

# Induced overexpression of CD44 associated with resistance to apoptosis on DNA damage response in human head and neck squamous cell carcinoma cells

EMIKA OHKOSHI<sup>1</sup> and NAOKI UMEMURA<sup>2</sup>

<sup>1</sup>Department of Natural and Medicinal Chemistry, Faculty of Pharmaceutical Sciences Aomori University, Aomori, Aomori 030-0943; <sup>2</sup>Department of Oral Biochemistry, Asahi University School of Dentistry, Gifu 501-0296, Japan

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**Abstract.** CD44 is a marker of cancer stem cells in head and neck squamous cell carcinoma, and CD44 expression is related to prognosis in cancer patients. We examined whether herbal medicine components affect CD44 expression and induce cancer cell apoptosis. Baicalin enhanced apoptosis with no effect on CD44 levels, while baicalein did not enhance apoptosis and upregulated CD44 in head and neck squamous cell carcinoma. Furthermore, baicalein induced phosphorylation of CHK1, as a marker of DNA damage response to S-to-G2/M phase arrest. Our results clearly demonstrated that baicalein enhanced expression of CD44 and accordingly enhanced the DNA damage response. These data suggest that induction of CD44 inhibited cancer cell induction of apoptosis by increasing the DNA damage response. Together, our findings suggest that CD44 expression in head and neck squamous cell carcinoma plays a role in enhancing the DNA damage response.

## Introduction

Many studies have demonstrated that a variety of human malignancies, including head and neck squamous cell carcinoma (HNSCC), contain subpopulations of cells called cancer stem-like cells (CSCs) that exhibit stem cell-like properties, such as self-renewal and tumor-initiating capabilities (1,2). CSCs can lead to disease progression by giving rise to new tumors despite therapeutic intervention. The resistance of CSCs to conventional chemoradiotherapy may involve enhanced DNA damage repair pathways and alterations in cell cycle kinetics (3).

CD44 has been recently recognized as one of the cell surface markers associated with CSCs in HNSCC (4,5). A previous study showed that a CD44<sup>+</sup> cell subset in HNSCC was predominantly in G2/M phase compared with the CD44<sup>-</sup> cell subset associated with resistance to apoptosis (6,7). The upregulation of CD44 serves as a survival mechanism, allowing cells to escape apoptotic cell death in response to DNA damage repair in HNSCC. Although the nature of CSCs is still controversial, CD44-expressing subfractions of many human carcinomas are highly malignant and share common properties with CSCs (8,9).

*Scutellaria* root is a common component of many preparations in traditional Chinese medicine (10). It is a multi-purpose treatment, for inflammation, hypertension, cardiovascular diseases, and bacterial and viral infections. Chinese herbal medicine is a mixture of many herbs following the theory of traditional Chinese medicine (11,12). Among these herbs, the main drugs that contain *Scutellaria* root are Shosaikoto (Xiao-Chai-Hu-Tang) (13), Daisaikoto (Da-Chai-Hu-Tang) (14), Saireito (Chai-Ling-Tang) (15), Saikokeishito (Chai-Hu-Gui-Zhi-Tang) (16), and Saikokaryukotsuboreito (Chai-Hu-Jia-Long-Gu-Mu-Li-Tang) (17). The medicinal effects of these medicines improve gastrointestinal, liver and breathing responses, targets immune function, and relieves inflammation (18). The medicines use different diagnostic depending on traditional Chinese medicine. Some research reports have claimed that the herbal medicines that contain *Scutellaria* root can inhibit cancer (10,19,20). However, the effects of traditional medicines on CSCs are unclear.

Here, we explored the *Scutellaria* root ingredient of herbal medicine and its effects on CSCs of HNSCC. We analyzed its effects on CD44, a marker of CSCs, and on the cell cycle in HNSCC.

## Materials and methods

**Reagents and antibodies.** Dimethyl sulfoxide (DMSO), sodium dodecyl sulfate (SDS), baicalin, baicalein, and cisplatin were purchased from Wako Pure Chemical Industries, Ltd. (Osaka, Japan). Dulbecco's modified Eagle's medium (DMEM) was purchased from Invitrogen (Carlsbad, CA, USA), and fetal bovine serum (FBS) was purchased from Nichirei Bioscience

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*Correspondence to:* Dr Naoki Umemura, Department of Oral Biochemistry, Asahi University School of Dentistry, 1851 Hozumi, Gifu 501-0296, Japan  
E-mail: umemura@dent.asahi-u.ac.jp

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(Tokyo, Japan). Primary antibodies against CD44 and cPARP were purchased from Cell Signaling Technology (Danvers, MA, USA), and primary antibodies against phospho-CHK1 (S301) and  $\beta$ -actin were purchased from Sigma-Aldrich (St. Louis, MO, USA). The horseradish peroxidase-conjugated secondary anti-mouse immunoglobulin G (IgG) and anti-rabbit IgG antibodies were purchased from Santa Cruz Biotechnology, Inc. (Santa Cruz, CA, USA). The protein assay kit was purchased from Bio-Rad (Herndon, VA, USA). Liquid chromatography-grade acetonitrile, acetic acid, ethyl acetate, methanol, 1-butanol, 2-propanol, baicalein, baicalin, and trifluoroacetic acid [for use in the high-performance liquid chromatography (HPLC) experiments described below] were purchased from Wako Pure Chemical Industries, Ltd. Milli-Q plus water (Millipore, Bedford, MA, USA) was used in the present study. All other chemicals were purchased from Wako Pure Chemical Industries, Ltd., except where otherwise noted.

**Plant materials.** Dried powders of herbal medicine [Shosaikoto (Xiao-Chai-Hu-Tang) (21), Daisaikoto (Da-Chai-Hu-Tang) (22), Saireito (Chai-Ling-Tang) (23), Saikokeishito (Chai-Hu-Gui-Zhi-Tang) (24), and Saikokaryukotsuboreito (Chai-Hu-Jia-Long-Gu-Mu-Li-Tang) (25)] and *Scutellaria* root extract were supplied by Tsumura Co., Ltd. (Tokyo, Japan). The herbal medicines contained several dried herbs in fixed proportions, as standardized by the Health, Labour and Welfare Ministry of Japan (Table I). The quality of each crude herb was tested in accordance with the guidelines set out by the pharmacopoeia of Japan. The root drugs were extracted by boiling, and the decoctions were lyophilized and stored at room temperature under desiccated conditions until use. The dried powders were reconstituted and employed as hot water extracts.

**Cell culture.** The HNSCC cell lines HSC-2 and HSC-3 were obtained from Riken Cell Bank (Ibaraki, Japan). The human immortalized non-tumorigenic keratinocyte cell line HaCaT was supplied by DKFZ (Heidelberg, Germany). Cells were cultured in Dulbecco's modified Eagle's medium (DMEM; Life Technologies Japan Ltd.) supplemented with 10% FBS (Life Technologies Japan Ltd.) and antibiotics [penicillin (100 U/ml), streptomycin (100  $\mu$ g/ml) and amphotericin B (25  $\mu$ g/ml)] at 37°C in a humidified atmosphere containing 5% CO<sub>2</sub>.

**Cell viability assay.** Baicalin and baicalein stock solutions were prepared in DMSO and added to the cultured HSC-2, HSC-3, and HaCaT cells in DMEM/10% FBS to achieve the indicated concentrations. The cells were incubated for the indicated periods of time. Cell viability was determined by performing 3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide (MTT) colorimetric assays with the Cell Proliferation kit I (Roche Diagnostics GmbH, Mannheim, Germany) according to the manufacturer's instructions. The number of viable cells was assessed by measuring the absorbance of the formazan crystals at 595 nm with a MultiSkan JX microplate reader and Ascent software (Thermo Labsystems, Vantaa, Finland). All data are presented as the mean  $\pm$  standard deviation (SD) of at least three independent experiments.

**Quantitative analysis of baicalin and baicalein contents in five herbal medicines.** A Jasco HPLC system (Jasco, Inc., Tokyo, Japan), equipped with a pump and a spectrophotometer suitable for ultraviolet (UV)/visible light detection, was used to generate a chromatogram at 277 nm for the analysis of baicalin and baicalein contents in Shosaikoto, Daisaikoto, Saireito, Saikokeishito, and Saikokaryukotsuboreito. Chromatographic conditions were adapted from a previous report (26), with the following minor modifications. The experiment used a Mightysil RP-18 GP 150-4.6 column (Kanto Corp., Tokyo, Japan). Eluent A corresponded to 0.05% trifluoroacetic acid (v/v), and eluent B corresponded to acetonitrile. The linear gradient of A to B consisted of 80-40% eluent A for 0-40 min, followed by 40-0% eluent A for 40-50 min. The flow rate was 1.0 ml/min at ambient temperature, and the injection volume was 10  $\mu$ l. Calibration curves were obtained from plots of the peak area versus the concentration of the baicalin or the baicalein calibration standard (10-250  $\mu$ g/ml,  $r=0.999$ ). All experiments were conducted in triplicate.

**CD44 knockdown.** CD44 knockdown was achieved using short hairpin RNA (shRNA) retrovirus particles. Oligonucleotides encoding shRNAs that target standard exons in CD44 mRNA (shCD44) were cloned into the plasmid vector pSINsi-hU6-Neo (Takara Bio Inc. Shiga, Japan). The DNA sequences corresponding to the CD44 and control shRNAs were 5'-GTGTACATCCTCACATCCA-3' (shCD44) and 5'-TCTTAATCGCGTATAAGGC-3' (shCtrl), respectively. The plasmids were transfected into HSC-3 cells using Lipofectamine RNAiMAX (Invitrogen) according to the manufacturer's instructions, and the cells were cultured for 2 weeks in the presence of neomycin (0.6 mg/ml; Roche Diagnostics, IN, USA). CD44 knockdown in HSC-3 cells was confirmed by immunoblotting and immunofluorescence.

**Cell cycle analysis.** HSC-3 cells or shCD44 HSC-3 cells were treated with 100  $\mu$ M baicalin or baicalein for 48 h at 37°C. Appropriate controls were also set up. Nuclei were labeled with propidium iodide (PI) (BD Pharmingen, BD BioSciences, San Jose, CA, USA), and the DNA contents of the PI-labeled nuclei were measured via flow cytometry according to the manufacturer's instructions (BD Pharmingen). Data acquisition and analysis were performed using a Beckman Coulter EPICS Altra Flow Cytometer and Expo3 v1.2B analysis software (Beckman Coulter, Brea, CA, USA).

**Immunoblot analysis.** HSC-3 cells were treated with DMSO, baicalin and baicalein (100  $\mu$ M), cisplatin (10  $\mu$ M), five herbal medicines (50  $\mu$ g/ml), or *Scutellaria* root extract (50  $\mu$ g/ml). Cells were scraped with a rubber policeman and collected in 10X cell lysis buffer (Cell Signaling Technology, Beverly, MA, USA). A solution containing phenylmethanesulfonyl fluoride (1 mM) plus one tablet of protease inhibitor cocktail (Complete, EDTA-free; Roche Diagnostics GmbH, Mannheim, Germany) was added to each cell lysate. Protein contents in the lysates were assayed, and equal amounts of protein for each sample were subjected to SDS-polyacrylamide gel electrophoresis, followed by immunoblotting with primary antibodies against anti-CD44 mouse monoclonal antibody, anti-cleaved PARP rabbit monoclonal antibody (all from Cell

Table I. Crude herbal constituents (percentages) and clinical indications of five herbal medicines.

Sample Crude herb	Shosaikoto Xiao-Chai-Hu-Tang	Daisaikoto Da-Chai-Hu-Tang	Saireito Chai-Ling-Tang	Saikokeishito Chai-Hu-Gui-Zhi-Tang	Saikokaryukotsuboreito Chai-Hu-Jia-Long-Gu-Mu-Li-Tang
Bupleurum root	7.0	6.0	7.0	5.0	5.0
<i>Scutellaria</i> root	3.0	3.0	3.0	2.0	2.5
<i>Pinellia</i> tuber	5.0	4.0	5.0	4.0	4.0
Jujube fruit	3.0	3.0	3.0	2.0	2.5
Ginseng root	3.0		3.0	2.0	2.5
Ginger rhizome	1.0	1.0	1.0	1.0	1.0
Glycyrrhiza root	2.0		2.0	2.0	
Cinnamon bark			2.0	2.0	3.0
Peony root		3.0		2.0	
Hoelen			3.0		3.0
Immature orange		2.0			
Rhubarb rhizome		1.0			
<i>Alisma</i> rhizome			5.0		
<i>Atractylodes lancea</i> rhizome			3.0		
Chuling			3.0		
Oyster shell					2.5
Fossilized bone					2.5
Percentage (w/w)					
<i>Scutellaria</i> root	12.5%	13.0%	7.5%	9.1%	8.8%
Clinical indications	Bronchial asthma, common cold, chronic liver diseases, enterogastritis	Hyperlipidemia, diabetes mellitus, cholelithiasis, jaundice	Diarrhea, edema, enterogastritis, nephritic disease	Duodenal ulcers, pancreatitis, chronic liver diseases	Psychotropic stress, neurasthenia, hypertension, atherosclerosis, hypercholesterolemia

Signaling Technology), anti-phospho-Chk1 (pS301) rabbit polyclonal antibody and anti- $\beta$ -actin antibody (Sigma-Aldrich) and secondary anti-IgG antibodies, as previously described (27). When necessary, membranes were stripped with Restore Western Blot Stripping Buffer (Pierce).

**Immunofluorescence.** Monolayers of cells were cultured for 48 h in 4-well cover glass chamber slides in medium containing 10% serum. Cells were washed twice in PBS supplemented with 1% bovine serum albumin (Sigma-Aldrich). The cell surface Fc receptor was blocked using IgG (Santa Cruz Biotechnology, Inc.) on ice for 15 min. Cells were stained for 30 min at 37°C with 1:100 dilution of anti-CD44 FITC monoclonal antibody (BD Biosciences, Franklin Lakes, NJ, USA). After washing, cells were analyzed using Nikon Eclipse TS100-F with Nikon Intensilight C-HGFIE (Nikon Co., Ltd., Tochigi, Japan). Digital images were processed with NIS Elements BR3.2 imaging software (Nikon Co., Ltd.) and Adobe Photoshop 7.0 (San Jose, CA, USA).

**Apoptosis analysis.** HSC-3 or shCD44 HSC-3 cells ( $1 \times 10^5$  cells) were cultured on 12-well plates for 24 h and treated with baicalin or baicalein for 24 h. Cells were stained using the

FITC Annexin V Apoptosis detection kit I (BD Pharmingen) according to the manufacturer's instructions. Data acquisition and analysis were performed using the EC800 Flow Cytometry Analyzer (Sony Biotechnology, Tokyo, Japan) with EC800 analysis software (Sony Biotechnology). Annexin V-positive cells were considered as apoptotic cell death.

**Statistical analysis.** All quantitative data are presented as the mean  $\pm$  SD and were evaluated using one-way analysis of variance followed by Dunnett's multiple comparison. In all cases,  $P < 0.05$  was considered statistically significant.

## Results

**Quantification of principle active constituents of *Scutellaria* root contents in five herbal medicines.** We analyzed the half maximal inhibitory concentration ( $IC_{50}$ ) of the five complete herbal medicines with human HNSCC cell lines (HSC-2 and HSC-3) and a human skin keratinocyte cell line (HaCaT), and the  $IC_{50}$  values ranged from 30.8 to 57.0  $\mu\text{g/ml}$  (Table II). We next determined the components in representatives of five widely used herbal medicines containing *Scutellaria* root for evaluating potential anticancer effects. These medicinal

Table II. Baicalin contents in extracts of each of five herbal medicines (1 g) and IC<sub>50</sub> values ( $\mu\text{g/ml}$ ) in HSC-2, HSC-3 and HaCaT cell lines.

Sample (Chinese name)	Baicalin contents (% $\pm$ SD)	IC <sub>50</sub> ( $\mu\text{g/ml}$ )		
		HSC-2	HSC-3	HaCaT
Shosaikoto (Xiao-Chai-Hu-Tang)	5.1 $\pm$ 0.3	36.3 $\pm$ 7.7	44.6 $\pm$ 6.1	35.3 $\pm$ 11
Daisaikoto (Da-Chai-Hu-Tang)	4.0 $\pm$ 0.5	37.2 $\pm$ 4.0	57.0 $\pm$ 1.8	40.4 $\pm$ 7.1
Saireito (Chai-Ling-Tang)	3.5 $\pm$ 0.6	38.8 $\pm$ 4.9	47.1 $\pm$ 7.5	39.8 $\pm$ 6.4
Saikokeishito (Chai-Hu-Gui-Zhi-Tang)	3.1 $\pm$ 0.2	41.2 $\pm$ 5.2	52.3 $\pm$ 3.8	30.8 $\pm$ 5.1
Saikokaryukotsuboreito (Chai-Hu-Jia-Long-Gu-Mu-Li-Tang)	3.2 $\pm$ 0.3	37.4 $\pm$ 6.6	46.1 $\pm$ 5.5	33.7 $\pm$ 4.4

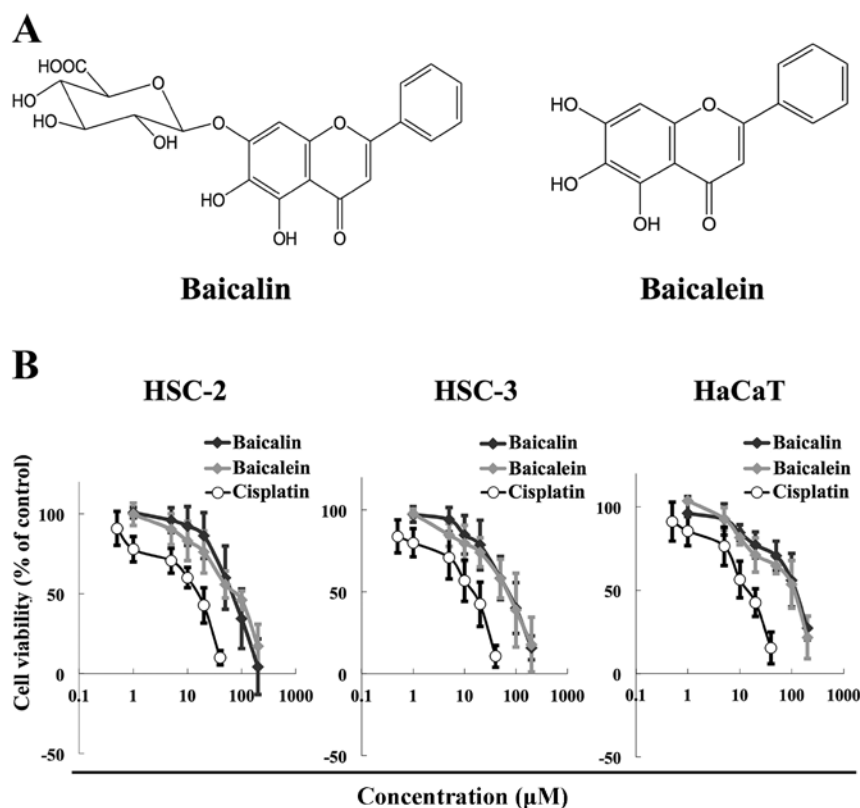


Figure 1. Effects of baicalin and baicalein on cell viability. (A) Chemical structures of baicalin and baicalein. Baicalein is the aglycone derivative of baicalin. (B) Cells were incubated with various concentrations of baicalin or baicalein for 72 h and cell viability was determined by MTT assays. Data are presented as the mean  $\pm$  standard deviation of at least three independent experiments.

herbs are formulated from several different herbs combined in a particular intrinsic mass ratio. Table I shows the clinical indications, composition of crude herbs, fixed proportions, and percentage (w/w) of *Scutellaria* root in hot water extracts of Shosaikoto, Daisaikoto, Saireito, Saikokeishito, and Saikokaryukotsuboreito. The *Scutellaria* root is a component of all of these five Chinese herbal medicines, and the percentage of *Scutellaria* root in these medicines ranged from 7.5 to 13.0%.

Baicalin is one of the main constituents in *Scutellaria* root, and baicalein is its aglycone (10,28). Table II shows the results of the quantitation of baicalin (5,6,7-trihydroxyflavone-7-O-glucuronide) contents in each sample by HPLC-UV analysis. Standard curves for baicalin were linear ( $r=0.999$ ) over a concentration range of 10–250  $\mu\text{g/ml}$  ( $t_R$  10.3 $\pm$ 0.15 min). Baicalein (5,6,7-trihydroxyflavone) ( $t_R$  20.5 $\pm$ 0.28 min) was not

detectable in any of the samples. All sample matrices contained a large number of constituents, of which baicalin (30–50 mg), comprising ~3–5% (w/w) of the total extract (1 g), was a common component. They were equivalent to 2–5  $\mu\text{M}$  content of baicalin in the five herbal medicines. These data show that the five herbal medicines contain a high proportion of baicalin (3–5%). Baicalin is absorbed in the body, and it is metabolized to baicalein (28). Some studies have demonstrated that baicalin (10,29) and baicalein (29–31) have potential anticancer activities. Therefore, we focused on these two ingredients to predict the effects of the five herbal medicines.

**Baicalin and baicalein reduce HNSCC cell viability.** The chemical structures of baicalin and baicalein are shown in Fig. 1A. The two flavones differ in that baicalein does not contain the glucuronic acid moiety at the C7 position on the

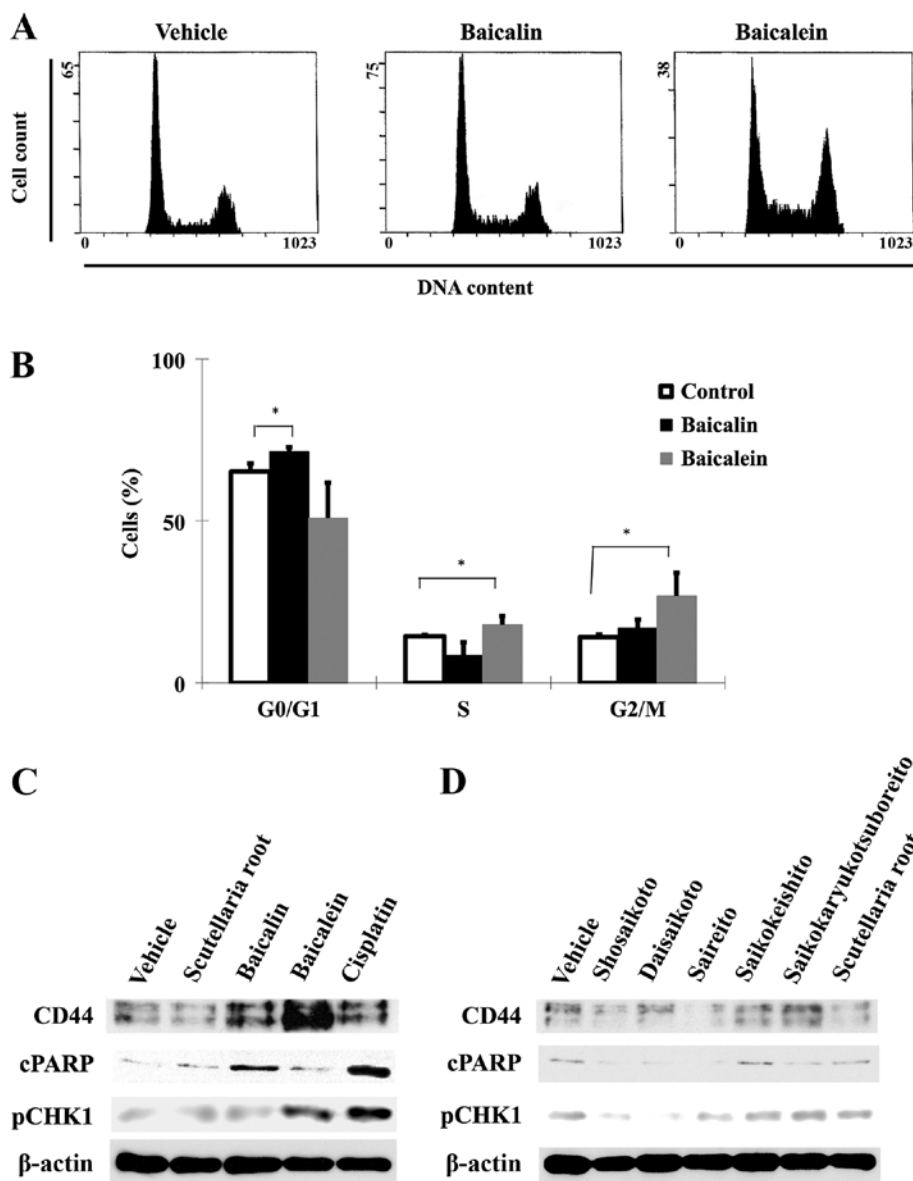


Figure 2. Effects of baicalin and baicalein on the cell cycle distribution of HSC-3 cells. (A) The cell cycle distribution of propidium iodide-stained HSC-3 cells was analyzed by flow cytometry at 48 h after treatment with baicalin or baicalein (100  $\mu$ M). Representative flow cytometry histograms illustrating the cell cycle distribution of HSC-3 cells. (B) Bar graphs showing the results of the cell cycle distribution. Data are presented as the mean  $\pm$  standard deviation (n=3). \*P<0.05, vs. control. (C) Immunoblot analysis of HSC-3 cells treated with dimethyl sulfoxide vehicle, baicalin (100  $\mu$ M), baicalein (100  $\mu$ M), cisplatin (100  $\mu$ M), *Scutellaria* root extract (50  $\mu$ g/ml), or each of five herbal medicines (50  $\mu$ g/ml) for 24 h.  $\beta$ -actin was used as a loading control. (D) HSC-3 cells were treated with each of the five herbal medicines or *Scutellaria* root extract and subjected to immunoblot analysis.

flavone backbone. We next examined possible anticancer effects of baicalin and baicalein against HNSCC. The cytotoxicity of baicalin and baicalein in the HSC-2 and HSC-3 HNSCC cell lines and the HaCaT normal human keratinocyte cell line was evaluated by MTT assays. Both compounds reduced the viability of all three cell lines at concentrations ranging from 50 to 200  $\mu$ M for 72 h in a dose-dependent manner (Fig. 1B). The cytotoxicity of baicalin and baicalein was similar. Cisplatin was used as a positive control, a well-known anticancer agent (32,33). The IC<sub>50</sub> values of baicalin were 54.3  $\mu$ M for HSC-2 cells, 60.6  $\mu$ M for HSC-3 cells, and 69.0  $\mu$ M for HaCaT cells. The corresponding IC<sub>50</sub> values for baicalein were 60.7  $\mu$ M for HSC-2 cells, 58.4  $\mu$ M for HSC-3 cells, and 64.5  $\mu$ M for HaCaT cells. Together our results show

that baicalin and baicalein exhibit similar cytotoxic effects in HNSCC cells as cisplatin.

*Baicalin and baicalein exert differential effects on the cell cycle.* We next examined whether baicalin and baicalein affect the cell cycle progression of cancer cells. Based on cell viability results, baicalin and baicalein at concentrations of 100  $\mu$ M were used for subsequent analyses. Cell cycle distribution patterns were compared in control cells and cells treated for 48 h by flow cytometric analysis (Fig. 2A and B). While the majority of HSC-3 cells were in G0/G1 phase in the control group, only 6.1% of the cells were arrested in G0/G1 phase in response to baicalin, whereas 12.7% of cells were arrested in G2/M phase in response to baicalein. Baicalein-induced G2/M phase arrest

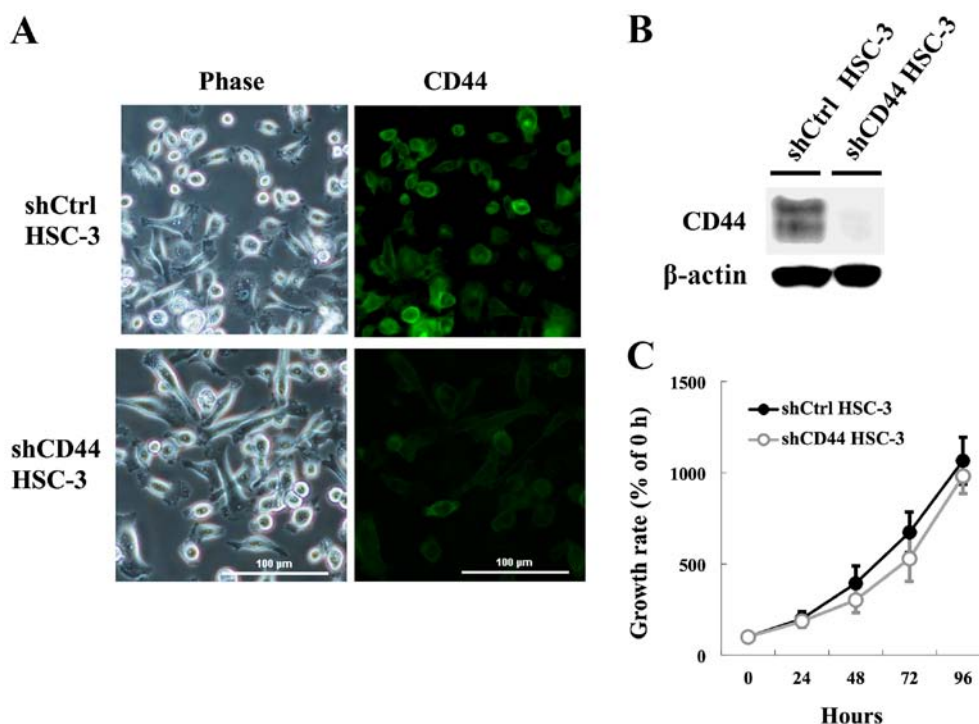


Figure 3. Comparison of CD44 expression between shCtrl HSC-3 and shCD44 HSC-3 cells. (A) Representative phase contrast (left panels) and anti-CD44 immunofluorescence images (right panels) of CD44 live cells are shown. Scale bar, 100  $\mu$ m. (B) CD44 protein levels in shCtrl HSC-3 and shCD44 HSC-3 cells are shown by western blotting. (C) Cell growth curves from MTT assays performed in shCtrl HSC-3 and shCD44 HSC-3 cells.

was accompanied with a small increase (3.4%) in S phase cells and a concomitant decrease in G0/G1 phase cells, suggesting that the S phase entry of the cells was not significantly affected in response to baicalein, but the exit from S phase might be partially impaired, causing a slight increase in S phase cells. Together our results showed that baicalin induces G0/G1 arrest and baicalein induces G2/M arrest in HSC-3 cells.

*Baicalin and baicalein exert regulatory effects on CD44 expression and DNA damage response.* We next explored the possible molecular responses associated with the G0/G1 and G2/M cell cycle arrest induced by baicalin and baicalein, respectively. We performed immunoblot analysis of the protein levels of cleaved PARP (cPARP) as an apoptosis marker, CD44 as a CSC surface marker, and phospho-Chk1 (S301) as a marker of DNA damage response to S-to-G2/M phase arrest in HSC-3 cells. Both flavones (100  $\mu$ M) increased the expression of CD44 after 48 h of treatment, but the effect of baicalein was more pronounced than that of baicalin (Fig. 2C). Baicalein-treated HSC-3 cells also showed lower levels of cPARP than baicalin-treated cells, suggesting that CD44 upregulation at G2/M phase may be an important mechanism for cell survival. We also investigated the DNA damage response upon treatment with these compounds. Baicalein and cisplatin induced phosphorylation of CHK1, as a marker of robust DNA damage response. Treatment with the five herbal medicines or *Scutellaria* root (all used at 50  $\mu$ g/ml for 48 h) did not significantly affect phosphorylation of CHK1 (Fig. 2D). These results demonstrate that HNSCC treated by baicalein can progressively escape apoptosis regardless of the induction of DNA damage response, and that this resistance phenomenon is correlated with inadequate expression

of CD44. However, the protein levels of CD44, cPARP, and pCHK1 were not appreciably altered by any of the herbal medicines or by *Scutellaria* root.

Together, these studies suggest that baicalein-induced CD44 expression may cause apoptotic resistance, leading to S-to-G2/M phase arrest regulated by the activation of the CHK1 pathway.

*Generation of stable CD44-knockdown HSC-3 cells.* Our above findings suggested that the CD44 upregulation may be correlated with resistance to apoptosis and DNA damage response at G2/M arrest with activation of CHK1. Therefore, we generated HSC-3 cells with knockdown of CD44 to further investigate whether CD44 is involved in the proliferation and apoptotic resistance in HNSCC cells. HSC-3 transfected control (shCtrl HSC-3) and CD44 knockdown (shCD44 HSC-3) cell lines showed no significant morphological changes, such as the appearance of pseudopodia and spindle-shaped morphology (Fig. 3A). Immunostaining analyses for CD44 (Fig. 3A) corroborated the immunoblotting analysis (Fig. 3B), confirming downregulation of CD44 in the stable knockdown lines by >95%. Additionally, some previous observations have suggested that CD44 confers a decided growth advantage on certain types of cancer cells (6,34); however, we observed no significant attenuation in growth rate in monolayer cultures of shCD44 HSC-3 cells compared with shCtrl HSC-3 cells after 96 h (Fig. 3C). These findings indicated that CD44 knockdown was not sufficient to mediate cell growth inhibition in this study.

*Apoptotic resistance at G2/M phase is reduced in CD44 knockdown HSC-3 cells.* Our next step was to examine the

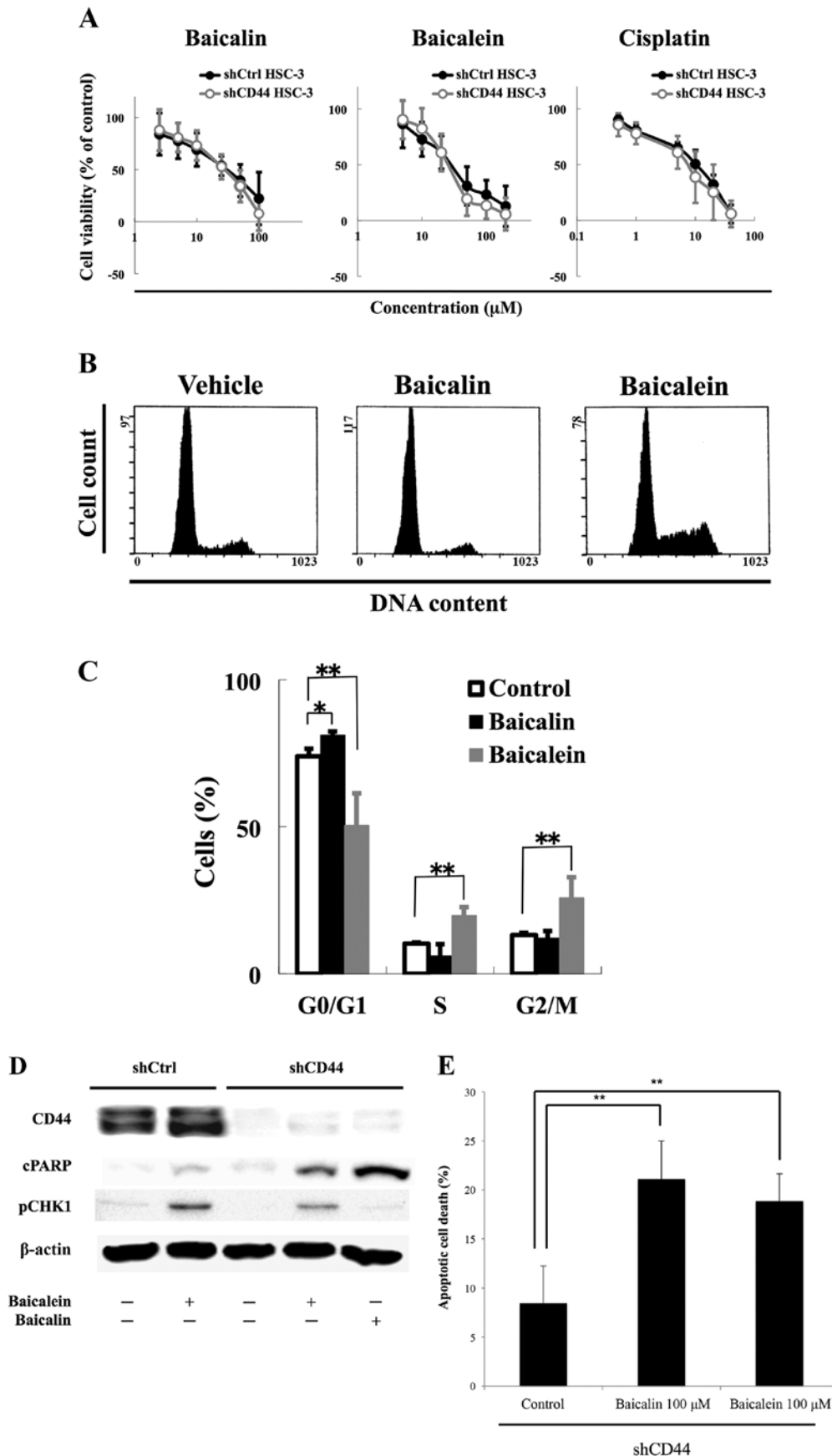


Figure 4. Comparison of baicalein response between shCtrl HSC-3 and shCD44 HSC-3 cells. (A) Cell viability of shCtrl HSC-3 and shCD44 HSC-3 cells treated by baicalin, baicalein and cisplatin. (B) Representative cell cycle plots following treatment with baicalin and baicalein. (C) Cell cycle bar graphs showing the percentages of cells within the different cell cycle phases after treatment with baicalin and baicalein. Data represent mean  $\pm$  SD of three experiments, with each performed in duplicate. \* $P < 0.05$ , vs. control. \*\* $P < 0.01$ , vs. control. (D) Immunoblot analysis of shCtrl HSC-3 and shCD44 HSC-3 cells following baicalin or baicalein treatment. (E) Flow cytometric analysis of apoptotic cell death in shCD44 HSC-3 cells. Bar graph indicates proportion of apoptotic cell death. \* $P < 0.05$ , vs. control. \*\* $P < 0.01$ , vs. control.

role of CD44 expression on apoptotic resistance by baicalein at G2/M phase. We tested the ability of baicalein and baicalin to selectively kill shCD44 HSC-3 cells. Despite their CD44 knockdown phenotype, shCD44 HSC-3 cells did not show any significant differences in response to baicalein and baicalin compared with shCtrl HSC-3 cells (Fig. 4A). The IC<sub>50</sub> values for baicalin were 43.7  $\mu$ M for shCtrl HSC-3 cells and 39.3  $\mu$ M for shCD44 HSC-3 cells. The corresponding IC<sub>50</sub> values for baicalein were 59.6  $\mu$ M for shCtrl HSC-3 cells and 56.4  $\mu$ M for shCD44 HSC-3 cells.

To understand the role of CD44 in DNA damage response induction, we analyzed the effects of baicalin and baicalein treatments on the cell cycle progression and using immunoblotting. We found a similar trend in terms of effect of baicalin and baicalein on cell cycle progression and DNA damage response induction as compared with control cells (Figs. 2A, and B and 4B and C). Baicalein increased the number of cells in S phase by 9.5% and G2/M phase by 12.6% compared with the vehicle control, while baicalin increased the number of cells in G0/G1 phase by 7.1% ( $P < 0.001$ ) (Fig. 4C). Furthermore, baicalein enhanced cPARP, an apoptosis marker, in CD44 knockdown cells, while phosphorylation of CHK1, a marker of DNA damage response to S-to-G2/M phase arrest, was decreased by baicalein in CD44 knockdown cells (Fig. 4D). We also confirmed that baicalein enhanced apoptosis by Annexin V staining when CD44 was knocked down (Fig. 4E). These results suggest that CD44 is correlated with p-CHK1 expression and that CD44 expression plays a role in DNA damage response in HNSCC cells.

## Discussion

In this study, we first examined whether traditional herbal medicines that contain *Scutellaria* root enhanced apoptosis of HNSCC cells. Our results showed that the five herbal medicines did not enhance apoptosis of HNSCC cells in cell culture experiments (Table II and Fig. 2D). We hypothesized that some ingredients of herbal medicine could inhibit the expression of CSC markers or enhance cancer cell apoptosis, thus we next examined whether ingredients of herbal medicine affected CD44 levels, as a cancer stem cell marker, or induced apoptosis. However, our findings showed that baicalin and baicalein, which are present in herbal medicine at high proportions, enhanced expression of CD44, and the cytostatic activities were similar to that of cisplatin in HNSCC cells. We next turned our attention to the potential induction of apoptosis by the two flavone compounds. Apoptosis is characterized by increased expression of cleaved PARP. PARP initiates multiple cellular responses, including DNA repair, cell cycle checkpoint control, apoptosis, and nuclear gene transcription (35), while cPARP is selectively involved in programmed cell death. Notably, baicalin increased cPARP level in HSC-3 cells, whereas baicalein did not, despite its induction of DNA damage response at G2/M cell cycle arrest and inhibition of HSC-3 cell growth. In contrast, CD44 protein levels were markedly increased by baicalein, but not by baicalin. Therefore, we hypothesized that CD44 expression engaged with cPARP level and G2/M cell cycle arrest in the HSC-3 HNSCC cell line. We validated this hypothesis using CD44-knockdown HSC-3 cells. We found that cPARP level, as an apoptotic response

marker, was significantly increased by CD44 knockdown at G2/M phase initiating the DNA damage response. These results demonstrated that inadequate expression of CD44 has a role in the transient apoptotic resistance to the DNA damage response.

HNSCC tumors contain a subpopulation of CSCs that are distinguished by a high level of CD44 expression (4). CSCs are illustrious for their resistance to DNA damage and high DNA repair capacity (3), and CD44<sup>high</sup> cells are less sensitive to apoptosis-inducing agents than CD44<sup>low</sup> cells. CD44<sup>high</sup> cells in HNSCC tumors also spend a consistently longer amount of time in G2 phase than CD44<sup>low</sup> cells (7). Furthermore, the proportion of CD44<sup>+</sup> cells relative to CD44<sup>-</sup> cells in G2 phase is markedly increased by treatment with certain apoptosis-inducing stimuli (6). Our data demonstrated that baicalein-mediated upregulation of CD44 was linked to an extended G2/M phase in HNSCC cells and a possible maintenance of the stemness to a CSC-like phenotype, potentially providing cells with the opportunity and capacity to repair DNA damage. We propose that the metabolism of baicalin to baicalein, followed by the upregulation of CD44, might be involved in aberrant repair of damaged cells to enable their survival, potentially contributing to apoptotic resistance in HNSCC tumors. These results suggest that, although both molecular structures of baicalin and baicalein appear similar, the cellular environment to DNA damage response may differ by CD44 upregulation, especially cell cycle progression, explaining their differential apoptotic threshold to DNA damage repair.

It is important to emphasize that the five herbal medicines and *Scutellaria* root extract had no clear effect on the levels of CD44, cPARP or DNA damage response. It is possible that the cell cycle stage at the time of drug treatment or some other as yet unknown mechanism might modulate the switch between cell death and survival in HNSCC-derived CSCs.

Together our results indicate that baicalin/baicalein may potentially induce CD44 upregulation to initiate the stemness of HNSCCs. Moreover, upregulated CD44 may render the stem cells resistant to programmed cell death, perhaps through G2/M arrest. The potential induction of CD44 expression may be partly responsible for resistance to DNA-damaging treatments.

Our results showed that baicalein could significantly induce cell cycle arrest at G2/M phase and increased level of cleaved PARP-1 (an apoptotic marker) in CD44 knockdown cells without altering growth inhibitory effect and cell cycle distribution. This indicates that transient expression of CD44 could enhance DNA repair damage response and contribute to survival ability by apoptotic resistance by baicalein in HSC-3 cells.

In conclusion, knockdown and functional evaluation of CD44, a cell surface marker of cancer stem-like cells, suggested that induction of CD44 by baicalein, as an herbal ingredient, is involved, at least in part, in an initial survival advantage, resulting in efficient DNA damage repair. Overexpression of CD44 provides relative protection of HNSCC cells in terms of cell death responses, but it is unclear whether the rescued cells convert DNA damage to mutations and/or translocations during treatment of cancer. However, the present study indicated that the function of CD44 as a CSC marker of HNSCC is involved in the DNA damage response in G2/M arrest.



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