



Three-dimensionally printed navigational template: a promising guiding approach for lung biopsy

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Background: Percutaneous transthoracic lung biopsy is customarily conducted under computed tomography (CT) guidance, which primarily depends on the conductors' experience and inevitably contributes to long procedural duration and radiation exposure. Novel technique facilitating lung biopsy is currently demanded.

Methods: Based on the reconstructed anatomical information of CT scans, a three-dimensionally printed navigational template was customized to guide fine-needle aspiration (FNA). The needle insertion site and angle could be indicated by the template after proper placement according to the reference landmarks. From June 2020 to August 2020, patients with peripheral indeterminate lung lesions ≥ 30 mm in diameter were enrolled in a pilot trial. Cases were considered successful when the virtual line indicated by the template in the first CT scan was pointing at the target, and the rate of success was recorded. The insertion deviation, procedural duration, radiation exposure, biopsy-related complications, and diagnostic yield were documented as well.

Results: A total of 20 patients consented to participate, and 2 withdrew. The remaining 18 participants consisting of 11 men and 7 women with a median age of 63 [inter-quartile range (IQR), 50–68] years and a median body mass index (BMI) of 23.5 (IQR, 20.8–25.8) kg/m² received template-guided FNA. The median nodule size of the patients was 41.2 (IQR, 36.2–51.9) mm and 17 lesions were successfully targeted (success rate, 94.4%). One lesion was not reached through the designed trajectory due to an unpredictable alteration of the lesion's location resulting from pleural effusion. The median deviation between the actual position

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of the needle tip and the designed route was 9.4 (IQR, 6.8–11.7) mm. The median procedural duration was 10.7 (IQR, 9.7–11.8) min, and the median radiation exposure was 220.9 (IQR, 198.6–249.5) mGy×cm. No major biopsy-related complication was encountered. Definitive diagnosis of malignancy was reached in 13 of the 17 (76.5%) participants.

Conclusions: The feasibility and safety of navigational template-guided FNA were preliminarily validated in lung biopsy cohort. Nonetheless, patients with pleural effusion were not recommended to undergo FNA guided by such technique.

Trial Registration: This study was registered with ClinicalTrials.gov (identifier: NCT03325907).

Keywords: Lung biopsy; three-dimensional printing (3D printing); computed tomography (CT); fine-needle aspiration (FNA)

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Introduction

Lung biopsy is a routinely performed procedure in clinical practice, which is of fundamental importance in establishing the diagnosis of lung lesions and patient management (1). For peripherally localized lung lesions, the two most popular biopsy techniques involve computed tomography (CT)-guided percutaneous biopsy and advanced bronchoscopy, with CT-guided percutaneous transthoracic fine-needle aspiration (FNA) serving as a major strategy for diagnosis (2), with diagnostic accuracy ranging from 76.0% to 93.4% (3-12). Despite the high diagnostic efficacy, several drawbacks of this kind of biopsy procedure still cannot be avoided. Firstly, the puncture angle of the biopsy needle is manually determined with the guidance of CT scanning, which largely depends on the experience of the operators and has a long procedural duration. Furthermore, CT scanning inevitably leads to radiation exposure. Moreover, repeated adjustments of the biopsy needle before accurate insertion are usually required, which also increases the procedure time and the radiation dose. Repeated insertion attempts also contribute to a high rate of pneumothorax, pulmonary hemorrhage, and other biopsy-related complications (13-20).

Three-dimensional (3D) printing has been exploited in a wide variety of medical fields (21), showing tremendous potential in preoperative planning, implant design, and training. Based on the explicit strength of 3D printing in customized reconstruction of radiological images, the concept of utilizing such technique in surgical navigation was afterwards brought up. Kunz *et al.* reported an individualized drilling templates designed for hip

resurfacing surgery, demonstrating equivalent accuracy to conventional CT assisted approach (22). Similar results were also obtained in template-guided mandibular defect repair surgery as reported by Liu *et al.* (23). Hereafter, numerous researchers further evaluated the availability of navigational template used for surgical guidance in maxillofacial and orthopedic operations (24-26). Likewise, our team developed a navigational template to guide the preoperative localization of small lung nodules. The efficacy and safety of template-guided nodule localization have been validated in series of clinical trials (27-29). As the demand for accuracy is higher in FNA than in preoperative nodule localization, whether this kind of template is applicable for FNA remains unknown. We further modified our prior study's design (27) and developed a navigational template applicable for FNA. This study was conducted to investigate the feasibility of template-guided FNA for peripheral lung lesions. Furthermore, we present the following article in accordance with the TREND reporting checklist (available at <https://tlcr.amegroups.com/article/view/10.21037/tlcr-22-172/rc>).

Methods

Study design

This study was a single-center, prospective pilot trial for 3D printed navigational template guided lung biopsy, which was conducted in accordance with the Declaration of Helsinki (as revised in 2013). From June 2020 to August 2020, patients scheduled for percutaneous transthoracic FNA were recruited. Written informed consent was obtained

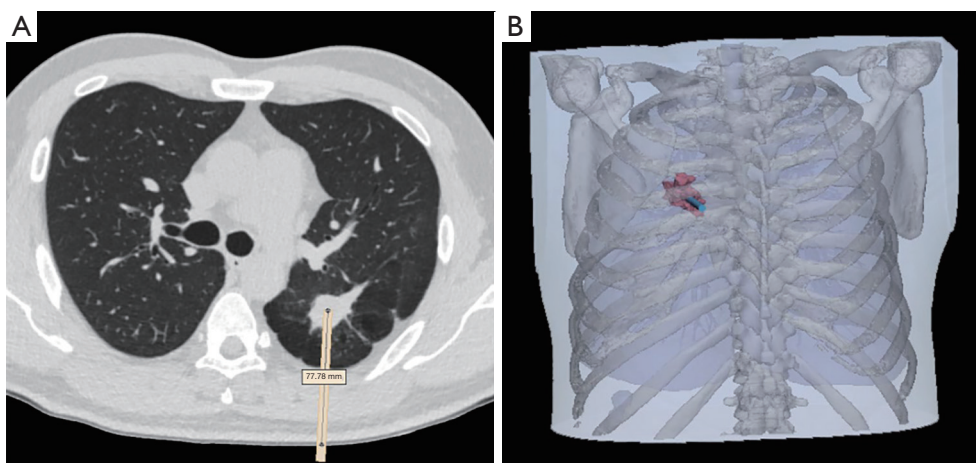


Figure 1 Insertion route design and reconstruction of the CT images. The insertion route was designed by the referring pulmonologist based on the CT images (A). The whole thorax consisting of the target lesion (red part), insertion route (blue part), thoracic contour surface (purple part), and bony cage (grey part) was reconstructed and shown from the back (B) view. CT, computed tomography.

before patient enrollment. Both the pulmonologists (SR, CS, JN, YH, BC and JZ) and the radiologist (JS) reviewed the CT images to select the eligible participants. The main inclusion criterion was peripheral lung lesions [as classified by Baaklini *et al.* (30)] with a long-axis diameter ≥ 30 mm scheduled for lung biopsy. Lesions shielded by the scapula or situated close to the diaphragm (center of the lesion within 3 cm of the diaphragm) or those with contraindications for lung biopsy were excluded. Besides, the study was approved by the Institutional Review Board of Shanghai Pulmonary Hospital (No. K17-155) and was registered with ClinicalTrials.gov (identifier: NCT03325907).

Procedures

Template design and printing

Participants' CT scan images (non-contrast, slice thickness 1.5 mm, obtained for clinical purposes) were acquired from the Picture Archiving and Communication Systems, and a 3D thorax model was reconstructed using Mimics Research 20.0 and Magics 21.0 (Materialise NV, Leuven, Belgium). The insertion route was designed based on the CT images and the thorax model following the principle of choosing the shortest route and avoiding any major anatomical structures (Figure 1). The prominent anatomical landmarks of the thoracic bony cage were subsequently selected and projected, and 2 marks recognizing the sternal end of the clavicle and the costal arch were also included in the design (Figure S1). The navigational template was then delineated

in accordance with the thoracic contour (Figure 2). Finally, the whole template was printed using stereolithography from photopolymer material (Figure S1). It took approximately 1 hour to design the model and 5–6 hours to print it. The cost per person for developing the template was \$75–90. Detailed design and printing procedure was demonstrated in our previous research (27).

Navigational template-guided lung biopsy

Percutaneous transthoracic FNA was performed in the radiology department (Figure 3). The participant's decubitus position was decided according to the location of the puncture site. The template was placed with the guidance of the anatomical landmarks and was secured to the patient using medical tape. Then, an initial CT scan was performed to evaluate the feasibility of template-guided biopsy. A virtual line was drawn on the CT images through the insertion point to simulate the insertion path of the biopsy needle. If the virtual line was targeted at the lesion, it was considered appropriate to conduct FNA and recorded as a successful case. The success rate of navigational template-guided biopsy would be ultimately analyzed. Next, sterilization and local anesthesia with 2% lidocaine were administered. The biopsy needle (ChibaSono, Germany; 22-gauge, 10 cm length) was inserted to the predetermined depth following deep inhalation of the participant, and a second CT scan was subsequently performed, based on which 3D deviations were calculated. If the virtual line did not point to the targeted lesion, the template-guided FNA

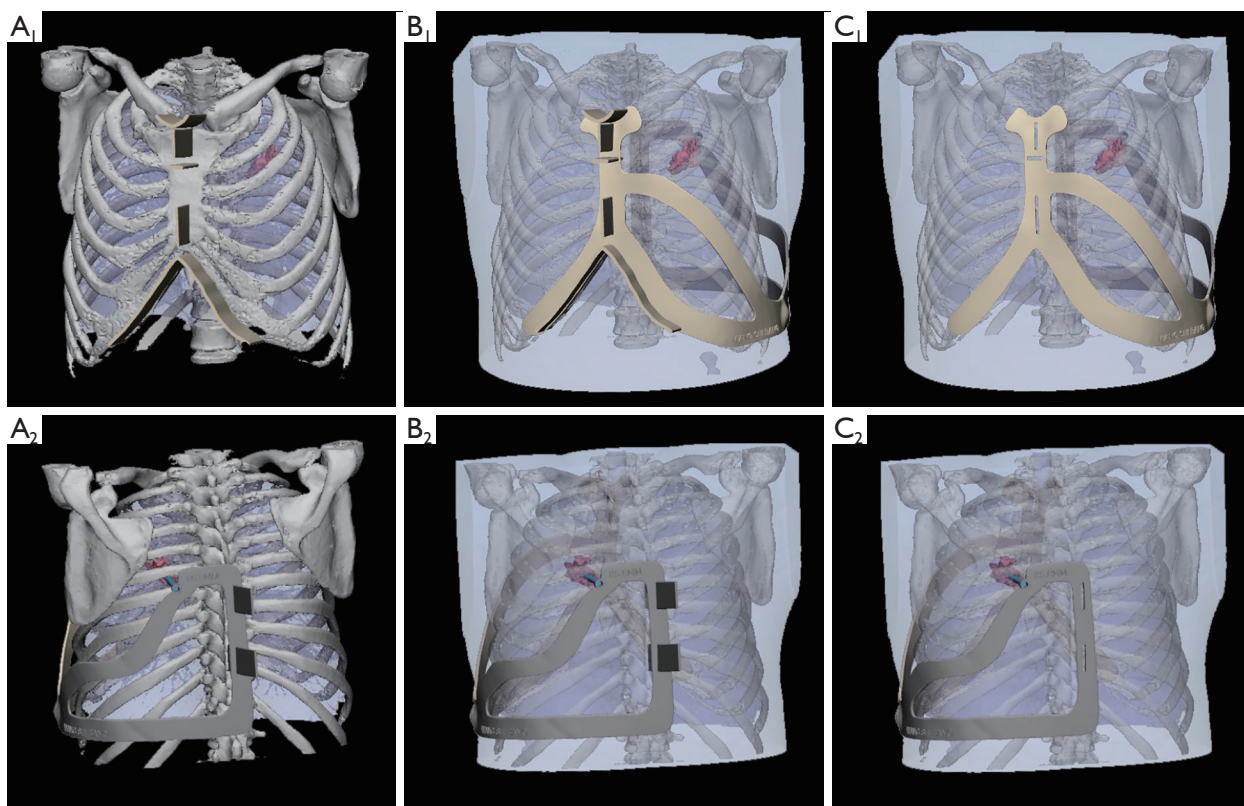


Figure 2 Navigational template design process. Prominent anatomical landmarks guiding accurate localization of the template were selected and horizontally projected (A). The navigational template was delineated according to the contour of the body surface encompassing the anatomical landmarks and insertion route (B). The overlapping part of the navigational template and projection parts of the anatomical landmarks were eliminated by means of a Boolean algorithm (C).

was recorded as a failure, and the participant was subjected to a traditional CT-guided FNA.

Subsequently, percutaneous transthoracic FNA was implemented. The acquired cells were used for cytological examination. Moreover, according to the pulmonologists' instructions, some participants also received a coaxial needle biopsy (CNB) for histological examination (biopsy needle, GALLINI S. R. L., Italy, 18-gauge, 16 cm length). The CNB could only be conducted after FNA. Once the biopsy was finished, the needle was retrieved and the puncture site was covered with gauze. After all these procedures, a final CT scan was performed to evaluate biopsy-related complications.

Evaluation parameters concerning the biopsy procedure

The distance between the actual position of the needle tip and the exact center of the target lesion, which was also

the end of the designed route, was defined as the insertion deviation, and the coronal (CD), axial (AD), and sagittal deviations (SD) were measured separately (Figure S2). The total deviation (TD) was calculated as follows [Eq. [1]]:

$$TD = \sqrt{CD^2 + AD^2 + SD^2} \quad [1]$$

The deviation direction was also recorded, among which the negative values for the CD, AD, or SD indicated that the biopsy needle deviated laterally, posteriorly, or caudally, respectively.

The procedural duration was measured from the first CT scan to the last one. The cumulative radiation dose was recorded by the CT scanner (SIEMENS 64-slice spiral CT, SOMATOM, Definition AS, Siemens Co., Ltd.). Biopsy-related complications were classified as minor or major complications according to the Society of Interventional Radiology (SIR) Guidelines (31). For those

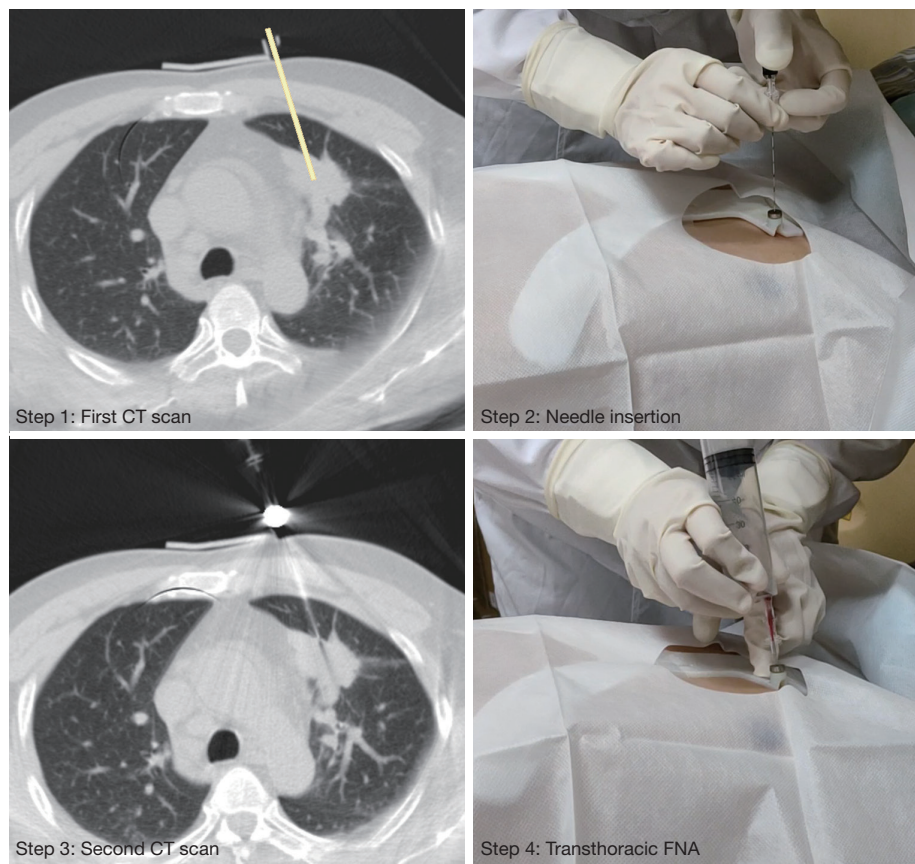


Figure 3 Template-guided biopsy procedure. After the placement of the template, the first CT scan was performed to evaluate the appropriateness of needle insertion, and an imaginary yellow line was drawn through the protrusion of the template (step 1). After the confirmation CT scan, sterilization and local anesthesia were subsequently administered. The biopsy needle was then inserted to the preset depth (step 2), and another CT scan was performed to calculate the insertion deviation (step 3), after which the core of the biopsy needle was drawn out and the needle was connected to a 50 mL syringe. The force inside the syringe created a vacuum, which allowed for the tumor cells to be suctioned out through the needle (step 4). CT, computed tomography; FNA, fine-needle aspiration.

who underwent CNB at the same time, the aforementioned three parameters concerning FNA only were recorded. In addition, the cytological results of the FNA were classified into categories including malignancy, suspicious for malignancy, specific benign and nondiagnostic findings.

Statistical analysis

The participants' demographic information and clinicopathological features were collected. The continuous variables were expressed as median with 25th–75th percentiles [inter-quartile range (IQR)], and were analyzed using an independent *t*-test. The categorical variables were expressed as number with percentage and were analyzed using Pearson's chi-squared test. A *P* value of less than 0.05 was considered as

a significant difference, and all the data were analyzed using SPSS (version 26.0; IBM SPSS Inc., Armonk, NY, USA).

Results

Between June 2020 and August 2020, 22 patients scheduled for percutaneous transthoracic FNA were enrolled during the pre-selection, and 20 patients consented to participate. Among them, 2 patients dropped out of the study due to temporary rescheduling for ultrasound (US)-guided percutaneous biopsy and a temporary change of the insertion route made by the corresponding pulmonologist. Altogether, 18 participants [median age, 63 (IQR, 50–68) years] consisting of 11 men and 7 women, all of whom were Asians, underwent template-guided FNA.

Table 1 Clinical characteristics of the participants undergoing template-guided FNA

Parameters	N
Sex, % male	61.1 (11/18)
Age, years	63 [50–68] ^a
Race, n (%)	
Asians	18 (100.0)
BMI, kg/m ²	23.5 [20.8–25.8] ^a
Nodule size, mm	41.2 [36.2–51.9] ^a
Distance between the lesion and pleura, mm	2.2 [0.0–11.3] ^a
Nodule location, n (%)	
UL + ML	14 (77.8)
LL	4 (22.2)
Biopsy type, n (%)	
FNA alone	10 (55.6)
FNA + CNB	8 (44.4)
Decubitus position, % supine	55.6 (10/18)
Length of the insertion route, mm	80.2 [70.4–85.8] ^a

^a, age, BMI, nodule size, distance between the lesion and pleura, and length of the insertion route were expressed as median [IQR]. FNA, fine-needle aspiration; BMI, body mass index; UL, upper lobe; ML, middle lobe; LL, lower lobe; CNB, coaxial needle biopsy; IQR, inter-quartile range.

The participants' baseline information, the characteristics of the lesions, and the biopsy procedure are summarized in *Table 1*. The median body mass index (BMI) value was 23.5 (IQR, 20.8–25.8) kg/m². The median diameter of the lesions was 41.2 (IQR, 36.2–51.9) mm. The median distance between the outer edge of the lesion to the pleural surface was 2.2 (range, 0.0–16.6) mm. Most of the lesions were located in the upper and middle lobes (14/18, 77.8%). All the participants received FNA, and 8 participants also underwent CNB. Ten participants (10/18, 55.6%) received a biopsy in the supine position, and the remaining were in the lateral position. The median length of the designed needle insertion route was 80.2 (IQR, 70.4–85.8) mm.

The template-guided FNA procedure was successfully carried out in 17 participants (17/18, 94.4%) and all these participants' needle insertion CT images are shown in *Figures S3,S4*. The biopsy needle did not reach 1 lesion through the predesigned route due to an unpredictable alteration of the lesion's location. In this participant, the

Table 2 Characteristics related to the template-guided FNA procedure

Parameters	N
Successfully targeted events, n (%)	17 (94.4)
Insertion deviation value, mm	
Coronal	2.1 [0.7–5.8] ^a
Axial	4.4 [1.9–7.5] ^a
Sagittal	4.3 [1.4–8.5] ^a
Total	9.4 [6.8–11.7] ^a
Procedural duration, min	10.7 [9.7–11.8] ^a
DLP, mGy×cm	220.9 [198.6–249.5] ^a
Pneumothorax, n (%)	2 (11.8) ^b
Hemorrhage, n (%)	3 (17.6) ^b
Cytological examination results, n (%)	
Malignancy	13 (76.5)
Primary lung	11 (64.7)
Adenocarcinoma	6 (35.3)
Non-small cell carcinoma	5 (29.4)
Malignancy, poorly differentiated	2 (11.8)
Suspicious for malignancy	1 (5.9)
Inadequate samples	3 (17.6)

^a, insertion deviation, procedural duration, and DLP were expressed as median [IQR]; ^b, no participant needed further intervention. FNA, fine-needle aspiration; DLP, dose-length product; IQR, inter-quartile range.

pre-biopsy CT image revealed a pleural effusion which measured 31.4 mm in the long-axis diameter in the right thoracic cavity. The insertion route was initially designed through the right eighth intercostal space. After the participant was placed in a lateral decubitus position, the fluid that accumulated in the bottom of the cavity redistributed, resulting in the alteration of the relative position between the thoracic cage and the lesion. Thus, the lesion could not be targeted through the predesigned intercostal space (*Figure S5*). The participant was then arranged to undergo a CT-guided approach.

The characteristics related to the procedure of the 17 successful cases are listed in *Table 2*. The median deviations in the coronal, axial, and sagittal directions were 2.1, 4.4, and 4.3 mm, respectively. The median TD of the biopsy needle was 9.4 (IQR, 6.8–11.7) mm. After taking the

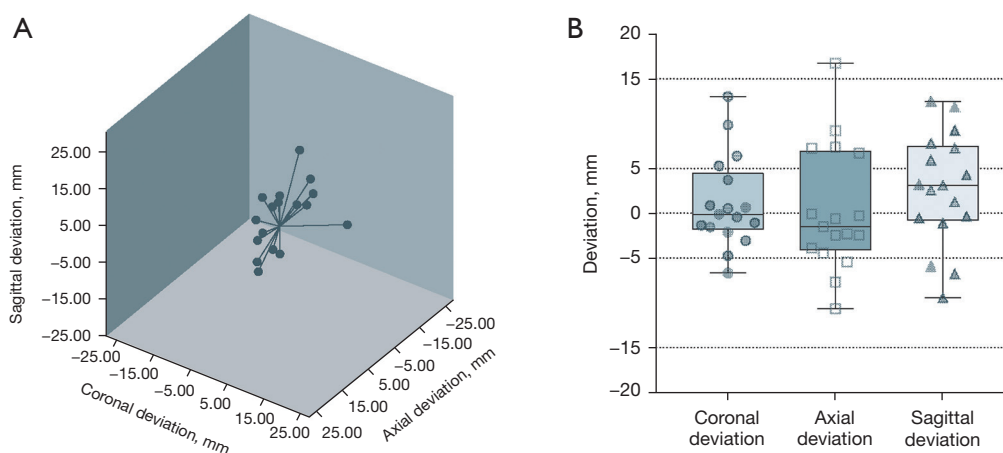


Figure 4 Deviations of template-guided FNA. The deviations of template-guided FNA were demonstrated three-dimensionally (A). Positive values for CD, AD, or SD indicated that the biopsy needle deviated medially, anteriorly, or cranially, respectively. The deviations in each dimension were further compared (B). The horizontal line in each box indicates the median, while the top and bottom borders of each box indicate the 75th and 25th percentiles, respectively. The ends of the whiskers above and below each box indicate the minimum and maximum values. FNA, fine-needle aspiration; CD, coronal deviation; AD, axial deviation; SD, sagittal deviation.

deviation direction into account, most of the biopsy needles deviated medially, anteriorly, and cranially (*Figure 4*). The median procedural duration of the FNA was 10.7 (IQR, 9.7–11.8) min, and the median radiation exposure was 220.9 (IQR, 198.6–249.5) mGy×cm. FNA-related complications were encountered in 5 cases (5/17, 29.4%), including 2 cases of asymptomatic pneumothorax and 3 cases of pulmonary hemorrhage. The maximum degree of collapse of the lung post FNA was 5%, and the maximum diameter of the biopsy-related hematoma was 5.1 mm, both of which were evaluated based on the CT images acquired post biopsy. None of these participants needed further intervention. No major complication was encountered during the procedure. The FNA-acquired specimens were diagnosed positive for malignancy in 13 lesions, including 11 lesions diagnosed as primary lung tumor and 2 lesions as poorly differentiated. Suspicious malignant cells were recognized in 1 case, who subsequently undertook bronchoscopic biopsy and finally obtained a malignant diagnosis, while another 3 samples were evaluated nondiagnostic, with 2 patients proved malignant through CNB and 1 patient still in follow-up. The specific clinical characteristics and clinicopathological information of these 17 cases are summarized separately in [Tables S1,S2](#).

Discussion

CT-guided percutaneous transthoracic FNA is a well-

established method for determining the cytopathological diagnosis of peripheral lung lesions. The diagnostic yield of this procedure is high, with an average sensitivity and specificity of 82.0–98.4% and 96.2–100.0%, respectively (3–6,8,9). Nonetheless, since such procedure was manually conducted, repeated adjustments constantly occur, which inevitably complicates the process, resulting in prolonged duration, increased radiation exposure, and an elevated complication rate. Furthermore, such procedure is typically performed by interventional radiologist and not widely applicable to interventional pulmonologists or thoracic surgeons. To simplify the transthoracic FNA procedure and provide the operators from different specialties safe and stable guiding method, we reported a navigational template developed using 3D printing technology. This navigational template is applicable for transthoracic FNA and was modified based on our prior study's design (27) to meet a higher demand for accuracy. With the guidance of this modified navigational template, the procedure was successfully carried out in 17 out of 18 participants (94.4%) who underwent FNA. The feasibility of template-guided FNA was preliminarily validated in this prospective pilot study. Besides, compared with previously reported results, the radiation exposure the patients received was decreased (32,33), which further illustrated the safety of template-guided procedure.

As elucidated in previous studies (27–29), the skeletal features of patients serve as accurate and stable reference

landmarks when placing the navigational template. The routinely chosen skeletal landmarks include the upper edge of the sternum, the Louis angle, the substernal angle, the midline of the sternum, and the posterior midline correlated to the spinous process. The feasibility of selecting these features as reference landmarks to develop a template for guiding the preoperative localization of pulmonary nodules was validated. Nonetheless, since these skeletal features lay approximately in the same sagittal plane, the overall accuracy of template-guided preoperative localization was limited as reported in our previous study (27), with a maximum deviation of over 20 mm. Compared with nodule localization, the transthoracic FNA biopsy procedure has a higher demand for needle insertion accuracy in order to obtain sufficient specimens for cytopathological diagnoses. To meet this demand, additional elements recognizing the sternal end of the clavicle and the costal arch were added to the design of the template for reconfirming the position in the coronal plane (Figure S6). The final results showed that a maximum deviation of 15.2 mm (Case 12) was achieved in this pilot study [TD, preoperative localization (29) *vs.* FNA, 8.7 ± 6.9 *vs.* 9.3 ± 3.1 mm].

Another factor affecting placement precision is respiratory movement. The existence of such movements results in the constant deformation of the thorax contour, which substantially influences the template placement procedure. Hence, a method for controlling the respiratory phase to minimize respiratory deviation is needed. Since the CT scan images used for developing the template were taken after a deep inhalation, the process of placing the template and inserting the needle were only conducted after a deep inhalation and breath-hold. With this method, the respiratory phase, when the biopsy was conducted, approached that of when the initial CT scanning was performed.

With the guidance of the modified navigational template and the assistance of the respiratory control method, transthoracic FNA was successfully carried out in 17 participants. One case failed, which served as a reference candidate for selecting suitable patients. The failed case resulted from an unpredictable alteration of the tumor's location due to pleural effusion. The lung tissue distortion contributed to the movement of the fluid accumulated in the thoracic cavity when the participant adopted different decubitus positions. Hence, the aforementioned prominent features contributed to the unpredictable alteration of the lesion's position when the biopsy was conducted in a lateral position. It also indicates that patients with newly emerged pleural effusion are not eligible to undergo FNA using

navigational template designed by the previous CT images. Some researchers have already reported using augmented reality (AR)-based calibration method to optically navigate otorhinolaryngological surgeries (34,35), such notion of movement calibration might also have the potential of calibrating the deviations of the pulmonary lesions resulted from newly emerged pleural effusion or atelectasis. Besides, since the diameters of lesions of the patients in this study were relatively large, utilizing such template in small nodule biopsy was still challenging. Electromagnetic navigation bronchoscopy is a newly-developed modality in lung biopsy, with an impressive accuracy in peripheral pulmonary lesions diagnosis (36,37). Since the application of such modality has been reported by many researchers and also feasible in our institution, further study utilizing 3D printing technology in combination with electromagnetic navigation method might harbor the potential of improving the accuracy of lung biopsy.

There are several limitations of this study. First, the sample size of this pilot study was relatively small. In addition, no comparison between this novel technique and the conventional CT method in terms of the procedural duration, radiation dose, and biopsy-related complication rate was performed. The comparison between these two methods in specific diagnosis or histological subtype evaluation was also missing. Hence, a randomized controlled trial evaluating these two methods is actively recruiting (ClinicalTrials.gov identifier: NCT04775901). Second, the median BMI of the participants in this study was 23.5 kg/m^2 . Whether this modified navigational template is applicable for obese patients still needs evaluating. Lastly, the needle insertion accuracy of the 3D navigational template was preliminarily evaluated in this study, but data concerning the diagnostic efficacy of this technique is still lacking. Hence, a more comprehensive assessment and follow-up plan is warranted to further analyze the diagnostic accuracy.

In conclusion, the feasibility of 3D printed navigational template-guided FNA has been validated. Nonetheless, since the diagnostic accuracy of template-guided lung biopsy was not evaluated, further studies should be designed to confirm whether a noninferior result regarding diagnostic yield can be achieved compared with the CT-guided modality.

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Footnote

Reporting Checklist: The authors have completed the TREND reporting checklist. Available at <https://tclr.amegroups.com/article/view/10.21037/tclr-22-172/rc>

Data Sharing Statement: Available at <https://tclr.amegroups.com/article/view/10.21037/tclr-22-172/dss>

Conflicts of Interest: All authors have completed the ICMJE uniform disclosure form (available at <https://tclr.amegroups.com/article/view/10.21037/tclr-22-172/coif>). YW is a current employee of Lanhui Medical Technology Co., Ltd. The other authors have no conflicts of interest to declare.

Ethical Statement: The authors are accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved. The study was conducted in accordance with the Declaration of Helsinki (as revised in 2013). The study was approved by the Institutional Review Board of Shanghai Pulmonary Hospital (No. K17-155) and written informed consent was obtained before patient enrollment.

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