# Age, margin status, high-risk human papillomavirus and cytology independently predict recurrent high-grade cervical intraepithelial neoplasia up to 6 years after treatment

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Abstract. The present study aimed to identify the factors that independently contribute to disease recurrence among women first-time treated for high-grade cervical intraepithelial neoplasia (CIN) during 4-6 years of follow-up. Overall, 529 of 530 eligible patients participated; these patients all attended a 1st follow-up appointment ~6 months post-conization, at which time high-risk human-papillomavirus (HPV) testing, liquid-based cytology and colposcopy were performed. Full data on margin excision status, other aspects of initial treatment and comorbidity were obtained. At least one subsequent follow-up was attended by 88% of patients. A total of 22 recurrent cases were detected during follow-up. Detected recurrence was the outcome of focus for multiple logistic

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*Abbreviations:* AGC, atypical glandular cells; AIS, adenocarcinoma *in-situ*; ASC-H, atypical squamous cells cannot exclude HSIL; ASC-US, atypical squamous cells of undetermined significance; CI, confidence intervals; CIN, cervical intraepithelial neoplasia; C-LETZ, contoured-loop excision of the transformation zone; HPV, high-risk human papillomavirus; HSIL, high-grade squamous intraepithelial lesions; LBC, liquid-based cytology; LSIL, low-grade squamous intraepithelial lesions; NILM, negative for intraepithelial lesions or malignancy; OR, odds ratio; PCR, polymerase chain reaction; TZ3, transformation zone 3; VSS, vaginal self-sampling

*Key words:* cervical intraepithelial neoplasia, treatment failure, margin status, papillomavirus infection, age

regression analysis, with odds ratios (OR) and 95% confidence intervals (CI) computed. Four significant independent risk factors were identified: Age 45 years or above (OR=3.5, 95% CI=1.3-9.9), one or both unclear or uncertain margins (OR=5.3, 95% CI=2.0-14.2), positive HPV at 1st follow-up (OR=5.8, 95% CI=2.0-16.8), and abnormal cytology at 1st follow-up (OR=3.9, 95% CI=1.4-11.0). Bivariate analysis revealed that persistent HPV positivity was associated with recurrence (P<0.01). These findings indicated that incomplete excision of the CIN lesion may warrant more intensive subsequent screening, regardless of early post-conization HPV findings. Although early post-conization positive HPV was a powerful, independent predictor of recurrent high-grade CIN, over one-third of the patients with detected recurrence had a negative early post-conization HPV finding. These patients returned for routine screening, at which time, in most cases, HPV status was positive, thus indicating the need for repeated HPV evaluation. Especially during the on-going pandemic, home vaginal self-sampling is recommended. Particular attention is required for women aged  $\geq$ 45 years. In addition, although not statistically significant, relevant comorbidities, especially autoimmune conditions, warrant consideration in clinical decision-making. Women who have been treated for high-grade CIN are at risk for recurrent disease and progression to cervical cancer; therefore, they require careful, individualized follow-up to avoid these adverse consequences.

# Introduction

Worldwide, in 2018 there were an estimated 570,000 cases of cervical cancer and 311,000 women died due to this malignancy (1). In Sweden, since the 1960s, an organized, population-based cervical screening program has substantially reduced cervical cancer incidence and mortality (2,3). However, more recent data suggest that cervical cancer is on the rise once again in Sweden (4). A key aim of cervical screening programs, such as that in Sweden, is to identify and treat women with precancerous lesions, cervical intraepithelial neoplasia (CIN), before these lesions develop into invasive cancer (5). Once high-grade CIN has been identified and treated, more intense, prolonged follow-up is needed compared to the general population of women (6). Follow-up is of critical importance, because these patients are at long-term risk for developing recurrent disease (7,8). Yet, evidence-based recommendations for the most appropriate post-therapeutic screening protocols are lacking (9).

It is now clearly-established that the high-risk human papilloma virus (HPV) is the key contributor to the development of cervical cancer. Consequently, testing for HPV is a vital component of all aspects of cervical cancer screening. This includes the use of HPV tests to evaluate the risk of recurrent disease in patients who have been treated for high-grade CIN (10). Numerous studies have shown that HPV testing provides essential information concerning the chances of disease recurrence in this vulnerable population of women (11-15).

A particular concern vis-à-vis cervical cancer risk is among women treated for high-grade CIN at a more advanced age. In the Swedish cancer registry study of all 132,493 women treated for CIN3 between 1958-2002, the relative risk of subsequent cervical cancer rose steadily with each decade of life. An accelerated risk was noted for women above 60 years of age (8). On the one hand, among women in the more senior age group, the overall prevalence of HPV positivity appears to be quite low (16). On the other hand, however, once infected with HPV, the infection may be more persistent than among younger women, and is associated with a high prevalence of cervical dysplasia (16-18). The potential contribution of persistent HPV infection to cervical cancer risk has, thus, been particularly emphasized for this age group. Further, these findings underscore the need to find appropriate algorithms for the more senior women to optimize cervical cancer surveillance (16).

Incomplete excision of high-grade CIN has also been implicated as a risk factor for recurrence of high-grade cervical dysplasia (19-23). In the literature, reports about the percentage of unclear or uncertain margins range widely, from as low as 3% up to as high as 50-60%, with the overall percentage being approximately 23%, according to a fairly-recent meta-analysis (20). Compared to when both the endocervical and ectocervical margins were considered clear, the risk was an estimated four to six-fold higher for recurrent high-grade cervical dysplasia with one or both unclear margins (20,24).

Given the recognized etiologic role of HPV, however, questions have been raised about the actual importance of margin status in predicting recurrence after treatment of high-grade cervical dysplasia. In our 2020 long-term follow-up registry study of 991 women with histopathologically-confirmed high-grade CIN who underwent conization from 2000 to 2007, a single post-conization HPV result was available for a small subgroup of the patients (19). Among the 84 patients with positive HPV findings, those with positive/uncertain margins showed a significantly increased risk of recurrent/residual CIN2+ (over two-fold) compared to women with HPV positive findings but with clear margins. In contrast, however, among the 105 women with negative post-conization HPV findings, margin status was not found to be significantly associated with recurrence. We noted the need for further investigation of this question with more complete post-conization HPV data for a cohort of patients followed after treatment. This is one of the aims of the present study.

In that light, most recently, within the framework of an investigation comparing clinician-sampled and self-sampled specimens at early post-conization follow-up, complete HPV and cytologic results, as well as practically complete colposcopic results were obtained for a large cohort of patients (25). We now incorporate these early post-conization data into a longer-term follow-up study extending for over six years. Full data on margin excision status and other aspects of initial treatment are included, as well as consideration of age and comorbid conditions that may impact on HPV acquisition and/or CIN progression (19,26). Herein, our main focus is upon recurrence of high-grade cervical dysplasia, seeking to identify the factors that independently contribute to treatment failure.

## Materials and methods

Design of the study, population and location. Between October 2014 and January 2017, all patients who had been treated for the first time by conization for histologically-confirmed high-grade CIN (CIN2+) or adenocarcinoma in-situ (AIS) were eligible to participate in this study. The hospitals in which the patients had been treated were: Karolinska University Hospital, Danderyd Hospital or South General Hospital, all within Stockholm County, Sweden.

The patients were contacted soon after treatment by Ellinor Östensson, EÖ, who scheduled the 1st follow-up visit at Karolinska University Hospital. Approximately six months post-conization was the aimed time interval for this 1st follow-up visit. Often after repeated attempts to find a suitable time, all 532 patients were scheduled and then attended this 1st follow-up.

After coming to the Karolinska University Hospital for the 1st follow-up visit, EÖ met with each woman to present the study procedures. These included self-collection of vaginal and urine samples for HPV testing, as reported in a previous study (25); completion of a questionnaire, as reported in previous studies (27,28); gynecologic examination with colposcopy and cervical sampling as clinical follow-up. The overall aim of the study was stated to be prevention of cervical cancer. The participants were assured of complete confidentiality and full freedom to withdraw from the study at any time with no consequences whatsoever. Permission to review the patient's medical records was included in the informed consent. The options for the informed consent were: Agreement to participate and decline to participate. Karolinska Ethics Committee approved the study protocol (approval nos. 2006/1273-31, 2014/2034-3). One patient declined to participate. Two patients were found to have microinvasive squamous cell carcinoma upon histopathological re-examination, when already enrolled in the study. These two patients were excluded from further follow-up analyses herein. Thus, the total number of patients in the present study is 529.

Ist follow-up visit: Gynecologic examination with colposcopy, clinician-collected cervical samples, other procedures. One of the two gynecologists (Dr. Andersson or Dr. Mints), who performed colposcopy and cervical sampling, first met with each patient. Punch biopsies were directed by colposcopy, and were obtained from visible lesions. The biopsies were histologically graded with the analysis done at Karolinska University Hospital. Standard procedures were followed, using the CIN classification (29). Patients in whom a recurrent lesion was found were sent for follow-up treatment. This was re-excision or simple total hysterectomy, based upon clinical evaluation and other considerations.

Enrolled women were followed according to national guidelines using cytology co-testing. The liquid-based method (ThinPrep<sup>®</sup>, Hologic, Marlbororgh, MA, USA) was used for cytology and the Cobas 4800 assay (Roche Molecular Diagnostics, Pleasanton, CA, USA) for standard HPV testing. Samples were taken from the endocervix using cervical brushes and from the ectocervix with plastic spatulas. The samples were transferred into PreservCyt liquid-based cytology (LBC) vials according to European guidelines (30). The LBC was carried out at the Cytology Department of the Karolinska University Hospital, following the Bethesda system (31). The HPV DNA testing performed on-site was with the hospital's standard: Cobas 4800 HPV (Roche Diagnostics).

In addition, as part of the participation in the study, but not used for clinical decision-making, clinician-collected cervical samples (Abbott), self-collected vaginal samples (VSS) and urine samples were analyzed for comparative HPV testing at 1st follow-up. The procedure employed a multiplex real-time polymerase chain reaction (PCR) test which detects HPV16, HPV18, as well as other high-risk HPV: 31, 33, 35, 39, 45, 51, 52, 56, 58, 59, 66, 68. The results of this comparative testing are described in detail in a previous study (25). Herein, the results of the Abbott clinician-collected cervical samples and VSS are mainly presented for the patients in whom recurrent disease was detected.

Subsequent follow-up. Subsequent follow-up was based upon the results from LBC and Cobas HPV from the first follow-up. Insofar as cytological abnormalities were found, and/or the Cobas HPV result was positive, the patient was referred for a second follow-up. This second follow-up employed the same standard protocol as the first follow-up, and was based upon Swedish National Guidelines. The second follow-up was usually scheduled approximately one year after the first follow-up. Insofar as the cytology was negative for intraepithelial lesions or malignancy (NILM) and the HPV Cobas findings were also negative, Swedish National Guidelines were that the patient should return to routine triennial screening. This routine screening was envisioned to include HPV testing using Cobas from a clinician-taken sample and cytologic examination, with colposcopy performed according to clinician discretion.

*Review of medical records*. The entire medical record of each patient was thoroughly reviewed through December 2020. Information was obtained on age at the time of conization, the modality of conization, grade of dysplasia in the excised cone, number of cone pieces and margin status in the cone biopsy. Excisions were considered incomplete when dysplasia was found along the specimen margin, termed 'unclear' or when the margin status was uncertain. Assessment of the resection margins was further subdivided into: i) Ectocervical only,

ii) endocervical only or iii) both margins unclear or uncertain. All comorbid diagnoses were noted. These were also categorized as conditions assumed to interact with HPV acquisition or CIN progression: autoimmune disorders, malignancy, infection with hepatitis or human immunodeficiency virus, diabetes mellitus, genetic disorders or organ transplantation (19,26). Diagnosed recurrent/residual disease was defined as histologically-confirmed high-grade CIN on biopsy taken at colposcopy during any of the follow-up examinations.

Statistical analysis. A power analysis was performed prior to the study. Therein, it was estimated that 500 patients were needed for statistical significance at an alpha level of P<0.05. Extensive univariate and bivariate analyses were first undertaken. The latter was performed using the Pearson  $\chi^2$  test or Fisher's exact test if any expected cell was less than five, with one degree of freedom. All comparisons were two-sided. Salient dichotomizations were thereby made, as described in the Results section. Statistica (13.5.0.17/TIBCO-2018) and SPSS (IBM-version-25.0; IBM, Armonk, NY, USA) were used for statistical analysis. Multiple logistic regression was used to compute odds ratios (OR) and 95% confidence intervals (CI) with the outcome being detected recurrence of high-grade CIN.

# Results

*Study overview and detection of recurrence*. A summary of the number of women included in each step of the study is provided in Fig. 1. The detected recurrent cases are highlighted therein.

Demographic and other baseline univariate findings. Among the 529 patients included in the present study, the mean age was 34.3 years at the time of treatment. Altogether, 188 (35.5%) of the patients were below age 30 at the time of treatment, and seventy-six patients (14.4%) were age 45 or above at that time. Over two-thirds of the patients in this cohort were employed and well over half were university educated (25,27,28).

Most of the patients (85%) were treated using the contoured-loop excision of the transformation zone (C-LETZ) surgical method. Seventy-seven patients (14.6%) were treated by laser conization, while three patients were treated with ablation. In the vast majority of the patients, a single cone piece was excised. The margin excision status revealed that the endocervical and ectocervical margins were both clear in 73% of the patients. Both these margins were either unclear or uncertain in 56 (10.6%) patients, while in the remaining 87 patients only one of the margins was clear. The histology of the excised cone was CIN2 in 133 of the patients (25%), CIN2/3 in 368 patients (70%), CIN3/AIS in 15 patients and AIS in 13 patients.

Univariate findings from 1st follow-up. Over half the 529 patients came to 1st follow-up within six months after treatment; all but four of the patients came to 1st follow-up within one year after treatment. The latter four patients came within 15 months after treatment. At first follow-up the cytology results were available for all 529 patients; these were normal, NILM, in 453 (86%) of the patients. There were also

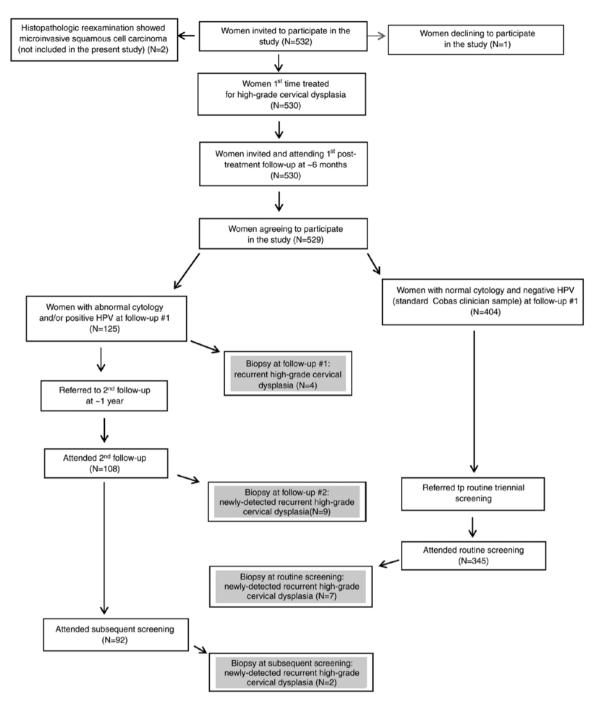


Figure 1. Flow chart of how the patients were triaged. The shaded rectangles highlight the patients in whom recurrence was detected in relation to the follow-up procedures. HPV, high-risk human papillomavirus.

complete data for HPV results at 1st follow-up; these were positive in 86 (16.3%) of the patients. Altogether, 37 patients (7%) had both abnormal cytology and HPV positive findings at 1st follow-up. Four cases of recurrent high-grade CIN were detected at 1st follow-up.

Univariate findings from 2nd follow-up. Excluding the four patients in whom recurrence was detected at 1st follow-up, 121 patients of the 529 patients were referred to 2nd follow-up to which 108 of these patients attended. The majority of these patients attended 2nd follow-up within one year after the 1st follow-up; over 90% came within two years after the 1st follow-up. The longest time interval between the 1st and 2nd

follow-up was 3.5 years. At 2nd follow-up, 74 women had NILM, 31 had abnormal cytology and cytology was missing for three patients. Forty-three women had a positive HPV result and 51 had a negative HPV result at that time, while HPV data were not available for fourteen patients at 2nd follow-up. Nine cases of recurrent high-grade CIN were detected at 2nd follow-up.

Univariate findings from routine follow-up. There were 404 women with normal cytology and negative HPV at 1st follow-up; these women were referred to routine triennial screening. Altogether 345 attended (85.4%). Among the women who had attended 2nd follow-up without detected recurrence, 92 attended the subsequent routine screening. At

the latter screening occasion, abnormal cytology was recorded in 34 women and NILM in 345, whereas cytology results were missing for 58 women who attended routine screening. The HPV results at routine follow-up were positive in 36 women, negative in 299 patients and were missing in 102 patients. Nine new cases of recurrent high-grade CIN were detected at routine follow-up.

Comorbidity. Altogether 136 patients (25.7%) had one or more comorbid diagnosis reported in their medical records. Overall, the most frequent were psychiatric disorders in 51 patients, among whom 44 patients were noted to have clinical depression. Fifty-two patients (9.8%) had a comorbid diagnosis assumed to interact with HPV acquisition or CIN progression, the most common being autoimmune conditions in 37 patients. The autoimmune conditions were varied and included rheumatoid arthritis, systemic lupus erythematosus, immune thrombocytopenia, hypothyroidism, inflammatory bowel disease and multiple sclerosis.

A comorbid malignancy was noted in ten patients (1.9%). Two patients had had comorbid infectious disease associated with HPV acquisition/progression and two patients had type 2 diabetes mellitus. Genetic disorders were reported in four patients. Thirteen patients had two or more comorbid diagnoses reported in their medical records.

Detailed examination of the detected recurrent cases. Tables I, II and III provide an in-depth profile of each patient in whom recurrent high-grade CIN was detected at the 1st, 2nd or routine follow-up, respectively. Table I shows that all four recurrent cases detected at 1st follow-up were of squamous pathology on biopsy: high-grade squamous intraepithelial lesions (HSIL). A wide age distribution is observed among these patients. Only one of these four patients did not have clear ecto- and endocervical margins. All had HPV positive findings by the standard Cobas and Abbott clinician-taken samples, as well as from VSS. The patient with uncertain margins and one of the two patients in the age group between 56 and 60 also had HPV16 positive findings from clinician-taken samples and VSS, whereas none showed HPV18 positivity. The other patient within the 56 to 60 age group was the only one to have transformation zone 3 (TZ3) on colposcopy. She was also the only one in whom any comorbidity was reported, namely an autoimmune disorder and chronic obstructive pulmonary disease.

Table II is divided into two sub-parts: Table IIA presents the two patients in whom adenocarcinoma in situ, AIS, was found on biopsy at 2nd follow-up, while the seven patients with recurrent HSIL are presented in Table IIB. Both patients with recurrent AIS had TZ3 on colposcopy. The patient treated by laser conization had clear margins. By standard Cobas and VSS, the overall HPV results were negative at 1st follow-up. It was only by the clinician-sampling using the Abbott method that overall HPV positivity at 1st follow-up was revealed for this patient. The other patient with recurrent AIS had been treated by C-LETZ conization with an unclear endocervical margin. Whereas VSS had been negative, both clinician-sampling methods revealed HPV positivity. With the Abbott method, HPV18 was positive. At 2nd follow-up, HPV positivity was detected in both patients using the standard Cobas method.

Table I	. Patients with	recurrent high-grade cer	Table I. Patients with recurrent high-grade cervical intraepithelial neoplasia detected at the 1st follow-up visit.	asia detected	l at the 1st follow-1	up visit.					
Age, years	Surgical method	Ectocervical margin	Endocervical margin	Cone piece #	Excised cone histology	Cytology 1st FU	TZ3 on colposcopy	HPV <sup>a</sup> 1st FU	HPV $16^{b}$	HPV 18 <sup>b</sup>	Diagnosed comorbidity
26-30	Laser	Clear	Clear	1	CIN2	HSIL	No	(+)	(-)	(-)	No
31-35	C-LETZ	Uncertain	Uncertain	1	CIN3	AGC	No	(+)	(+)	(-)	No
56-60	C-LETZ	Clear	Clear	1	CIN2	HSIL	Yes	(+)	(-)	(-)	Autoimmune
											disorder & COPD
	C-LETZ	Clear	Clear	1	CIN3	HSIL	No	(+)	(+)	(-)	No
<sup>a</sup> Overall consiste intraepit zone 3; <sup>7</sup>	<sup>a</sup> Overall HPV positivity was consiste consistent with both methods. For fu intraepithelial neoplasia; COPD, chr zone 3; VSS, vaginal self-sampling.	was consistent with standar thods. For further details at t, COPD, chronic obstructi lf-sampling.	<sup>a</sup> Overall HPV positivity was consistent with standard Cobas and Abbott clinician-taken cervical samples and VSS. <sup>b</sup> HPV16 and 18 findings from Abbott clinician-taken cervical samples and VSS and were consistent with both methods. For further details about HPV sampling, see main text and (25). AGC, atypical glandular cells; C-LETZ, contoured-loop excision of the transformation zone; CIN, Cervical intraepithelial neoplasia; COPD, chronic obstructive pulmonary disease; FU, follow-up; HPV, high-risk human papillomavirus; HSIL, high-grade squamous intraepithelial lesions; TZ3, transformation zone 3; VSS, vaginal self-sampling.	-taken cervic text and (25) bllow-up; HP	al samples and VSS. . AGC, atypical glan V, high-risk human <sub>F</sub>	<sup>b</sup> HPV16 and 18 dular cells; C-I apillomavirus;	i findings from Ab JETZ, contoured-1 HSIL, high-grade	bott clinicia oop excision squamous	n-taken cer of the tra intraepithe	vical samp nsformation lial lesions;	I-taken cervical samples and VSS. <sup>b</sup> HPV16 and 18 findings from Abbott clinician-taken cervical samples and VSS and were it text and (25). AGC, atypical glandular cells; C-LETZ, contoured-loop excision of the transformation zone; CIN, Cervical Iolow-up; HPV, high-risk human papillomavirus; HSIL, high-grade squamous intraepithelial lesions; TZ3, transformation

A, Recu	rrent adenoc	arcinoma <i>in situ</i> c	A, Recurrent adenocarcinoma <i>in situ</i> detected at the 2nd follow-up visit	follow-up v	isit		4						
Age, years	Surgical method	Ectocervical margin	Endocervical margin	Cone piece #	Excised cone histology	TZ3 1st FU colposcopy	Cytology 1st FU	HPV 1st FU°	HPV 16	HPV 18	HPV 2nd FU <sup>a</sup>	Cytology 2nd FU	Diagnosed comorbidity
31-35	Laser C-LETZ	Clear Clear	Clear Unclear		AIS AIS	Yes Yes	AGC AGC	(+) <sup>b</sup> (+) <sup>c</sup>	(-)	p(+)	(+)	AIS AGC	No No
B, Recui	rrent high-gr	ade squamous int	B, Recurrent high-grade squamous intraepithelial lesions detected at the 2nd follow-up visit	detected at	the 2nd follo	w-up visit							
Age, years	Surgical method	Ectocervical margin	Endocervical margin	Cone piece #	Excised cone histology	TZ3 1st FU colposcopy	Cytology 1st FU	HPV 1st FU°	HPV 16	HPV 18	HPV 2nd FU <sup>a</sup>	Cytology 2nd FU	Diagnosed comorbidity
26-30	C-LETZ	Clear	Clear	-	CIN3	No	TISIT	(+)	(-)	(-)	(+)	HSIL	No
	C-LETZ	Clear	Unclear	<del></del> ,	CIN3	No	TSIL	(+)	-	(+)	(+)	TSIL	No
31-35 41-45	C-LETZ C-LETZ	Clear Uncertain	Clear Uncertain	- 6	CIN2 CIN2	No No	NILM	÷ ÷	(-) g(+)	-	(+) (+)	HSIL	No No
	Laser	Clear	Unclear	1	CIN3	No	HSIL	(+)	-	-	Missing	HSIL	No
46-50	<b>C-LETZ</b>	Clear	Unclear	1	CIN3	No	NILM	(+)	(+) <sup>g</sup>	(-)	(+)	HSIL	Depression
	<b>C-LETZ</b>	Clear	Unclear	1	CIN3	Yes	<b>LSIL</b>	(+)	(-)	g(+)	(+)	HSIL	No
<sup>a</sup> HPV fin <sup>c</sup> HPV poo Cobas & details at neoplasia lesions on	dings at 2nd fc sitive at 1st fol Abbott clinici out HPV samp t; FU, follow-u r malignancy;'	ollow-up were only llow-up with Cobas an samples and VS; oling, see main text up; HPV, high-risk l TZ3, transformation	<sup>a</sup> HPV findings at 2nd follow-up were only available by Cobas clinician samples. <sup>b</sup> HPV positive at 1st follow-up only with the Abbott clinician sample, but negative with Cobas clinician sample and VSS. <sup>c</sup> HPV positive at 1st follow-up with Cobas and Abbott clinician samples. <sup>b</sup> HPV18 positivity only with Abbott clinician samples, but negative with VSS. <sup>c</sup> Overall HPV positivity by Cobas & Abbott clinician samples and VSS. <sup>f</sup> HPV18 positive only with VSS, negative with Abbott clinician samples, but negative with VSS. <sup>f</sup> Overall HPV positivity by Cobas & Abbott clinician samples and VSS. <sup>f</sup> HPV18 positive only with VSS, negative with Abbott clinician samples. <sup>g</sup> HPV16 or HPV18 positive with both Abbott clinician samples and VSS. For further details about HPV sampling, see main text and (25). AGC, atypical glandular cells; AIS, adenocarcinoma- <i>in-situ</i> ; C-LETZ, contoured-loop excision of the transformation zone; CIN, Cervical intraepithelial neoplasia; FU, follow-up; HPV, high-risk human papillomavirus; HSIL, high-grade squamous intraepithelial lesions; LSIL, low-grade squamous intraepithelial lesions; ISIL, low-grade squamous intraepithelial lesions; TZ3, transformation zone 3; VSS, vaginal self-sampling.	clinician sam I samples, bu nly with VSS ical glandular is; HSIL, hig ial self-sampla	ples. <sup>b</sup> HPV pos t negative with 5, negative with cells; AIS, ade h-grade squamd ing.	itive at 1st follow VSS. <sup>a</sup> HPV18 po 1 Abbott clinician nocarcinoma- <i>in-s</i> . ous intraepithelial	-up only with t sitivity only wi samples. <sup>g</sup> HPV <i>itu</i> ; C-LETZ, α [lesions; LSIL,	he Abbott clii tith Abbott clii (16 or HPV18 ontoured-loo , low-grade sc	nician sam nician sam positive w excision c puamous in	ple, but ne oles, but ne vith both A of the trans traepitheli	gative with Cc sgative with V/ bbott clinician formation zon al lesions; NIL	obas clinician s SS. "Overall H 1 samples and e; CIN, Cervic 	ample and VSS. PV positivity by VSS. For further al intraepithelial or intraepithelial

Table II. Patients with recurrent high-grade cervical intraepithelial neoplasia detected at the 2nd follow-up.

Age, yearsEcto- methodEndo- conicalTZ3 trUTZ3 trUTZ3 trUHPV trUHVV trU11-35C-LETZUncertainUn									
C-LETZUncertainUncertain $3$ AISNoNILM $(+)^{\circ}$ C-LETZUncertainUncertainUncertain $1$ $CIN3/AIS$ YesNILM $(-)$ $uurunt high-grade squamous intraepithelial lesions detected at subsequent routine1CIN3/AISYesNILM(-)uurunt high-grade squamous intraepithelial lesionsEcto-Endo-Exto-Exto-Exto-Exto-Exto-uurunt high-grade squamous intraepithelial lesionsetected at subsequent routineTZ3TZ3HPVuurunt high-grade squamous intraepithelial lesionsetected at subsequent routineetereninguurunt high-grade squamous intraepithelial lesionsetered squamous intraepithelial lesionsetereninguurunt high-grade squamous intraepithelial lesionsetered squamous intraepithelial lesionsetereninguurunt high-grade squamous intraepithelial lesionseterening squamous intraepithelial lesionseterening squamous intraepithelial lesionsuurunt high-grade squamous intraepithelial le$	TZ3 1st FU colpo- ( scopy		V it HPV J <sup>a</sup> 16 <sup>a</sup>	HPV $18^{a}$	HPV 2nd FU <sup>b</sup>	Cytology 2nd FU	HPV routine screen <sup>b</sup>	Cytology routine screen	Diagnosed comorbidity
C-LETZUncertainUncertainICIN3/AISYesNILM(-)urrent high-grade squamous intracpithelial lesions detected at subsequent routine screeningEcto-Endo-TZ3TZ3HPVBurgicalcervicalcervicalConecopo-CytologyIstHPVSurgicalcervicalcervicalConecopo-CytologyIstHPVLaserUnclearClear1CIN3NoNILM(-)LaserUnclearClear1CIN3NoNILM(-)LaserUncertainUncertainUncertainUncertainUncertain(-)C-LETZUncertainUncertainUncertainUncertainUncertain(-)C-LETZClearUncertainUncertainUncertain(-)(-)C-LETZUncertainUncertainUncertain(-)(-)C-LETZUncertainUncertainUncertain(-)(-)C-LETZUncertainUncertainUncertain(-)(-)C-LETZUncertainUncertainUncertain(-)(-)C-LETZClearUncertainUncertain(-)(-)C-LETZUncertainUncertainUncertain(-)(-)C-LETZUncertainUncertainUncertain(-)(-)C-LETZUncertainUncertainUncertain(-)(-)C-LETZUncertainUncertain(-)(-) </td <td>No</td> <td></td> <td></td> <td>(+)c</td> <td>No 2nd FU</td> <td>No 2nd FU</td> <td>(+)</td> <td>AIS</td> <td>Autoimmune disorder</td>	No			(+)c	No 2nd FU	No 2nd FU	(+)	AIS	Autoimmune disorder
Ecto-Endo-TZ3SurgicalEcto-Endo-Excised1st FUSurgicalcervicalcervicalConeColpo-Surgicalcervicalconecolpo-CytologyLaserUnclearClear1CIN3NoLaserUnclearClear1CIN3NoLaserUnclearClear1CIN3NoLaserUnclear1CIN3NoNILMC-LETZUncertainUnclear3CIN3/AISNoC-LETZUncertainUnclear3CIN3/AISNoC-LETZUncertainUnclear3CIN3/AISNoC-LETZUncertainUnclear3CIN3/AISYesC-LETZUncertainUnclear3CIN3/AISNoC-LETZUncertainUnclear3CIN3/AISNoC-LETZUncertainUnclear3CIN3/AISNoC-LETZUncertainUnclear3CIN3/AISNoC-LETZUncertainUnclear3CIN3/AISNoC-LETZUncertainUnclear3CIN3/AISNoC-LETZUncertainUnclear1CIN3/AISNoC-LETZUncertainUnclear3CIN3/AISNoC-LETZUncertainUnclear1CIN3/AISNoC-LETZUncertainUnclear1CIN3/AISNo	Yes		(-)	-	No 2nd FU	No 2nd FU	(+)	AIS	No
Ecto- Ecto- methodEcto- Endo- marginEcto- ExcisedTZ3 Ist FU scopyTZ3 Ist FU Ist FU FU FU FUSurgical methodcervical margincervical marginExcised scopyIst FU StyologyHPV Ist FU FULaser LaserUnclear1COne scopyCopo- scopyStyologyIst FULaser LaserUnclear1CIN3NoNILM(-)Laser LaserClear1CIN3NoNILM(-)C-LETZ C-LETZUncertain UncertainUnclear3CIN3/AISNoNILM(-)C-LETZ C-LETZUncertain UncertainUnclear3CIN3/AISNoNILM(-)C-LETZ C-LETZUncertain UncertainUnclear3CIN3/AISNoNILM(-)C-LETZ C-LETZUncertain UncertainUnclear3CIN3/AISNoNILM(-)C-LETZ C-LETZUncertain UncertainUnclear3CIN3/AISNoNILM(-)C-LETZ C-LETZUncertain UncertainUnclear3CIN3/AISNoNILM(-)C-LETZ C-LETZUncertain UncertainUnclear3CIN3/AISNoNILM(-)C-LETZ C-LETZUncertain UncertainUnclear3CIN3/AISNoNILM(-)C-LETZUncertain UncertainUnclear1CIN3/AISNoNILM(-)C	ted at subsequent	routine scree	sning						
SurgicalcervicalcervicalConecolpo-CytologyIstIstmethodmarginmarginpiece #histologyscopyIstFUFULaserUnclearClear1CIN3NoNILM(-)(-)LaserClear1CIN3NoNILM(-)(-)LaserClear1CIN3NoNILM(-)(-)LaserClear1CIN3NoNILM(-)(-)C-LETZUncertainUnclear3CIN3YesNILM(-)C-LETZUncertainUnclear3CIN3YesNILM(-)C-LETZUncertainUnclear3CIN3YesHSIL(+) <sup>d</sup> C-LETZClearUncertainUnclear3CIN3YesHSIL(-)C-LETZClearUnclear3CIN3YesASC-US(+) <sup>d</sup> C-LETZClearUnclear3CIN3Yes(+) <sup>d</sup> C-LETZClearUnclear1CIN3Yes(+) <sup>d</sup> C-LETZClearUnclear3CIN3Yes(+) <sup>d</sup> C-LETZClearUnclear1CIN3Yes(+) <sup>d</sup> C-LETZClearUnclear1CIN3Yes(+) <sup>d</sup> C-LETZUnclear1CIN3YesYes(+) <sup>d</sup> C-LETZVertainUnclear1CIN3(+) <sup>d</sup> <		H	Δ		APV		ΛdΗ	Cytology	
LaserUnclearClear1CIN3NoNILM(-)LaserClearClear1CIN2NoNILM(-)LaserClearClear1CIN3/AISNoNILM(-)C-LETZUncertainUnclear3CIN3YesNILM(-)C-LETZUncertainUncertainUncertain1CIN3YesNILM(-)C-LETZUncertainUncertainUncertain1CIN3YesHSIL(+) <sup>d</sup> C-LETZClearUnclear3CIN3YesASC-US(+) <sup>e</sup> C-LETZClearUnclear1CIN3NoNILM(-)C-LETZClearUnclear1CIN3YesASC-US(+) <sup>e</sup> C-LETZClearUnclear1CIN3NoNILM(-)	colpo- scopy		tt HPV J <sup>a</sup> 16 <sup>a</sup>	HPV 18 <sup>a</sup>	$2nd$ $FU^b$	Cytology 2nd FU	routine screen <sup>b</sup>	routine screen	Diagnosed comorbidity
LaserClearClear1CIN2NoNILM(-)C-LETZClear1CIN3/AISNoNILM(-)C-LETZUncertainUnclear3CIN3YesNILM(-)C-LETZUncertainUnclear3CIN3YesNILM(-)C-LETZUncertainUncertain1CIN3YesHSIL(+) <sup>d</sup> C-LETZClearUnclear3CIN3YesASC-US(+) <sup>d</sup> C-LETZClearUnclear1CIN3NoNILM(-)			(-)	-	No 2nd FU	No 2nd FU	(+)	ASC-US	No
C-LETZClear1CIN3/AISNoNILM(-)C-LETZUncertainUnclear3CIN3YesNILM(-)C-LETZUncertainUncertain1CIN3YesNILM(-)C-LETZUncertainUncertain1CIN3YesHSIL(+) <sup>d</sup> C-LETZClearUnclear3CIN3YesASC-US(+) <sup>d</sup> C-LETZClearUnclear1CIN3NoNILM(-)				(-)	No 2nd FU	No 2nd FU	(+)	<b>TSIL</b>	Other
C-LETZClearClear1CIN3/AISNoNILM(-)C-LETZUncertainUnclear3CIN3YesNILM(-)C-LETZUncertainUncertain1CIN3YesHSIL(+) <sup>d</sup> C-LETZClearUncertain1CIN3YesHSIL(+) <sup>d</sup> C-LETZClearUnclear3CIN3YesASC-US(+) <sup>d</sup> C-LETZClearUnclear1CIN3NoNILM(-)									infectious disease <sup>f</sup>
C-LETZUncertainUncertainUnclear3CIN3YesNILM(-)C-LETZUncertainUncertain1CIN3YesHSIL(+) <sup>d</sup> C-LETZClearUnclear3CIN3YesASC-US(+) <sup>e</sup> C-LETZClearUnclear1CIN3NoNILM(-)	No			(-)	No 2nd FU	No 2nd FU	(-)	NILM	No
C-LETZ Uncertain Uncertain 1 CIN3 Yes HSIL (+) <sup>d</sup> C-LETZ Clear Unclear 3 CIN3 Yes ASC-US (+) <sup>e</sup> C-LETZ Clear Unclear 1 CIN3 No NILM (-)			(-)	(-)	No 2nd FU	No 2nd FU	Missing	NILM	Hypertension
C-LETZ Clear Unclear 3 CIN3 Yes ASC-US (+) <sup>e</sup> C-LETZ Clear Unclear 1 CIN3 No NILM (-)			-	(-)	Missing	HSIL	Missing	NILM	Neurologic
C-LETZ Clear Unclear 1 CIN3 No NILM (-)			)e (+) <sup>e</sup>	-)	(+)	NILM	(+)	NILM	No
				(-)	No 2nd FU	No 2nd FU	(+)	NILM	Interacting
									infectious disease <sup>f</sup>
									& autoimmune
									nisoruer

Table III. Patients with recurrent high-grade cervical intraepithelial neoplasia detected at subsequent routine screening.

Cobas clinician sampled, Abbott clinician sampled & VSS for overall HPV analysis; Abbott clinician samples & VSS for HPV 16. "HPV positive with Cobas & Abbott clinician sampled, but not VSS for overall HPV, Abbott clinician sample but not VSS for HPV16. <sup>f</sup>Infectious disease assumed to interact with HPV acquisition or CIN progression vs. other infectious disease as per (26). For further details about HPV sampling, see main text and (25). AIS, adenocarcinoma-in-situ; ASCUS, atypical squamous cells of undetermined significance; C-LETZ, contoured-loop excision of the transformation zone; CIN, Cervical intracpithelial neoplasia; FU, follow-up; HPV, high-risk human papillomavirus; HSIL, high-grade squamous intracpithelial lesions; LSIL, low-grade squamous intracpithelial lesions; NILM, negative for intraepithelial lesions or malignancy; TZ3, transformation zone 3; VSS, vaginal self-sampling. The seven patients with recurrent HSIL detected at 2nd follow-up also show a wide age distribution. All four patients above age 40 at the time of treatment had unclear or uncertain endocervical margins. One of them was treated by laser conization; another patient treated by C-LETZ had two cone pieces. All seven patients had HPV positive findings at 1st follow-up by all three methods. Positive HPV16 or HPV18 was found in four of these seven patients via VSS, while the clinician-taken sample did not reveal one case of HPV18 positivity. Among the six patients in whom this was assessed at 2nd follow-up, HPV was positive. Comorbidity (depression) was noted in only one of the nine patients with detected recurrence at 2nd follow-up.

Table III is also divided into two sub-parts. In Table IIIA are the two patients with recurrent adenocarcinoma *in situ*, AIS, found at subsequent routine screening. All the margins had been uncertain in both cases. At 1st follow-up only Abbott clinician-samples were positive in one patient (HPV18); in the other patient all HPV testing was negative. Since cytology was NILM at that time, neither patient was referred to 2nd follow-up. It was only thereafter at routine screening that HPV on Cobas was positive and cytology revealed AIS. An autoimmune disorder was a diagnosed comorbidity in one of these patients.

Among the seven patients with recurrent HSIL (Table IIIB) detected at routine screening, five had NILM cytology and negative HPV via standard Cobas (as well as by Abbott clinician sample and VSS) at 1st follow-up and, therefore, were not referred to 2nd follow-up. In three of these patients, at least one margin had been unclear or uncertain. At subsequent routine screening, Cobas HPV was positive in three of these cases, but negative in one patient and missing in the other. The other two patients attended 2nd follow-up having had abnormal cytology at 1st follow-up as well as positive HPV at 1st follow-up. Neither of these seven patients had entirely clear margins at conization. Four of these seven patients had diagnosed comorbidity, including autoimmune and infectious diseases which are assumed to interact with HPV acquisition and/or CIN progression.

*Bivariate analysis vis-à-vis detected recurrence of high-grade cervical dysplasia*. In Table IV comparisons are made between the patients in whom recurrent high-grade cervical dysplasia was detected and those in whom recurrence was not detected. There were significantly more patients aged 45 or above with detected recurrence. Surgical method did not differ significantly between these two groups. However, 64% of the patients with detected recurrence had at least one unclear or uncertain margin, whereas this was the case for 25% of the patients without detected recurrence. Neither the number of cone pieces nor the histology of the excised cone differed significantly for the patients with vs. without diagnosed recurrence. Comorbidity did not significantly distinguish these two groups, nor did the finding of TZ3 on colposcopy at 1st follow-up.

Cytology at 1st follow-up was significantly more often abnormal among the patients with detected recurrence at any of the follow-up times (59%) compared to those in whom no recurrence was detected (12%). Excluding the four patients in whom recurrence was detected at 1st follow-up, abnormal cytology at 2nd follow-up was significantly more frequent among patients with detected recurrence (91%) vs. those in whom no recurrence was detected (22%). Among the nine patients in whom recurrence was detected at subsequent follow-up, four (44.4%) had abnormal cytology at that follow-up occasion, whereas 30 (8.1%) of the 370 patients without detected recurrence in whom cytology was reported at subsequent follow-up had an abnormal result.

Likewise, standard Cobas HPV was significantly more often positive at each follow-up among patients with detected recurrence compared to those in whom no recurrence was detected. At 1st follow-up, 64% of the 22 patients in whom recurrence was detected showed HPV positivity, vs. 14% among the 507 patients without detected recurrence. Excluding the four patients in whom recurrence was detected at 1st follow-up, at 2nd follow-up, all nine patients with detected recurrence in whom these results were available showed HPV positivity. In contrast, 34 of the 85 patients without detected recurrence in whom HPV results were available at 2nd follow-up showed HPV positivity at that occasion. HPV results were available for seven of the nine patients in whom recurrence was detected at subsequent routine follow-up, and were positive in six cases (85.7%). In contrast, among the 328 patients without detected occurrence in whom these HPV was assessed at routine follow-up, only 30 patients showed HPV positivity.

The limited available data on persistent HPV positivity indicates that all eight patients with detected recurrence and HPV positivity with standard Cobas at 1st follow-up also showed positivity at 2nd follow-up. In contrast, nearly 50% of the patients without detected recurrence who had HPV positive results with standard Cobas at 1st follow-up had negative HPV at 2nd follow-up. Of the nine patients in whom recurrence was detected at subsequent follow-up, one had HPV positive findings with standard Cobas at 1st follow-up and at subsequent follow-up. Among those without detected recurrence, there were 15 patients with HPV positivity both at 1st follow-up and at routine screening, while 36 patients had HPV positive findings at 1st follow-up but not at routine screening.

*Age-related bivariate findings*. The age-related findings are presented in Table V, with stratification of the patients below age 30, those aged 30 to 44 and patients aged 45 or above. The statistical analysis compares all patients below age 45 with those aged 45 or above. Firstly, it is seen that significantly more of the patients aged 45 or above were treated by the laser method (24%) compared to 13% among those below age 45. There were no significant age-related differences regarding margin excision status.

A highly significant difference was noted regarding the prevalence of TZ3 on colposcopy (67%) at 1st follow-up among patients aged 45 or above vs. 36% for patients below age 45. Patients aged 45 or above significantly more often had abnormal cytology at 1st follow-up (24%) vs. 13% seen in patients younger than 45. Further analysis among the eighteen patients age  $\geq$ 45 with abnormal cytology at 1st follow-up reveals that six patients had HSIL, four had low-grade squamous intraepithelial lesions (LSIL) and eight had atypical squamous cells of undetermined-significance (ASC-US). In contrast, ten patients below age 45 had HSIL, one patient had ASC-H (atypical squamous cells cannot exclude HSIL) and

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Table IV. Comparison of the	patients with and without detected	l recurrence of high-grade cervica	l intraepithelial neoplasia.

Age at conization, years		high-grade CIN detected	P-value
			<0.01
<45	440	13	
≥45	67	9	
Surgical method			NS
C-LETZ	432	17	
Laser	72	5	
Ablation <sup>a</sup>	3		
Margin excision status <sup>b</sup>			< 0.001
Both margins clear	378	8	
Only ectocervical margin unclear/uncertain	41	1	
Only endocervical margin unclear/uncertain	38	7	
Both margins unclear/uncertain	50	6	
Number of cone pieces <sup>a</sup>	20	Ŭ	NS
One	416	18	115
Two or more	88	4	
Histology of excised cone	00		NS
CIN2	128	5	113
CIN2 or worse	379	17	
	517	17	NS
Any diagnosed comorbidity No	378	15	112
Yes	129	13	
		7	NG
Any diagnosed comorbidity linked to HPV or CIN progression		10	NS
No	458	19	
Yes	49	3	
Diagnosed comorbid malignancy	40 <b>-</b>	••	NS
No	497	22	
Yes	10	0	
Colposcopy at 1st follow-up: TZ3 <sup>d</sup>			NS
No	298	14	
Yes	205	8	
Cytology at 1st follow-up			<0.001
NILM	444	9	
Abnormal	63	13	
Cytology at 2nd (referred) follow-up <sup>e,f</sup>			<0.001
NILM	73	1	
Abnormal	21	10	
Cytology at routine follow-up <sup>eg</sup>			< 0.01
NILM	340	5	
Abnormal	30	4	
HPV at 1st follow-up (Standard Cobas)			<0.001
Negative	435	8	
Positive	72	14	
HPV at 2nd (referred) follow-up (Standard Cobas) <sup>e,h</sup>	· –		<0.001
Negative	51	0	<b>N0.001</b>
Positive	34	9	
	34	7	40.001
HPV at routine follow-up (Standard Cobas) <sup>e,i</sup>	200	1	<0.001
Negative Positive	298 30	1 6	

## Table IV. Continued.

Variable	No recurrence of high-grade CIN detected	Recurrent high-grade CIN detected	P-value
Persistent HPV positivity (Standard Cobas) <sup>e,j</sup>			<0.01
No (HPV positive at 1st follow-up, HPV negative at 2nd follow-up)	27	0	
Yes (HPV positive at 1st & 2nd follow-up) Persistent HPV positivity (Standard Cobas) <sup>e,k</sup>	28	8	NS
No (HPV positive at 1st follow-up, HPV negative at routine follow-up)	36	0	- 10
Yes (HPV positive at 1st follow-up & routine follow-up)	15	1	

Data were analyzed using two-tailed Pearson's χ<sup>2</sup> or Fisher's exact test if any expected cell <5, one degree of freedom. <sup>a</sup>The three patients who underwent ablation are excluded from the statistical analysis for this variable. <sup>b</sup>Margin status dichotomized at both margins clear vs. one or both margins unclear or uncertain. <sup>c</sup>As per (26): Autoimmune disease, human immunodeficiency virus infection, hepatitis, malignancy, diabetes, genetic disorder. <sup>d</sup>Colposcopy not done in four patients without detected recurrent high-grade CIN. <sup>e</sup>Assessments subsequent to the 1st follow-up exclude the patients in whom recurrence was detected prior to that follow-up for 58 patients without detected recurrence. <sup>h</sup>No HPV data at attended 2nd follow-up for 12 patients without detected recurrence and in two patients with detected recurrence. <sup>j</sup>No HPV data at attended routine follow-up for 100 patients without and two patients with detected recurrence, who showed HPV positivity at 1st follow-up. <sup>k</sup>No HPV data at attended routine follow-up for 21 patients without and one patient with detected recurrence, who showed HPV positivity at 1st follow-up. CIN, Cervical intraepithelial neoplasia; C-LETZ, contoured-loop excision of the transformation zone; HPV, high-risk human papillomavirus; NILM, Negative for intraepithelial lesions or malignancy; NS, not statistically significant (P>0.05); TZ3, transformation zone 3.

23 had LSIL. Atypical glandular cells (AGC) were found in five patients below age 45. Twenty-five percent of the patients aged 45 or above were found to have HPV positivity using the standard Cobas method at 1st follow-up. This was significantly more than among those below age 45 (15%).

Approximately 12% of the patients had no further follow-up after the 1st follow-up, with a fairly similar percentage in each of the age groups. At 2nd and routine follow-up, there were no significant age-related differences in cytology or HPV.

Borderline significantly more patients aged 45 or above had any diagnosed comorbidity. Five of the 76 patients aged 45 or above had a diagnosed comorbid cancer (6.6%) vs. 1.1% in the younger patients.

The previously noted significant age-related difference in detected recurrence rate is presented in more detail here in Table V. Recurrence was detected in 2.1% of the patients below age 30, 3.4% in those aged 30 to 44 and 11.8% for patients aged 45 or above.

Bivariate findings vis-à-vis margin status. The focus of Table VI is on margin status. For statistical analysis, this is dichotomized as both margins clear vs. any or both margins unclear or uncertain. The margin status did not differ significantly in relation to the surgical method employed. However, with more than one cone piece there was a significantly greater likelihood that at least one margin was unclear or uncertain compared to when only one cone piece was taken. Further analysis reveals that although the surgical method was not significantly associated with margin status, there was a greater likelihood of more than one cone piece with C-LETZ compared to laser surgical method (Pearson  $\chi^2$ =13.9, P=0.0002). There were only two cases in which more than

one cone piece was reported with laser, whereas in 90 cases using C-LETZ more than one cone piece was reported. In 85% of cases with one or more margins uncertain or unclear, the histology of the excised cone was CIN2/3 or worse. More severe cone histology was significantly less frequent (71%) when both margins were clear.

Abnormal cytology at 1st follow-up was significantly more common when one or more margin was unclear or uncertain. Although there was a greater percentage of HPV positivity with one or both unclear margins, HPV positivity at 1st follow-up did not significantly differ according to margin status. As noted, at least one of these abnormal findings was needed to refer the patient to 2nd follow-up. At the bottom of Table VI, it is seen that there was no significant association between abnormal cytology and/or positive HPV at 1st follow-up and unclear or uncertain margins. Altogether 103 patients with at least one unclear or uncertain margin had negative HPV and normal cytology at 1st follow-up. According to the current protocol, these 103 patients were returned to routine screening.

Multiple logistic regression findings with detected recurrence as the outcome. Table VII displays three multiple logistic regression models with detected recurrence of high-grade CIN as the outcome. All the models are highly statistically significant and the data are complete, i.e. all 529 patients are included in the analysis. The first model includes four independent variables that each significantly predicts detected recurrence. These predictors are age 45 or above at the time of conization, incomplete lesion excision, i.e. one or both unclear or uncertain margins at conization, positive HPV at 1st follow-up using the standard Cobas clinician-taken sample and abnormal cytology

Table V. Age-related comparisons.				
Variable	<30 y.o. at treatment	30-44 y.o. at treatment	P-value	≥45 y.o. at treatment
Surgical method			<0.05	
C-LETZ	155	236		58
Laser	31	28		18
Ablation <sup>a</sup>	2	1		0
Margin excision status <sup>b</sup>			NS	
Both ectocervical & endocervical clear	140	190		56
Ectocervical margin only unclear/uncertain	16	21		Ś
Endocervical margin only unclear/uncertain	13	22		10
Both margins unclear/uncertain	19	32		5
Transformation zone 3 on colposcopy at 1 st follow-up <sup><math>c</math></sup>			<0.001	
No	130	157		25
Yes	56	107		50
Cytology at 1st follow-up			<0.05	
NILM	161	234		58
Abnormal	27	31		18
HPV at 1st follow-up (Standard Cobas)			<0.05	
Negative	158	228		57
Positive	30	37		19
Any further follow-up after 1st follow-up <sup>d</sup>			NS	
No	24	28		6
Yes	163	236		65
Cytology at 2nd (referred) follow-up <sup>d,e</sup>			NS	
NILM	33	29		12
Abnormal	6	18		7
HPV at 2nd (referred) follow-up (Standard Cobas) <sup>d,f</sup>			NS	
Negative	25	20		6
Positive	14	18		11
Cytology at routine follow-up <sup>dg</sup>			NS	
NILM	128	173		44
Abnormal	11	17		9

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Variable	<30 y.o. at treatment	30-44 y.o. at treatment	P-value	≥45 y.o. at treatment
HPV at routine follow-up (Standard Cobas) <sup>dh</sup>			NS	
Negative	90	170		39
Positive	13	17		9
Any diagnosed comorbidity			0.07	
No	133	210		50
Yes	55	55		26
Any comorbidity linked to HPV or CIN progression <sup>i</sup>			NS	
No	166	245		66
Yes	22	20		10
Diagnosed comorbid malignancy			<0.01	
No	188	260		71
Yes	0	5		5
Recurrence of high-grade CIN detected at follow-up			<0.01	
No	184	256		67
Yes	4	6		9
Data were analyzed using two-tailed Pearson's $\chi^2$ or Fisher's exact test if any expected cell <5, one degree of freedom, dichotomized at age $\geq 45$ . "The three patients who underwent ablation are excluded from the statistical analysis for this variable. <sup>b</sup> Margin status dichotomized at all clear margins vs. one or both margins unclear or uncertain. "Colposcopy was not done in four patients: two below age 30 and one each in the other two age categories. <sup>d</sup> Assessments subsequent to the 1st follow-up exclude the patients in whom recurrence was detected prior to that follow-up session. <sup>e</sup> No cytology data at attended 2nd follow-up for three patients: one below age 30 and two age 30, 36 age 30-44 follow-up for 14 patients: one below age 30, nine age 30-44 and four age 45 or above. <sup>§</sup> No xytology data at attended routine follow-up for 58 patients: 13 below age 30, 36 age 30-44 follow-up for 14 patients: one below age 30, nine age 30-44 and four age 45 or above. <sup>§</sup> No xytology data at attended routine follow-up for 58 patients: 13 below age 30, 36 age 30-44 and nine age 45 or above. <sup>h</sup> No HPV data at attended routine follow-up for 102 patients: 49 below age 30, 39 age 30-44 and 14 age 45 or above. <sup>i</sup> As per (26): autoimmune disease, human immunodeficiency virus infection, hepatitis, malignancy, diabetes, genetic disorder. CIN, cervical intraepithelial neoplasia; C-LETZ, contoured-loop excision of the transformation immunodeficiency virus infection, hepatitis, malignancy, diabetes, genetic disorder. CIN, cervical intraepithelial neoplasia; C-LETZ, contoured-loop excision of the transformation immunodeficiency virus infection, hepatitis, malignancy for intraepithelial lesions or malignancy. NS, not statistically significant (P>0.07): v.o. vears of age.	exact test if any expected cell <5 tiable. <sup>b</sup> Margin status dichotomiz he other two age categories. <sup>d</sup> Ass t attended 2nd follow-up for thre nd four age 45 or above. <sup>g</sup> No cyt llow-up for 102 patients: 49 below betes, genetic disorder. CIN, cerv 5 for intraebithelial lesions or mal	if any expected cell <5, one degree of freedom, dichotomized at age $\geq 45.$ "The three patients who underwent urgin status dichotomized at all clear margins vs. one or both margins unclear or uncertain. "Colposcopy was vo age categories. <sup>d</sup> Assessments subsequent to the 1st follow-up exclude the patients in whom recurrence was 2nd follow-up for three patients: one below age 30 and two age 45 or above. <sup>f</sup> No HPV data at attended 2nd e 45 or above. <sup>g</sup> No cytology data at attended routine follow-up for 58 patients: 13 below age 30. 36 age 30-44 r 102 patients: 49 below age 30, 39 age 30-44 and 14 age 45 or above. <sup>i</sup> As per (26): autoimmune disease, human etic disorder. CIN, cervical intraepithelial neoplasia; C-LETZ, contoured-loop excision of the transformation pithelial lesions or malignancy: NS. not statistically significant (P>0.07): v.o., vears of age.	th margins unclear or u th margins unclear or u w-up exclude the patien o age 45 or above. <sup>†</sup> No -up for 58 patients: 13 l or above. <sup>†</sup> As per (26): a TZ, contoured-loop exc ant (P>0.07): v.o vear	ee patients who underwent incertain. <sup>c</sup> Colposcopy was ts in whom recurrence was HPV data at attended 2nd below age 30, 36 age 30-44 utoimmune disease, human ision of the transformation s of age.

Table V. Continued.

Variable	Both margins clear	P-value	Only ectocervical margin unclear/uncertain	Only endocervical margin unclear/uncertain	Both margins unclear/uncertain
Surgical method		NS			
C-LETZ	329		33	36	51
Laser	54		9	9	5
Ablation <sup>a</sup>	3		0	0	0
Cone pieces <sup>a</sup>		<0.01			
Only one	326		34	40	34
More than one	57		8	5	22
Histology of excised cone		<0.01			
CIN2	112		8	5	8
CIN2/3 or worse	274		34	40	48
Cytology at 1st follow-up		< 0.05			
NILM	338		39	32	44
Abnormal	48		3	13	12
HPV at 1st follow-up (Standard Cobas)		NS			
Negative	326		40	34	43
Positive	60		2	11	13
Cytology & HPV (Standard Cobas) at		NS			
1st follow-up NILM and negative HPV	301		37	29	37
Abnormal cytology &/or positive HPV	85		5	16	19

## Table VI. Comparisons related to margin status.

Pearson's  $\chi^2$  2-tailed P-values with one degree of freedom with margin status dichotomized at both margins clear vs. one or both margins unclear or uncertain. "The three patients who underwent ablation are excluded from the statistical analysis for this variable. CIN, cervical intraepithelial neoplasia; C-LETZ, contoured-loop excision of the transformation zone; HPV, high-risk human papillomavirus; NILM, Negative for intraepithelial lesions or malignancy.

at 1st follow-up. The second model includes one more independent variable, any diagnosed comorbidity, which did not significantly predict detected recurrence. This second model had only a slightly higher overall  $\chi^2$  than the first model with one less independent variable. In the third model, comorbidity with conditions that have been linked to HPV acquisition and/or CIN progression was included, rather than any diagnosed comorbidity. The latter independent variable yielded a slightly higher odds ratio, but still non-significant prediction of detected recurrence.

Adjusting for all the other significant independent variables, i.e. age, HPV status at 1st follow-up and abnormal cytology, we performed further multiple logistic regression. It was found that only unclear or uncertain ectocervical margins were non-significant for predicting detected recurrence. That analysis included 428 patients and there was only one patient with detected recurrence who had only unclear or uncertain ectocervical margins. In contrast, however, only unclear or uncertain endocervical margins did significantly predict detected recurrence [OR=6.2 (95% CI: 1.8-21.4) P=0.004]. Altogether 431 patients were included in that analysis, with seven detected recurrences among those with only unclear or uncertain endocervical margins. When both margins were unclear or uncertain, the

OR was 5.5 (95% CI: 1.6-18.9, P=0.006) for detected recurrence. There were six detected recurrences among those with both margins unclear or uncertain, and the overall analysis included 442 patients.

## Discussion

A unique feature of the present study is practically complete attendance to early follow-up after treatment of high-grade CIN for a sizable cohort of patients, coupled with complete data vis-à-vis HPV status and cytology plus nearly complete colposcopy data at that early follow-up occasion. In addition, complete information was obtained about diagnosed comorbidity. These unique features are combined with full data on surgical method, margin excision status and histology of the excised cone. After first follow-up, the patients were triaged as per national guidelines, with subsequent data available on a very large percentage, 88.4%, of this cohort who continued to attend follow-up as per recommendation. In other words, this study combines the ideal situation of complete early follow-up with a clearly-described situation thereafter, for at least four years, up to a maximum of more than six years. During that period, recurrent high-grade CIN was detected in altogether twenty-two patients.

Model $\chi^2$	Variable	OR	-95% CI	+95% CI	P-value
53.2ª	Age 45 or above	3.5	1.3	9.9	<0.05
	One or more unclear or uncertain margins	5.3	2	14.2	< 0.001
	HPV positive at 1st follow-up (Standard Cobas)	5.8	2	16.8	<0.01
	Abnormal cytology at 1st follow-up	3.9	1.4	11	< 0.05
53.3ª	Age 45 or above	3.4	1.2	9.6	< 0.05
	One or more unclear or uncertain margins	5.4	2	14.5	<0.001
	HPV positive at 1st follow-up (Standard Cobas)	5.8	2	16.7	<0.01
	Abnormal cytology at 1st follow-up	3.9	1.4	11.2	< 0.05
	Any diagnosed comorbidity	1.3	0.4	3.6	NS
53.4ª	Age 45 or above	3.4	1.2	9.6	< 0.05
	One or more unclear or uncertain margins	5.4	2	14.6	< 0.001
	HPV positive at 1st follow-up (Standard Cobas)	5.8	2	16.8	< 0.01
	Abnormal cytology at 1st follow-up	3.8	1.3	11	< 0.05
	Any diagnosed comorbidity linked to HPV or to CIN progression <sup>b</sup>	1.5	0.4	5.8	NS

Table VII. Prediction of detected recurrent high-grade cervical intraepithelial neoplasia in 529 treated patients assessed via multiple logistic regression.

The data are complete for all the predictor variables and the outcome: Detected recurrent high-grade CIN (n=22) vs. no detected recurrence (n=507). <sup>a</sup>Model  $\chi^2$  P<0.0001. <sup>b</sup>As per (26): Autoimmune disease, human immunodeficiency virus infection, hepatitis, malignancy, diabetes, genetic disorder. CI, confidence interval; CIN, cervical intraepithelial neoplasia; HPV, high-risk human papillomavirus; NS, statistically non-significant (P>0.05); OR, odds ratio.

Given the completeness of the early follow-up data, powerful multivariate models could be generated to identify four significant independent risk factors for detected recurrence of high-grade CIN. These were: age 45 or above at the time of conization, one or more unclear or uncertain excision margins, positive HPV and abnormal cytology at 1st follow-up. In contradistinction to previous long-term findings (19), diagnosed comorbidity which is potentially linked to HPV or to CIN progression was not found to significantly predict detected recurrence in the present study. Surgical method and histology of the excised cone, likewise, were not found to be directly associated with increased detected recurrence risk, similarly to other investigations (19,32).

The strong association between margin status and recurrence risk found herein is consistent with a number of other studies (19-23). Moreover, the findings that incomplete excision from the endocervical or both margins, but not from ectocervical margins alone show significant multivariate association with detected recurrence, are consistent with other investigations (19,20,33). Of particular note is that in the present study, this increased risk is not significantly associated with HPV positivity at early follow-up. Moreover, patients with negative HPV and NILM cytology at 1st follow-up were thereafter referred to routine triennial screening, at which time five of the seven patients in whom recurrence was detected had at least one unclear or uncertain margin. Incomplete resection was significantly more likely with more severe cone histology. The latter, however, in itself, did not show a direct relation with recurrence.

Although, overall, there was no significant association found between age and margin status, most of the patients aged 45 or above in whom recurrence was detected also had at least one unclear or uncertain margin. Specifically, the margins were reportedly clear only in the two patients above age 45 with recurrence detected at 1st follow-up. The seven other patients aged 45 or above in whom recurrence was subsequently detected all had at least one unclear or uncertain margin. Thus, the present findings would seem to support the recommendation (20,21) that larger and thereby more complete excisions should be made when treating women in the post-menopausal age group with high-grade CIN.

Significantly more women aged 45 or above were treated with laser rather than with contoured loop excision of the transformation zone, C-LETZ. Although surgical method did not show a significant association with detected recurrence, with the laser technique, there was a significantly greater likelihood that only one cone piece would be generated. In turn, with a single cone piece, the chances of having clear margins was significantly greater. It should also be noted that none of the women aged 45 or above with detected recurrence had been treated with laser. Although the laser technique is a higher-cost procedure requiring particular colposcopic expertise (34,35), it might be inferred from the results of the present study that the laser technique would be a good option for women in this age group.

Concordant with other reports (36), in the present study, the patients near or in the post-menopausal age group, i.e. 45 or above, significantly more often had the finding of transition zone 3, TZ3, on colposcopy compared to the women below age 45. This finding indicates that the squamo-columnar junction is located in the endocervix, thereby resulting in an unsatisfactory colposcopic examination (37). Although colposcopy was performed at 1st follow-up in all but four of the 529 patients, biopsies were only taken from visible lesions, which were very few, thirteen altogether. High-grade cervical dysplasia may

also be found on biopsies taken from colposcopically negative sites (38). It can therefore be surmised that the actual number of recurrences may have been underestimated (25). This underestimation could well have been even more pronounced among patients aged 45 or above due to the higher percentage of TZ3 on colposcopy.

Patients aged 45 or above significantly more often had abnormal cytology and positive HPV at 1st follow-up, compared to those younger than 45. Nevertheless, fifty-one patients (67%) of the seventy-six patients aged 45 or above had NILM on cytology and negative HPV at 1st follow-up, and were therefore referred directly to routine triennial screening, according to national guidelines. At that screening occasion, recurrence was detected in two more patients above age 45, both with unclear endocervical margins but with NILM and negative HPV at 1st follow-up. Notably, one of these two patients also had two comorbid diagnoses (infectious disease and autoimmune disorder) that have been linked to HPV and CIN progression (19,26). This patient also had a positive HPV finding at the subsequent routine screening.

With complete data on HPV as well as cytology at 1st follow-up for all 529 patients, a fuller picture emerges of the major contributors to recurrent high-grade CIN. Abnormal cytology slightly surpassed age in its power to predict recurrence. A positive HPV result is seen as a very powerful predictor, with a higher odds ratio, although lower statistical significance than margin status.

Positive HPV as well as abnormal cytology at 2nd follow-up and at routine follow-up each also showed significant association with detected recurrence in bivariate analysis. The importance of persistent HPV positivity after treatment of high-grade CIN has been emphasized (39). Patterns of persistent HPV infection after treatment of high-grade CIN were the focus of a previous study (9) since persistence is considered to be the key contributor of progression to invasive cervical cancer. The difficulties in finding the optimal protocol for assessing post-therapeutic HPV persistence were underscored in a previous study (9). In the present study, the patients with positive HPV at 1st follow-up were referred to 2nd follow-up. As seen from Table IV, 57% of the patients at 2nd follow-up had persistent HPV findings, with significantly more persistent HPV among the patients in whom recurrence was detected. However, there was some loss to follow-up among the 125 patients who were referred to 2nd follow-up. Moreover, at 2nd follow-up and particularly at subsequent routine screening, there were substantial missing HPV data. As shown in Table IIIB, regarding the two patients with detected recurrence at routine screening who had also attended 2nd follow-up, complete HPV data indicating persistent HPV positivity at all three occasions was seen in only one patient. These subsequent HPV data were missing in the other patient.

Heretofore in the present paper, the main focus vis-à-vis the HPV results has been on the clinician-taken cervical samples via the standard Cobas method. Albeit missing in a few of the patients who attended 2nd follow-up and in a substantial number of those who attended routine follow-up, these results could be assessed and compared across the three screening occasions. As described in detail in a previous study (25), at 1st follow-up complete data were also available for vaginal self-taken samples, VSS, and clinician-taken cervical samples via the Abbott method. Based on the results available at that time for 1st and 2nd follow-up, overall HPV positivity was found by each of these three methods in all eleven patients in whom recurrence was detected and who had squamous pathology. However, in the remaining two patients in whom the recurrent pathology was glandular, HPV positivity was not detected by VSS, but only by clinician-taken cervical samples (These findings are shown in Tables I and II). In both patients with glandular pathology, HPV18, an especially potent risk indicator (40,41), was found to be positive with clinician sampling. Those limited data seemed to suggest that self-sampling should not be recommended for patients with glandular pathology, but only for those in whom the pathology was squamous (25).

We can herein ask whether more insights are gleaned from the additional follow-up data for subsequent routine screening. With respect to the two patients in whom recurrent glandular pathology was detected at that later time (Table IIIA), concordantly, vaginal self-sampling did not reveal a positive HPV result. In one patient only the Abbott clinician-taken sample (not Cobas) was positive and, moreover, indicated HPV18 positivity. In the other patient with glandular pathology, however, HPV positivity was not detected in either of the clinician-sampled cervical specimens at 1st follow-up. We herein describe the additional analysis of the 27 patients without detected recurrence in whom there was glandular histology in the excised cone and/or AGC on cytology at any of the follow-up periods. This reveals that three patients showed positivity on both Abbott clinician-taken samples and VSS, in 22 patients both were HPV negative, one patient showed HPV positivity only on VSS and in one patient only the clinician sample was positive. Comparing VSS and Cobas clinician-taken samples shows nearly identical findings, except that there were 23 patients with HPV negative on both, and in no case was Cobas positive when VSS was negative. Taken together, these results seem to suggest that VSS may not be inferior to clinician-sampling for follow-up of patients with glandular pathology. Further study of this question is needed.

Scrutiny is warranted, as well, of the patients with squamous pathology in whom recurrence was detected at subsequent routine follow-up. The two patients who also attended 2nd follow-up had positive HPV in both clinician-taken samples, while VSS was positive in only one of these cases. Among the five patients with recurrent squamous pathology and who only attended subsequent routine screening, HPV at 1st follow-up was negative not only by the standard Cobas, but also from the Abbott clinician-taken samples and VSS. The overriding conclusion from analyzing all nine recurrent cases detected at subsequent routine follow-up is that repeated HPV testing is indispensable for adequate follow-up of patients treated for high-grade cervical intraepithelial dysplasia. Assessment of high-risk subtypes HPV16 and 18 is particularly advisable.

Besides the missing HPV data for 102 of the 437 patients who came to routine follow-up (23%), 61 patients did not attend any subsequent follow-up after the 1st one. This is of major concern, given the elevated risk of recurrent high-grade cervical intraepithelial dysplasia and invasive cervical cancer. Home self-sampling for HPV could well be a realistic solution. Our earlier study among 479 women from the present cohort treated for high-grade CIN indicated that the vast majority were amenable to performing self-sampling at home and considered self-sampling to have been implemented without difficulty (27). Notably, self-sampling in our study was performed in the clinic restroom, which was less comfortable than the home environment (25).

In the most recent period through the end of the year 2020 for which we have follow-up data on the present cohort, a new situation arose due to the global COVID-19 pandemic. In Sweden, during the 1st wave of the COVID epidemic, cervical screening was largely cancelled, including the cancellation of 192 000 cervical screening appointments in Stockholm (42). Concordantly, a number of reports worldwide indicate a precipitous decline in cervical screening (43-47). The actual and potential consequences of the COVID-19 pandemic vis-à-vis increased cervical cancer incidence, treatment delay and mortality have been underscored (45,48,49). Home self-sampling for HPV is widely endorsed as the key strategy to avoid these pandemic-related, adverse consequences (42,44,47,50-53). As succinctly stated in a previous study (50): 'The new imperatives of the COVID-19 pandemic support self-sampled HPV testing as the primary cervical screening method'. That conclusion is justified, given the reliability of self-sampling (25,54-57), together with its acceptability and cost-effectiveness (27,58-63).

Considering the elevated risk of recurrence associated with increased age and the importance of persistent HPV infection, home self-sampling for HPV could be particularly helpful for women in the post-menopausal age group. In a recent population-based Swedish study 893 women aged 60 to 75 performed VSS at home, with 4.4% positivity and 2.5% persistent positivity at 2nd sampling (64). The authors concluded that vaginal self-sampling at home was well-accepted among women in this age group.

Although the present results did not show significantly increased comorbid conditions among the patients with detected recurrence, further inspection of Tables I-III suggests that in individual cases these disorders, especially autoimmune conditions, may have been contributory. Notably, in a long-term large-scale Australian study (65), autoimmune conditions were found to be associated with increased cervical dysplasia, supporting the need for 'expansion of cervical cancer preventative programs to include these at-risk females'.

A recent meta-analysis indicates that, compared to surgical excision alone, prophylactic HPV vaccination is associated with a significantly decreased risk of recurrence among patients treated for high-grade CIN (66). Unfortunately, data concerning HPV vaccination are not available for the present cohort, and would be an important consideration in future studies. Co-infection with Epstein-Barr virus, EBV, might also be considered in future studies, given some reports of its possible contribution to progression of cervical lesions (67).

In conclusion, the results of the present study indicate that four factors significantly and independently contribute to the risk of recurrent high-grade cervical intraepithelial neoplasia, CIN. Among these, incomplete excision of the CIN lesion was the most significant predictor of recurrence, and, therefore, warrants more intensive subsequent screening, regardless of the early post-conization HPV findings. An early post-conization positive HPV finding was also a powerful, independent predictor recurrent high-grade CIN. Nevertheless, over one-third of the patients with detected recurrence had a negative early post-conization HPV finding. These patients were returned to routine screening, at which time in most (but not all the cases), the HPV finding was positive. This underscores the vital need for repeated evaluation of HPV. Especially during this on-going pandemic crisis, vaginal self-sampling, VSS, for HPV is strongly recommended. However, caution and further investigation regarding VSS are still needed insofar as the cervical pathology is glandular and not squamous. Abnormal early post-conization cytology was also a significant independent predictor of recurrent high-grade CIN. This finding supports the current national guidelines of more intensive follow-up for patients with abnormal cytology post-conization.

Women aged 45 or above were also at higher risk of recurrence. Besides implementing all the above-recommended measures vis-à-vis the three other independent risk factors, special attention is warranted to women in this age group. Among the pertinent issues are choice of surgical modality (laser may be preferable), performance of wider, more complete excision, as well as the increased likelihood of an inadequate colposcopic examination associated with transition zone 3, TZ3, which is often found among women in the more senior age group.

Although not statistically significant, relevant comorbidity, warrants consideration in clinical decision-making about follow-up. This appears to be especially relevant for autoimmune conditions.

Overall, women who have been treated for high-grade cervical intraepithelial neoplasia are at increased risk for recurrent disease and progression to cervical cancer, and therefore require careful, individualized follow-up to avoid these potential adverse consequences. The results of the present study provide insights that can substantially contribute to the practical realization of this aim.

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## Availability of data and materials

The datasets used and/or analyzed during the current study are available from the corresponding author on reasonable request.

## Authors' contributions

SAn, DM, SAl and EÖ confirm the authenticity of the raw data. SAn conceived and designed the study, performed colposcopy, cervical sampling and punch biopsies of visible lesions, assessed the authenticity of the raw data, reviewed the data, collected the related literature, and revised the manuscript. DM participated in the design and conception of the study, assessed the authenticity of the raw data, prepared the data set for analysis, collected related literature, participated in the statistical analysis, drafting and revision of the manuscript. KB performed the statistical analysis, collected the related literature, wrote and revised the manuscript. SAl participated in the design and conception of the study, assessed the authenticity of the raw data, prepared the data set for analysis, collected the related literature and revised the manuscript. EÖ participated in the design and conception of the study, was responsible for identifying and recruiting all the participants, met with all the participants, gave the instructions for self-sampling, assessed the authenticity of the raw data, prepared the data set for analysis, collected the related literature and revised the manuscript. MM participated in the design and conception of the study, performed colposcopy, cervical sampling and punch biopsies of visible lesions, and revised the manuscript. All authors read and approved the final manuscript.

## Ethics approval and consent to participate

Karolinska Ethics Committee approved the study protocol (approval nos. 2006/1273-31, 2014/2034-3). All participants provided written informed consent.

#### Patient consent for publication

Not applicable.

## **Competing interests**

The authors declare that they have no competing interests.

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