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A Single-Center Retrospective Study to Compare the Efficacy and Safety of Modified FOLFIRINOX with S-1 as Adjuvant Chemotherapy in 71 Patients with Resected Pancreatic Carcinoma

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Data Collection B
Statistical Analysis C
Data Interpretation D
Manuscript Preparation E
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Background: Studies are ongoing to determine the optimal adjuvant chemotherapy (ACT) for resected pancreatic carcinoma (PC). FOLFIRINOX is a chemotherapy regimen including oxaliplatin, irinotecan, leucovorin, and 5-fluorouracil (5-FU). S-1 is a fluoropyrimidine derivative widely used as ACT for gastrointestinal malignancy. This single-center retrospective study aimed to compare the efficacy and safety of modified FOLFIRINOX (mFOLFIRINOX) with S-1 as ACT for resected PC.

Material/Methods: A total of 71 patients with PC who accepted ACT after R0 resection between February 2016 and January 2019 were enrolled in this retrospective study. Among these patients, 34 received mFOLFIRINOX regimen chemotherapy (mFFX group), while 37 received S-1 monochemotherapy (S-1 group). The mFOLFIRINOX regimen included oxaliplatin 65 mg/m², leucovorin 400 mg/m², irinotecan 150 mg/m², 5-FU 400 mg/m², and continuous 5-FU 2400 mg/m² (for 46 h), in a 2-week schedule. The S-1 monochemotherapy (80-120 mg/day according to body surface area [BSA], in 2 divided doses for 2 week) was administered every 3 weeks. We followed up these patients and analyzed the relapse-free survival (RFS), overall survival (OS), and chemotherapy-induced adverse events (AEs).

Results: The mFFX group demonstrated a markedly higher 3-year RFS ($P=0.0332$) and OS ($P=0.0346$) than the S-1 group. Patients in the mFFX group experienced significantly more common and severe thrombocytopenia ($P=0.0372$), fatigue ($P=0.0226$), nausea/vomiting ($P=0.0337$), and diarrhea ($P=0.0018$). No chemotherapy-induced death was documented.

Conclusions: This retrospective study indicated that if dose adjustment and adverse events management are properly administered, mFOLFIRINOX regimen chemotherapy could result in an improved survival compared with S-1 monochemotherapy for resected PC.

Keywords: **Chemotherapy, Adjuvant • Pancreatic Carcinoma • Recurrence • Survival**

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Background

Pancreatic carcinoma (PC) causes 466 000 deaths yearly because of its dismal prognosis and is the seventh most lethal malignancy globally [1]. Despite advances in diagnostic and management of PC, both incidence and mortality rates of PC have gradually risen through the last few decades [2]. Radical surgery provides the only opportunity for cure, whereas over 90% of the patients relapse postoperatively [3].

Adjuvant chemotherapy (ACT) has been proven to effectively improve prognosis and is recommended as a necessary postoperative treatment for PC [4]. Gemcitabine and gemcitabine-based regimens are used as first-line regimens of ACT and lead to remarkable survival improvement among patients with resected PC [5,6]. Recently, regimens with promising clinical efficacy were reported. The JASPAC-01 trial revealed the superiority of S-1 over gemcitabine in the Asian population [7].

The FOLFIRINOX regimen including oxaliplatin, irinotecan, leucovorin, and 5-fluorouracil (5-FU) was found to achieve longer overall survival (OS) than gemcitabine in patients with metastatic PC [8]. More recently, the PRODIGE 24-ACCORD 24-CCTG PA 6 trial presented the survival benefits of the modified FOLFIRINOX (mFOLFIRINOX) regimen relative to gemcitabine, both in relapse-free survival (RFS) and OS [9].

Therefore, this single-center retrospective study aimed to compare the efficacy and safety of mFOLFIRINOX with S-1 as ACT for patients with resected PC.

Material and Methods

Patients

This study was conducted following the Helsinki Declaration principles and Good Clinical Practice recommendations and was approved by the Medical Research Ethics Committee of First People's Hospital affiliated to Huzhou Normal College. Patient informed consent was waived.

We enrolled 71 patients with PC who underwent ACT after R0 resection between February 2016 and January 2019. Eligibility criteria were: ages 18-75 years; histologically confirmed pancreatic adenocarcinoma; no neoadjuvant chemotherapy; World Health Organization (WHO) performance-status score of 0 or 1; and sufficient organ function. Patients were not eligible if they were lost to follow-up or had a history of other malignancies. Among these patients, 34 received mFOLFIRINOX regimen chemotherapy (mFFX group), while 37 received S-1 monotherapy (S-1 group).

Chemotherapy Administration

Adjuvant chemotherapy was initiated within 4 weeks postoperatively. Patients were fully informed before choosing the chemotherapy regimen. The mFOLFIRINOX regimen included oxaliplatin 65 mg/m², leucovorin 400mg/m², irinotecan 150 mg/m², 5-FU 400 mg/m², and continuous 5-FU 2400 m⁴ (for 46 h), in a 2-week schedule. The dose of the mFOLFIRINOX regimen was modified according to physical condition of the Chinese population and previous regimens used in PC[10]. The S-1 monotherapy (body surface area [BSA] ≥1.5 m², 120 mg/day; 1.25 m² ≤BSA <1.5 m², 100 mg/day; BSA <1.25 m², 80 mg/day, in 2 divided doses for 2 weeks) was administered every 3 weeks.

Chemotherapy-induced adverse events (AEs) were graded based on the National Cancer Institute Common Terminology Criteria for Adverse Events version 4.0. A 25% dose reduction was applied subsequently in the event of grade 2 or 3 hand-foot syndrome, grade 4 neutropenia, grade 3 or 4 thrombocytopenia or anemia, or another grade 3 or 4 acute non-hematologic AEs. ACT was terminated in case of overwhelming chemotherapy-induced AEs, relapse, or the patients' request for discontinuation. Patients accepting fewer than 4 cycles of ACT were not eligible for analysis.

Patient Assessment and Follow-up

All patients received routine health assessment and disease monitoring at the start of each treatment cycle. We followed up these patients monthly during the first postoperative year, and then quarterly until the last follow-up or death. Relapse was confirmed based on imaging and histological examination. Once relapse was diagnosed, chemotherapy of alternative regimen, radiofrequency ablation, or palliative treatment was implemented.

Statistical Analysis

MedCalc software (version 15.2.2, MedCalc Software, Ltd) was used for statistical analysis and visualization. Quantitative data were shown as mean±SD and compared using the *t* test. Count data were analyzed using χ^2 or Fisher's exact test. Chemotherapy-induced AEs, TNM stage, WHO performance-status score, and tumor differentiation were compared using Rdit analysis. RFS was the interval time from the initiation of ACT to (i) the last follow-up, (ii) death, or (iii) first relapse. OS was the interval time from the initiation of ACT to death or the last follow-up. RFS and OS curves were created by the Kaplan-Meier method and compared by the log-rank test. Results with *P*<0.05 were considered statistically significant.

Table 1. Patient characteristics.

	mFFX group (n=34)	S-1 group (n=37)	P value
Age (year)	55.47±6.75	56.27±6.93	0.6243
Time from surgery to initiation of adjuvant chemotherapy (day)	22.35±4.28	21.87±4.14	0.6326
Postoperative CA19-9 (U/mL)	30.85±9.18	31.29±9.85	0.8466
WHO performance-status score			0.9889
0	22	24	
1	12	13	
Gender			0.6091
Male	21	25	
Female	13	12	
T Stage			0.9172
T1	10	11	
T2	15	16	
T3	6	5	
T4	3	5	
N Stage			0.4924
N0	12	10	
N1	18	22	
N2	4	5	
Tumor stage			0.5742
I	8	7	
II	20	22	
III	6	8	
Tumor differentiation			0.5057
Well	5	6	
Moderately	9	12	
Poorly	18	18	
Unknown	2	1	
Operative procedure			0.7833
Pancreatoduodenectomy	24	25	
Distal pancreatectomy	10	12	
Histological type			0.7499
Ductal adenocarcinoma	32	33	
Nonductal carcinoma	2	4	
Comorbidities			
Cardiovascular disease	4	5	0.8920
Respiratory disease	7	8	0.8537
Diabetes	6	8	0.9029

WHO – World Health Organization.

Table 2. Chemotherapy-induced adverse events.

Event	mFFX group				S-1 group				P value
	Grade				Grade				
	1	2	3	4	1	2	3	4	
Neutropenia	14	13	5	2	17	14	5	1	0.5976
Thrombocytopenia	9	14	9	2	17	15	4	1	0.0372
Anemia	18	10	1	0	19	10	1	0	0.6863
Fatigue	16	4	2	0	18	13	1	0	0.0226
Nausea/vomiting	9	18	6	0	13	17	2	0	0.0337
Diarrhea	10	15	5	2	16	13	0	0	0.0018
Elevated creatinine level	4	0	0	0	2	0	0	0	0.3393
Elevated ALT/AST level	4	0	0	0	1	0	0	0	0.1388
Elevated total serum bilirubin level	3	0	0	0	1	0	0	0	0.2672
Stomatitis	6	4	4	0	4	5	2	0	0.2156
Hand-foot syndrome	1	1	0	0	4	2	2	0	0.0569
Paresthesia	6	5	2	0	3	4	4	0	0.6412

ALT – alanine aminotransferase; AST – aspartate aminotransferase.

Results

Patient Characteristics

Patient characteristics, including sex, comorbidities, operative procedure, postoperative CA19-9 level, age, tumor differentiation, histological type, TNM stage (on the basis of the 8th edition of AJCC/UICC staging system) [11], and time from surgery to initiation of ACT did not differ significantly between the 2 groups (Table 1).

Chemotherapy-Induced Adverse Events and Treatment Outcomes

The severity and incidence of chemotherapy-induced AEs are summarized in Table 2. Patients in the mFFX group experienced significantly more common and severe thrombocytopenia ($P=0.0372$), fatigue ($P=0.0226$), nausea/vomiting ($P=0.0337$), and diarrhea ($P=0.0018$). Most of the AEs were properly managed by symptomatic therapy and dose adjustment. No chemotherapy-induced death was documented.

The incidence of dose reduction was markedly greater in the mFFX group (14/34 vs 6/37, $P=0.0195$). Chemotherapy was discontinued in 5 patients of the mFFX group (2 for relapse, 2 for refractory diarrhea, and 1 for grade 4 thrombocytopenia), and 4 patients in the S-1 group (2 for relapse and 2 for

refractory hand-foot syndrome). All patients underwent more than 4 cycles of ACT.

Relapse-free Survival

Relapse was confirmed in 23 patients of the mFFX group and 26 patients of the S-1 group, respectively, within 3 years postoperatively. The mFFX group demonstrated a markedly higher 3-year RFS ($P=0.0332$) and lower hazard ratio (HR) for relapse (0.5577, 95% confidence interval [CI], 0.3138 to 0.9910) than the S-1 group (Figure 1). Sites of first relapse are shown in Table 3.

Overall Survival

A total of 46 patients died within 3 years postoperatively (22 from the mFFX group and 24 from the S-1 group). Compared with the S-1 group, the mFFX group obtained a significantly improved 3-year OS ($P=0.0346$) and lower HR for death (0.5501, 95% CI 0.3029 to 0.9993) (Figure 2).

Discussion

In this study, we retrospectively analyzed 71 patients with resected pancreatic carcinoma, among whom 34 received the mFOLFIRINOX regimen chemotherapy and 37 received S-1 monochemotherapy. The results indicated that the

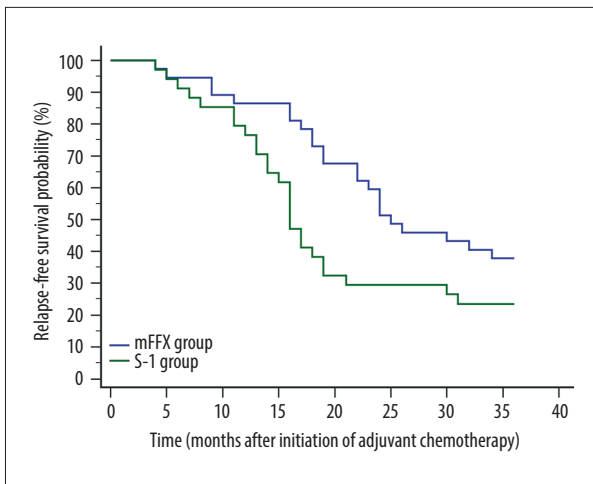


Figure 1. Comparison of relapse-free survival. Relapse was confirmed in 23 patients of the mFFX group and 26 patients of the S-1 group within 3 years postoperatively. The mFFX group demonstrated a markedly higher 3-year relapse-free survival ($P=0.0332$) and lower hazard ratio for relapse (0.5577, 95% CI, 0.3138 to 0.9910) than the S-1 group. MedCalc software (version 15.2.2, MedCalc Software, Ltd.) was used to create the figure.

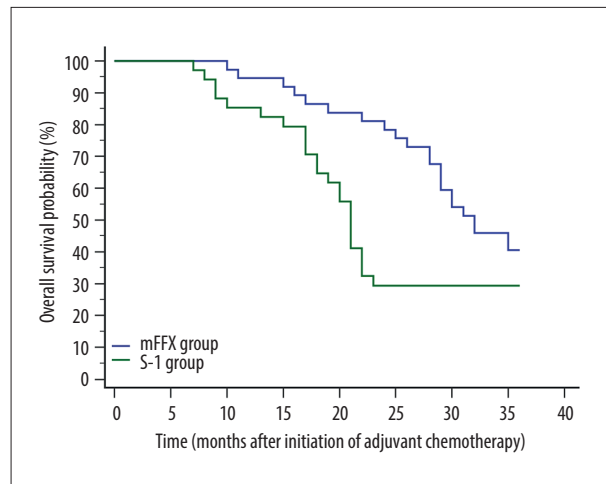


Figure 2. Comparison of overall survival. A total of 46 patients died within 3 years postoperatively (22 from the mFFX group and 24 from the S-1 group). Compared with the S-1 group, the mFFX group obtained a significantly improved 3-year overall survival ($P=0.0346$) and lower hazard ratio for death (0.5501, 95% CI 0.3029 to 0.9993). MedCalc software (version 15.2.2, MedCalc Software, Ltd.) was used to create the figure.

Table 3. Sites of first relapse.

Site	S-1 group	mFFX group
Local	9	8
Liver	6	5
Abdominal lymph node	5	5
Peritoneum	3	3
Lung	2	1
Others	1	1

mFOLFIRINOX regimen resulted in a markedly improved 3-year RFS and lower HR for relapse compared to the S-1 group in patients with resected PC. The mFOLFIRINOX regimen achieved a significantly improved 3-year overall survival with a lower HR for death.

Pancreatic carcinoma remains a major medical dilemma, with an extremely poor prognosis worldwide [12]. Surgical resection improves survival outcomes. However, 80-85% of the patients were diagnosed at an advanced inoperable stage [13]. Despite the development of surgical techniques, relapse often occurs within 2 years after surgery, most of which are local recurrence and hepatic metastases [14]. Therefore, 5-year survival probabilities after surgical resection alone are unsatisfactory (under 10%) [15].

Therefore, postoperative adjuvant treatment modalities were explored. Survival benefits of 5-FU-based adjuvant chemotherapy vs adjuvant chemoradiotherapy were confirmed by the ESPAC-1 trial [16,17]. Subsequently, gemcitabine became a pivotal chemotherapeutic agent for PC, showing comparable survival benefits and fewer AEs according to the CONKO-001 trial and ESPAC-3 (version 2) trial [5,18].

S-1 is an innovative oral chemotherapeutic agent used for resected gastric cancer in Asian countries [19]. According to recent studies, S-1 conferred a higher response and improved overall survival than gemcitabine in metastatic and resected PC [7,20,21]. Therefore, S-1 monochemotherapy was recognized as a new standard adjuvant chemotherapy regimen for PC. The mFOLFIRINOX regimen is another competitive chemotherapy regimen with superior long-term survival to gemcitabine for resected PC. The MPACA-3 trial compared the efficacy of mFOLFIRINOX with S-1 as second-line chemotherapy in metastatic PC patients who were failed after gemcitabine chemotherapy, and demonstrated an increased overall survival compared to S-1 [22]. However, the efficacy of these 2 regimens as first-line chemotherapy in resected PC has not been compared. So far as we know, this single-center retrospective study was the first to directly compare the efficacy of mFOLFIRINOX with S-1 as ACT for patients with resected PC.

The safety of these 2 regimens was also compared. Patients who accepted mFOLFIRINOX regimen chemotherapy experienced

significantly more common and severe thrombocytopenia, fatigue, nausea/vomiting, and diarrhea. Therefore, the incidence of dose reduction was significantly greater in the mFFX group. Eventually, most of the patients finished treatment, and no chemotherapy-induced death occurred.

The limitations of this study are non-negligible. As a retrospective cohort study, bias in patient enrollment was unavoidable. Due to the scale of our hospital, the sample size was relatively limited. Hence, we are considering performing a multicentre, prospective, randomized trial.

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Conclusions

This retrospective study indicates that if dose adjustment and adverse events management are properly administered, the mFOLFIRINOX regimen chemotherapy can result in better survival than S-1 monotherapy for patients with resected PC.

Declaration of Figures' Authenticity

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