



Epidermal Growth Factor Receptor Mutation Status and Response to Tyrosine Kinase Inhibitors in Advanced Chinese Female Lung Squamous Cell Carcinoma: A Retrospective Study

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Background: The frequency of epidermal growth factor receptor (EGFR) mutations and the efficacy of tyrosine kinase inhibitor (TKI) in Chinese female patients with lung squamous cell carcinoma (SCC) are unknown. This study was designed to investigate the incidence of EGFR mutations and the role of targeted therapy in advanced Chinese female lung SCC patients.

Methods: Advanced female patients diagnosed with lung SCC at the Shanghai Chest Hospital between January 2013 and December 2018 were retrospectively analyzed.

Results: A total of 4223 advanced lung SCC patients were screened, and there were 154 female lung SCC patients who had underwent EGFR mutation detection. Positive EGFR mutations were found in 29.9% (46/154) of female lung SCC patients, including twenty-three 19del mutation (14.9%), twenty-one 21L858R mutation (13.6%) and other mutations (1.4%, 21861Q and 20ins). For 45 EGFR positive mutation female SCC patients, the median progression-free survival (PFS) of patients who received EGFR-TKI therapy (n=38) was 8.0 months (95% CI, 5.4-10.7 months), which was significantly longer than patients who were treated with chemotherapy (8.0 vs. 3.2 months, p=0.024), and the median overall survival (OS) was also longer (24.9 months vs. 13.9 months, p=0.020). The objective response rate (ORR) was 44.7% (17/38), and the disease control rate (DCR) was 81.6% (31/38). For 105 female SCC patients with EGFR negative mutation, the median OS was 18.6 months (95% CI, 14.2-22.9 months) and it was no different from that of EGFR positive mutation patients (18.6 vs. 22.8 months, p=0.377).

Conclusion: For advanced Chinese female lung SCC patients with EGFR positive mutations, targeted therapy could confer longer PFS and OS than chemotherapy, but the survival was similar with patients who were negative EGFR mutations.

Keywords: female, lung cancer, squamous cell carcinoma, epidermal growth factor receptor, tyrosine kinase inhibitor

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BACKGROUND

Lung cancer is the leading cause of cancer incidence and mortality both in the world and China (1-3). Approximately 75% of these patients have lost the opportunity for surgery at the time of diagnosis (4). With the development of molecular detection and targeted drug research and development, molecular targeted therapy has become the indispensable treatment for advanced non-small cell lung cancer (NSCLC) patients. Among all the driving gene mutations, the most common one is epidermal growth factor receptor (EGFR) gene mutation, especially for the patients in east Asia, non-smoking, women and adenocarcinoma (5). Compared with advanced lung adenocarcinoma patients, the EGFR mutation rate of patients with lung squamous cell carcinoma (SCC) is much lower, about 4.2%-23.8% only (6-13). So most patients with lung SCC have no chance to get benefit from EGFR tyrosine-kinase inhibitors (TKIs). However, it was found in the subgroup analysis of several studies that among all lung SCC patients, the EGFR mutation rate in female patients seemed to be higher, about 14.4% to 33.3% (6, 10, 11, 14). But the sample size of female SCC in these studies were very small, and at present there was no specific study on EGFR mutation status in female lung SCC.

Several prospective clinical trials have made EGFR TKIs become standard first-line treatment for EGFR mutationpositive NSCLC patients (15–23). However, the number of female lung SCC patients in these studies was still very small, or even none. Previous respective studies showed that lung SCC patients with sensitive EGFR mutations could obtain clinical benefits from EGFR-TKIs (9, 13, 24). While in a study of Turkish female lung SCC patients with EGFR positive mutation, the response to gefitinib was not good (14). Therefore, the efficacy of EGFR-TKIs for female lung SCC patients with sensitive EGFR mutations remains unclear.

In this study, we summarized clinical data of female lung SCC patients treated in Shanghai Chest Hospital, in order to investigate the incidence of EGFR mutations and the efficacy of EGFR-TKIs in Chinese female lung SCC patients.

MATERIALS AND METHODS

Patients

We retrospectively collected and analyzed the data of patients who had been confirmed advanced lung SCC at Shanghai Chest Hospital between January 2013 and December 2018. All information of these patients was identified from our electronic medical records system. The patients who met the following criteria were included in the study: (1) pathologically or cytologically confirmed pure lung SCC; (2) confirmed with a diagnosis of stage IIIB or IV (the stage was performed according to the eighth edition of the TNM classification for NSCLC) (25); (3) detected the EGFR mutation status. The baseline clinical characteristics included age, smoking history, long history of exposure to secondhand smoke (>2h every day at home or indoors for at least ten years), long history of exposure to cooking oil fumes (the total cooking history > 50 dish-years, including stir frying, frying and deep frying), EGFR mutation status, tumor location, clinical stage, metastatic sites and sample type (26).

The study was approved by the independent ethics committee of Shanghai Chest Hospital, and all the patients signed informed consent before therapy.

EGFR Mutation Detection

All the patients have been detected the EGFR mutation using tumor tissue samples (from small biopsy or operation). The DNA was extracted from formalin-fixed paraffinembedded (FFPE) tissue sections according to the manufacturer's protocol (QIAamp DNA FFPE Tissue Kit, Qiagen, Hilden, Germany).

Two methods were used to detect EGFR mutation, the amplification refractory mutation system-polymerase chain reaction (ARMS-PCR) or Next-generation Sequencing (NGS). ARMS-PCR was used on the DxS EGFR mutation test kit (Manchester, UK). Tumor content in the specimen that had undergone conventional gene test was evaluated by a pathologist. Specimens with more than 5% tumor content were eligible for NGS. The quantity and quality of DNA was assessed by Qubit[®] dsDNA HS Assay Kit on Qubit[®] 3.0 Fluorometer (Invitrogen, CA, US). Capture-base targeted sequencing was performed with the Lung core 68 Gene Panel (Burning Rock Biotech, Guangzhou, China). More details of the NGS method can be found in previous reports (27, 28).

Treatment and Follow-Up

Patients received imaging assessment every 2 months. The response evaluation was assessed according to the Response Evaluation Criteria in Solid Tumors (RECIST guideline 1.1), which defined complete response (CR), partial response (PR), stable disease (SD), progressive disease (PD), objective response rate (ORR) and disease control rate (DCR) (29). The progression-free survival (PFS) for patients received EGFR-TKI therapy was determined from the date of initiation of TKI treatment to the date of disease progression or death. The overall-survival (OS) was defined as the time from the diagnosis date to the date of death or last follow-up visit (October 10, 2019).

Statistical Analysis

The normal distribution test of continuous variables was carried out, and abnormally distributed continuous variables were expressed as median and further analyzed by the Wilcoxon rank sum test. Categorical variables were described by the means of absolute and percentage numbers and compared with Chi-square test or Fisher's exact test as appropriate. The survival curves of PFS and OS were estimated according to Kaplan-Meier method and were compared using the log-rank test. ORR and DCR for each group were compared using Chi-square test or Fisher's exact test when appropriate. Statistical significance was defined as P value < 0.05. All analyses were performed with the SPSS software, version 25 (IBM, Grouponk, NY).

RESULTS

From January 2013 to December 2018, a total of 4223 patients were diagnosed as advanced lung SCC in our hospital, including 288 (6.8%) females and 3935 (93.2%) males, with a male-to-female ratio of 13.7: 1. Of the 288 female advanced lung SCC patients, 154 (53.5%) patients have undergone EGFR mutation detection. The flow chart is shown in **Figure 1**.

Results of EGFR Mutation Detection

For these 154 patients, EGFR mutation rate of advanced female lung SCC patients was 29.9% (46/154), the details as following: 23 patients were 19del (14.9%), 21 patients were 21L858R (13.6%), 1 patient was 21861Q (0.7%) and 1 patient was 20ins (0.7%, **Figure 2**). The positive rates of EGFR detection by ARMS-PCR and NGS methods were 29.9% (40/134) and 30.0% (6/20), respectively. There was no significant difference between them (p=0.99).

For patients (n=20) who were genetically tested by NGS method, 19 (90.0%) patients had at least 1 mutation of the 68 cancer-related gene alterations. **Figure 3** shows the frequency of 13 lung cancer driving genes, including EGFR, ALK, ROS1, RET,

MET, KRAS, BRAF, HER2, NTRK, NRG1, FGFR1, PIK3CA and DDR2. EGFR mutations were identified in 30% (6/20) of all twenty patients who were genetically tested by NGS method, PIK3CA (35%, 7/20), MET (5%, 1/20), DDR2 (5%, 1/20) and NTRK (5%, 1/20) gene mutations also were found in these patients. In addition to the above driving genes, a total of 5 genes with in review a mutation rate of more than 10% were identified: TP53 (50%, 10/20), FGF3 (15%, 3/20), CDKN2A (10%, 2/20), ATM (10%, 2/20) and CDK4 (10%, 2/20).

Patient Characteristics

Demographic and baseline clinical characteristics of female SCC patients with EGFR positive or negative mutations were shown in **Table 1** and **Figure 3**. The outcome indicated that for patients with EGFR positive mutations, the percentage of patients with long history of exposure to cooking oil fumes was lower than patients with negative mutations (73.9% vs. 92.6%, p=0.002). The proportion of brain metastasis in patients with EGFR positive mutations was significantly higher than that in negative mutations patients at baseline (21.7% vs. 2.8%, p < 0.001). Other baseline clinical characteristics were balanced between patients with positive and negative EGFR mutations.







Clinical Outcome/Efficacy

For these 154 patients who had been detected the EGFR status, there were 3 patients with EGFR mutation-negative and 1 patient with positive mutation did not receive any anti-tumor drug. A

total of 150 patients (45 positive mutation and 105 negative mutation) were available for the survival analysis in our study (**Figure 1**). For these patients who could be included in survival statistics (n=150), their baseline clinical characteristics are

TABLE 1 | The clinical characteristics of patients.

| Characteristics | All patients (n=154) | | p- value | Patients with EGFR-mutation and received therapy (n=45) | | p- value |
|--|-----------------------------|---------------------------------------|-------------|---|-----------------------|-------------|
| | Mutation-positive (n=46) | Mutation-negative (n=108) | _ | EGFR-TKI therapy (n=38) | Chemotherapy (n=7) | |
| Median age, years | 59.5 | 62.0 | 0.748 | 60.0 | 56.0 | 0.766 |
| Smoking history | | | 0.395 | | | 0.059 |
| Former smoker | 3(6.5%) | 13(12.0%) | | 1(2.6%) | 2(28.6%) | |
| Never smoker | 43(93.5%) | 95(88.0%) | | 37(97.4%) | 5(71.4%) | |
| Long history of exposure to secondhand | | | 0.642 | | | 0.684 |
| smoke | | | | | | |
| Yes | 27(58.7%) | 59(54.6%) | | 22(57.9%) | 5(71.4%) | |
| No | 19(41.3%) | 49(45.4%) | | 16(42.1%) | 2(28.6%) | |
| Long history of exposure to cooking oil fume | | | 0.002 | | | 1.000 |
| Yes | 34(73.9%) | 100(92.6%) | | 28(73.7%) | 5(71.4%) | |
| No | 12(26.1%) | 8(7.4%) | | 10(26.3%) | 2(28.6%) | |
| Mutation status | | , , , , , , , , , , , , , , , , , , , | _ | | | 0.272 |
| Exon 19 deletion | 23(50.0%) | - | | 19(50.0%) | 4(57.1%) | |
| L858R mutation | 21(45.7%) | - | | 18(47.4%) | 2(28.6%) | |
| Others | 2(4.3%) | - | | 1(1.7%) | 1(14.3%) | |
| Tumor location | | | 0.341 | | | 1.000 |
| Central type | 23(50.0%) | 63(58.3%) | | 19(50.0%) | 3(42.9%) | |
| Peripheral type | 23(50.0%) | 45(41.7%) | | 19(50.0%) | 4(57.1%) | |
| Tumor stage | | | 0.432 | | | 0.659 |
| IIIB | 14(30.4%) | 40(37.0%) | | 11(28.9%) | 3(42.9%) | |
| IV | 32(69.6%) | 68(63.0%) | | 27(71.1%) | 4(57.1%) | |
| Brain metastasis | | | <0.001 | | · · · · · | 0.642 |
| Yes | 10(21.7%) | 3(2.8%) | | 8(21.1%) | 2(28.6%) | |
| No | 36(78.3%) | 105(97.2%) | | 30(78.9%) | 5(71.4%) | |
| Specimen | · · · / | · · · · | 0.060 | · · · / | · · · · | 1.000 |
| Operation | 7(15.2%) | 32(29.6%) | | 6(15.8%) | 1(14.3%) | |
| Small biopsy | 39(84.8%) | 76(70.4%) | | 32(84.2%) | 6(85.7%) | |

EGFR, epidermal growth factor receptor; TKI, tyrosine-kinase inhibitor.

Two-sided P value was derived from Wilcoxon rank sum test for continuous variables and from Chi-square test or Fisher's exact test for categorical variables. Bold values indicated statistical significance.

similar to those of all patients (n=154), the detail results were shown in **Supplementary Table 1**.

Of the 45 EGFR mutation positive patients, 38 patients received EGFR-TKI therapy and 7 patients were treated with chemotherapy (**Figure 1**). The baseline clinical characteristics were balanced between patients with TKI therapy and with chemotherapy (**Table 1**). Among the 38 patients with eligible survival data for TKIs, 17 (44.7%) and 21 (55.3%) patients used TKIs as first-line and second-line therapy, respectively (**Figure 3**). Of these patients, 36 received first-generation TKIs (16 gefitinib, 14 icotinib and 6 erlotinib) and 2 received second-generation TKI (afatinib).

For 45 EGFR mutation-positive female SCC patients, by the last follow-up, a total of 31 and 6 patients had reached disease progression on EGFR-TKI therapy and chemotherapy, respectively. The median PFS of patients who received EGFR-TKI therapy (n=38) was significantly longer than patients who treated with chemotherapy (n=7; 8.0 months [95% CI, 5.4-10.7 months] vs. 3.2 months [95% CI, 2.4-4.0 months]; p=0.024; **Figure 4A**). Longer OS time was observed in EGFR-TKI group than that in chemotherapy group (24.9 months [95% CI, 11.1-38.6 months] vs. 13.9 months [95% CI, 2.3-25.6 months]; p=0.020; **Figure 4B**). Patients received EGFR-TKI therapy also had higher ORR (44.7%, 17/38) and DCR (81.6%, 31/38).

Unfortunately, the difference of ORR and DCR between TKI group and chemotherapy group didn't reach significance (ORR=14.3%, p=0.137; DCR=71.4%, p=0.430).

In all 150 patients, 45 patients with EGFR mutation had a median survival of 22.8 months (95% CI, 19.0-22.7 months), while 105 patients with negative mutation had a median survival of 18.6 months (95% CI, 14.2-22.9 months), but there was no statistical difference between them (p=0.377; **Figure 5A**).

The median OS of patients with brain metastasis was 11.9 months (n=13, 95% CI, 7.3-16.5 months) in the whole population (13/150), which was shorter than those without brain metastasis (n=137, median OS=19.5 months; 95% CI, 16.6-22.3 months), but the difference was not statistically significant (p=0.126). However, for those EGFR mutation-positive female SCC patients (n=45), the median OS of patients with brain metastasis (n=10) was significantly shorter than those without brain metastasis (n=35; 13.9 months [95% CI, 9.5-18.4 months] vs. 24.9 months [95% CI, 14.6-35.2 months]; p=0.006; **Figure 5B**).

By the follow-up, 37 and 84 patients in the EGFR-positive and EGFR-negative groups had progressed, respectively, and the proportion of patients who sequentially received follow-up treatment was 54.1% (20/37) and 46.4% (39/84, p=0.439), respectively. Among EGFR-positive patients with disease





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progression and received follow-up treatment (n=20), 8 patients switched to Osimertinib and another 12 patients switched to chemotherapy. For 8 patients who sequentially received Osimertinib therapy, 2 (25.0%, 2/8) of them were positive with acquired EGFR-T790M mutation, 3 (37.5%, 3/8) were negative and 3 (37.5%, 3/8) without re-detection. The median OS of patients who received and didn't receive the third-generation TKI treatment was 30.0 and 21.7 months, respectively (p=0.719). Among the 84 EGFRnegative patients with disease progression, 8 patients were subsequently treated with immune checkpoint inhibitors, and only 3 of the 8 patients died at the last follow-up (**Figure 3**).

DISCUSSION

We found that the EGFR mutation-positive rate of Chinese female lung SCC patients was 29.9% from our data. For these female SCC patients with EGFR mutation, they could receive PFS and OS benefits from EGFR-TKI therapy compared with chemotherapy (PFS: 8.0 vs. 3.2 months, p=0.024; OS: 24.9 vs 13.9 months, p=0.020). However, the OS of EGFR mutation-positive patients was similar to that of EGFR negative patients (22.8 vs. 18.6 months, p=0.377).

From January 2013 to December 2018, a total of 4223 patients were diagnosed as advanced lung SCC in our hospital, of which 6.8% (288) were female, which was similar to the results of other studies (9.8%-27.0%) (30-32). It is well known that the incidence of SCC was closely related to smoking, but the smoking rate of Chinese women is relatively low, which was only 10.4% (16/154) from our observation (33-37). There might be some other reasons for the incidence of SCC in Chinese women. According to 2015 data from the Chinese Centers for Disease Control and Prevention, about 71.6% of non-smoking Chinese women have a long history of secondhand smoke exposure at home, at work or in a public environment (38, 39). On the other hand, stir frying, frying and deep frying are often used in Chinese cooking, which require hightemperature hot oil and also produce some chemical compounds (40, 41). Besides these possible reasons, air pollution, genetic susceptibility, and estrogen level might also be related to the incidence of lung cancer in Chinese women (42-44).

EGFR mutation rate of advanced female lung SCC was 29.9% (46/154) in our data, which was similar to the results of two previous Chinese studies (33.3% and 18.1%, respectively) and was significantly higher than that of male lung SCC (4.0%, 64/1599) (10, 11). This phenomenon has been reported in both Chinese (22.2% and 5.1%) and Russian population (14.4% and 1.8%), and EGFR mutation rate of female SCC patients in Russia seems lower than that in Chinese population (14.4% vs. 18.1-33.3%) (6, 45). The possible reasons are differences in smoking rate and race.

The observed mutation rates of EGFR were 17.9% (7/39) in surgical specimens and 33.9% (39/115) in small biopsies, respectively (p=0.06). It was said that EGFR mutation in patients with SCC was caused by tumor heterogeneity and the component of mixed adenocarcinoma, especially in small biopsy specimens (46). However, many studies have confirmed that EGFR mutation really occurs in patients with SCC, whether it is from small biopsy specimens (7, 11).

Notably, it is very interesting to find the relationship between the exposure history of cooking oil fume and the EGFR mutation rate of Chinese female lung SCC patients. Patients with history of cooking oil fume exposure were less likely to develop EGFR positive mutations (73.9% vs. 92.6%, p=0.002), while no significant difference was observed between patients with smoking history and secondhand smoke exposure history or not. It seems long-term exposure to cooking oil fume was an important risk factor for lung cancer in Chinese women. However, the OS between the patients with the history of cooking oil fume exposure and patients without the history was similar (21.6 vs. 19.0 months, p=0.593).

The ORR and DCR of the first and second generation TKI for EGFR mutation positive non-squamous non-small cell lung cancer (NSq-NSCLC) were about 56.0%-71.2% and 90.0%-91.7%, respectively (23, 47). We found that the ORR and DCR of female patients with lung SCC treated with TKIs were 44.7% and 81.6%, respectively, which were lower than those of NSq-NSCLC, but seemed to be higher than that of patients with SCC (most of them were male patients; ORR:25.0%-31.8%, DCR: 47.3%-81.8%) (7, 9, 11, 13, 24). This suggests that although with EGFR mutation, the effect of SCC patients on TKI is not as good as that of adenocarcinoma patients, but the effect of female patients with SCC is better than that of male patients.

EGFR-positive female SCC patients achieved survival benefits from TKI treatment (PFS: 8.0 vs. 3.2 months, p=0.024; OS: 24.9 vs. 13.9 months, p=0.020). But the OS of all the EGFR-positive patients was no longer than that of EGFR-negative patients (22.8 vs. 18.6 months, p=0.377). This might be related to the small sample size of EGFR positive female lung SCC patients, the higher incidence of brain metastasis in patients with EGFR positive mutation group (21.7% and 2.8%, p < 0.001) and the use of immunotherapy of some patients as second line treatment in the EGFR-negative group, which may prolong their OS (48–51). In addition, for EGFR-positive patients, there was no significant difference between PFS (6.7 vs. 8.0 months, p=0.715) and OS (p=0.201) in first-line and second-line TKI treatment.

In NSCLC, the incidence of brain metastasis in SCC was lower than that in NSq-NSCLC (6% vs. 26.3%) (52, 53). The brain metastasis rate observed in our study in all female SCC was 8.4%. It was worth noting that the rate of brain metastasis in EGFR positive patients was much higher than that in EGFR negative patients (21.7% vs. 2.8%, p < 0.001), which was similar to the previous results of NSq-NSCLC patients (54). For EGFR mutation-positive female SCC patients, the median OS of patients with brain metastasis was significantly shorter than patients without brain metastasis (13.9 vs. 24.9 months, p=0.006). Of the 10 patients with brain metastasis and EGFR mutation positive, 8 received the first- or second-generation EGFR-TKIs (4 gefitinib, 2 icotinib, 1 erlotinib and 1 afatinib), but only 3 received third-generation TKI (osimertinib) beyond disease progression and only 2 received brain radiotherapy. This might because the number of patients with brain metastases was too small and the patients included in this study were all before 2019, the third generation of TKI was not widely used due to the high cost in China.

Because our study started early, only 25.8% (8/31) patients received re-biopsy beyond EGFR-TKI resistance, 2 cases were

found the acquired T790M mutation (2/8, 25.0%), 1 case was MET amplification (1/8, 12.5%), and others without useful explicit second mutations. According to the literature, there is no report on the incidence of T790M secondary mutation after TKI in patients with SCC, but it seems to be lower than that in patients with adenocarcinoma (55). Of course, we need a larger sample size to understand this situation.

The positive rates of EGFR mutation tested by NGS and ARMS were similar (30.0% vs. 29.9%, p=0.99). NGS technology is an important detection method widely used in the precise treatment of lung cancer. It can detect multiple genes with highthroughput, and can help to find the coexisting mutations, and even subdivide the gene mutation population and help to clarify the drug resistance mechanism (56, 57). However, all the patients enrolled in our study were before 2019, when the application of NGS in China was just beginning. Therefore, it is a pity that the proportion of patients with NGS detection in this study was not high. In the article just published by our team, we analyzed the results of all female SCC patients who underwent NGS in our hospital from 2018 to 2019 and found that female patients had a high frequency of currently known or potentially actionable oncogenic alterations could benefit from targeted therapies. By comparing the NGS results of female non-smoking SCC patients and male SCC patients in TCGA database, we found that there may be significant differences in the compositions of genomic alterations of lung SQCC between female non-smokers and male smokers (27).

It must be mentioned that there were some limitations in our study. Although this is by far the largest case number study of EGFR mutations in Chinese female lung SCC, it's still a single-center retrospective study. Due to the problem of drug accessibility, many mutation positive patients did not undergo re-biopsy to understand the mechanism of drug resistance, and lost the opportunity of three generations of TKI, unable to provide us with more in-depth information. Therefore, a prospective large-scale trial is expected to further understand the EGFR mutation rate and response to TKIs in advanced Chinese female lung SCC.

CONCLUSION

In general, we have reported a large case number of Chinese female patients with lung SCC and their EGFR mutation rate and responses to TKIs. The EGFR mutation rate was 29.9% for Chinese female lung SCC patients. Patients with positive EGFR mutation could get better PFS and OS by TKI therapy, but compared with EGFR negative mutation patients, they obtained similar OS. Overall, this study has improved our understanding

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of female SCC and laid the foundation for us to further understand this rare population.

DATA AVAILABILITY STATEMENT

The original contributions presented in the study are included in the article/**Supplementary Material**. Further inquiries can be directed to the corresponding author.

ETHICS STATEMENT

The studies involving human participants were reviewed and approved by The Ethics Committee of Shanghai Chest Hospital. The patients/participants provided their written informed consent to participate in this study.

AUTHOR CONTRIBUTIONS

Conception and design of the study: TC. Acquisition of clinical data: QC, HQ, JQ, and YL. Analysis and interpretation of the data: QC, YaZ, BH, JL, HF, and YiZ. Manuscript drafting and revision: QC and TC. All authors contributed to the article and approved the submitted version.

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SUPPLEMENTARY MATERIAL

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Conflict of Interest: The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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