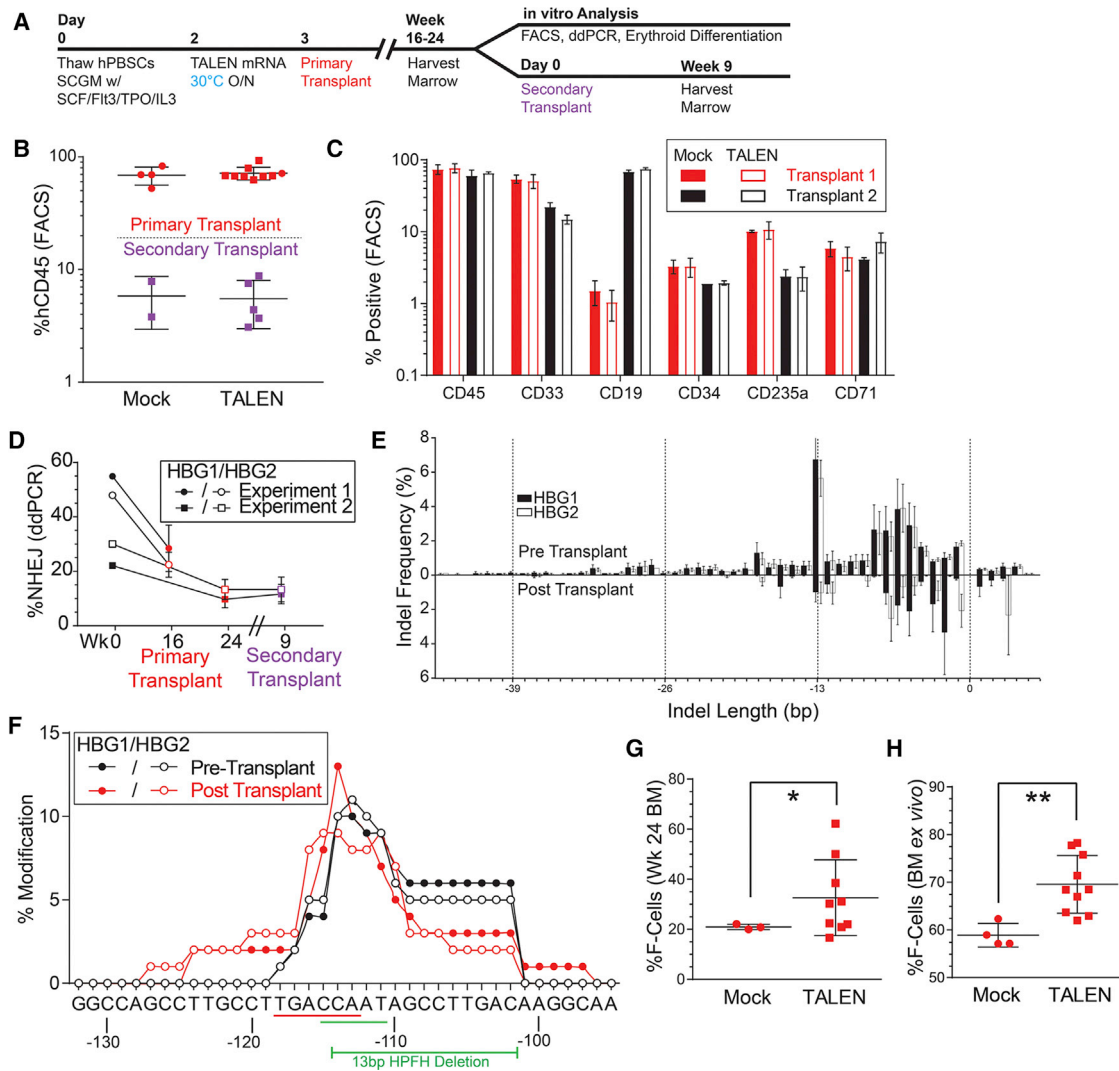
Using the experimental approach outlined in Figure 3A, we assessed the impact of TALEN-based editing on fetal hemoglobin expression *in vitro*. Following a shift to erythroid differentiation culture media, both mock- and TALEN-transfected hPBCs expanded and differentiated into erythroid progeny. Differentiated cells exhibited matching profiles of CD235a expression (Figure 3B), CD71 expression, and time to visual hemoglobin detection in cell pellets (data not shown). Compared to mock-edited cells, the presence of HbF expressing F cells was significantly increased in TALEN-edited conditions ( $p < 0.0005$ ). 37°C culture step resulted in a 1.6-fold increase in HbF expression (25% ± 4% versus 44% ± 4% for 0 versus 1 μg mRNA, respectively) while TALEN delivery with 30°C cold shock resulted in a 2.3-fold increase in HbF expression (25% ± 2% versus 57% ± 3% for 0 μg versus 1 μg mRNA; Figure 3C). Consistent with *in vitro* editing rates (as assessed by ddPCR or NGS), the highest levels of HbF were observed using 0.5–1 μg TALEN mRNA and a 30°C cold shock.

We also utilized HPLC to directly measure HbF production. We observed a significant increase in total HbF (HBG1 and HBG2) pro-

tein levels by HPLC in cells transfected with ≥ 1 μg TALEN mRNA. Using 1 μg mRNA and 37°C culture, we observed a 2.4-fold increase in total HbF (26% ± 3%). In contrast, use of the 30°C cold shock resulted in a 4.6-fold increase in HbF protein expression (41% ± 8%;  $p < 0.005$ ) (Figure 3D). Notably, despite the higher indel rates at HBG2 (as detected by ddPCR), the majority of the HbF protein detected by HPLC was HBG1 with a smaller contribution made by HBG2. This latter observation is consistent with association of the HPFH phenotype with deletions specifically within the HBG1 promoter and may also suggest that the larger deletions generated by dual editing of HBG1 and HBG2 may also be functionally active. Finally, HbF induction was consistently associated with a reciprocal reduction in HbA thereby maintaining an equivalent ratio of α and β hemoglobin proteins (Figure S3). These combined data demonstrate that TALEN-mediated indels in the HBG1 and HBG2 promoters result in increased numbers of F cells as well as overall fetal hemoglobin protein production. The increased indel generation seen following 30°C cold shock correlates with higher rates of fetal hemoglobin production.

#### Sustained Multi-lineage Engraftment of TALEN-Edited hPBCs in a Humanized Murine Model

Having demonstrated efficient TALEN-based gene editing of hPBC *in vitro*, we next assessed the capacity of edited cells to engraft *in vivo*. We utilized transplantation of hPBC into the immune-deficient W41 mouse strain (Jackson Labs 026622) mouse strain to assess long-term engraftment and function of gene-edited cells (Figure 4A). Compared to the NOD-scid IL2Rg<sup>null</sup> (NSG) animals, the W41 strain permits enhanced human hematopoietic chimerism and erythroid development in the marrow with minimal conditioning.<sup>21</sup> Representative flow analysis of the bone marrow at week 24 demonstrates robust human engraftment (hCD45 = 52% ± 22% mock, 54% ± 16% TALEN edited) (Figure 4B). Human cells were present in all



**Figure 4. Sustained Multi-lineage Engraftment of TALEN-Edited hPBSCs in Primary and Secondary Recipient W41 Mice**

(A) Experimental schema for hPBSC editing and engraftment in primary and secondary recipient W41 mice.  
 (B) Human cell engraftment (% hCD45<sup>+</sup> cells) at time of sacrifice in primary and secondary recipient mice. Shapes designate individual mice within each experimental cohort.  
 (C) FACS analysis of human hematopoietic cell lineages in primary recipients at sacrifice (transplant 1, 16 weeks; transplant 2, 24 weeks).  
 (D) NHEJ rates in *HBG1* and *HBG2* as detected by ddPCR at time of sacrifice in primary and secondary recipients.  
 (E) Indel frequency in *HBG1* (filled bars) and *HBG2* (open bars) both pre- (upper bars) and post-transplant (lower bars) as measured by next-gen sequencing. Values are displayed as percentage of all sequence reads corresponding to a given insertion or deletion size. n = 5 animals.  
 (F) Comparison of percent modification (deletion) at each nucleotide pre- (black lines) and post-transplant (red lines). Closed and open circles designate *HBG1* and *HBG2*, respectively. Location of BCL11A binding site (red line), distal CCAAT box (green line), and 13-bp HPFH deletion are indicated.  
 (G) Flow analysis of HbF expression in human cells (CD45<sup>+</sup>, CD235<sup>+</sup>) within the bone marrow at week 24 in transplant 2 (time of sacrifice).  
 (H) Flow analysis of HbF<sup>+</sup> cells following *ex vivo* liquid erythroid differentiation from the same experiment. Bone marrow cells differentiated in liquid differentiation media result in a heterocellular phenotype with high background HbF expression.<sup>47</sup> t test, \*p < 0.05, \*\*p < 0.005.  
 Error bars represent SD.

lineages, including CD19<sup>+</sup> B cells, CD33<sup>+</sup> myeloid cells, CD34<sup>+</sup> lymphoid and myeloid progenitors, and in erythroid lineage cells including pro-erythroblasts (CD71<sup>+</sup>), erythroblasts (CD71<sup>+</sup> CD235a<sup>+</sup>), and reticulocytes (CD235a<sup>+</sup>) (Figure S4). There was no significant difference in the overall engraftment rates in recipients

of TALEN-edited versus mock-transfected control hPBSCs (Figure 4C). To definitively assess engraftment and editing in long-term repopulating stem cells (LT-HSCs), secondary transplants were established. For these studies, we utilized bone marrow cells isolated at 24 weeks post-transplant from primary recipients in transplant 2.

At 9 weeks post-secondary transplant, we observed an average human engraftment of 5%, including multiple lineages, findings consistent with previously reported secondary engraftment rates in the human xenograft W41 mouse model<sup>21</sup> (Figure 4B).

At the time of sacrifice in primary recipients, editing rates were approximately half of the input rate (average 50% ± 4% in input versus 23% ± 8% in engrafted cells [at 16 weeks in transplant 1], and 24% ± 2% versus 9.4% ± 4% [at 24 weeks in transplant 2; Figure 4D]). Indel distribution was similar to that observed pre-transplant with the exception of a decrease in proportion of the 13-bp deletion from 17.4% of detected indels in the *HBG1* promoter to 6.9% and from 16.9% to 8% in the *HBG2* promoter (Figures 4E and S5). While the overall editing rates decreased 2-fold post-transplant, the proportional editing by nucleotide did not change significantly. Most notably, the majority of deletions were located within in the BCL11A binding sequence (*HBG1*, 31% to 40%; *HBG2*, 34% to 40%) and the distal CCAAT box (*HBG1*, 42% to 47%; *HBG2*, 45% to 43%) with proportionally fewer persistent indels over the distal 13-bp HPFH deletion (*HBG1*, 93% to 69%; *HBG2*, 86% to 60%) (Figure 4F). Of note, no previous studies of globin gene editing have assessed editing rates in secondary transplants. Importantly, our findings indicate that editing rates and indel distribution are maintained following secondary transplant (9.4% ± 4% in primary and 10.4% ± 4% in secondary recipients, respectively), suggesting that the edited population contains LT-HSCs capable of secondary engraftment (Figure 6).

We also assessed fetal hemoglobin induction in transplant recipients. F cells were increased significantly at the time in the marrow of animals that received the TALEN-edited cells (32% ± 15% on average) compared to mock controls (20% ± 1% average) ( $p < 0.05$ , Welch's t test) (Figure 4G). These cells represent human erythrocytes present in the mouse marrow space. Unsorted marrow cells were also cultured in erythroid differentiation media to assess the potential of engrafted human stem and progenitor cells to differentiate into erythroid progeny. Cultured marrow from recipients of TALEN-edited PBSCs produced human erythrocytes with more F cells (70% ± 6%) compared to bone marrow from control recipient animals (59% ± 2%) (Figure 4H). Erythroid colonies differentiated in methylcellulose expressed significantly higher levels of fetal hemoglobin if indels were present (Figure S8). Taken together, these data demonstrate that TALEN-edited hPBSCs include a population of LT-HSCs that retain indels and express higher levels of fetal hemoglobin.

## DISCUSSION

The advent of targeted gene editing has raised the possibility of modifying the genome of hematopoietic cells as a means of therapeutic fetal hemoglobin induction. Previous work has begun to define key genomic loci and transcription factors that facilitate silencing of fetal hemoglobin in the post-natal period.<sup>22–24</sup> While the molecular mechanisms that regulate developmental hemoglobin switching are complex, the reduced morbidity and mortality following deletions that lead to de-repression of *HBG1* and 2, suggest that this reg-

ulatory nexus represents an excellent target for therapeutic gene editing.

Consistent with this idea, we demonstrate that TALEN editing of the *HBG1* and *HBG2* promoter in hPBSCs efficiently drives fetal hemoglobin induction *in vitro* and *in vivo*. The double-stranded DNA breaks induced by TALEN cleavage resolve primarily into small deletions near the TALEN cut site. *In vitro*, a significant proportion of the resultant deletions also recapitulate the naturally occurring 13-bp HPFH deletion as described in patients with SCD.<sup>8</sup> Generation of this canonical deletion likely occurs due to a high degree of microhomology within surrounding sequences that increase the likelihood of DNA repair events that resolve with this specific sequence.<sup>13</sup> d13 HPFH subjects express fetal hemoglobin at levels that abrogate the sickle phenotype.<sup>8</sup> Notably, the majority of TALEN-based edits generated using the targeting approach described in this study, including the majority of edits that are retained *in vivo*, result in deletions that are smaller than the canonical 13-bp deletion. However, similar to the 13-bp deletion, based upon their location, these deletions are predicted to similarly disrupt the binding sites for key repressive elements including BCL11A and the DRED and COUP-TFII complexes. Our combined findings showing sustained editing and sustained fetal hemoglobin induction *in vivo* following transplantation of TALEN-edited PBSC strongly support this idea.

As part of our study, we assessed the impact of transient cold shock following TALEN mRNA transfection. Our findings demonstrate that this approach leads a near doubling of indel generation at both the *HBG1* and *HBG2* target sites as well as an increase in large intergenic deletions. Similar use of cold shock for zinc finger nuclease (ZFN)-based gene editing has been previously reported, and this increase in efficiency likely reflects delayed mRNA and protein turnover and/or molecular chaperone induction.<sup>25</sup> NGS of TALEN target sites revealed that, in addition to increased indel generation, the size of resulting indels was also significantly increased in the setting of transient cold shock. The increased indel frequency and size yielded an overall increase in total HbF expression *in vitro* of as high as 41%, levels well above a clinically relevant threshold of 10%–20%.<sup>7</sup>

Interestingly, we noted a preferential expression of *HBG1* protein by HPLC despite higher editing rates detected by ddPCR in the *HBG2* promoter. A likely explanation for this finding is generation of a 4.9-kb intergenic deletion following cleavage at both TALEN sites, an event that is resolved as a fusion gene that expresses *HBG1* protein using the *HBG2* promoter. The ddPCR drop-off assay used in our study was not designed to detect this large intergenic deletion. Thus, results from this ddPCR assay likely underreport the true rate of editing at the *HBG1* locus while accurately reflecting editing rates at *HBG2*. To directly assess for the presence of this predicted larger deletion, we utilized a modified ddPCR assay (Figure S2). Using this assay, we demonstrate that the editing rate differences detected at *HBG1* versus *HBG2* are largely accounted for by the prevalence of this large deletion event. While we have not directly assessed the full impact of this deletion in our study, the relatively high occurrence

rate and lack of obvious impact on cellular viability or phenotype, suggest such events are well tolerated in TALEN-edited PBSCs and unlikely to be detrimental.

A critical finding in this study is the demonstration that TALEN-edited hPBSCs are capable of sustained primary and secondary engraftment in a humanized mouse model system. We believe this is the first time this has been demonstrated in the field of hemoglobin gene editing. Following primary transplantation, we observed a 2-fold reduction in indel rates. This decline is consistent with previous reports assessing engraftment of gene-edited human HSCs and likely reflects a combination of events, including higher editing rates in rapidly dividing committed progenitors that are lost over time *in vivo* and, possibly, decreased engraftment of LT-HSCs that undergo and repair double-strand breaks.<sup>26,27</sup> Importantly, despite this initial decline in indel frequency, we demonstrate maintenance of clinically relevant indel rates in both primary and secondary transplant recipients, findings consistent with having edited an LT-HSC population. As noted above, post-transplant indel profiles identified by NGS revealed partial loss of the d13 HPFH mutation with retention of smaller indels. The skewing of retained edits may reflect differences in the activity of specific DNA repair pathways in various hPBSC progenitors, implying that long-term engrafted cells exhibit limited activity of micro-homology-directed repair and primarily generate indels by the NHEJ pathway.<sup>28,29</sup> Analysis of retained deletions at each nucleotide (Figure 4F) revealed that the majority of deletions occur in the BCL11A and DRED/COUP-TFII repressor-binding motifs.<sup>17</sup> The resultant phenotype of increased F cells despite the relative decrease of the d13 HPFH deletion suggests that smaller deletions disrupting these putative negative repressor binding sites are sufficient for fetal hemoglobin expression.

Therapeutic gene editing has the potential to become a curative option for patients with hemoglobinopathies. Clinical trials involving the lentiviral delivery of an anti-sickling  $\beta^{A(T87Q)}$ -globin gene are well underway.<sup>30</sup> Other clinical trials in the recruitment phase aim to induce fetal hemoglobin expression by targeted disruption or small hairpin RNA (shRNA) knockdown of the transcription factor BCL11A.<sup>31–34</sup> One potential drawback to this approach is that BCL11A plays an important role in other hematopoietic cell types including B lymphocytes and is also expressed in non-hematopoietic cells.<sup>35–37</sup> While clinical trials based on this approach will utilize editing strategies that preferentially impact erythroid cells, this approach will require careful monitoring to assess potential non-erythroid events.<sup>38</sup> TALEN-targeted disruption of the  $\gamma$  globin promoter yields at least equivalent to if not higher HbF expression than that seen when ZFNs are used to disrupt the erythroid enhancer of BCL11A.<sup>27</sup> Recent data suggest that the 13-bp HPFH deletion overlaps the major BCL11A binding site within the *HBG1* and/or *HBG2* promoter (in addition to other putative repressive elements).<sup>17</sup> Thus, targeting the multiple transcription factor binding sites described here and in previous studies using either *ex vivo*<sup>39</sup> or *in vivo*<sup>13</sup> CRISPR/Cas9-mediated editing may specifically ablate the activity of BCL11A within this locus, thereby limiting the impact of edits more strictly

to the erythroid lineage. This target site may also be amenable to megaTAL-based nuclease editing.<sup>40</sup> While it remains to be determined which of these alternative nuclease platforms and delivery approaches will reach clinical application, our demonstration of sustained editing in LT-HSCs in secondary recipient humanized mice support the potential for clinical translation.

In most clinical gene-editing applications, designer nucleases are used to facilitate either NHEJ- or homology-directed repair (HDR) gene modification. In applications that utilize HDR, NHEJ events that occur in parallel are typically undesirable. In contrast, while not described here, targeting the  $\gamma$ -hemoglobin promoter offers a unique advantage that clinical benefit may be achieved concurrently via both NHEJ-induced indel generation and introduction of repair templates via HDR. In parallel with the NHEJ-based induction of fetal hemoglobin described here, a range of HDR templates might offer potential additional clinical benefits, including use of HDR to introduce defined deletions (including the 13-bp HPFH deletion or BCL11A binding-motif disruption) or, alternatively, larger gene cassettes that induce expression of  $\beta$ -hemoglobin or permit post-editing selection of gene-modified progenitors. Current studies using the approach described here including assessment in non-human primate models and using HDR templates will help fully define the clinical validity of editing the  $\gamma$  promoter and may provide additional therapeutic options for the treatment of hemoglobinopathies.

## MATERIALS AND METHODS

### TALEN Generation and Production

The TALE-NT Targeter 2.0 (<https://tale-nt.cac.cornell.edu/>) was used to query the human *HBG1* promoter (*Homo sapiens*, alternate assembly CHM1\_1.1, 5270034-5270208), Miller architecture and Streubel guidelines.<sup>41–44</sup> Three TALEN pairs were selected for production (Table S1). Off-target site prediction was carried out using the PROGNOS bioinformatics tool.<sup>45</sup> TALENs were assembled by Golden Gate cloning and inserted into a novel linear plasmid pEVL backbone to encode a 300-bp poly(A) tail.<sup>44,46</sup> Constructs were screened and validated by Sanger sequencing. mRNA production was carried out using the CELLSRIPT T7 mScript kit following manufacturer's protocol (CAP-1 architecture). Tail length was validated by Lonza flash gel following manufacturer's protocol. mRNA was eluted in nuclease-free water, and concentration was confirmed by Nanodrop spectrophotometer. Plasmid data is published on GenBank (GenBank: MH\_990331 and MH\_990332).

### ddPCR

The NHEJ assay measured editing at *HBG* loci using a reference FAM probe specific to *HBG1* or *HBG2* and a NHEJ-HEX probe specific to the unedited TALEN cut sites. Primers and probes are listed in Table S2. Editing was measured using 50 ng of genomic DNA (gDNA) with either an *HBG1* or *HBG2* FAM probe along with the NHEJ-HEX probe (1 $\times$  assay, 900-nM primers and 250-nM probe) using ddPCR supermix for probes (no deoxyuridine triphosphate [dUTP]). Droplets were generated with the QX200 Droplet Generator (Bio-Rad) and amplified. Fluorescence was measured using the QX200 Droplet

reader (Bio-Rad) and analyzed using Quantasoft. Editing rates were calculated as the relative frequency (%) of FAM<sup>+</sup> and HEX<sup>-</sup> events compared to all FAM<sup>+</sup> events.

### Transplantation

$1 \times 10^6$  CD34<sup>+</sup> hPSCs (control and TALEN transfected) were injected by tail vein into W41 mice (Jackson Labs Strain 026622, NBSGW<sup>21</sup>) following minimal radiation (150 cGy) 18 h post-transfection. Bone marrow was harvested and analyzed 16–24 weeks post-transplant. Secondary W41 mice were transplanted with 50% of the marrow cells harvested from the primary recipient mice. Secondary mice were sacrificed 9 weeks post-transplant. gDNA was isolated for analysis by QIAGEN DNeasy Blood and Tissue kit (#69506) per manufacturer's protocol. Animal experiments were conducted in accordance with institutional guidelines. All animal experiments were carried out following IACUC review and approval at both the Seattle Children's Research Institute (SCRI) and Fred Hutchinson Cancer Research Center (FHRC) and conformed to all institutional regulatory standards.

### SUPPLEMENTAL INFORMATION

Supplemental Information includes Supplemental Materials and Methods, seven figures, and two tables and can be found with this article online at <https://doi.org/10.1016/j.omtm.2018.12.008>.

### AUTHOR CONTRIBUTIONS

C.T.L. designed research, performed research, analyzed and interpreted data, and wrote the manuscript; S.P. designed research, interpreted data, and participated in writing of the manuscript; M.B. performed research and analyzed data; C.N. performed research; D.A.F. performed research; O.N. performed research; O.H. designed research; J.G.Y. performed research; C.L. performed research; K.J. designed research; I.B. designed research; H.-P.K. designed research; A.M.S. designed research and analyzed and interpreted data; D.J.R. designed research, analyzed data, and wrote the manuscript.

### CONFLICTS OF INTEREST

A.M.S. is an employee of Casebia Therapeutics. O.N., C.L., and J.G.Y. are employees of bluebird bio.

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