

# A comparison of uterine papillary serous, clear cell carcinomas, and grade 3 endometrioid corpus cancers using 2009 FIGO staging system

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**Objective:** This study was designed to compare survival outcomes of patients with uterine papillary serous carcinoma (UPSC) or clear cell carcinoma (CC) to those of patients with grade 3 endometrioid carcinoma (G3EC) according to 1988 and 2009 International Federation of Gynecology and Obstetrics (FIGO) staging systems.

**Methods:** We retrospectively reviewed all patients with endometrial cancer treated at a single institution between 1995 and 2009. Among the 647 patients with endometrial cancer, 51 with G3EC and 46 with UPSC and CC histology were confirmed.

**Results:** 1988 FIGO stage, 2009 FIGO stage, and extrauterine metastasis were significantly different between the UPSC and CC group and G3EC group ( $p=0.002$ ,  $p=0.041$ , and  $p=0.020$ , respectively). Restaging from the 1988 FIGO to the 2009 FIGO criteria increased the number of stage I cases by 10 (11.0%). Overall, 8 in the UPSC and CC and 2 in the G3EC group were down-staged to stage I. In the UPSC and CC group, the 3-year overall survival for 1988 FIGO stage I was 92.9%. When UPSC and CC patients were restaged using the 2009 staging system, the 3-year overall survival of 2009 FIGO stage I dropped to 81.6%. UPSC and CC was associated with poor OS outcome compared with G3EC, after adjustment for 2009 FIGO stage and other clinicopathologic factors.

**Conclusion:** We observed that UPSC and CC patients had different prognosis according to the old and new FIGO staging system. Our results suggest that UPSC and CC compared with the G3EC may retain the 1988 FIGO to be a slightly better discriminator than 2009 FIGO.

**Keywords:** Clear cell carcinoma, FIGO stage, Grade 3 endometrioid carcinoma, Uterine serous papillary carcinoma

## INTRODUCTION

Endometrial carcinoma (EC) is the seventh most common malignancy in the world. Annually, EC develops in about 142,000 women and causes about 42,000 deaths [1]. Although

the incidence of EC accounted for approximately 16% of gynecologic malignancies in Korea, its incidence has dramatically increased [2]. There were 132 registered cases of EC in 1991, 239 in 1994, 425 in 2000 and 862 in 2004 [2]. Moreover, the incidence of uterine papillary serous carcinoma (UPSC) and clear cell carcinoma (CC) has increased; its incidence accounted for 4% of all ECs in 2004 [3].

Bokhman [4] suggested that there are 2 different clinicopathologic types of EC. Type I ECs are represented by endometrioid carcinomas, which represent approximately 80% of all ECs. These tumors are estrogen related, occur in younger patients, and are associated with good prognosis. Type II ECs include poorly differentiated endometrioid adenocarcinomas,

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clear cell and serous cell types. These tumors are not estrogen related, are seen in older patients, and carry poor prognosis.

UPSC, CC, and grade 3 endometrioid carcinomas (G3EC) are all considered high-grade endometrial carcinomas. Although high-grade ECs are less common than low-grade ECs, they account for a disproportionate number of deaths resulting from EC [5]. Regarding survival outcomes in patients with UPSC, CC, or G3EC, previous comparison studies between these histologic types have shown disagreement. Some studies have shown that UPSC and CC is associated with an unfavorable prognosis compared with G3EC [6,7]. In contrast, a recent clinicopathologic analysis revealed no difference in outcome between UPSC and CC, and G3EC [8,9]. This dichotomy likely stems from the limited and conflicting data available.

Recently, the International Federation of Gynecology and Obstetrics (FIGO) committee reviewed 20 years of data and revised the staging criteria for carcinoma of the endometrium [10]. In two large, register-based studies from USA, the 2009 revised FIGO staging system proved to be highly prognostic with appropriate changes [11,12]. Thus, we performed restaging of the high-grade ECs from 1988 FIGO to those of 2009 FIGO and compared the clinicopathological data and the survival outcomes in patients with UPSC and CC, and G3EC.

## MATERIALS AND METHODS

### 1. Patients

After Institutional Review Board approval, we retrospectively reviewed electronic medical records to identify patients who underwent treatment for FIGO stage IA–IV uterine cancers at Samsung Medical Center, Sungkyunkwan University School of Medicine, Seoul, Korea between November 1995 and September 2009. A total of 647 patients with endometrial cancer were treated during this time period. Patients with histologic types of G3EC, UPSC, and CC were selected. Endometrial carcinomas of mixed subtype were excluded from this study. We grouped the population into 2 histologic groups (I–II). Group I comprised 46 cases with UPSC and CC; group II comprised 51 cases with G3EC. All patients underwent simple hysterectomy, bilateral salpingo-oophorectomy. Comprehensive surgical staging, defined as pelvic washing, removal of all gross disease implants, omentectomy (in UPSC and CC), and systematic pelvic with or without paraaortic lymphadenectomy, was performed. Stage assignment was performed according to the revised FIGO surgical staging criteria reported in 2009 [13]. Information regarding treatment, including surgery, chemotherapy and/or radiation therapy and follow-up was collected.

**Table 1.** Clinicopathological characteristics of patients

Characteristic	UPSC and CC (n=46)	G3EC (n=51)	p-value
Age (yr)	61 (43–71)	57 (30–82)	0.092
1988 FIGO stage			0.002
I	15 (32.6)	34 (66.7)	
II	6 (13.0)	7 (13.7)	
III	15 (32.6)	8 (15.7)	
IV	10 (21.7)	2 (3.9)	
2009 FIGO stage			0.041
I	23 (50.0)	36 (70.6)	
II	4 (8.7)	5 (9.8)	
III	9 (19.6)	8 (15.7)	
IV	10 (21.7)	2 (3.9)	
Omentectomy	18 (39.1)	2 (3.9)	<0.001
LN dissection			0.106
Pelvic only	26 (56.5)	23 (45.1)	
Pelvic+para-aortic	16 (34.8)	28 (54.9)	
Adjuvant therapy			0.001
None	7 (15.2)	9 (17.6)	
Radiotherapy	15 (32.6)	32 (62.7)	
Chemotherapy	18 (39.1)	3 (5.9)	
Combination	6 (13.0)	7 (13.7)	
Tumor size (cm)			0.487
≤2	9 (19.6)	13 (25.5)	
>2	37 (80.4)	38 (74.5)	
Myometrial involvement			0.912
<1/2	32 (69.6)	36 (70.6)	
≥1/2	14 (30.4)	15 (29.4)	
Lymphovascular invasion			0.849
Negative	28 (60.9)	32 (62.7)	
Positive	18 (39.1)	19 (37.3)	
Cervical stromal invasion			0.222
Negative	28 (60.9)	37 (72.5)	
Positive	18 (39.1)	14 (27.5)	
LN metastasis			0.324
Negative	32 (69.6)	43 (84.3)	
Positive	10 (21.7)	8 (15.7)	
Extrauterine involvement			0.020
Negative	27 (58.7)	41 (80.4)	
Positive	19 (41.3)	10 (19.6)	

Values are presented as mean (range) or number (%). CC, clear cell carcinoma; EC, endometrial carcinoma; FIGO, International Federation of Gynecology and Obstetrics; G3EC, with grade 3 endometrioid carcinoma; LN, lymph node; UPSC, uterine papillary serous carcinoma.

Adjuvant radiotherapy or adjuvant chemotherapy was used for cases with intermediate to high risk factors depending on patient preference and physician discretion. Radiotherapy was performed using vaginal brachytherapy alone (2.5 Gy in 5 fractions), whole pelvic external beam radiation alone (50.4 Gy in 28 fractions), or a combination of the two. Chemotherapy consisted of a platinum-based regimen for four to six cycles. No patients received chemotherapy or radiotherapy before surgery.

**2. Progression-free survival and overall survival**

Progression-free survival (PFS) was defined as the period between initial treatment and the occurrence of pathologically-confirmed relapse. In cases where tissue sample collection was difficult, recurrence was clinically assumed when the imaging studies highly suggested recurrence and tumor markers were elevated from the basal level. Overall survival (OS) was measured from the date of surgery until death caused by the disease.

**3. Statistical methods**

Frequency distributions between categorical variables among the groups were compared using the chi-square test. The Fisher's exact test was used if the expected frequency was <5. After the normality of the data was assessed, a two-sample t-test or Mann-Whitney test was used for the analysis of differences, depending on the distribution of the continuous variables. The survival curves were calculated according to the Kaplan-Meier method with the log-rank test. The Cox proportional-hazards model was used for the multivariable analyses. Statistical analyses were performed with SPSS ver. 12.0 (SPSS Inc., Chicago, IL, USA). A p<0.05 was considered statistically significant, and all p-values were two-sided.

**RESULTS**

Ninety-seven cases of high-grade endometrial carcinoma were identified, including 35 UPSC, 11 CC, and 51 G3EC. The clinicopathological characteristics of the study population are listed in Table 1. After restaging from 1988 FIGO to 2009 FIGO criteria, the number of stage I cases increased by 10. Four cases classified as stage IIA based on 1988 FIGO were restaged as either 2009 FIGO IA (n=2) or 2009 FIGO IB (n=2). In this group of four, tumor subtypes were UPSC (n=2) and G3EC (n=2). Six cases classified as 1988 FIGO stage IIIA based on positive cytology only were restaged into 2009 FIGO IA (n=2) or 2009 FIGO IB (n=4). The histological subtype for these six cases was UPSC (n=6). Overall, 8 patients (17.8%) in the UPSC and CC group were down-staged to stage I, and 2 patients (4.3%) in G3EC were down-staged to stage I.

The 3-year OS for 88 and 09 FIGO stage are listed in Table 2. There were no significant OS differences when comparing the 1988 FIGO stage to the 2009 FIGO stage in G3EC group. In UPSC and CC group, there were 2 deaths among patients with 1988 FIGO stage I disease and 6 deaths among patients with 2009 FIGO stage I. Moreover, the 3-year OS rate for 2009 FIGO stage I dropped to 81.6% compared to 92.9% for 1988 FIGO stage I (Table 2).

With regard to the pathological characteristics, there were no differences in tumor size in the uterus, myometrial involvement, lymphovascular space invasion, cervical stromal invasion, or lymph node metastases. However, disease spread beyond the uterus was significantly more frequent in UPSC and CC than in G3EC (41.3% vs.19.6%, p=0.020). There was no significant difference in the median age between those in the UPSC and CC group and G3EC group (61 vs. 57, p=0.092). The types of adjuvant therapy after primary surgery were unequally

**Table 2.** Survival rates according to 1988 and 2009 FIGO staging systems

FIGO stage	1988		2009		
	No. of patients (death)	3-Year OS, %	No. of patients (death)	3-Year OS, %	
UPSC and CC group	I	15 (2)	92.9	23 (6)	81.6
	II	6 (1)	83.3	4 (1)	75.0
	III	15 (9)	39.6	9 (5)	33.9
	IV	10 (6)	56.3	10 (6)	56.3
G3EC group	I	34 (4)	96.9	36 (5)	92.2
	II	7 (3)	50.0	5 (2)	50.0
	III	8 (3)	71.4	8 (3)	71.4
	IV	2 (1)	50.0	2 (1)	50.0

FIGO, International Federation of Gynecology and Obstetrics; OS, overall survival; UPSC, uterine papillary serous carcinoma; CC, clear cell carcinoma; G3EC, with grade 3 endometrioid carcinoma.

distributed; patients with G3EC more frequently received postoperative radiotherapy (32.6% vs. 62.7%,  $p < 0.001$ ), and those with UPSC and CC more frequently received postoperative chemotherapy (39.1% vs. 5.9%,  $p = 0.001$ ).

The median follow-up duration was 35.0 months (range, 1.1 to 177.8 months). The 75th percentile for follow-up months was 53. The median time to recurrence was 30.5 and 35.1 months in UPSC and CC, and G3EC, respectively. The death rate was 39.1% (18/46) for UPSC and CC, and 21.6% (11/51) for G3EC ( $p = 0.059$ ). The recurrence rate was 37.0% (17/46) for UPSC and CC, and 19.6% (10/51) for G3EC ( $p = 0.057$ ). The pat-

tern of recurrence was not different between the two groups (Table 3). Two locoregional and four lymphatic recurrences were found in UPSC and CC patients, and one locoregional and three lymphatic recurrences were found in G3EC patients. The two groups had more hematogenous distant failure than locoregional or lymphatic failure (UPSC and CC, 11/17 [64.7%] and G3EC, 6/10 [60%]). In most cases of recurrence, adjuvant therapy (radiotherapy, chemotherapy, or a combination of both) was performed with the initial surgery (UPSC and CC, 16/17 [94.1%] and G3EC, 10/10 [100%];  $p > 0.05$ ).

Overall, UPSC and CC was associated with poor OS outcome compared with G3EC, even after adjustment for 2009 FIGO stage and other clinicopathologic factors (Fig. 1). In univariate analysis, histologic type UPSC and CC, 2009 FIGO stage of III-IV, and positivity for LVSI were negatively associated with PFS, as well as OS (Table 4, Fig. 2). In multivariate analysis, these variables were consistently independent factors in OS (Table 4). There was no difference in PFS or OS when comparing UPSC and CC with G3EC after adjusting for 1988 FIGO stage and other clinicopathologic factors (Fig. 1). 1988 FIGO advanced-stage disease had the strongest negative impact on survival (hazard ratio [HR], 8.99; 95% confidence interval [CI], 2.66 to 30.37,  $p < 0.001$ ).

**Table 3.** Patterns of recurrence and primary treatment based on histology in recurrence patients

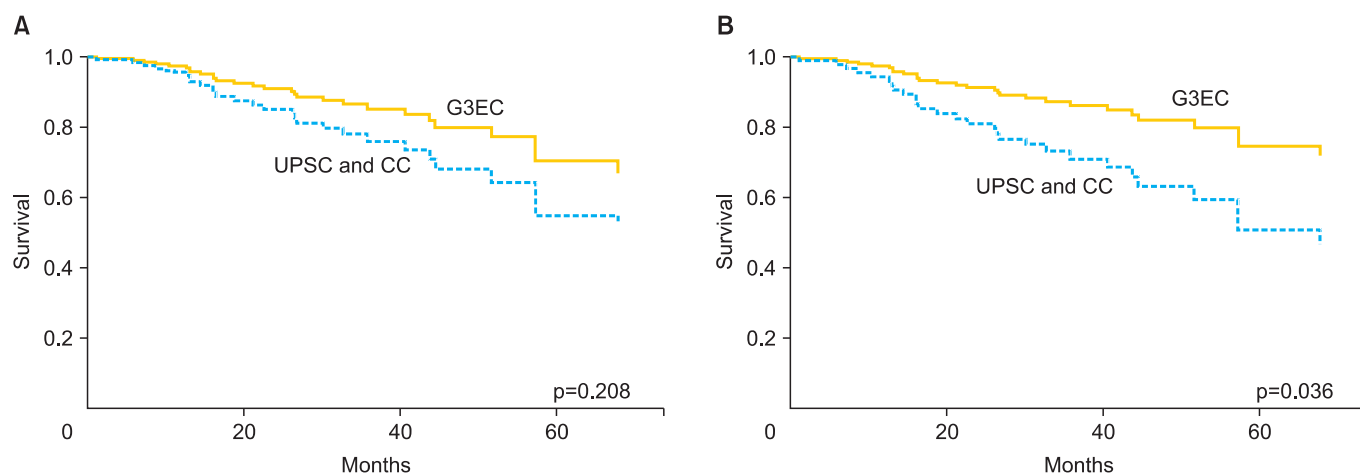
Variable	UPSC and CC (n=46)	G3EC (n=51)	p-value
Recurrence	17 (37)	10 (19.6)	0.057
Initial failure pattern			NS
Locoregional	2 (11.8)	1 (10)	
Lymphatic	4 (23.5)	3 (30)	
Hematogenous	11 (64.7)	6 (60)	
Primary treatment			0.006
Surgery alone	1 (5.9)	0	
Surgery+adjuvant RT	4 (23.5)	4 (40)	
Surgery+adjuvant CT	11 (64.7)	1 (10)	
Surgery+adjuvant combination	1 (5.9)	5 (50)	

Values are presented as number (%).

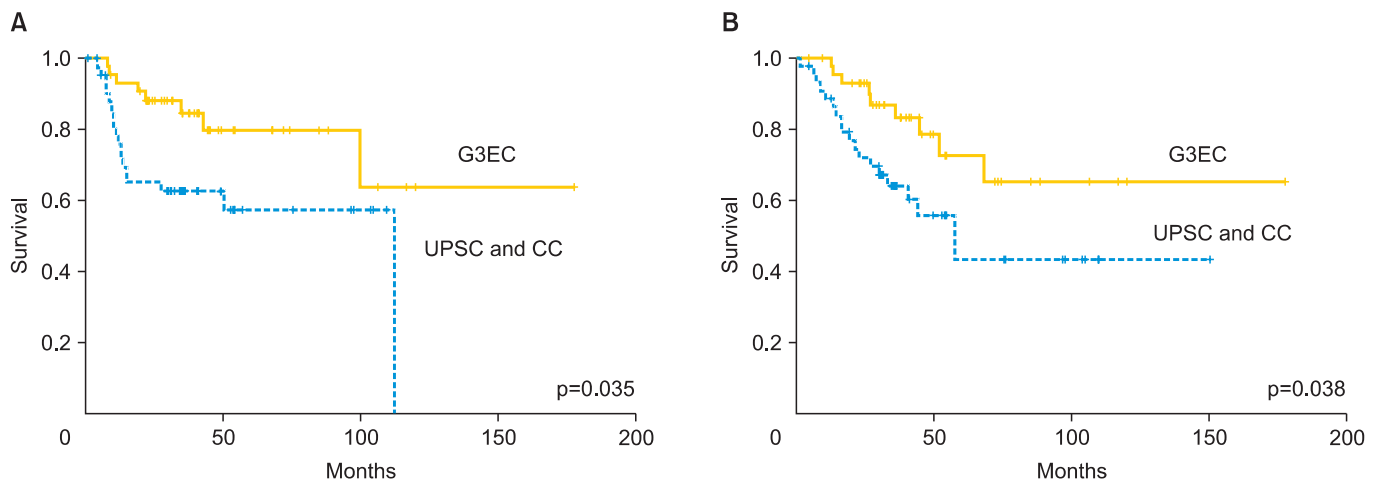
CC, clear cell carcinoma; CT, chemotherapy; G3EC, with grade 3 endometrioid carcinoma; NS, not significant; RT, radiotherapy; UPSC, uterine papillary serous carcinoma.

**DISCUSSION**

In this study, we found that the UPSC and CC had a worse prognosis than G3EC when patients were restaged using the 2009 FIGO staging system and this difference disappeared in patients with the 1988 FIGO staging system. Moreover, in



**Fig. 1.** Overall survival curve based on histologic type after adjusting for clinicopathologic factors. (A) Comparison between uterine papillary serous carcinoma (UPSC) and clear cell carcinoma (CC) and grade 3 endometrioid carcinoma (G3EC) in International Federation of Gynecology and Obstetrics (FIGO) 1988 (A) and 2009 (B) staging systems.



**Fig. 2.** Univariate analysis for survival outcome according to the histologic type. G3EC, grade 3 endometrioid carcinoma; UPSC, uterine papillary serous carcinoma; CC, clear cell carcinoma. (A) Progression-free survival, (B) Overall survival.

**Table 4.** Univariate and multivariate analyses for survival outcomes according to individual parameters

Parameter	Progression-free survival		Overall survival	
	HR (95% CI)	p-value	HR (95% CI)	p-value
Univariate analysis				
Histology (G3EC vs. UPSC and CC)	2.28 (1.04–4.99)	0.035	2.18 (1.02–4.64)	0.038
2009 FIGO stage (I–II vs. III–IV)	5.24 (2.41–11.41)	<0.001	3.37 (1.62–7.00)	0.001
MI (<50% vs. ≥50%)	3.07 (1.43–6.61)	0.003	1.88 (0.87–4.07)	0.101
Size (≤2 cm vs. >2 cm)	2.58 (0.77–8.62)	0.109	2.84 (0.86–9.40)	0.073
LVSI (absent vs. present)	3.13 (1.44–6.78)	0.002	3.04 (1.45–6.39)	0.002
Chemotherapy (no vs. yes)	4.35 (1.95–9371)	<0.001	1.80 (0.87–3.73)	0.110
Multivariate analysis				
Histology (G3EC vs. UPSC and CC)	1.81 (0.77–4.26)	0.174	2.31 (1.05–5.06)	0.036
2009 FIGO stage (I–II vs. III–IV)	2.49 (0.89–6.99)	0.082	3.62 (1.24–10.56)	0.018
MI (<50% vs. ≥50%)	1.16 (0.47–2.83)	0.745	1.04 (0.42–2.56)	0.927
Size (≤2 cm vs. >2 cm)	1.23 (0.98–6.19)	0.766	1.47 (0.40–5.47)	0.562
LVSI (absent vs. present)	2.46 (0.98–6.19)	0.055	2.42 (1.06–5.56)	0.037
Chemotherapy (no vs. yes)	1.63 (0.54–4.93)	0.384	0.50 (0.17–1.48)	0.210

CC, clear cell carcinoma; CI, confidence interval; FIGO, International Federation of Gynecology and Obstetrics; G3EC, with grade 3 endometrioid carcinoma; HR, hazard ratio; LVSI, Lymphovascular space invasion; MI, myometrial invasion; UPSC, uterine papillary serous carcinoma.

UPSC and CC group, the 3-year OS rate for 2009 FIGO stage I dropped to 81.6 % compared to 92.9% for 1988 FIGO stage I.

UPSC, CC, and G3EC have been identified as high-grade endometrial cancers and account for the majority of uterine cancer deaths [6]. Recently, Song et al. [14] suggested that UPSCs had similar clinicopathologic features compared to the patients with carcinosarcomas in the same study group. The 5-year survival rates of our patients and those from prior studies are summarized in Table 5 [6-9,15-21]. Some studies have shown that patients with UPSC or CC had a significantly poorer prognosis compared with that of patients with G3EC [6,7,20,21], while other studies revealed no difference in

outcome between UPSC and CC, and G3EC [8,9,16-19]. When compared to type I ECs, type II ECs are mostly represented by UPSC and CC, are more likely to present with metastatic disease at diagnosis, and have a poorer prognosis [8]. However, the molecular profile of G3EC has not yet been well characterized and G3EC does not clearly fit into either definition of type I or type II cancer. These controversies in classification have consequently generated conflicting results regarding the prognosis of these tumors.

In current study, comprehensive surgical staging was performed in both groups (G3EC vs. UPSC and CC) with pelvic and/or para-aortic lymph node dissection: 100% of the G3EC

**Table 5.** Studies comparing the survival of women diagnosed with high-grade endometrial carcinomas

Study (author, year)	No.	Stage	5-year survival rate				p-value
			UPSC (%)	CC (%)	UPSC and CC (%)	G3EC (%)	
Carcangiu et al., 1995 [15]	76	I-II	40	68			0.03
Cirisano et al., 2000 [16]	81	I-II	-	-	56	71	0.11
Alektiar et al., 2002 [17]	83	I-II	-	-	79	71	0.3
Halperin et al., 2002 [18]	64	I-IV	62.5	-	-	80 <sup>†</sup>	NS
Boruta et al., 2004 [7]	96	I-IV	41*	-	-	75	<0.01
Creasman et al., 2004 [19]	532	I	72	81	-	76	NS
Hamilton et al., 2006 [6]	2,595	I-II	74	82		86	<0.001
	1,585	III-IV	33	40		53	<0.001
Soslow et al., 2007 [8]	187	I-IV	36	50		45	NS
Alkushi et al., 2010 [20]	180	I-III	15 <sup>‡</sup>	65		70	<0.001
Greggi et al., 2011 [21]	139	I-IV	-	-	57.9	75.2	0.02
Voss et al., 2012 [9]	106	I-IV	-	-	-	-	NS
Present study	97	I-IV			45	72.6	0.038

CC, clear cell carcinoma; G3EC, with grade 3 endometrioid carcinoma; NS, not significant; UPSC, uterine papillary serous carcinoma.

\*Greater than 50% UPSC. <sup>†</sup>Includes grade 2 endometrioid carcinoma (n=19) and grade 3 endometrioid carcinoma (n=11). <sup>‡</sup>10-year survival rate.

and 91.1% of the UPSC and CC patients. Moreover, the same proportion of patients in both groups (G3EC, 84.8%; UPSC and CC, 84.4%) submitted to adjuvant treatment, although a greater propensity for chemotherapy was observed in UPSC and CC patients. Since UPSC shows similar behaviors and spread patterns to serous papillary carcinoma of the ovary, comprehensive surgical staging in UPSC patients was suggested to more reliably predict extrauterine spread [22-25]. Recently, a variety of reports showed that platinum-based chemotherapy in combination with paclitaxel was the most effective adjuvant treatment modality in stage I-IV UPSC patients [26-30].

We observed that UPSC and CC had a worse prognosis than G3EC when restaged to the 2009 FIGO criteria. There were no differences in PFS and OS when comparing UPSC and CC with G3EC after adjusting for 1988 FIGO stage. Only 1988 FIGO high-stage disease had a strong negative impact on survival (HR, 8.99; 95% CI, 2.66 to 30.37;  $p < 0.001$ ). These results might be from that more patients with a poor prognosis were down-staged in a UPSC and CC group. The goal of combining the 1988 FIGO stages IA, IB, IIA, and IIIA with positive cytology-only subgroups in the 2009 FIGO criteria was to create a more streamlined staging system that merged groups with similar survival rates [13]. Several studies have demonstrated that the 2009 FIGO staging system has improved prognosis predictions and is less complex than earlier FIGO versions [11,12,31]. However, some of these studies did not include serous or clear cell histologic types [10,11]. In a study by

Werner et al. [31], which included both UPSC and CC, the majority of non-endometrioid tumors that were down-staged to 2009 FIGO stage I were of serous histology. For 2009 FIGO stage IA patients, the 5-year survival rate was 45.8% for those in the serous histology subgroup (n=47). Of our patients, 8 of 10 that were down-stage to 2009 FIGO stage I were UPSC and CC, including 6 cases classified as 1988 FIGO stage IIIA based on positive cytology only. For the 2009 FIGO stage IA patients, the 5-year OS rate was 53.8% for the UPSC and CC subgroup. Mariani et al. [32] showed that disease-specific survival did not differ between stage IIIA cancer patients with positive cytology only (stage IIIA1) and those with uterine serosal invasion or adnexal spread (stage IIIA2). Moreover, patients who had stage IIIA1 disease with a non-endometrioid histologic type or LVSI (or both) had a significant frequency of extra-abdominal failure.

Recent relevant studies have reported the prognostic value of the 2009 FIGO staging criteria by comparing with the 1988 staging criteria of endometrial cancer. Lewin et al found the new staging criteria to appropriately delineate prognostic features [11]. On the other hand, Abu-Rustum et al. [33] evaluated their single-institution database and found that the revised system for stage I did not improve its predictive ability over the 1988 system. Seward et al. [34] evaluated the prognostic impact of these changes on the UPSC and reported that the 2009 FIGO criteria do not adequately delineate survival for UPSC in early-stage disease. They suggested that UPSC should continue to be staged with the more informative 1988 FIGO

criteria.

This study had some limitations. First, there may be a recall bias due to the retrospective design of the study. Second, the type of adjuvant therapy was unequally distributed. Third, the number of patients was relatively small, which may have affected the results. The observation from this small series calls for a large, multi-institutional investigation.

In conclusion, we observed that UPSC and CC patients had different prognosis according to the old and new FIGO staging system. Our results suggest that UPSC and CC compared with the G3EC may retain the 1988 FIGO to be a slightly better discriminator than 2009 FIGO. Further large studies to evaluate the prognostic significance of these new criteria are needed in the future.

**CONFLICT OF INTEREST**

No potential conflict of interest relevant to this article was reported.

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