




## CASE REPORT

# CDK12 and HER2 coamplification in two urothelial carcinomas with rapid and aggressive clinical progression

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## Abstract

Cyclin-dependent kinase 12 (*CDK12*), one of the key factors associated with DNA damage response pathways, is located on chromosome 17 proximal to Erb-B2 receptor tyrosine kinase 2 (*ERBB2*). In this report, *CDK12* and *ERBB2* coamplification was detected by targeted next-generation sequencing in two urothelial carcinomas. The staining intensity of the *CDK12* and human epidermal growth factor receptor-2 proteins was associated with the prognosis of each urothelial carcinoma case. Our results suggest that *CDK12* coamplification with *ERBB2* might be associated with tumor aggressiveness and contribution to cancer pathogenesis. Therapies targeting *CDK12* should be developed for these patients.

## KEYWORDS

*CDK12* amplification, DNA repair gene, *ERBB2*, prostate cancer, urothelial carcinoma

## 1 | INTRODUCTION

Cyclin-dependent kinase 12 is an important kinase necessary for modulating transcription in various cellular processes, including DDR, cell growth regulation, and differentiation, similar to *HER2*.<sup>1,2</sup> Loss of *CDK12* function could cause genomic instability, suggesting the need for PARP inhibitor treatment. *CDK12* mutation could predict sensitivity to targeted treatments against *BRCA*-mutant tumors, such as PARP1 inhibitors.<sup>3,4</sup> In breast cancer, *CDK12* gene amplification often coexists with *ERBB2* amplification because both are collocated at locus Ch17q12, and *CDK12* overexpression correlates with aggressive tumor progression and anti-*HER2* therapy resistance through the activation of ErbB-PI3K-AKT or WNT-signaling cascades; given this collocation,

*CDK12* could act as an oncogenic driver and a prognostic biomarker in breast cancer.<sup>5</sup> However, *CDK12* and *ERBB2* coamplification in urological cancers remains unreported. In this study, we report on two patients with urothelial carcinoma showing *CDK12* and *ERBB2* coamplification.

## 2 | CASE PRESENTATIONS

### 2.1 | Patient 1

An 89-year-old man had been diagnosed with prostatic adenocarcinoma, with a PSA level of 7.7 ng/mL at presentation and a Gleason score of 6 in prostate biopsies. He underwent radical

**Abbreviations:** BCG, Bacillus Calmette–Guérin; *CDK12*, cyclin-dependent kinase 12; CN, copy number; CT, computed tomography; DDR, DNA damage response; *ERBB2*, Erb-b2 receptor tyrosine kinase 2; *HER2*, human epidermal growth factor receptor 2; PARP, poly (ADP-ribose) polymerase; PSA, prostate-specific antigen; SNV, single nucleotide variant; TMB, tumor mutation burden; TURBT, transurethral resection of the bladder tumor; VUS, variant of unknown significance.

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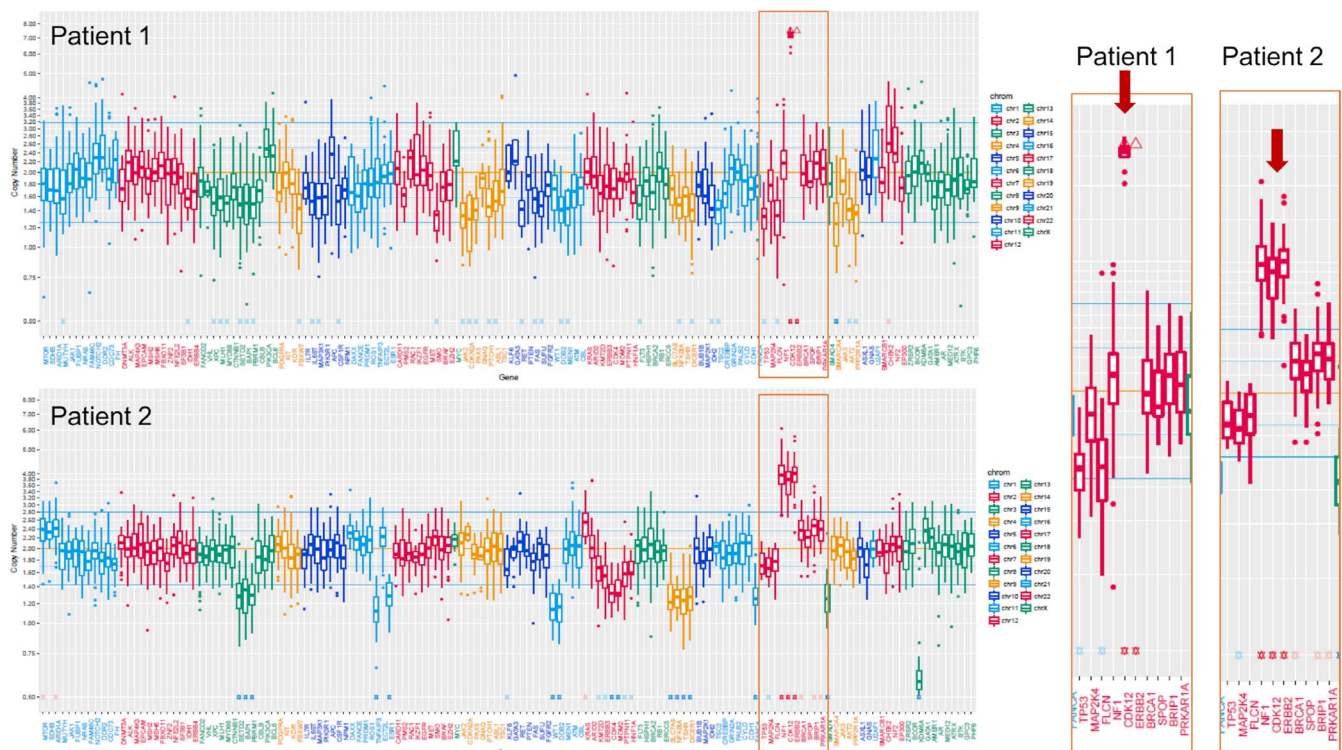
prostatectomy and adjuvant radiotherapy at 73 years of age. His recurrence-free survival rate reached more than 10 years. However, he complained of hematuria. Urine cytology samples were reported as suspicious (class III), and CT, as well as cystoscopy, detected a bladder tumor (Figure S1). After undergoing TURBT and eight consecutive weekly intravesical instillations of BCG, the patient was diagnosed with bladder carcinoma in situ. One year after TURBT, tumor recurred and was then resected. The pathological diagnosis was high-grade invasive urothelial carcinoma without lymph node or distant metastases (pT1N0M0). Five cycles of weekly intravesical instillations of BCG were provided. One month later, the patient had bilateral hydronephrosis caused by bladder cancer. Despite undergoing percutaneous nephrostomy to improve renal function, the patient died because of the progressive worsening of pleural effusions.

Targeted next-generation sequencing of the resected bladder specimen with recurrent tumor was carried out using an in-house assay during treatment (Appendix S1 and Table S1). Meanwhile, *ERBB2* amplification (estimated CN, 61.3) and *CDK12* amplification (estimated CN, 38.2) were found. Furthermore, we detected TP53 somatic point mutation (p.F113C) with LOH as an actionable variant in the tumor, and *EP300* somatic point mutations (p.G672R and p.T2391M) as VUS. In addition, *SMARCA4* showed LOH without mutation. In the samples, the TMB calculated from our pipeline was 5.4 SNV/Mbp. Moreover, the CN variation box revealed that *CDK12* coamplified with *ERBB2* (Figure 1), and the tumor cells showed a strong positive staining for HER2 and CDK12 (Figure 2).

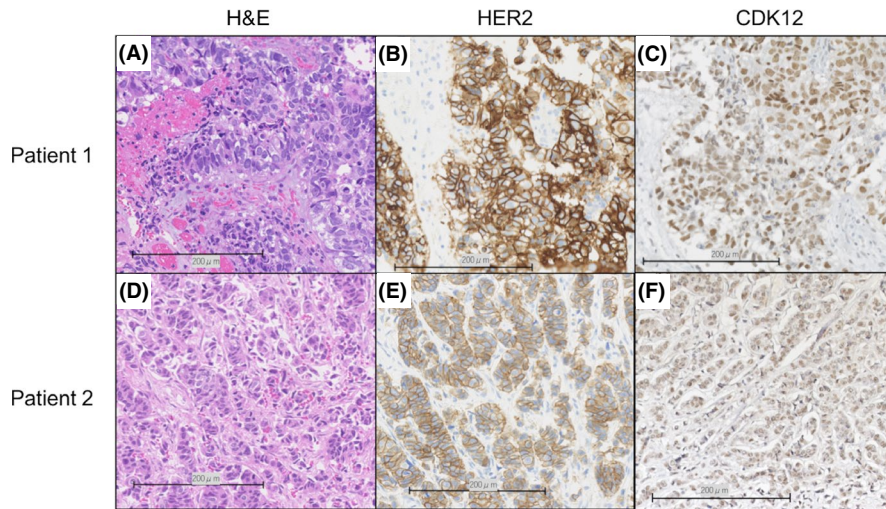
## 2.2 | Patient 2

A 72-year-old man was diagnosed with prostatic adenocarcinoma, with a PSA level of 7.0 ng/mL at presentation and a Gleason score of 7 in prostate biopsies. He underwent radical prostatectomy and obtained a PSA nadir of 0.04 ng/mL. After 3 months postoperatively, he complained of hematuria. Contrast-enhanced CT scan showed an enhancing mass and filling located in the right renal pelvis (Figure S1). He was diagnosed with primary right renal pelvic carcinoma incidentally. Thus, he underwent right radical nephroureterectomy. The pathological diagnosis was high-grade invasive urothelial carcinoma with pT3 (infiltration of the renal parenchyma). He then received three cycles of adjuvant chemotherapy. Nine months after nephroureterectomy, he underwent instrumented lumbar fixation surgery and palliative radiotherapy for the lumbar spine metastasis of renal pelvic carcinoma. The patient was then treated with five cycles of intravenous pembrolizumab every 3 weeks. Two months later, however, the patient died because of pancytopenia due to multiple bone marrow metastases.

Targeted next-generation sequencing of the resected prostate specimen and renal specimen was separately carried out. *FANCD2* and *NOTCH1* point mutations (VUS) were detected in the prostate specimen, with no gene CN alteration, whereas *ERBB2* amplification (estimated CN, 4.5) and *CDK12* amplification (estimated CN, 4.3) were observed in the renal specimen. Two VUS point mutations (p.S633F and p.A763D) were additionally detected in the *ERBB2* gene. Furthermore, TP53 somatic frameshift mutation (p.Y236\*), *FANCD2* VUS mutation, and LOH without mutation in



**FIGURE 1** Examined genes (horizontal axis) and the copy number in each case (vertical axis) of two men (Patient 1, 89 years old) and (Patient 2, 72 years old) with urothelial carcinomas with rapid and aggressive clinical progression



**FIGURE 2** Images of immunohistochemical staining of human epidermal growth factor receptor 2 (HER2) and cyclin-dependent kinase 12 (CDK12). A–C, The fatal bladder cancer of an 89-year-old man (Patient 1) was analyzed by H&E staining (A), HER2 (B), and CDK12 (C). D–F, The high-grade invasive renal pelvic carcinoma of a 72-year-old man (Patient 2) was analyzed by H&E staining (D), HER2 (E), and CDK12 (F)

*WT1*, *SMAD4*, *KDM6A*, *FANCA*, *PBRM1*, *BAP1*, and *SETD2* were detected in the sample. The TMBs in the prostate and renal samples were 4.0 and 2.7 SNV/Mbp, respectively. The CN variation box indicated that *CDK12* coamplified with *ERBB2* in the renal sample (Figure 1). Furthermore, the tumor cells showed moderate positive staining for HER2 and CDK12 (Figure 2). Overall, the patient had multiple primary cancer.

### 3 | DISCUSSION

*CDK12* is one of the key factors associated with DDR pathways. Cyclin-dependent kinase alteration is reportedly associated with PARP inhibitors,<sup>3,4</sup> which are effective in patients with DDR mutation-positive prostate cancer according to a well described synthetically lethal effect.<sup>6</sup> However, *CDK12*-altered prostate cancer is an aggressive subtype that poorly responds to PARP inhibitors or to hormonal and taxane therapies.<sup>7</sup> In addition, this subtype has a distinct immunological microenvironment and is associated with programmed cell death-1/programmed cell death-ligand 1 inhibitor response.

Recent topics shed light on *CDK12* coamplification with *ERBB2*. *CDK12* is located on chromosome 17, 165–267 kb proximal to *ERBB2*.<sup>9</sup> In the two patient cases, *CDK12* amplification accompanied with *ERBB2* amplification was detected by targeted next-generation sequencing in urothelial carcinomas. For patients with *HER2*-positive advanced breast, gastric, or lung cancer, an anti-*HER2* Ab (trastuzumab deruxtecan) is reportedly effective.<sup>10–12</sup> However, the effectiveness of anti-*HER2* therapy for breast cancers with *CDK12* and *ERBB2* coamplification is still unconfirmed.<sup>13,14</sup> For these patients, anti-*CDK12* therapy will be effective because it induces self-renewal of breast cancers and reduces susceptibility to anti-*HER2* therapy.<sup>5</sup> Furthermore, *CDK12* kinase activity inhibition facilitates anticancer efficacy of the anti-*HER2* therapy in *HER2*-positive tumors, and mice bearing trastuzumab-resistant *HER2*-positive tumors are reportedly sensitive to *CDK12* inhibitor.<sup>5</sup> Mechanistically, the catalytic activity of *CDK12*

is required for the expression of genes involved in the activation of ErbB-PI3K-AKT or WNT signaling cascades.<sup>5</sup>

In the present cases, immunohistochemistry validated that the *CDK12* and *HER2* proteins were highly expressed. The staining intensity differed because the degrees of amplification of *CDK12* and *ERBB2* genes also varied; such intensity is associated with the prognosis of each urothelial carcinoma case. The first patient had a low-risk prostate cancer but a fatal urothelial bladder tumor. The bladder tumor was BCG-resistant, and it progressed exponentially. The second patient, who also had a prostate cancer, achieved excellent long-term cancer control after prostatectomy. The patient also had a primary renal pelvic carcinoma and died despite treatment with multidisciplinary therapy, including nephroureterectomy, radiotherapy, and systemic therapy. Anti-*HER2* therapy might not be effective for treating patients with *CDK12* and *ERBB2* coamplification, and therapy targeting *CDK12* can be a therapeutic option for these patients.

To the best of our knowledge, *CDK12* and *ERBB2* coamplification in urothelial carcinoma has not been reported. Our results suggest that *CDK12* coamplification with *ERBB2* could be associated with tumor aggressiveness and could contribute to cancer pathogenesis. The present report provides important data for the future study of precision medicine for urothelial carcinoma.

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#### CONFLICT OF INTEREST

The authors have no conflicts of interest.

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## SUPPORTING INFORMATION

Additional supporting information may be found online in the Supporting Information section.

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