

# Multi-Window CT Based Radiological Traits for Improving Early Detection in Lung Cancer Screening

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**Rationale and Objectives:** Evaluate ability of radiological semantic traits assessed on multi-window computed tomography (CT) to predict lung cancer risk.

**Materials and Methods:** A total of 199 participants were investigated, including 60 incident lung cancers and 139 benign positive controls. Twenty lung window features and 2 mediastinal window features were extracted and scored on a point scale in three screening rounds. Multivariate logistic regression analysis was used to explore the association of these radiological traits with the risk of developing lung cancer. The areas under the receiver operating characteristic curve (AUROC), sensitivity, specificity, and positive predictive value (PPV) were computed to evaluate the best predictive model.

**Results:** Combining mediastinal window-specific features with the lung window features-based model significantly improves performance compared to individual window features. Model performance is consistent both at baseline and the first follow-up scan, with an AUROC increased from 0.822 to 0.871 ( $p = 0.009$ ) and from 0.877 to 0.917 ( $p = 0.008$ ), respectively, for single to multi-window feature models. We also find that the multi-window CT based model showed better specificity and PPV, with PPV at the second follow-up scan improved to 0.953.

**Conclusion:** We find combining window semantic features improves model performance in identifying cancerous nodules. We also find that lung window features are more informative compared to mediastinal features in predicting malignancy.

**Keywords:** multi-window, radiological, CT, lung cancer, screening

## Introduction

Lung cancer continues to be the leading cause of cancer-related deaths worldwide.<sup>1</sup> In 2017, an estimated 155,870 deaths were reported, representing approximately 26% of all cancer deaths in the United States.<sup>2</sup> The early detection of lung cancer in high-risk individuals could improve survival. Low-dose computed tomography (LDCT) scans can help to detect small and potentially curable lung cancer in its early stage.<sup>3,4</sup> The National Lung Screening trial (NLST), which compared LDCT and standard chest X-ray with three annual screens, demonstrated a 20% reduction in lung cancer mortality among those at high-risk.<sup>8</sup> Based on the findings from the NLST, the US Preventive Services Task Force issued a recommendation for annual lung cancer screening by LDCT.<sup>5</sup> There were few other follow-up meta-analyses to address the mortality reduction effect in both smokers and non-smokers.<sup>6,7</sup>

However, balancing the benefits of early detection and reducing harmful radiation exposure of lung cancer screening is currently a controversial issue.<sup>8</sup> The high false positive rate is a major limitation of lung cancer screening, which will result in

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additional periodic testing or the need for an invasive procedure.<sup>9</sup> Meanwhile, the fact that the majority of non-small cell lung cancers (NSCLCs) in the NLST were treated when they were greater in size than the curable threshold poses a diagnostic and management challenge.<sup>10</sup> There is a need for early, precise detection tools to identify malignant tumors at smaller sizes within the curable threshold in order to apply LDCT to maximize the benefits of lung cancer screening.

In current practice, pulmonary nodules identified with computed tomography (CT) are managed according to widely accepted guidelines that heavily rely on nodule size.<sup>11–14</sup> In NLST, a non-calcified nodule equal or greater than 4 mm was used as a criterion for identifying positivity, with little focus given to other abnormalities such as adenopathy or pleural effusion, leading to higher variability in positive nodule detection.<sup>15</sup> Based on this definition, the false positive rate was reported to be approximately 96.4%.<sup>8</sup> The Lung CT Screening Reporting and Data System (Lung-RADS)<sup>16</sup> was proposed by the American College of Radiology as a guideline for nodule management and to provide risk assessment for LDCT findings. Lung-RADS uses an adaptive approach and evaluates the lung nodules differently at baseline compared to subsequent screenings time points. At baseline screening, nodule size and nodule attenuation are considered, whereas for subsequent screenings, the criteria require changes in size of the lesion to assess risk of malignancy. In a recent study, Henry et al<sup>17</sup> reported that using Lung-RADS criteria would reduce the false positive rate compared to size-based metric used by the NLST, but the study claims it would also result in an increase in false negative results. It becomes imperative to develop non-size-based

approaches to distinguish malignant from benign lung nodules in LDCT.

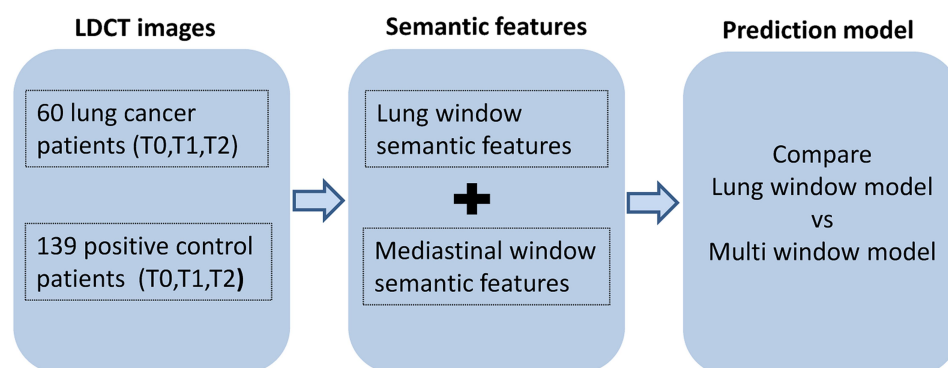
Our previous studies have shown the usefulness of semantic features in quantitative capture of radiologist opinion and their ability to improve detection of malignancy.<sup>18–20</sup> Most of these proposed semantic features of primary lung nodules were defined on the lung window. Lee et al<sup>20</sup> and Sajin et al<sup>21</sup> have shown that the different parts of lung nodules that are visible in these CT windows (lung and mediastinal windows) were associated with different pathological components. It has to be noted that radiologists commonly switch between windows to improve diagnostic accuracy in conventional radiology.

We hypothesize that using different CT window settings allows us to better characterize heterogeneous tumors, which reflect different components and structures of the investigated lung nodules. The current study aims to develop a systematic approach to quantify multi-window CT based radiological descriptors and identifies a set of discriminant LDCT imaging characteristics that could be used to provide a risk assessment for the early detection of lung cancer.

## Materials and Methods

### Study Population

The patients' LDCT images and clinical information were obtained from the Cancer Data Access System after executing a data usage agreement with the NLST.<sup>21</sup> The patient data curation and cohort selection has been well documented in our previous publications.<sup>22–24</sup> In brief, the NLST study accrued a total of 53,454 participants who were at high risk (smokers) of lung cancer enrolled at 33 United States medical centers. Patients were randomly



**Figure 1** Schema of the study. The lung window and mediastinal window radiological traits were scored by radiologists and correlated with lung cancer risk.

**Table 1** Multi-Window Semantic Features for Lung Cancer

Characteristics	Definition	Scoring Definition
Mediastinal window		
Distribution pattern	The distribution of the tumor over the whole lesion area in the mediastinal window	0 = concentrated 1 = scattered
Solid part ratio	The ratio of the tumor area of the mediastinal window to that of the lung window	1 = $0 \leq R < 25\%$ 2 = $25\% \leq R < 50\%$ 3 = $50\% \leq R < 75\%$ 4 = $75\% \leq R < 100\%$
Lung window		
Location		
Distribution	Central location: tumor located in the segmental or more proximal bronchi peripheral location: tumor located in the subsegmental bronchi or more distal airway	0 = central 1 = peripheral
Lobe location	Lobe location of the tumor	1 = right upper lobe (RUL) 2 = right middle lobe (RML) 3 = right lower lobe (RLL) 4 = left upper lobe (LUL) 5 = left lower lobe (LLL)
Size		
Long axial diameter	Longest diameter of the tumor	
Short axial diameter	Longest perpendicular diameter in the same slice	
Shape		
Contour	The overall shape of roundness	1 = round 2 = oval 3 = somewhat irregular 4 = irregular
Lobulation	A lobulated border was defined as a portion of a lesion's surface showing a wavy or scalloped configuration	1 = none 2 = lobulation number less than 3 3 = lobulation number between 4 and 6 4 = lobulation number more than 6
Concavity	Concave cuts	1 = none 2 = slight concavity 3 = deep concavity
Margin		
Border definition	Well- or ill-defined border	1 = well-defined 2 = everything else between 1 and 3 3 = poorly defined

(Continued)

**Table I** (Continued).

Characteristics	Definition	Scoring Definition
Spiculation	Lines radiating from the margins of the tumor	1 = none 2 = fine spiculation 3 = coarse spiculation
Density		
Texture	Solid or ground-glass opacity	1 = non-solid 2 = part-solid 3 = solid
Internal		
Air bronchogram	Tube-like or branched air structure within the tumor	0 = absence of air bronchogram 1 = presence of air bronchogram
Cavitation	The presence of air in the tumor at the time of diagnosis, prior to biopsy or treatment	1 = none 2 = slight concavity 3 = deep concavity
External		
Fissure attachment	Tumor attaches to the fissure, tumor's margin is obscured by the fissure	0 = no 1 = yes
Pleural attachment	Tumor attaches to the pleura other than fissure, tumor's margin is obscured by the pleura	0 = no 1 = yes
Vascular convergence	Convergence of vessels to the tumor, only applied to peripheral tumors	0 = no 1 = yes
Thickened adjacent bronchovascular bundles	Widening of adjacent bronchovascular bundle	0 = no 1 = yes
Vessel attachment	Tumor was attached to vessel	0 = no 1 = yes
Pleural retraction	Retraction of the pleura towards the tumor	0 = absence of pleural retraction 1 = presence of pleural retraction
Focal emphysema	Focal emphysema caused by the tumor or preexisting emphysema	1 = absence of focal emphysema 2 = slight or moderate focal emphysema 3 = severe focal emphysema
Focal fibrosis	Focal fibrosis caused by the tumor or preexisting fibrosis	1 = absence of focal fibrosis 2 = slight or moderate focal fibrosis 3 = severe focal fibrosis

assigned to LDCT (26,722 individuals) and chest X-ray radiography examination, who were administered baseline and two annual follow-up scans. On confirmation of lung

cancer, the participants were either dropped or followed up; both categories of patients were offered treatment options that followed a standard screening protocol.

The study cohort (Figure 1) has 199 patients in total, of whom 60 had lung cancers and 139 had a benign diagnosis (nodule positive controls). Incident lung cancer was identified as positive on the baseline scan according to the NLST criteria, and later followed up to be diagnosed as lung cancer at the first or second follow-up scan. The positive control group underwent three consecutive positive screens that were not diagnosed as a malignancy. The two groups were matched in terms of demographic characteristics, such as age, sex, smoking status, and other factors. This cohort had been described in a previous study for a complementary study goal.<sup>20</sup>

## Multi-Window CT Image Analysis

Sequential LDCT images from three time points, baseline (T0), first follow-up (T1), and second follow-up (T2), each with about 1 year time interval between the time points, were reviewed by a clinical radiologist (H. L.) with more than 10 years of experience in thorax imaging. The reviewer was blinded to the patient's clinical information and their final diagnosis when evaluating the nodules. All LDCT images were displayed and scored using lung (WW 1500Hu, WL -600Hu) and mediastinal (WW 350Hu, WL 40Hu) window settings, independently. A total of 20 CT radiological traits (semantics) were defined in the lung window; two were defined in the mediastinal window (Table 1). The lung window semantic features were classified into seven groups, including location, size, shape, margin, density, internal features, and external features. The two mediastinal window-specific features were distribution patterns and solid part ratio. Each slice containing the tumor was evaluated and the average score was

provided as the final assessment. Each CT descriptor was rated for binary or ordinal point scale.

The inter-reader agreement between expert radiologist assessed semantics in lung window has been analyzed.<sup>20</sup> In this study, we assessed the reproducibility of mediastinal semantic features on a randomly selected sample of 40 patients (20 incident lung cancers and 20 benign positive controls). Semantics were independently scored by an attending radiologist (J. Q.) with more than 10 years' experience in lung radiology. Patient images were reviewed using identical DICOM image viewer software (PACS), following same definition for multi-window CT setting, using a standardized images trait sheet. The nodule's anatomical location center slice number for a patient scan was obtained from the curated tables and exchanged between the study radiologists.

## Statistical Analysis

All statistical analyses were performed using SAS software (version 9.4, Cary, NC). Continuous variables are reported as medians and ranges, while categorical variables are reported as counts and percentages. The logistic regression model was used to build the predictive models. Variables with a *p*-value < 0.15 in the univariable model were included in the multivariable model; a higher level is set to accommodate more features. The backward elimination method was used to select the final predictive model; at each step, features with a *p*-value > 0.05 were eliminated. Further, the classification and regression tree model was considered; prune by cost complexity method and grow by entropy method (results omitted). In this study, the regression model was selected because of better stability. Receiver operating characteristic curves for each

**Table 2** Multivariable Analysis of Lung-Window Features in Predicting Lung Cancer at Three Time Points

Time	Features	<i>p</i>	Odds Ratio	95% CI	
			Point	Lower	Upper
T0	Contour	0.0008	4.70	1.90	11.67
	Border definition	0.0004	4.19	1.88	9.31
	Peri-nodule emphysema	0.020	3.41	1.21	9.61
T1	Contour	<0.0001	12.69	5.02	32.10
	Border definition	0.012	3.05	1.28	7.28
	Attachment to vessel	0.010	3.22	1.33	7.79
T2	Contour	0.003	5.77	1.79	18.56
	Concavity	0.007	7.64	1.75	33.46
	Border definition	0.004	5.33	1.71	16.57
	Spiculation	0.014	13.62	1.71	108.44
	Pleural attachment	0.008	5.54	1.57	19.56

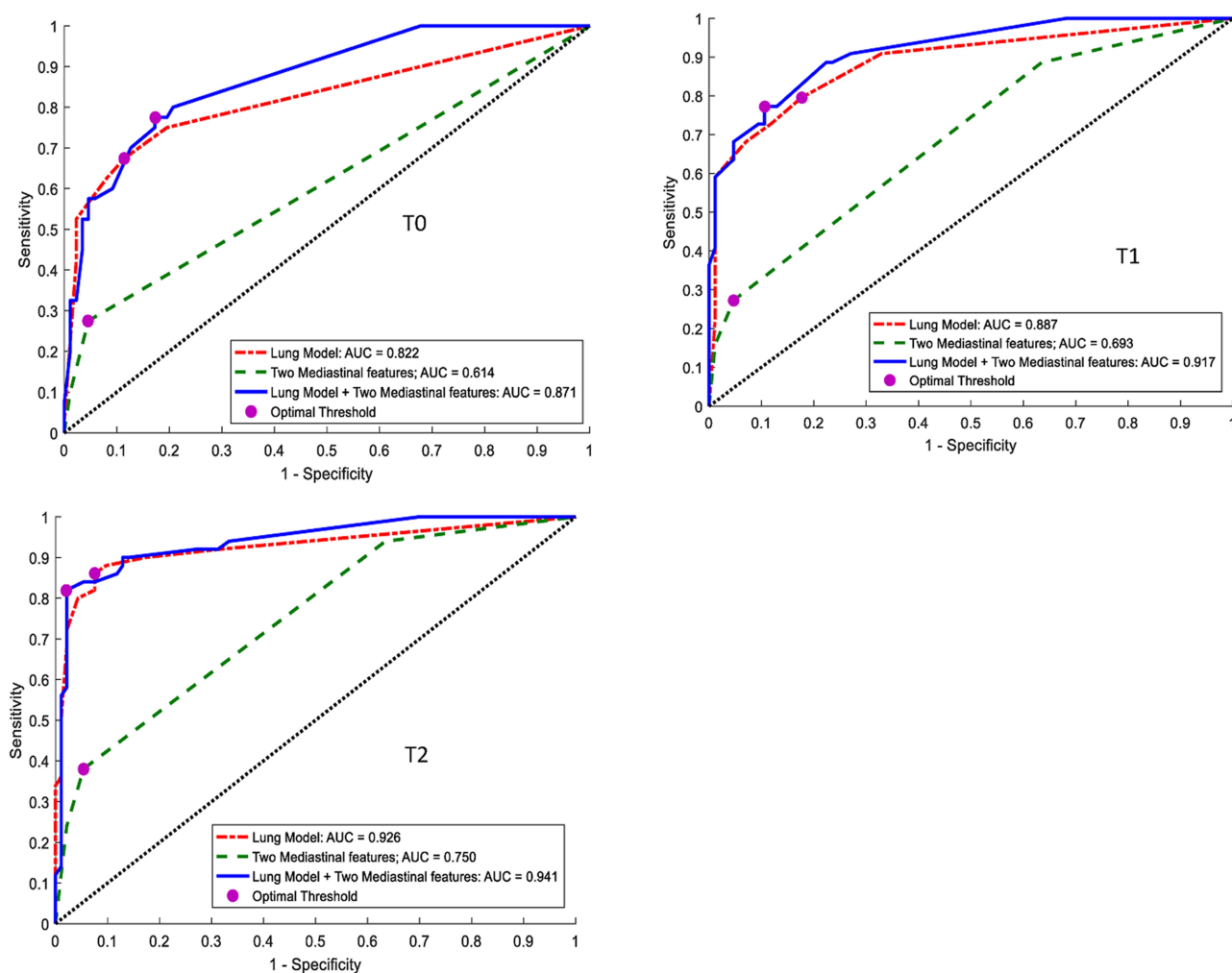
model were constructed and the area under the curve (AUC) and 95% confidence interval (CI) was calculated. Goodness-of-fit for logistic regression models was estimated by the Hosmer–Lemeshow test to examine the calibration of the model.<sup>25</sup> Comparisons between two AUCs were evaluated by the nonparametric approach following the DeLong method.<sup>26</sup> Fivefold cross validation with 100 replications was performed to evaluate the performance of the final model. A two-sided  $p$ -value of  $< 0.05$  was regarded as statistically significant.

All scored descriptors at three time points were compared between malignant and benign lung nodules. Agreement between two readers was evaluated by kappa index for categorical variable and concordance correlation coefficient for continuous variables. Depending on the

kappa values, inter-observer agreement was considered to be poor (0.01–0.20), fair (0.21–0.40), moderate (0.41–0.60), good (0.61–0.80), or excellent (0.81–1.00).<sup>27</sup>

## Results

The demographic characteristics of participant have been previously reported.<sup>20</sup> There were 72, 70, and 55 lesions invisible on the mediastinal window at three time points, respectively, primarily due to low density (eg pure ground glass density nodules) and small size and were excluded for further analysis. The long and short diameters of all lung nodules on the lung and mediastinal window are presented in [Supplement Table S1](#). The sizes of malignant nodules were bigger than benign nodules on both the lung window and mediastinal window ( $p < 0.05$ ), except for the short



**Figure 2** Receiver operating characteristic curves from multivariable analysis of lung window features (red line), mediastinal window features (green line), and combined multi-window features model (blue line). The lung window features model at T0 includes contour, border definition, and peri-nodule emphysema. At T1, the lung window features model includes contour, border definition, and attachment to vessel. At T2, the lung window features model includes contour, concavity, border definition, spiculation, and pleural attachment. Mediastinal window features are distribution pattern and solid part ratio. The multi-window features model includes all covariates from the lung window model and two features from the mediastinal model.

diameter in the mediastinal window at baseline ( $p = 0.50$ ). The difference between the size of benign and malignant nodules increased with the screening round.

Table 2 summarizes the performance of the prediction model built according to lung window features only. At baseline (T0), the informative lung window predictors included contour (OR = 4.70, 95% CI = 1.90–11.67), border definition (OR = 4.19, 95% CI = 1.88–9.31), and peri-nodule emphysema (OR = 3.41, 95% CI = 1.21–9.61) with an AUC of 0.822 (Figure 2 T0). At the first follow-up scan (T1), the included lung window semantic features of the final model were contour (OR = 12.69, 95% CI = 5.02–32.10), border definition (OR = 3.05, 95% CI = 1.28–7.18), and attachment to vessel (OR = 3.22, 95% CI = 1.33–7.79) with an AUC of 0.887 (Figure 2, T1). At the second follow-up scan (T2), the final model included four lung window features: contour (OR = 5.77, 95% CI = 1.79–18.56), concavity (OR = 7.64, 95% CI = 1.75–33.46), border definition (OR = 5.33, 95% CI = 1.71–16.57), and spiculation (OR = 5.33, 95% CI = 1.71–16.57) with an AUC of 0.926 (Figure 2 T2).

The two mediastinal window features were significantly different ( $p < 0.05$ ) between benign and malignant lung nodules, with the exception of discrepancy ratio at T0 ( $p = 0.93$ ; Table 3). Concerning the distribution pattern, the scattered pattern on the mediastinal window was significantly more frequent in malignant lung nodules than benign lung nodules at baseline (18.3% vs 2.9%,  $p = 0.0002$ ) and at follow-up screening (20.0% vs 2.9%,  $p = 0.0002$  at T1; 31.7% vs 3.6%,  $p < 0.001$  at T2). Two samples of mediastinal window feature-distribution pattern on benign and malignant lung nodules are given in Figures 3A–D and 4A–D. With regards to the solid part ratio feature, malignant lung nodules had a larger ratio than benign lung nodules and they increase in nodule size in follow-up screening ( $p = 0.023$  at T1,  $p = 0.020$  at T2).

The performance of semantic predictors both from the lung window and mediastinal window showed steady improvement with the screening rounds. The lung window features were more informative than mediastinal window features in predicting malignancy of lung nodules

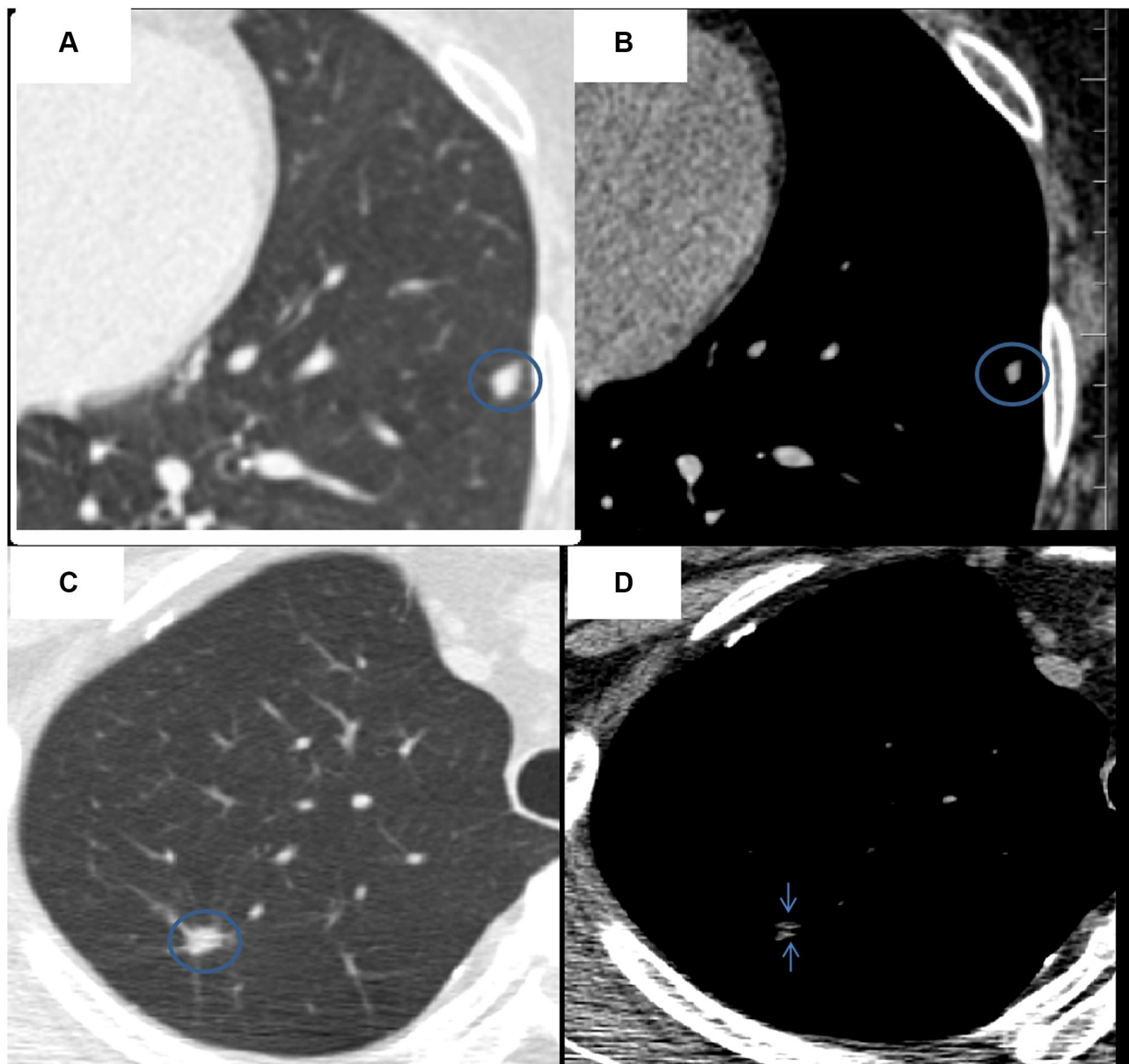
**Table 3** Comparison of Two Mediastinal Features Between Benign and Malignant Lung Nodules

Mediastinal Features	Time	Levels	Benign (n = 139)		Malignant (n = 60)		Total	p-value	
Distribution pattern	T0	Missing	52	37.4%	20	33.3%	72	0.0002	
		0	83	59.7%	29	48.3%	112		
		1	4	2.9%	11	18.3%	15		
	T1	Missing	54	38.8%	16	26.7%	70		0.0002
		0	81	58.3%	32	53.3%	113		
		1	4	2.9%	12	20.0%	16		
	T2	Missing	45	32.4%	10	16.7%	55		<0.0001
		0	89	64.0%	31	51.7%	120		
		1	5	3.6%	19	31.7%	24		
Solid part ratio	T0	Missing	52	37.4%	20	33.3%	72	0.93	
		1	17	12.2%	9	15.0%	26		
		2	20	14.4%	10	16.7%	30		
		3	19	13.7%	9	15.0%	28		
		4	31	22.3%	12	20.0%	43		
	T1	Missing	54	38.8%	16	26.7%	70	0.023	
		1	13	9.4%	6	10.0%	19		
		2	21	15.1%	4	6.7%	25		
		3	17	12.2%	19	31.7%	36		
		4	34	24.5%	15	25.0%	49		
	T2	Missing	45	33.1%	10	16.7%	56	0.020	
		1	14	10.1%	5	8.3%	19		
2		23	16.5%	5	8.3%	28			
3		18	12.9%	6	10.0%	24			
4		39	27.3%	34	56.7%	72			

(Table 4). When adding two mediastinal window-based radiological features to a single lung window feature model, there was a significant improvement in predictor performance for identifying lung cancer, both at baseline and on the first follow-up screening scan, with an AUC of 0.871 (95% CI = 0.809–0.933,  $p = 0.009$ ) and 0.917 (95% CI = 0.869–0.966,  $p = 0.008$ ), respectively. At the second follow-up, a multi-window features model showed an improvement, with an AUC value increased from 0.926 to 0.941 (95% CI = 0.899–0.983), but this did not reach statistical significance ( $p = 0.113$ ). Meanwhile,

the specificity and positive predictive value (PPV) were also markedly improved by combining these two mediastinal features during follow-up screening; it should be noted that the PPV value increased from 0.860 to 0.953 (Table 4).

We performed an inter-observer study to establish the reproducibility of two mediastinal window features, consistently scored across different observers, and found that they had perfect reproducibility with a kappa value of 1.00 for distribution pattern and 0.96 for solid part ratio (Figure 5A–H, Supplement Table S2).



**Figure 3** Mediastinal window feature-distribution pattern of benign lung nodules. (A, B) Axial CT images show an irregular nodule of the left lobe, with the concentrated distribution pattern on the mediastinal window. (C, D) Axial CT images show an irregular nodule in the right lobe, with the scattered distribution pattern on the mediastinal window. These two nodules were verified as benign lung nodules in NLST.

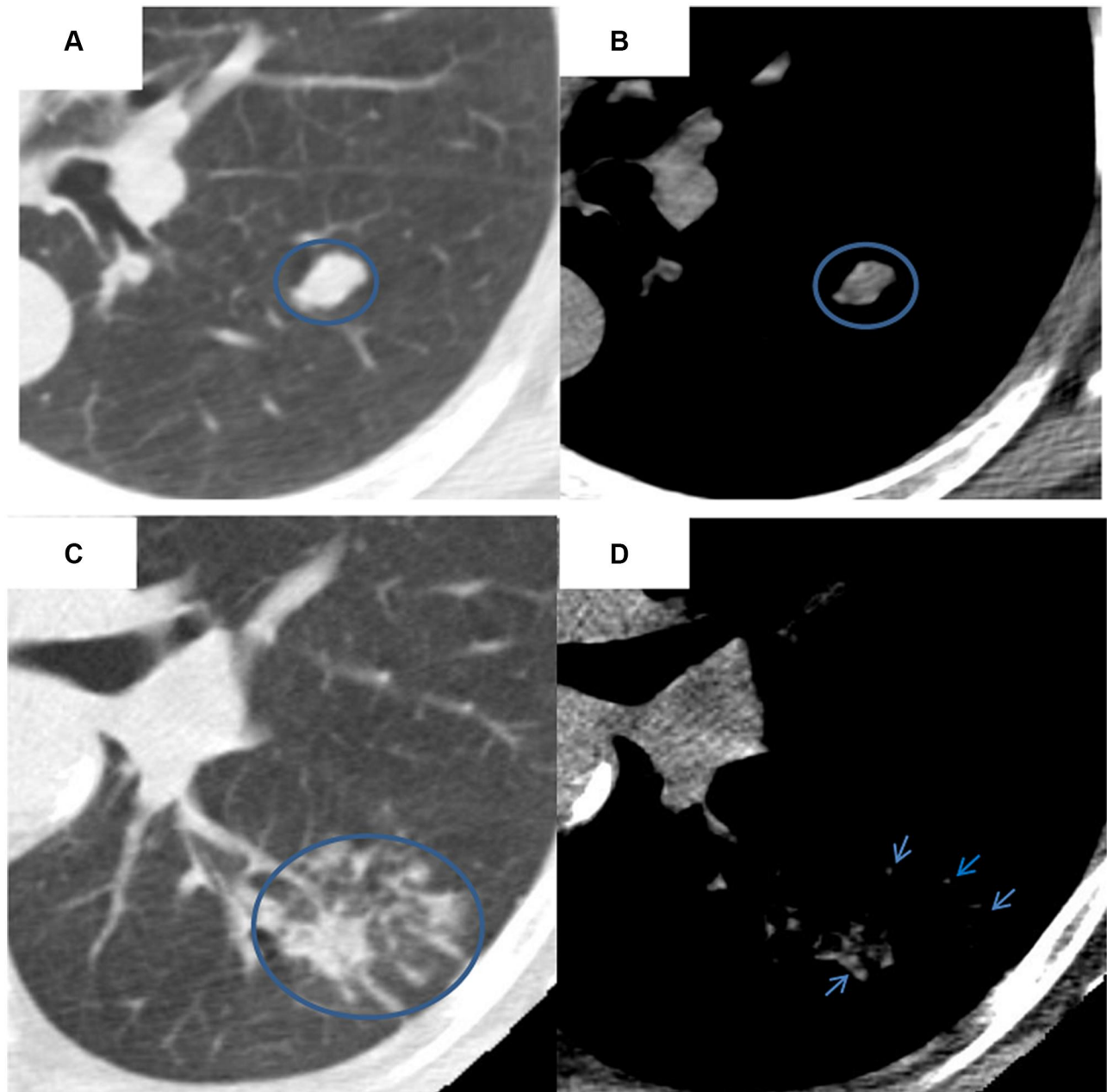


## Discussion

The goal of lung cancer screening is to detect disease at its earliest and most treatable stages, when the disease is localized and curative treatments are possible. Identifying malignancy accurately continues to be a challenge. In this study, we assessed the association of radiological features to lung cancer risk. Further, we built a multi-window CT based prediction model to show an improved AUROC for discriminating indeterminate pulmonary nodules in LDCT.

We report that a combination of lung window and mediastinal window features better identifies malignancy than single window features, and the combination is helpful to improve the early detection of lung cancer, as well as the PPV.

The two mediastinal window-specific traits that were statistically associated with lung cancer risk are biologically and clinically relevant. It has been shown that presence of, and size of, solid parts are important factors for



**Figure 4** Mediastinal window feature-distribution pattern of lung cancer. (A, B) Axial CT images show an irregular nodule of the left lobe, with the concentrated distribution pattern on the mediastinal window. (C, D) Axial CT images show an irregular nodule in the right lobe, with the scattered distribution pattern on the mediastinal window. These two nodules were verified as lung cancer in NLST.

**Table 4** Performance of Three Models in Predicting Lung Cancer at Three Time Points with Fivefold Cross-Validation

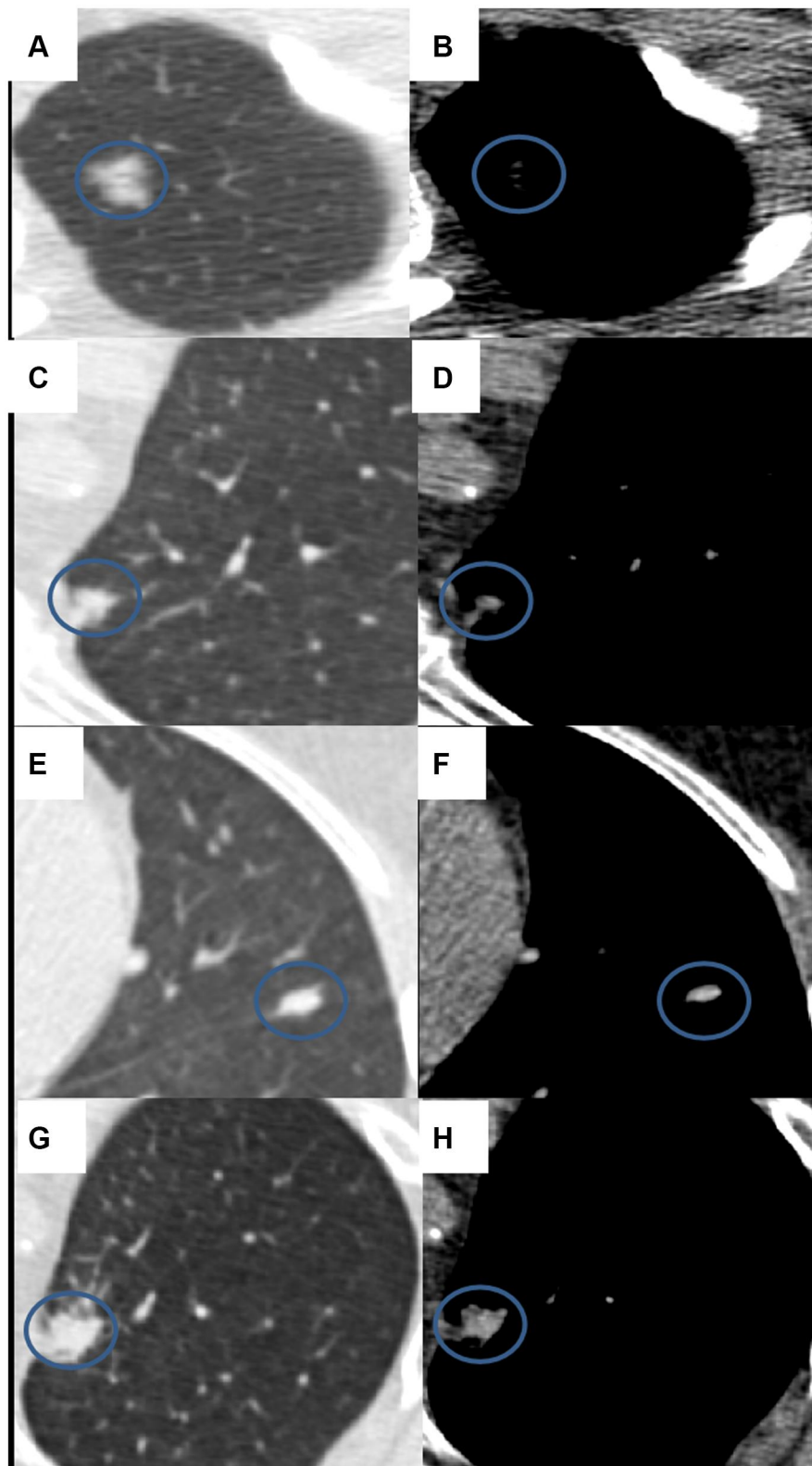
Time	Model	Sensitivity	Specificity	PPV <sup>†</sup>	Model Development		Fivefold Cross-Validation	
					AUC (95% CI)	p-value*	Mean	Median (2.5%, 97.5%)
T0	Multi-window features	0.775	0.828	0.674	0.871 (0.809–0.933)	0.009	0.848	0.85 (0.816, 0.87)
	Lung window features	0.675	0.885	0.730	0.822 (0.740–0.904)		0.816	0.816 (0.805, 0.827)
	Mediastinal window features	0.275	0.954	0.733	0.614 (0.510–0.718)		<0.0001	0.558
T1	Multi-window features	0.773	0.894	0.791	0.917 (0.869–0.966)	0.008	0.892	0.894 (0.865, 0.91)
	Lung window features	0.795	0.824	0.700	0.887 (0.824–0.951)		0.882	0.883 (0.87, 0.895)
	Mediastinal window features	0.273	0.953	0.750	0.693 (0.611–0.776)		<0.0001	0.693
T2	Multi-window features	0.820	0.978	0.953	0.941 (0.899–0.983)	0.113	0.918	0.92 (0.892, 0.935)
	Lung window features	0.860	0.925	0.860	0.926 (0.874–0.978)		0.916	0.916 (0.9, 0.927)
	Mediastinal window features	0.380	0.946	0.792	0.75 (0.68–0.821)		<0.0001	0.750

**Notes:** \*p-Value was computed by the comparison with multi-window features using the DeLong method. <sup>†</sup>PPV: positive predictive value. The sensitivity, specificity, and PPV were computed after dichotomizing patients by the optimal thresholds.

the management of indeterminate lung nodules.<sup>12,16,28</sup> However, deciding whether a solid portion exists when evaluating a single lung window image is sometimes difficult and often falls short of a mutual satisfactory agreement, even for an experienced radiologist.<sup>29</sup> Revel et al<sup>30</sup> tried to use the mediastinal window to solve this problem. A simple criterion, the detectability of a solid portion in the mediastinal window, shows excellent inter-observer agreement for categorizing subsolid lung nodules. Their findings are consistent with the 2013 Fleischner guideline, which also recommends measuring the solid component on a mediastinal window. Moreover, they verified that the measurable solid portion on the mediastinal window correlates with invasiveness on pathologic examination. Sakao et al<sup>31</sup> found that the nodule diameter on the mediastinal window was a significant predictive factor for lymph node metastasis and verified the association between the solid part on the mediastinal window and degree of tumor malignancy. Similarly, some studies found that the ratio of disappearance of the tumor area between the mediastinal window setting and the lung window setting correlates with clinical–pathologic characteristics and tumor aggressiveness and is a significant independent prognostic determinant for small lung adenocarcinoma.<sup>31,32</sup> We offer new radiological insight into lung cancer screening and found that a higher ratio of solid component is associated with an increased risk of lung cancer at the first and second follow-up screening scans, consistent with the above-mentioned findings. The proportion of tumors with a solid part ratio greater than 50% was 56% and 66% for lung cancers at first and second follow-up screening, respectively, while only 36% and 39% for benign nodules. However, the solid component ratio did not show significant differences

between lung cancer and benign lung nodules at baseline. We think these changes in the lung environment in the initial stages of cancer development would occur gradually, until it evolves into a highly specialized structure. In our study, we find a multi-window prediction model achieves 77.5% sensitivity and 82.8% specificity at baseline scan. By combining lung and mediastinal window findings effectively, we take full advantage of both window range and make up for their individual limitations.

We find malignant pulmonary nodules had a higher probability than benign nodules of showing a scattered distribution pattern of the solid component on the mediastinal window, a finding consistent with higher heterogeneity of lung cancer as compared to benign lung nodules. Most lung cancer lesions are a mixture of different histologic cell types with varying degrees of tumor differentiation. The International Association for the Study of Lung Cancer, American Thoracic Society, and European Respiratory Society jointly proposed a new histologic classification of lung adenocarcinoma in 2011, which classified lung adenocarcinoma as five histologic subtypes, namely lepidic, acinar, papillary, micropapillary, and solid pattern; the final diagnosis would be based on the predominant pattern by a semi-quantitative assessment approach (5% increments).<sup>33</sup> The tumor architecture also correlates with imaging characteristics. As reported, the lepidic growth pattern is highly associated with ground glass opacity (GGO) on CT, which would be invisible on the mediastinal window,<sup>36</sup> while the solid type is associated with non-lepidic predominant adenocarcinoma. In addition to the lepidic predominant pattern, some well-organized and well-differentiated acinar or papillary-predominant adenocarcinomas were also reported to show



**Figure 5** Mediastinal window feature-solid part ratio. (A, B) Score 1 ( $0 \leq \text{Ratio} < 25\%$ ). (C, D) Score 2 ( $25\% \leq \text{Ratio} < 50\%$ ). (E, F) Score 3 ( $50\% \leq \text{Ratio} < 75\%$ ). (G, H) Score 4 ( $75\% \leq \text{Ratio} < 100\%$ ).

GGO.<sup>37</sup> For benign lung lesions, GGO can be observed in focal interstitial fibrosis, inflammation, or pulmonary hemorrhage.<sup>38</sup> The pathology of GGO and/or thickened interlobular septal in these lesions is attributed to the scattered distribution on mediastinal window. In our study, only approximately 3% of benign lung nodules presented as this scattered type, far below the average 23% for malignant lung nodules. We noticed that this ratio varied minimally during the three time points for benign lung nodules, while it rose expectedly over time for malignant nodules. Thus, we hypothesize that in addition to being a predictor of lung cancer risk, the distribution pattern on mediastinal window may also be associated with invasiveness or prognosis of lung adenocarcinoma.

Both the mediastinal window and the lung window have their own advantages in identifying characteristics of lung nodules. Consistent with previous studies,<sup>18–20</sup> in our final model, the significant features on the lung window of primary lung nodules for the prediction of malignancy included contour, border definition, peri-nodule emphysema, attachment to vessel, concavity, spiculation, and pleural attachment. The positive association of these informative semantic features with the likelihood of malignancy have been widely acknowledged and used in clinical practice. Compared with current clinical guidelines, which rely heavily on the nodule size and have a widely ranging false positive rate, these semantic features were verified to be good predictors of malignancy. Paul et al<sup>39</sup> applied Lung-RADS criteria to the NLST and found that Lung-RADS could be helpful in reducing the false positive rate, but this was at the cost of decreased sensitivity. In their study, the PPV at baseline and after baseline were 9.6% and 12.6%. Excitingly, the PPV of our model reached 67.4%, 79.1%, and 95.3% at baseline and at two follow-up time points, respectively. Thus, our multi-window CT based prediction model optimizes the informative features and aids the clinical decision support system.

However, our study has several limitations. Firstly, it was a retrospective analysis with a comparatively small sample size. Because the NLST participants came from different medical centers across the United States, the CT scanning parameters were not consistent, which could affect the contrast of images and possibly some of the radiologic features. We utilized a fixed window parameter to observe lung nodules in this study in order to mitigate this variance. It should be noted that the impact on variation in these acquisition parameters was less for semantic features than for quantitative features (radiomics). In

addition, we did not classify these lung nodules as solid and non-solid groups, because the proposed mediastinal window-specific features already contain tumor density information. Our multi-window model would be appropriate for all intermediate nodules encountered with a screening or a diagnostic approach.

We do acknowledge that our finding in a curated diverse NLST clinical trial provided an ideal discovery cohort. It would be essential to future validate our findings in other racially diverse screening cohorts to strengthen our findings.

## Conclusions

Multi-window CT based radiological traits show promising results that improve our ability for early detection of lung cancer. The lung window features are more informative than single mediastinal window features for predicting malignancy, whereas mediastinal window features provide strong complementary data to enhance the prediction accuracy and reduce false positives.

## Ethics Approval and Consent to Participate

The study was conducted in compliance with ethical principles for medical research involving human subjects as outlined in World Medical Association's Declaration of Helsinki and was approved by the Institutional Review Board (IRB) at University of South Florida (USF). Because it is a retrospective study, informed consent was waived. Patients' privacy and personal identity information were well protected. All data were compiled in a Microsoft Excel spreadsheet, which was password protected to maintain patient confidentiality. Each subject was assigned a unique number in consecutive order, not derived from any patient identifiers.

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## Disclosure

RJG reports grants from NIH and non-financial support from HealthMyne Inc, during the conduct of the study; is an investor in and non-compensated consultant for HealthMyne, Inc, outside the submitted work; in addition, Dr Robert J. Gillies has patents 9,721,340 and 9,940,709 issued. HL received research scholarship from Tianjin Medical University Hospital to partially cover her research sabbatical time at Moffitt Cancer Center. The authors report no other potential conflicts of interest for this work.

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